

Kentucky Educational Collaborative for State Agency Children (KECSAC)
Memorandum of Agreement
Overview

Legislation enacted by the Kentucky General Assembly in 1992 (SB260) and 1994 (HB826) established KECSAC and defined "state agency children" (SAC) and the role of school districts in the provision of services to these youth (KRS 158.135). Subsequent regulations provide the foundation for the Memorandum of Agreement (MOA) between KECSAC and the school district providing educational services to state agency children.

Working in cooperation with the Kentucky Departments of Education (KDE); Juvenile Justice (DJJ); Behavioral Health, Developmental and Intellectual Disabilities (BHDID); Community Based Services (DCBS); and Local Education Agencies, KECSAC annually requires that each school district submit the following items as attachments for each program to this MOA:

- **ATTACHMENT 1** - A total budget for the education of state agency children in the treatment programs in project budget report MUNIS format.
- **ATTACHMENT 2** - A comprehensive annual budget for the state agency children educational program.
- **ATTACHMENT 3** - A completed Program Educational Calendar Worksheet.
- **ATTACHMENT 4** - A plan for making up any of the 210 instructional days due to inclement weather or other district planned events.
- **ATTACHMENT 5** - A completed SEEK Calculation Worksheet.
- **ATTACHMENT 6** - A current 2026-2027 Interagency Agreement between the school district and each contracted or operated program and DCBS or DJJ.
- **ATTACHMENT 7** - A 2026-2027 Program Improvement Plan (PIP).
- **ATTACHMENT 8** - Implementation and Impact Check, based upon 2025-2026 Program Improvement Plan.
- **ATTACHMENT 9** - A Student Transition Plan (STP).

The MOA and attachments form the basis for the operation and distribution of State Agency Children's Funds (SACF) for the delivery of education services to SAC.

1.
 - a. Those children of school age committed to or in custody of the Cabinet for Health and Family Services and placed, or financed by the cabinet, in a Cabinet for Health and Family Services operated or contracted institution, treatment center, facility, including those for therapeutic foster care and excluding those for nontherapeutic foster care; or
 - b. Those children placed or financed by the Cabinet for Health and Family Services in a private facility pursuant to childcare agreements including those for therapeutic foster care and excluding those for nontherapeutic foster care;
2. Those children of school age in home and community-based services provided as an alternative to intermediate care facility services for the intellectually disabled; and
3. Those children committed to or in custody of the Department of Juvenile Justice and placed in a department operated or contracted facility or program; and
4. Those children referred by a court-designated worker and admitted to a Department of Juvenile Justice operated or contracted day treatment program.

The passage of SB260 in 1992 envisioned KECSAC as serving youth in state operated or contracted residential and day treatment programs. With the passage of HB826 in 1994, a diverse array of treatment programs was brought under the SAC definition. With the passage of HB117 in 1996, KECSAC services were extended to youth under the care of the Department of Juvenile Justice.

The educational programs that serve SAC must meet the special needs of students who, upon exiting a public or private treatment program in the Commonwealth, will return to a public school setting as well as those who will enter the work force or some other alternative program.

The original signed copy of the KECSAC MOA and attachments should be returned to the KECSAC office prior to September 15, 2026. KECSAC will affix final signature to the documents and return a final signed MOA to the School District. The school district will not receive reimbursement until all required information is submitted to complete the MOA. A confirmation of receipt of the MOA will be sent to the school district by KECSAC. A final signed copy version will be returned to the school district after submission of completed MOA. If a completed MOA is not received by March 1, 2027, KECSAC will reallocate funds identified herein to other participating school districts and the outstanding incomplete MOA will be forfeited for the fiscal year.

The local school district that contracts with KECSAC to provide educational services to SAC must provide an equal and equitable education to students in KECSAC programs. This includes equitable resources such as textbooks, teaching materials, technology materials, support and maintenance.

Please submit a complete signed MOA with ALL attachments digitally to:

Kristine Smith at: Kristine.Smith@kecsac.org

Or

Sherri Clusky at Sherri.Clusky@kecsac.org

MEMORANDUM OF AGREEMENT
Kentucky Educational Collaborative for State Agency Children
Fiscal Year 2027
(July 1, 2026 - June 30, 2027)

I. INTRODUCTION

This agreement is made and entered into this 1st day of July, 2026, by and between The Kentucky Educational Collaborative for State Agency Children (KECSAC), (hereinafter called the FIRST PARTY), organized and existing by virtue of the Laws of the Commonwealth of Kentucky, and **Hopkins County School District**, (hereinafter called the SECOND PARTY), a non-profit educational institution, organized and existing by virtue of the Laws of the Commonwealth of Kentucky.

WHEREAS, the FIRST PARTY has been directed by the Justice Cabinet, Cabinet of Health and Family Services and Kentucky Department of Education (collectively referred to herein as "CABINETS") to provide collaborative educational services; and

WHEREAS, the FIRST PARTY has concluded that it would not be feasible to provide some of such services from its facilities; and

WHEREAS, the SECOND PARTY is available and would be qualified to provide a system which would meet the approval of the aforementioned cabinets; and

WHEREAS, the FIRST PARTY desires to avail itself of the services of the SECOND PARTY;

NOW, THEREFORE, it is mutually agreed by and between the parties as follows:

II. SCOPE OF WORK

The SECOND PARTY will provide to state agency children in **Hopkins County Day Treatment** a 210 day instructional program that includes a traditional instructional school calendar, or equivalent hours as approved by KDE as well as an extended school program which is in compliance with the Statutes and Regulations governing the CABINETS as they relate to state agency children in the Commonwealth of Kentucky. In addition to the terms and conditions described below, the SECOND PARTY also agrees to abide by all terms and conditions set forth under the Master Agreement between FIRST PARTY and the CABINETS.

III. PERIOD OF PERFORMANCE

Each Memorandum of Agreement is for a period of twelve months, beginning July 1, 2026, with an end date of June 30, 2027. The education program may continue for multiple years and each subsequent year will be dealt with separately and will require a new Memorandum of Agreement. Initiation and continuation of this agreement are contingent upon FIRST PARTY'S receipt of funding from the CABINETS.

IV. DUTIES OF THE FIRST PARTY

1. The FIRST PARTY will provide the services of the Executive Director of KECSAC or other KECSAC personnel to facilitate the distribution of the funds as described herein.
2. The FIRST PARTY will provide for all of the normal administrative requirements as established by the CABINETS.

V. DUTIES OF THE SECOND PARTY – School District

1. The SECOND PARTY will provide the services of a school administrator as the overall school district program(s) director, or a substitute acceptable to both parties.
2. Time and effort sheets will be maintained by the school administrator for staff providing services for each program under this Agreement. All wages and fringe benefits required hereunder will be provided within the terms of funding in this Agreement.
3. Personnel assigned by the school district to the education program will be afforded all of the amenities of **School District** faculty and/or staff. Such project personnel will be employees of the SECOND PARTY with selection dismissal, and all other employment decisions the responsibility of the SECOND PARTY.
4. Normal SECOND PARTY accounting procedures will be employed and records will be made available for inspection at the request of the FIRST PARTY for a period of up to five years beyond the termination date of a program.
5. The SECOND PARTY shall sign and return the Memorandum of Agreement, including all attachments, to the FIRST PARTY within ninety (90) days of issuance or no later than September 16th. The FIRST PARTY may decrease funding by quarterly increments for noncompliance with the submission deadline. If the Memorandum of Agreement is submitted but is incomplete, the FIRST PARTY has the authority to hold reimbursement for expenses until a completed Memorandum of Agreement is submitted to the FIRST PARTY. If a completed MOA is not received by March 1, 2026, the FIRST PARTY may reallocate funds identified herein to other participating school districts and the outstanding incomplete MOA will be forfeited and considered null and void.
6. The SECOND PARTY shall maintain an inventory of all items purchased with KECSAC funds. All items purchased with KECSAC funds remain the property of FIRST PARTY.
7. The SECOND PARTY shall notify the FIRST PARTY in writing, no less than 30 days in advance, when a state agency program will be closed.
8. The SECOND PARTY agrees to return all purchased items to the FIRST PARTY within 30 days of the closing date of a program.
9. The SECOND PARTY shall submit a new application for funds should an existing KECSAC program move to the SECOND PARTY'S district and if the SECOND PARTY is seeking funding for any activities or items not specified herein.
10. The SECOND PARTY shall immediately notify FIRST PARTY in writing of any problems, complaints or allegations relating to the use or administration of KECSAC funds or any program supported, in whole or part, by KECSAC funds.
11. The parties recognize that FIRST PARTY is an agency of the state and as such is vested with sovereign immunity, and nothing in this agreement shall be construed as a waiver of such immunity. SECOND PARTY agrees, to the extent permitted by law, to indemnify and hold harmless the FIRST PARTY

from any and all liability, loss or damage that FIRST PARTY may suffer resulting from the acts or omissions of SECOND PARTY'S employees or agents relating to this Agreement.

VI. COSTS AND PAYMENTS

1. On a quarterly cost reimbursement basis, the FIRST PARTY will request that payments be made by Kentucky Department of Education to the SECOND PARTY for cost, not to exceed approved requested funds, for direct labor, supplies, subcontracts, and incidental expenses necessary for the execution of the work. The SECOND PARTY will bill the FIRST PARTY in MUNIS **project budget report** format quarterly for expenditures as actual expenses are incurred (*as requested*). The budget is attached as ATTACHMENT 1 and incorporated herein by reference. New Memorandum of Agreements with an updated annual budget will be completed each year for each program.
2. The SECOND PARTY will provide documentation, in MUNIS format, that itemizes all funds that the SAC education program generates including KECSAC and SEEK funds. Any KECSAC funds not expended by June 30th of the current fiscal year will be deducted from the program's next fiscal year allocation amount.
3. The SECOND PARTY agrees that if an education program closes during the year, the SECOND PARTY will be reimbursed up to 25% of the allocated amount if the program closed on or before September 30th, up to 50% if the program closed on or before December 31st and up to 75% if the program closed on or before March 31st.
4. The FIRST PARTY will withhold 10% of the total allocation until the final (fourth quarter) reimbursement. If an education program receives the full amount of allotted funds and that education program closes without having spent all of the funds, the SECOND PARTY agrees to return any unspent funds to the FIRST PARTY.
5. The FIRST PARTY reserves the right to reduce the allocated amount to the SECOND PARTY in the event a budget reduction is required by the Governor or the Legislature during the fiscal year.

VII. TERM AND RENEWAL

The Term of this Agreement shall run from July 1, 2026 – June 30, 2027 and shall be renewed annually upon mutual agreement of the parties in writing.

VIII. CABINETS

The SECOND PARTY will comply with all provisions of the CABINETS included in the attachments to this Memorandum of Agreement and all applicable provisions of the Master Agreement between the CABINETS and FIRST PARTY.

IX. ENDORSEMENTS

Both parties have executed this Agreement by duly authorized officers.

X. PROGRAM GUIDELINES

KRS 605.110 requires that children maintained in a facility or program operated, contracted or financed by the CABINETS shall as far as possible, maintain a common school education.

In this regard, SECOND PARTY'S educational administrative staff, supervisors and teachers:

1. Shall meet Kentucky educational certification requirements and be evaluated in accordance with local school district policy.

2. Shall complete a formal or informal academic assessment of the educational needs of all SAC, and vocational needs of SAC aged fourteen (14) and up or in eighth grade and above, within the first 30 days after admission to an on-site program. Any youth suspected to have an educational disability as governed by 707 KAR 1:300 and 707 KAR 1:320 shall be assessed following required due process procedures.
3. Shall, at on-site education programs, provide the treatment program director an opportunity to interview prospective new teachers for the on-site state agency education program when filling a teacher vacancy. At on-site education programs, the treatment program director shall provide the local school district with interview results regarding the applicants' suitability for teaching in the on-site state agency education program.
4. Shall designate a school administrator who will be the instructional leader of the state agency children educational program(s). School administrators shall attend two statewide meetings of the State Agency Children School Administrators Association (SACSAA) scheduled by the FIRST PARTY with the advice of the KECSAC Interagency Advisory Group and SACSAA. The SACSAA meetings are scheduled as follows:

Fall Statewide Meeting: September 4, 2026

Spring Statewide Meeting: March 5, 2027

5. Shall ensure school administrators attend additional meetings upon thirty (30) days written notification from the FIRST PARTY or the CABINETS.
6. Shall be responsible to ensure school administrators complete and timely submit information including the required MUNIS and student information reports upon request from the CABINETS and/or the FIRST PARTY.
7. Shall ensure the school administrator, or a designee, participates in treatment planning conferences and team meetings for state agency children in programs they serve.
8. Shall comply with all policies of the CABINETS relative to the care and treatment of state agency children.
9. Shall, at on-site education programs, provide a professional development plan for all certified staff working in state agency children programs. All educators new to a state agency children's education program shall attend the "*New Educators Training*," which is scheduled for **August 27, 2026**. 505 KAR 1:080 recommends that three (3) non-instructional days per year be used for professional development designed for state agency children teachers.
10. Shall, at on-site education programs, maintain average teacher pupil ratios not to exceed: No more than ten (10) students to one (1) teacher without a classroom aide; and no more than fifteen (15) students to one (1) teacher with a classroom aide; and shall comply with 505 KAR 1:080 relating to students with educational disabilities. This ratio must be maintained during the regular and extended school calendar.
11. Shall provide the state required days of direct educational services for each state agency child. Students enrolled in a KECSAC program are also required to attend an extended school program for an annual total of 210 instructional days which is in compliance with 505 KAR 1:080. A minimum of four hours of direct instruction is required for each of the extended school days.
12. Shall ensure instruction delivered exclusively or primarily through virtual, remote, or video-conferenced means is expressly prohibited under this Agreement. Teachers shall not provide instruction from an off-site location through video, livestream, or other remote access technologies as a substitute

for in-person instruction. Non-traditional instruction (NTI) may be utilized according to 701 KAR 5:150.

13. Shall develop, in coordination with the Individual Treatment Plan (ITP) for each state agency child, an Individual Plan of Instruction (IPI) or for youth determined to have an educational disability, an Individual Educational Plan as governed by 505 KAR 1:080.
14. Shall recognize state agency children status as it relates to the administration and testing of the GED® or other high school equivalency exam.
15. Shall administer to state agency children the same assessments administered to other public school youth and shall be included in the accountability as specified in Inclusion of Special Populations in the State-Required Assessment and Accountability Programs 703 KAR 5:070.
16. Shall request of sending school the educational records for all state agency children. Upon receipt of the school records, the SECOND PARTY shall notify within five (5) days the sending school of the state agency child's enrollment. Upon receipt of the school records, the state agency program shall notify the sending school district office of the pupil personnel director that the child is now in school attendance and not a drop out.
17. Shall ensure that the educational records of state agency children be forwarded to the receiving schools within five (5) school days following the release of the youth from the program.
18. Shall prepare an Educational Passport as required by KRS 158.137 and 605.110(3)(e).
19. Shall comply with all provisions of KRS Chapters 158, 161, 610, 635, 640, 645, 505 KAR 1:080, 922 KAR 1:300 and 922 KAR 1:305.
20. Shall maintain a **current** copy of the "Child Caring Facility License" issued by the State of Kentucky documenting the license capacity and type of program for **each** non-state operated or non-state contracted program for which the SECOND PARTY is requesting funding or otherwise have filed for a renewal sufficiently in advance of the expiration of any license.
21. Shall notify FIRST PARTY within 30 days of a change in the licensed or rated capacity of each programs.
22. Shall submit to the FIRST PARTY, a total educational budget in project budget report MUNIS format for **each** program providing education to state agency children as **Attachment 1** and a comprehensive annual budget as **Attachment 2**, attached hereto and incorporated herein by reference.
23. Shall provide to all state agency children an extended school calendar of two hundred and thirty (230) days with two hundred and ten (210) instructional days in accordance with 505 KAR 1:080. An Educational Calendar Worksheet outlining the two hundred and thirty (230) days shall be submitted as **Attachment 3**, incorporated herein by reference, with the annual Memorandum of Agreement. The calendar must include the legislatively required number of instructional days, or the equivalent hours, as approved by KDE, and thirty-three (33) KECSAC extended days. It is recommended that Infinite Campus, the student information system, show the entire school calendar, including the extended days. In addition, shall attach a copy of its plan to make up days missed due to adverse weather or other district planned activities as **Attachment 4**, incorporated herein by reference.
24. Shall submit the SEEK Calculation Worksheet as **Attachment 5**. Such SEEK Calculation Worksheet, as may be amended, is attached as **Attachment 5**, hereto and incorporated herein by reference.
25. Shall submit and maintain a current copy of the Interagency Agreement between the SECOND PARTY and the facility, or documentation explaining why such interagency agreement is not required. If the program is a Mental Health Day Treatment facility, a current copy of the Interagency Agreement between the education program and the approved service provider is required, including a copy of the

current service provider's contract. Such Interagency Agreement, as may be amended, is attached as **Attachment 6**, hereto and incorporated herein by reference.

26. Shall attach a copy of the 2026-2027 Program Improvement Plan (PIP) developed using state assessment data, KECSAC Program Reviews and any other surveys or data collected by individual programs. The implementation and assessment of the PIP is solely the responsibility of the SECOND PARTY. Such Program Improvement Plan, as may be amended, is attached as **Attachment 7**, hereto and incorporated herein by reference.
27. Shall attach a copy of the Implementation and Impact Check based upon the submitted 2025-2026 Program Improvement Plan for the education program. Such Implementation and Impact Check, as may be amended, is attached as **Attachment 8**, hereto and incorporated herein by reference.
28. Shall attach a copy of the education program's Student Transition Plan (STP) that outlines the transition procedures for state agency children. The implementation and assessment of the STP is solely the responsibility of the SECOND PARTY. The transition planning to a post school setting shall comply with the STP and service requirements of the Individuals with Disabilities Education Act (IDEA), enacted as 20 USC 1400 to 1491o, and 707 KAR 1:320 for students with educational disabilities. Such Student Transition Plan, as may be amended, is attached as **Attachment 9**, hereto and incorporated herein by reference.

XI. MISCELLANEOUS

1. This Agreement shall be governed by the laws of the Commonwealth of Kentucky. To the extent any provision of this Agreement conflicts with governing law, the laws of the Commonwealth of Kentucky shall control.
2. In the event either party is unable to perform its obligations under the terms of this Agreement because of acts of God, strikes, pandemics, equipment or transmission failure or damage reasonably beyond its control, or other causes reasonably beyond its control, such party shall not be liable for damages to the other for any damages resulting from such failure to perform or otherwise from such causes.

SECOND PARTY

Hopkins County School District

FIRST PARTY

KECSAC

Dr. Damon Fleming
Superintendent

Date

Dr. Ronnie Nolan
KECSAC Executive Director

Date

ATTACHMENT 1

Kentucky Educational Collaborative for State Agency Children Budget for 2027 Fiscal Year July 1, 2026 - June 30, 2027

The total educational budget must be submitted in project budget report MUNIS format. The State Agency Children's Fund and SEEK must be included in the MUNIS report which is submitted with this MOA.

If a program does not receive SEEK funds a memo must be submitted stating that the state agency children are taught at the local school district.



State Agency Children's Fund
July 2026-June 2027

School District	Program	Program Type	Rated Capacity	Funding Average FY27*	SACF Allocation
Hopkins	Hopkins County Day Treatment	DJI	30	4	\$ 26,972.00
					\$ -
					\$ -
Total					\$ 26,972.00

*FY27 funding average is calculated based upon the IC student enrollment reports average for the program for the three reporting periods during the SY26. The average is multiplied by the FY27 per child rate of \$6,743.00 to determine the program's allocation amount.

ATTACHMENT 2
Comprehensive Budget For 2027 Fiscal Year
July 1, 2026 - June 30, 2027

All budget information must be complete and accurate for each KECSAC program within the school district. The proposed budget has been approved by the school board and approved as to form and classification by the school district's finance officer.

The following budget is adopted for PROGRAM'S NAME for the current Fiscal Year and the amounts stated are appropriated for the purposed indicated.

Federal Programs Allocation FY27	
Title I, Part A	\$
Title I Part D, Subpart 2 Neglected & Delinquent	\$
Title I School Improvement	\$
Title I Part B Even Start	\$
Title I Part B Reading First	\$
Title I Part C Migrant	\$
Stewart B. McKinney Homeless	\$
Title II, Part A, Teach Quality	\$
Title I, Part D, Education Technology	\$
Title II, Part D, Education Technology-Competitive	\$
Title III Limited English Proficiency	\$
Title III Immigrant	\$
Title IV Part A Safe & Drug Free Schools	\$
Title IV Part B, 21 st Century	\$
Title V, Innovation Strategies	\$
Title VI Rural & Low Income	\$
IDEA B Basic Plus Capacity & Improvement	\$
IDEA B Preschool	\$
Federal Jobs for America's Graduates (JAG)	\$
Services Learning	\$
Title II-C Perkins	\$
Other: Title I Part D, Sub 1 313N	\$21,000.00
Total	\$21,000.00

State Programs Allocation FY27	
General/District Funds	\$395,409.09
Local Tax Dollars	\$131,803.03
Family Resources Youth Service Centers	\$
Gifted talented	\$
Extended School Services	\$
Preschool	\$
Professional Development	\$
Textbooks	\$
Safe Schools	\$
KECSAC	\$26,972.00
Read to Achieve	\$
Dropout Prevention	\$
Community Education	\$
Local Area Vocational	\$
20% Vocational Funds	\$
Commonwealth School Improvement	\$
Elementary Arts & Humanities	\$
Math, Achievement Fund	\$
DJJ:103NJ	\$112,500.00
Other:	\$
Other:	\$
Other:	\$
Total	\$666,684.12

ATTACHMENT 3

2026-2027 School Calendar for Hopkins County Schools and Hopkins County Day Treatment 173 Instructional Days/33 Extended Days/221 Total Days

	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	S	M	T	W	T	F	S	Inst. Days	KECSAC Extended Days	Non-Inst Days	Vac Days	Total Days
July 2026																												
Aug																												
Sept																												
Oct																												
Nov																												
Dec																												
Jan 2027																												
Feb																												
Mar																												
Mar																												
April																												
May																												
June																												

Instructions: Please fill out the calendar by using the following letters to indicate the type of day for the program. The calendar must include the required number of instructional days (177) or the equivalent hours as approved by KDE (1,062), one Opening day, four Professional Development days, four Holidays, and thirty-three KECSAC Extended days.

The overall total of the calendar should equal to or more than 210 instructional days.

A=Administrative Days/Non-Instructional Day

H=Holidays (4)

I=Instructional Days (177 Days or 1,062 Equivalent Hours)

PD=Professional Development Days (4)

O=Opening Day (1)

C=Closing Day (1)

E=Extended KECSAC Days (33)

V=Vacation Days M=Make Up Days

ATTACHMENT 4

Make Up Day Plan

2026-2027

Include a plan with your MOA for making up any of the 210 instructional days, or the equivalent hours, as approved by KDE, missed due to inclement weather or other district planned events.

Programs will be asked to:

- Have a schedule that is consistent with the contracted school district.
- Be responsible for meeting the KDE approved educational calendar and the extended educational calendar days necessary to meet the 210 educational days required by 505 KAR 1:080. A minimum of four (4) hours of direct instruction is required for each of the extended school days. "Banked" time may not be used to fulfill the extended days. Students enrolled in a KECSAC program are required to attend the extended school days.
- Follow the inclement weather schedule with the contracted school district.
- Take a proactive approach and plan for additional instructional days and plan for at least ten (10) of those days for inclement weather. For example, a day treatment cannot open for an instructional day when a school district has closed due to inclement weather; however, professional development for teachers can be coordinated and planned for those unexpected days in order to meet the 210 instructional days.
- Submit the school district approved inclement weather plan with the approved KDE school calendar email (Attachment 3).

ATTACHMENT 4
Make Up Day Plan 2026-2027

Please reference the calendar in Attachment 3 to see the following dates will be used for our Make Up Day Plan at Hopkins County Day Treatment: 5/24/27, 5/25/27, 5/26/27, 5/27/27, 5/28/27, 6/07/27, 6/08/27, 6/09/27, 6/10/27, 6/11/27

ATTACHMENT 5 **State Agency Children SEEK Calculation Worksheet** **2026-2027 School Year**

All programs must submit a completed worksheet even if the program does not receive SEEK funds. The school district receives SEEK funds for those state agency children that are taught at the local on-site programs. Do not leave any category blank. Insert \$0 for categories with no dollars or NA if a category is not applicable.

School District: <u>Hopkins County</u>		School Code: <u>005</u>
Name of Program: <u>Hopkins County Day Treatment</u>		
A.	Projected 2026-2027 School Year Average Daily Attendance (ADA)	<u>4</u>
B.	Projected Base SEEK \$4,626 x ADA	<u>18504</u>
C.	Projected At-Risk Add-on \$4,626 x 0.15 x ADA for residential & group home youth*	<u>0</u>
D.	December 1, 2025 Child Count: Severe (Low) <u>2</u> Moderate <u>2</u> Speech (High) <u>0</u>	
D1.	Projected Low Incidence Add-On ¹ \$4,626 x 2.35 = \$10,871 x 12-1-25 Child Count	<u>21742</u>
D2.	Projected Moderate Incidence Add-On ² \$4,626 x 1.17 = \$5,412 x 12-1-25 Child Count	<u>10824</u>
D3.	Projected High Incidence Add-On ³ \$4,626 x 0.24 = \$1,110 x 12-1-25 Child Count	<u>0</u>
E.	Total Projected SEEK for 2026-2027 School Year	<u>51070</u>
F.	SEEK Funds to be provided by Kentucky Department of Education	
	State Ratio** <u>.7415</u> x Total Projected SEEK (Line E)	<u>37868.405</u>

* Day treatment youth may be eligible for at-risk add-on if they qualify for free lunch program.
 ** To determine state ratio, divide the Calculated State Portion by the Calculated Base Funding from the district's SEEK Forecast provided to the school superintendent.

¹Low Incident Disabilities, 2.35 weight - Functional Mental Disability, Hearing Impairment, Emotional-Behavioral Disability, Visual Impairment, Multiple Disabilities, Deaf-Blind, Autism, and Traumatic Brain Injury;
²Moderate Incident Disabilities, 1.17 weight - Mild Mental Disability, Orthopedic Impairment or Physically Disabled, Other Health Impaired, Specific Learning Disabilities, and Developmental Delay;
³High Incident Disability, 0.24 weight - Communication Disorders of Speech or Language.

NOTE: THESE CALCULATIONS ARE CONTINGENT ON THE FINAL APPROVAL OF THE STATE BUDGET

ATTACHMENT 6
Interagency Agreement between School District
and Treatment Program
2026-2027

A current Interagency Agreement between the **School District** and each contracted program for the Cabinet for Health and Family Services and Department of Juvenile Justice should be attached and returned with the MOA. A sample copy of an interagency agreement can be found at www.kecsac.org/funding on the Funding page under Memorandum of Agreement.

Mental Health Day Treatment programs are required to comply with the KECSAC Mental Health Day Treatment Program Standards of Practice.



Andy Beshear
GOVERNOR

**JUSTICE AND PUBLIC SAFETY CABINET
DEPARTMENT OF JUVENILE JUSTICE**

1025 Capital Center Drive, 3rd Floor
Frankfort, Kentucky 40601
Phone: (502) 573-2738

Keith L. Jackson
SECRETARY

Randy White
COMMISSIONER

June 15, 2026

Dr. Damon Fleming
Hopkins County School District
320 South Seminary Street
Madisonville, Kentucky 43431

Superintendent Fleming,

Enclosed are the 2026-27 Interagency Agreements (IA) between the Department of Juvenile Justice and Hopkins County School District for education services for youth committed to, or in the custody of, the Department at the Hopkins County Day Treatment. Signed agreements for the current year are required prior to entering into a Memorandum of Agreement with the Kentucky Educational Collaborative for State Agency Children (KECSAC) per KECSAC regulations.

Please review, sign, and return the agreement(s) via email to *Shannon Jett, Department of Juvenile Justice, Education Branch, 1025 Capital Center Drive, Third Floor, Frankfort, Kentucky 40601*. KECSAC will be notified of the signed agreement.

The Department is looking forward to sharing the responsibility of providing services to our youth this year. Thank you for your cooperation and continued support.

Sincerely,

Randy White
Commissioner

**COMMONWEALTH OF KENTUCKY
JUSTICE and PUBLIC SAFETY CABINET
DEPARTMENT OF JUVENILE JUSTICE
INTERAGENCY AGREEMENT AND MEMORANDUM OF UNDERSTANDING**

This Interagency Agreement ("IA") is entered into, by and between the Commonwealth of Kentucky, Department of Juvenile Justice ("the Department" or "Commonwealth") and Hopkins County School District, located at Hopkins County Day Treatment, ("the Contractor") (each a "Party" and collectively "Parties") to establish an agreement for the provision of a full continuum of educational services for students enrolled in the Day Treatment program as provided by KRS 605.093. The initial IA is effective from the 1st day of July 2026 through the 30th day of June 2027.

WITNESSETH, THAT:

Whereas, the Department, in the exercise of its lawful duties, has determined upon the necessity of the provision a full continuum of educational services for students who have been enrolled in a contracted Day Treatment program as described in KRS 605.093; and

Whereas, the Contractor is available, responsible, and qualified to perform this function, and the Department desires that the Contractor perform this function;

Now, therefore, it is hereby and herewith mutually agreed by and between the parties hereto as follows:

Definitions:

For the purposes of this MOA, the definitions listed in this section shall represent the common and exclusive understanding of the parties hereto. The singular and plural form, as well as the small or upper case, of any defined term can be used interchangeably regardless of whether the definition refers to the singular or plural term, or uses the small or upper case, and pronouns shall be deemed to include all genders.

- A. "Admissions and Release Committee (ARC)" means a meeting to discuss and plan a student's Individual Education Program (IEP) goals, objectives, and benchmarks for the next year. The ARC members include the parents, general education teacher (1), special education teacher (1), a local education agency (LEA) representative, others who can help write and implement the IEP as appropriate, and the student, if appropriate. 707 KAR 1:320(3)
- B. "Contractor personnel" means any employee of the school district providing services to the Department pursuant to this IA.
- C. "Department personnel" means any employee of the Department of Juvenile Justice.
- D. "Department student" means individuals enrolled in the school who are simultaneously in the care or supervision of the Department of Juvenile Justice.
- E. "GED® Diploma (GED)" means the High School Equivalency Diploma pursuant to 13 KAR 3:050.
- F. "Individual Client Record (ICR)" means the hard case file of an individual student by which information and documentation is maintained by the Contractor.
- G. "Individuals with Disabilities Education Act (IDEA)" means the law that makes available a free appropriate public education to eligible children with disabilities throughout the nation and ensures special education and related services to those children, supports early intervention services for infants and toddlers and their families, and awards competitive discretionary grants.

- H. "Individual Education Program (IEP)" means a written statement that meets the requirements of 707 KAR 1:320, or as defined by KRS 158.281.
- I. "Individual learning plan (ILP)" means a comprehensive framework designed to guide students in grades 6 through 12 in their academic and career planning, focusing on personal goals and postsecondary education.
- J. "Individual learning plan addendum (ILPA)" means an action plan that addresses the changed educational needs of a student based upon entry into or exit from an alternative education program that includes academic and behavioral needs of the student, criteria for the student's re-entry into the traditional program, and provisions for regular review of the student's progress throughout the school year while in an alternative education program.
- K. "Individual Treatment Plan (ITP)" means a written document that takes into consideration the severity of the current offense, the risk and need factors identified in the youth's needs assessment, and any additional assessments which identify the treatment goals to be pursued, specifies the roles of the participants in carrying out the plan, and specifies a timetable for completion of the plan, or a plan created as otherwise permitted by regulation.
- L. "State assessments" or "Kentucky Summative Assessments (KSA)" means any assessment required for all students, grades 5 through 8, as well as 10 and 11, as required by the Kentucky Department of Education.
- M. "Student" means any individual enrolled in the school.
- N. "Transition Plan" means a student-centered, individualized component legally required by KRS 157.200 for students with disabilities under IDEA that outlines the steps, supports, and services needed to help a youth reintegrate successfully into:
 - a. A traditional public school or alternative education program,
 - b. Postsecondary education or vocational training,
 - c. Employment,
 - d. Independent or supported living, or
 - e. Community-based services.

Scope of Services:

This IA is intended to form the basis for a cooperative relationship between the Department and Contractor for educational services in a Contracted Day Treatment Program. The mutual goal and intention of each of the Parties is to maintain the needs of each student as our priority in fulfillment of this IA. This IA is meant to foster excellence in education and treatment and is not meant to inhibit either Party in meeting their respective goals, but rather to foster collaborative services on the part of both Parties. The expectation is that this IA will provide the basis for the highest quality educational services possible for our students.

The commitment to the provisions of this contract signifies each Party's efforts toward professional collaboration for provision of quality education and treatment to each student for whom we share responsibility.

Section 1.

The Contractor shall:

A. General Provisions

- 1. Comply with all applicable federal and state laws and regulations for the services provided under this IA. Nothing in this IA should be interpreted to require or condone the violation of such federal and state laws and regulations.

2. Provide to the Department Education Branch at the beginning of each semester an organizational chart listing the chain of command from Superintendent through teachers to include job title, email addresses, and phone numbers.
3. Provide educational services based on youth entry and transition dates.
4. Provide one on-site, full-time principal, coordinator, school administrator, or director who shall:
 - a. Participate in management meetings or trainings;
 - b. Participate (with other Contractor personnel) in the Department's Education Branch trainings and other pertinent training(s) as recommended by the Department;
 - c. Submit to the Department by the 5th of each month a complete, accurate, and cumulative Monthly Medicaid Report;
 - d. Submit to the Department by the 15th of each month a completed program report to include expenditures; this includes the payment request page, student list and required information, and applicable budgetary report information;
 - e. Conduct monthly staff meetings for all contracted school staff program personnel;
 - f. Conduct monthly auditing of student treatment files for content, timelines met, and quality of documentation;
 - g. Ensure that student treatment files are uniformly compiled and kept confidential and secure;
 - h. Ensure that Contractor personnel cooperate during the Department's Education Branch monitoring;
 - i. Agree to the following conditions related to the Department's Education Branch monitoring:
 - i. Department personnel shall have access to student files and program records to complete program audits and monitoring;
 - ii. All student surveys, personnel surveys, and collateral contact surveys shall be completed as part of Department monitoring; and
 - iii. When requested, a Program Improvement Plan shall be developed and submitted to the Department within 30 days of receipt of the final monitoring report to address any issues noted during the Department's Education Branch monitoring;
 - j. Ensure a substitute teacher is secured, if available, or other appropriate coverage is in place for each Contractor personnel absent all or part of a school day;
 - k. Ensure students with an IEP receive educational services from a certified Special Education teacher as identified in their IEP;
 - l. Have a plan to ensure adequate housekeeping and maintenance of the facility;
 - m. Identify and utilize community resources; And
 - n. Oversee the certified evaluation process.
5. Adhere to the Department's Code of Conduct and Code of Ethics Policy and Procedures (Appendix A), cooperate with investigation of misconduct, and notify the Department's Branch Manager within ten (10) school days of decision related to such an investigation. If a violation occurs, disciplinary actions relating to Contractor personnel shall be governed by the Contractor's policy and procedures. If the Department provides written notice that it believes that Contractor personnel has violated any Department Policy or state or federal law or regulation, then the individual believed to have violated such shall not be permitted to return to the Department's program, and the Contractor shall forthwith provide a different teacher or other educational personnel to replace the individual not permitted to return.
6. Ensure the teacher to pupil ratio shall average, based on average daily attendance as defined by statute, no more than ten (10) students to one (1) teacher without a classroom aide and fifteen (15) students to one (1) teacher with a classroom aide. Required Staffing shall be adequately provided by the LEA to ensure reasonable educational services to all juveniles to meet their educational needs. This shall include provision of appropriate staff to meet the needs of the Master School Schedule and provide a 6-hour instructional school day for students provided by a certified teacher. The LEA shall ensure that appropriate certified teachers are available if a teacher is not present during the school day due to any leave of absence.

7. Ensure any classroom that exclusively serves students with educational disabilities shall comply with teacher pupil ratios as specified in 707 KAR 1:350. Teacher pupil ratio relates to the number of students a teacher can have on their case load, not students in one classroom. 707 KAR 1:350 Least Restrictive Environment does not support the practice of placing all SPED students in one classroom.
8. Provide instruction based on Kentucky Academic Standards, Career and Technical Education Program of Studies Implementation Manual, and Kentucky Occupational Skill Standards to meet the individual needs of each student.
9. Provide 33 instructional days beyond the 1062 hour approved school calendar. Educational services shall be provided in person. Non-traditional instruction (NTI) may be utilized pursuant to 701 KAR 5:150. Special Education services shall be provided on all school days for students with a designated need.
10. Provide each student with a minimum of six (6) hours of daily instruction as indicated in KRS 158.060(3) and provide a minimum of four (4) hours of instruction per day for each day beyond the local school district calendar. Instruction delivered exclusively or primarily through virtual, remote, or video-conferenced means is expressly prohibited under this Agreement with an exception for approved district NTI days. Contract personnel shall not provide instruction from an off-site location through video, livestream, or other remote access technologies as a substitute for in-person classroom instruction.
11. Ensure the program operates within the traditional school day, which shall include appropriate time for mealtimes, breaks, and direct instruction.
12. Submit the yearly school calendar and daily school schedule to the Department facility leadership, if applicable, and the Department Education Branch manager by August 1 for the upcoming school year. Daily school schedule should include six (6) hours of instruction including teacher planning times and 30 minutes duty free lunch per KRS 337.355. Any changes to the calendar or daily school schedule must be sent to the Department Education Branch manager. Vocational programming, as available, shall be included on the school calendar.
13. Provide all necessary instructional materials and specialized equipment that meet minimum state education standards, including but not limited to computers and data lines.
14. Provide incentives and formal recognition to students for educational participation and specific educational, technical, and vocational achievements.
15. Provide or arrange for the provision of daily transportation of students to and from school.
16. Ensure supervision and security procedures shall address:
 - a. Constant supervision of students;
 - b. Student and visitor searches;
 - c. Instances when police/resource officer may be called; and
 - d. Key control.
17. Acknowledge and agree that all Contractor personnel have a duty to report dependency, neglect, or abuse as described in KRS 620.030.
18. Notify the Department's Education Branch manager of any alleged abuse within the program within 24 hours of any Contractor personnel becoming aware of the allegation.
19. Immediately notify the Department's Education Branch manager of the media's request for information or coverage of the day treatment program, its personnel, or students actively enrolled in the program.
20. Provide remedial instruction and intervention to improve basic skills for students who score two or more grade levels below standard in reading or math.
21. Require Contractor personnel to follow instructional best practices which include teacher-led instruction, modeling and guided practice and interactive discussions led by the teacher for at least 30 minutes of each class period. Written lesson plans or curriculum maps shall be developed, which shall include goals, standards, activities, and modifications, with consideration given to the educational and vocational learning needs of each student.
22. Require Contractor personnel to provide instruction that addresses the Learning Styles of each student.
23. Ensure information about Career Clusters and Learning Styles Inventory are displayed within the classroom areas.
24. Ensure library services are provided and made available to students through local library programs, bookmobiles, visits to a partnering school, and/or on-site libraries.
25. Ensure that procedures are in place to address student absences from the program.
26. Maintain regular contact with the student's parent or caregiver, to include at the minimum the following:

- a. The counselor shall communicate with the student's parent or guardian at least once every fourteen (14) calendar days;
 - b. If contact cannot be made, the attempted contact shall be recorded in the student's ICR; and
 - c. Each family contact and service shall be recorded in the student's ICR.
- 27. Notify the Juvenile Service Worker (JSW) and Department group home, when appropriate, when a Department student is absent or leaves the school grounds without permission.
 - a. Notification shall be documented; and
 - b. Parents shall be contacted within two (2) hours when a student is unexpectedly absent.
- 28. Establish a formal grievance process related to Department students in their Standard Operating Procedures Manual.
- 29. Ensure that students aggrieved by actions arising from service rendered under this agreement shall be afforded the right to file a grievance, including the opportunity for a hearing. Upon enrollment or entry into the school, Department students shall be informed of the grievance process and shall execute written acknowledgment confirming their understanding of such procedures.
- 30. Participate fully in the monitoring of this IA.
- 31. Ensure all personnel have undergone Child Abuse and Neglect, and National Crime Information center background check, are not required to register as a sex offender, and do not have criminal convictions or pending charges relating to abuse, sexual abuse, or any felony offense.
- 32. Ensure that each certified and classified Contractor personnel shall be prohibited from discussing a Department student's legal status with any other individual and protect the confidentiality of all students.
- 33. Have a written policy mandating zero-tolerance toward all forms of sexual abuse, sexual harassment, sexual contact, or any type of sexual offense and outlining the Contractor's approach to preventing, detecting, and responding to such conduct and submit all such policies to the Department.
- 34. Contractor staff shall participate in Department Education Branch training, documentation, and on-going assistance regarding all students.
 - a. If a contractor staff registers for a Department-funded training event and fails to attend without providing written cancellation prior to the event, the Contractor shall reimburse the Department for any non-refundable or unrecoverable expenses incurred on behalf of that employee. The Department shall provide an itemized invoice, and the Contractor agrees to remit payment within thirty (30) days of receipt.
 - b. The Department may waive reimbursement requirements in documented cases of emergency or circumstances beyond the control of the employee or Contractor.
- 35. Ensure items purchased with Department grant funding (Title I Part D) are tagged and identified as Title I property, which shall include but not be limited to:
 - a. A written inventory shall be kept, including serial numbers, when applicable;
 - b. Software licenses purchased by Title I Part D, shall be tracked and identified on corresponding hardware; and
 - c. If any durable equipment purchased using Title I Part D funds has exceeded its useful life or is damaged to the point of not being useful, the equipment must be cleansed or destroyed according to School District policy and documented and reported to the Title I Part D Coordinator.
- 36. Ensure appropriate Contractor and Department personnel are invited to participate in any educational meetings and meetings of the ARC meetings, when appropriate pursuant to 707 KAR 1:320(3).
- 37. Ensure no Department student is permitted access to e-mail, except in cases when email is required to access educational programs. In these cases, Department youth shall be closely monitored.
- 38. Ensure no Department student is permitted access to material with a Motion Picture Rating above PG-13.
- 39. Adhere to the Children's Internet Protection Act (CIPA) and ensure that internet access is diligently supervised through KDE's Internet Content Management System (ICMS) and is purposeful for the completion of academic/vocational learning objectives. Within 30 calendar days of the date of this agreement, the Contractor agrees to provide access to the Kentucky Student Information System

(KSIS)/Infinite Campus for each student attending the schools that are the subject of the Interagency Agreements.

40. Provide Department KSIS/Infinite Campus access to the Department Education Branch Manager and the identified Education staff for the purposes of monitoring, technical assistance and tracking student progress.
41. Limit Department KSIS/Infinite Campus access to the Department Education Branch Manager, and the identified Education staff shall have Read-only access which shall include student demographics, attendance, grades, GPA, graduates, courses, vocational and C-tech certifications, ILPA, teacher-student class rosters and program participation including special education, gifted and talented, Title I, limited-English proficiency, and others as applicable. The Department Education Branch shall have the ability to generate reports based on student information.
42. Record student data including, but not limited to, behavior, grades, and program participation in Infinite Campus. The Contractor shall ensure each department student's educational record contains specific name of courses each Department student is taking or has completed, amount of time in the course, and grades and credits earned while in the program. This information shall be included when transferring records to the next agency providing education services. The Contractor shall provide access to Infinite Campus data standards that are applicable to the Department's monitoring purposes.

B. Admissions

1. Give priority admission to students in the following descending order provided below:
 - a. Department committed or probated students;
 - b. Other students adjudicated on public or status offenses;
 - c. Students court ordered;
 - d. Students referred by the FAIR Team or court designated worker (CDW); and
 - e. School referred students with severe behavioral issues in the school and in the community or per local school board policy.
2. Have written Standard Operating Procedures (SOPs) that have been reviewed by the Department's Education Branch, to be followed when accepting or declining a referral.
3. Distribute a copy of the admission criteria and procedures to referring agencies and interested parties.
4. Discuss Department student educational status with the parent or caregiver within five (5) business days of admission.
5. Document completion of orientation by a statement signed and dated by the youth and parent or caregiver.

C. Student Assessments and Records

1. Agree that federal and state laws and regulations shall govern the confidentiality, maintenance, handling, and access of educational records, including academic, technical, and vocational.
2. Administer educational (reading and math) and vocational assessments within fourteen (14) school days of admission unless a previous assessment within the last school semester is available.
3. Ensure Department students complete a career assessment to include aptitude, interest inventory, and learning and working styles, which shall at a minimum:
 - a. Assist in integrating academic vocational and work assignments, and treatment goals;
 - b. Assist personnel as they communicate with students;
 - c. Assist in developing each student's ILP and Transition Plan; and
 - d. Provide each student with workplace readiness skills.
4. Create, review and revise, as needed, the ILP pursuant to 704 KAR 3:305 for each student and write an ILPA as described in 704 KAR 19:002 or IEP as described in 707 KAR 1:320, as applicable, using results of educational and vocational assessments. Every student who does not have an IEP shall have an ILPA.
5. Update the ILP when a student earns a diploma, certificate of program completion, or a GED. The plan shall include evaluated work experience, vocational education and higher education through correspondence or on-campus courses.

6. Ensure student grades and achievements are emailed or sent per district policy to the parent or guardian on the same schedule as for students in the local school district.
7. Ensure each student is included in district wide and statewide assessments including any state assessment, KSA, or any college readiness exam, including but not limited to SATs or ACTs.
8. Submit Contractor testing schedule to the Department facility leadership and Education Branch manager as early as possible prior to the testing window. Testing dates shall be in accordance with local school district requirements. A college admissions examination shall be administered for all high school juniors during the spring semester according to KRS 158.6453. Eligible students only include those who are within their cohort junior year; those wishing to take the college admissions exam and not meeting the cohort year requirement must work with the school district to cover the cost of the examination.
9. For students eligible for GED testing, ensure students earn grades and credits toward a diploma while preparing for GED testing.
10. Make all educational records available upon request to Department personnel working with students, monitoring, and evaluating services for the Department as permitted by federal and state laws and regulations including the Family Educational Rights and Privacy Act (FERPA).
11. Maintain an ICR for all students who are committed to the Department but not placed in a group home and enter information from the ICR into the group home's electronic record for students who are placed in a group home in conformance with the following requirements:
 - a. All student records shall be marked "confidential" and kept in locked file cabinets;
 - b. Personnel shall not take student records off the premises;
 - c. If another student must be identified in a student record, they shall be identified by initials only; and
 - d. Access to all records shall be limited to those who have a right or a need-to-know specific information.

D. Treatment Services/Mental Health

1. Ensure the appropriate behavioral health care provider performs or supervises the provision of any appropriate behavioral health care, including but not limited to creating or changing treatment plans and counseling services, for students. These services shall be provided in compliance with 907 KAR 3:020 or other applicable laws, regulations and policies.
2. Screen students upon admission for suicide risk factors in line with the following requirements:
 - a. All Contractor personnel shall be trained regarding verbal and behavioral cues of suicide risk and shall observe students for signs of vulnerability, trained to recognize high-risk behaviors and high-risk periods of potential suicidal behavior; and
 - b. All students shall receive suicide prevention training by September 15 of each school year as described in KRS 158.039.
3. Ensure compliance with KRS 158.039. All public schools are required to provide suicide prevention awareness information to students in grades six through twelve. This training must be delivered twice each school year: once by September 15 and again by January 15. The information can be presented in person, through live streaming, or via a video recording. If a student is absent during the scheduled training, the school must ensure the student receives the lesson at a later date.
4. Prominently display the statewide child abuse hotline number, safe haven baby box crisis line number, and the National Human Trafficking Reporting Hotline number shall be prominently displayed pursuant to KRS 158.041.
5. Ensure the Orientation Treatment Plan procedures:
 - a. Be completed within one (1) week of admission; and
 - b. Use the DJJ Orientation Treatment Plan form.
6. Utilize a trauma informed approach and evidence-based practice in the provision of counseling services.
7. Make substance abuse education available.
8. Provide counseling services on an emergency basis and upon student's request, as needed.
9. Agree that individual counseling shall be:

- a. Conducted a minimum of one (1) scheduled hour per week;
 - b. Documented in the ICR within seven (7) days;
 - c. Utilized to help the students make changes in thinking and behavior consistent with pro-social norms; and
 - d. Utilized to assist students in meeting goals and tasks identified on the student's ITP.
- 10. Agree that group counseling shall be:
 - a. Conducted for one (1) hour at a minimum of two (2) times per week;
 - b. Documented by summary in the ICR within seven (7) days.
 - c. Limited to twelve (12) students in any one session;
 - d. Utilized to help the student make changes in thinking and behavior consistent with pro-social norms; and
 - e. Utilized to discuss specific and common issues, conflicts, and concerns.
- 11. Make family counseling available if indicated on the student's ITP.
- 12. Ensure the treatment team meets on a weekly basis in line with the following requirements:
 - a. Treatment team shall include the student, the student's family, Juvenile Service Worker, counselor, certified educational personnel, youth worker staff (if available), and other approved individuals;
 - b. Students shall meet with treatment team at least every ten (10) school days;
 - c. The treatment team shall be responsible for making all treatment decisions regarding the student; and
 - d. The counselor shall document the treatment team meeting in the ICR within seven (7) days of the treatment team meeting date. Entries shall be made prior to the next scheduled treatment team meeting.
- 13. Contact parents or guardians within 24 hours if a student needs a referral based on a mental health concern.
- 14. Complete an ITP conference within 10 school days of admission in line with the following requirements:
 - a. The student, parent or caregiver, and JSW, if applicable, shall be invited to attend the ITP conference;
 - b. Family identified natural supports may be included in the ITP conference upon request from the parent or caregiver;
 - c. Members of the assigned treatment team shall participate in this conference;
 - d. The ITP shall include measurable interventions and tasks;
 - e. The ITP shall include an initial transition plan;
 - f. An ITP shall be accompanied by a signature sheet that is signed and dated by ITP conference participants;
 - g. The ITP shall be reviewed, dated, and signed by a licensed behavioral health professional; and
 - h. A copy of the ITP shall be given to the student, parent, or caregiver, and upon request to any applicable agency or court and placed in the ICR within fifteen school (15) days.
- 15. Review the ITP at least every sixty (60) calendar days and updated as needed. If the date of the review falls on a weekend or holiday, the conference shall be held prior to the designated review date.
- 16. Hold a Treatment Team meeting thirty (30) calendar days prior to a student's transition or discharge to complete a transition plan dated with signatures and titles of the multidisciplinary Treatment Team members in attendance. Contractor shall ensure each student meets with the Treatment Team at least once prior to returning to their home school.
- 17. For any student transitioning back to the regular public-school setting, complete a transition plan, and a transitional planning conference may be held ten (10) school days prior to the student's anticipated release to support the student in their reentry into the appropriate school setting.
- 18. Make changes to behavioral health services in response to the Commonwealth's monitoring process pursuant to a program improvement plan as described in A.4.
- 19. Make changes to behavioral health services in compliance with amendments to law, policies, or billing practices pursuant to a program improvement plan as described in A.4.

E. Medical

1. Provide access to emergency medical care while students are at the program.
2. Have written health care procedures in the program's Standard Operating Procedure Manual.
3. Ensure the provision of health care services such as first aid or medication administration is conducted according to Contractor policy and the requirements of KRS 158.838.
4. Record any medical attention administered according to Contractor guidelines.
5. Screen students for any health care needs pursuant to 702 KAR 001:160 and contact and assist parents or guardians in finding the appropriate community resources if a problem is suspected.
6. Make family planning education and counseling regarding aspects of sexuality available in the program or by referral to appropriate community providers.
7. Screen students for drug and alcohol abuse prior to admission to the program by trained, gender appropriate personnel. Random screenings may be administered.
8. Provide drug and alcohol relapse prevention education.
9. Seek medical clearance for students who demonstrate signs of intoxication or withdrawal.
10. Immediately contact the student's parents and the Department Commissioner and complete and forward an incident report to Department Administration within twenty-four (24) hours, if a student is seriously injured, seriously ill, or has attempted suicide.
11. Immediately notify Emergency Medical Services (EMS) and law enforcement via 911 services in the case of a student death. In such an event:
 - a. Contractor personnel on duty shall not disturb the body or the immediate area beyond any action necessary to check for vital signs or provide emergency resuscitation techniques;
 - b. The school shall notify the Department Commissioner and the Juvenile Service Worker (JSW) as soon as possible;
 - c. Contractor shall not provide statements to the press;
 - d. Contractor personnel with direct information regarding events surrounding the death shall document this information on an Incident Report. Names of students, teachers, and all involved persons, time Coroner was notified, and pronouncement of death given, and subsequent notifications of parents and guardians shall be recorded. All pertinent notifications and significant facts related to the death shall be fully documented in the ICR; and
 - e. A complete copy of all records relating to the student shall be forwarded to the Justice and Public Safety Cabinet Office of Legal Services within seventy-two (72) hours.
12. Not discriminate against a student with an on-going, contagious medical condition, and shall follow the requirements in KRS 158.160
 - a. The following factors may assist in determining whether to continue placement in school:
 - i. The ability of the student to manage aggressive or sexual behaviors;
 - ii. The maturity and ability of other students in the program to protect themselves from infection; and
 - iii. The availability of medical treatment, as needed.
 - b. These factors shall not in themselves preclude the student's continuation in the program but shall be considered in relationship to the program's structure and supervision capabilities.
13. Have in place an infection control program to monitor the incidence of infectious and communicable diseases among students, which shall:
 - a. Promote a safe and healthy environment;
 - b. Reduce the incidence and spread of disease;
 - c. Ensure that students infected with these diseases receive prompt care and treatment; and
 - d. Provide for the completion and filing of all reports consistent with local, state, and federal laws and regulations.

F. Behavior Management

1. Develop a code of acceptable school behavior and disciplinary measures that are consistent with the approved day treatment solicitation of application and contract with the Department.

2. Ensure disciplinary measures do not interfere with educational programming, except if there is substantial evidence to justify otherwise.
3. Make students aware of the rules, consequences, and safety and security responses as part of the program orientation.
 - a. Students shall receive a student handbook upon admission.
 - b. Rules and sanctions shall be conspicuously posted in the school.
4. Establish a system of graduated responses for rule violations.
5. Include alternatives to suspension and expulsion in the program's system for behavior management.
6. Agree that sanctions may be used to teach students more constructive and socially acceptable methods for responding to their environment and provide a safe and secure program for students and personnel in line with the following requirements:
 - a. Sanctions shall:
 - i. Be used when dealing with unacceptable behavior; and
 - ii. Be natural, logical, and appropriate.
 - b. Sanctions shall not:
 - i. Be used to demonstrate a personnel's authority over students;
 - ii. Be physically abusive, verbally abuse, or used to dehumanize or humiliate students;
 - iii. Include the withholding of meals, snacks, educational access, required recreation; or
 - iv. Include the use of restraints or isolation.
7. Document any sanctions issued for a rule violation in the student's ICR.
8. Ensure Contractor personnel serve as a good model for behavior and reinforce appropriate positive behavior at a higher ratio than discouraging and deterring inappropriate behavior by students.
 - a. Incentives may be used to reward or motivate positive behavior.
9. Utilize least restrictive behavior management techniques that will safely manage student behavior.
10. Utilize approved and trained methods for the management of students. All staff working with students shall be trained in de-escalation techniques approved by the local school board.
11. Only use physical restraint when a student presents a clear danger to himself, others, or property. Physical restraint shall only be performed by personnel trained in the program's approved physical restraint procedures according to school district policy.
12. Document any use of physical restraint or management in the student's ICR and immediately report such use to the student's Juvenile Service Worker (JSW) or Group Home Superintendent.
13. Mechanical restraints are prohibited.
14. Immediately report to the Department Commissioner incidents which present an imminent threat to the safety or security of a Department student and complete an incident report as described in DJJPP 1019 (Appendix B).
15. Ensure no individual student or group of students shall be given control or authority over other students.
16. If applicable, provide the Department personnel access on a need-to-know basis to all pertinent records as permitted by law to meet the individual needs of the student.

G. Environmental

1. Comply with applicable federal, state, and local sanitation and health codes. Nothing in this IA should be interpreted to require the violation of such federal and state laws and regulations.
2. Provide a dietician approved, nutritionally adequate menu with allowances for special diets to meet the medical and religious requirements of individual students including applicable sections of the State Food Service Code 902 KAR 45:005.
3. Have a written plan of care for animals housed in the school, which includes personnel responsibilities.
 - a. All animals shall have adequate immunizations, licenses, and humane treatment; and
 - b. Student encounters with animals shall be supervised for protection of the student and the animal.

H. Safety and Security

1. Follow the provisions for school safety established by KRS 158.441 through 158.449, and KRS 158.160 through 158.164.
2. Develop a program-specific Emergency Procedure plan to address weather and other emergencies and train all personnel annually on such procedures. The Emergency Plan shall delineate procedures in accordance with KRS 158.162 and KRS 158.164.
3. Include the day treatment program in the audit process if the school district is participating in a Kentucky Center for School Safety audit.
4. Ensure Contractor personnel follow district and facility sign-in and sign-out procedures.
5. .
6. Establish procedures providing for the safety, security, control, management, and storage of tools, sharps, and hazardous materials including culinary tools, medical equipment, and flammable, toxic, caustic, and other hazardous (FTC) materials, including but not limited to:
 - a. Inventory procedures for all tools, sharps, and FTC materials stored within the school;
 - b. A tool control system; and
 - c. Storage of all FTC materials shall be in accordance with applicable fire and safety codes and Environmental Protection Agency (EPA) regulations.

Section 2.

The Department shall:

- A. Comply with all applicable federal and state laws and regulations for the services provided under this IA. Nothing in this IA should be interpreted to require or condone the violation of federal or state laws and regulations.
- B. Provide the principal, coordinator, school administrator, or director or designee as much notice as possible prior to a student being admitted to or discharged from the program.
- C. Ensure the principal, coordinator, school administrator, or director is notified of a suspected educational disability using the Child Find form.
- D. Provide Contractor, on a need-to-know basis, access to all pertinent records as permitted by law to meet the individual needs of the student.
- E. Provide the principal, coordinator, school administrator, or director or designee notice of relevant meetings at the same time other Department personnel are provided notice.
- F. Notify the Department Education Branch manager, principal, coordinator, school administrator, or director of any grievance involving Contractor personnel. Each Party will address the grievance according to their respective policies and procedures. If a mutually acceptable resolution is not reached within the timelines of the respective policies and procedures, the following action shall be initiated:
 - Step 1. The Department Facilities Regional Administrator and Contractor designee, who is not the principal, coordinator, school administrator, or director, will meet to discuss, clarify, and resolve the matter. This resolution will be formalized in writing and conveyed to the Department facility leadership, Department Education Branch manager, principal, coordinator, school administrator, director, or designee. If the matter cannot be resolved, the following action shall be initiated.
 - Step 2. The Department Executive Director of Program Services or Detention as applicable, and the Contractor Superintendent or designee, who is not the principal, coordinator, school administrator, or director, will meet within ten (10) working days. They will review the grievance, interview the individuals they deem appropriate, and reach a resolution. This resolution will be formalized in writing and conveyed to the Department facility leadership, Department Education Branch manager, principal, coordinator, school administrator, director, or designee.
- G. Ensure appropriate Department personnel may attend any educational meetings and ARC meetings.
- H. Provide training and technical assistance to Contractor through Department personnel.
- I. Provide Department students intake and transition information as soon as possible on all incoming and outgoing students.

- J. Ensure Department personnel cooperate with special education evaluation processes by providing appropriate screenings and physician's medical statements as appropriate.
- K. Ensure Contractor personnel are provided all mandatory Department trainings as directed by federal and state requirements, Department Policy, and American Correctional Association accreditation standards.
- L. Participate in Department Education Branch monitoring, training, documentation, and ongoing assistance regarding all students.
- M. Report educational and/or vocational concerns to the Education Branch.

Section 3

The parties agree:

- A. The Contractor is an alternative program for the purposes of KRS 158.150, meaning the Contractor shall provide educational services to a student, even if that student is expelled from the Contractor's school district.

Section 4.

Justice and Public Safety Cabinet Terms and Conditions

The Parties agree:

1. Contractor shall comply at all times with all applicable federal, state, and local laws, regulations, executive orders, and attorney general opinions.
2. Contractor shall comply with all applicable Commonwealth of Kentucky Executive Department policies and procedures, and Commonwealth Office of Technology policies and procedures.
3. Contractor shall report any and all acts and omissions constituting a violation of applicable federal, state, or local laws, policies and procedures, or this IA, to the Commonwealth in writing within one (1) business day of the discovery of the violation.
4. To the extent permitted by law, Contractor agrees to indemnify and hold harmless the Commonwealth against any and all claims, losses, demands, obligations, and litigation, including attorneys' fees, that result from or by: (1) goods tendered and services rendered by the Contractor in connection with performance of this IA; (2) any and all bad-faith, erroneous, negligent, reckless, and unlawful acts and omissions of the Contractor, its officers, or employees in the performance of this IA; (3) the Contractor's creation of a hazardous condition or exacerbation of a pre-existing hazardous condition; (4) the Contractor's publication, translation, reproduction, delivery, performance, use, or disposition, of any data processed under the contract in a manner not authorized by the contract, or by federal or Commonwealth regulations or statutes; (5) the Contractor's employment practices during the term of this IA; and (6) any failure of the Contractor, its officers, or employees to observe federal, state, and local laws, including but not limited to labor laws and minimum wage laws.
5. Both parties, including any subcontractors or agents of each, agree to comply with all applicable state and federal confidentiality laws, including the Family Educational Rights and Privacy Act and the Health Insurance Portability and Accountability Act, and to protect the security, confidentiality, and integrity of education and health information. The Contractor acknowledges and agrees that the Commonwealth shall be entitled, without waiving any other rights or remedies, to injunctive or equitable relief to enforce the requirements of this provision of this IA.
6. Except where necessary in the performance of the Contractor's responsibilities set forth in this IA, the Contractor shall maintain the confidentiality of Commonwealth data and shall not disclose, distribute, divulge, publish, or release any Commonwealth data without the prior written approval of the Commonwealth unless compelled to do so by law or by a judicially signed order from a court of competent jurisdiction. The Contractor acknowledges that they receive Commonwealth data solely for the purposes of this IA, and that their receipt of Commonwealth data in no way creates any ownership interest in Commonwealth data, unless expressly provided otherwise within the terms and conditions of this IA.
 - 6.1. For purposes of this IA, "Commonwealth data" shall mean any data or information, regardless of form or characteristic, collected, received, or obtained by the Contractor pursuant to this IA, including but not limited to, information that can be used to distinguish or trace an individual's identity, either alone or when combined with other personal or identifying information that is linked or linkable to a specific individual.
 - 6.2. The Contractor shall implement reasonable measures, at least as protective as the Contractor uses to

- safeguard the Contractor's own confidential information, to prevent unauthorized access to, or accidental disclosure of, Commonwealth data.
- 6.3. Commonwealth data is the property of the Commonwealth. In order for the Commonwealth to maintain control and integrity of its records, the Contractor agrees that any Kentucky Open Records Act request, Freedom of Information Act request, or other request seeking access to Commonwealth data will be reported and forwarded to the Commonwealth within two business days. The Contractor shall notify the requester: (1) that the Contractor is not authorized to accept such requests; (2) that the Commonwealth is the sole entity authorized to accept such requests; and (3) the point of contact for such requests.
- 6.4. The Contractor shall ensure that any access to Commonwealth data by contractor employees is limited to only those contractor employees with a necessary and essential purpose to fulfill the terms and conditions of this IA.
- 6.4.1. For purposes of this IA, "contractor employees" shall mean agents, employees, subcontractors, volunteers, or any other individuals or entities acting on behalf of the Contractor.
- 6.5. The Contractor shall not utilize Commonwealth data for the benefit of the Contractor or third parties except as expressly authorized by this IA.
- 6.6. These data confidentiality requirements set forth herein survive the expiration or termination of this IA and bind the Contractor and their legal representatives, heirs and assigns.
7. Vendors and other state agencies that receive Personal Information as defined by and in accordance with Kentucky's Personal Information Security and Breach Investigation Procedures and Practices Act, KRS 61.931, et seq. ("the Act"), shall secure and protect the Personal Information by, without limitation, complying with all requirements applicable to non-affiliated third parties set for in the Act.
- 7.1. "Personal Information" is defined in accordance with KRS 61.931(6) as "an individual's first name or first initial and last name; personal mark; or unique biometric or genetic print or image, in combination with one (1) or more of the following data elements:
- 7.1.1. An account, credit card number, or debit card number that, in combination with any required security code, access code, or password, would permit access to an account;
- 7.1.2. A Social Security number;
- 7.1.3. A taxpayer identification number that incorporates a Social Security number;
- 7.1.4. A driver's license number, state identification card number, or other individual identification number issued by an agency;
- 7.1.5. A passport number or other identification number issued by the United States government; or
- 7.1.6. Individually Identifiable Information as defined in 45 C.F.R. sec. 160.013 (of the Health Insurance Portability and Accountability Act), except for education records covered by the Family Education Rights and Privacy Act, as amended 20 U.S.C. sec. 1232g.
- 7.2. As provided in KRS 61.931(5), a "non-affiliated third party" means "any person or entity that has a contract or agreement with the Commonwealth and receives (accesses, collects, or maintains) personal information from the Commonwealth pursuant to the contract or agreement."
- 7.3. Contractor agrees to cooperate with the Commonwealth in complying with the response, mitigation, correction, investigation, and notification requirements of the Act.
- 7.4. Contractor shall notify as soon as possible, but not to exceed seventy-two (72) hours, the Commonwealth, the Commissioner of the Kentucky State Police, the Auditor of Public Accounts, and the Commonwealth Office of Technology, of a determination of, or knowledge of, a breach, unless the exception set forth in KRS 61.932(2) applies and the Contractor abides by the requirements set for in that exception. Notification shall be in writing on a form developed by the Commonwealth Office of Technology.
- 7.5. Contractor agrees to undertake a prompt and reasonable investigation of any breach as required by KRS 61.933.
- 7.6. Upon conclusion of an investigation of a security breach of Personal Information as required by KRS 61.933, Contractor agrees to pay the costs of the notification, investigation, and mitigation of the security breach.
- 7.7. In accordance with KRS 61.932(2)(a), Contractor shall implement, maintain, and update security and breach investigation procedures that are appropriate to the nature of the information disclosed, that are at least as stringent as the security and breach investigation procedures and practices established by the Commonwealth Office of Technology.
8. Contractor agrees that it shall not distribute, divulge, publish, or release any data or information obtained from or owned by the Commonwealth without the prior written approval of the Commonwealth unless compelled to do so by law or by a judicially signed order from a court of competent jurisdiction. Contractor acknowledges that it receives the Commonwealth's data or information solely for the purposes of this IA, and that its receipt of the

Commonwealth's data or information in no way creates any ownership interest in the Commonwealth's data or information, unless explicitly provided otherwise within the terms and conditions of this IA.

9. Contractor shall ensure that any and all access to Commonwealth data by Contractor personnel is limited to only those Contractor personnel with a necessary and essential purpose to fulfill the terms and conditions within this IA.
10. Contractor shall not utilize Commonwealth data for the Contractor's benefit except as contemplated within and pursuant to the terms and conditions of this IA. The Contractor shall not sell or resell any and all Commonwealth data.
11. Contractor shall ensure that any and all data transmitted and received on behalf of and as directed by the Commonwealth is transmitted and received only via secure methods and protocols.
12. Upon the expiration of the term of this IA, unless it is renewed prior to its expiration, Contractor shall either: (1) return any and all data provided by the Commonwealth, destroy any and all copies of the data in whatever form they occur, complete the attached Data Destruction Certification, and submit the certificate to the Commonwealth no less than 7 calendar days after expiration of this IA; (2) destroy the data, including any and all copies of the data in whatever form they occur, without returning the data to the Commonwealth, complete the attached Data Destruction Certification, and submit the certificate to the Commonwealth no less than 7 calendar days after expiration of this IA; or (3) retain the data subject to the terms of this IA regarding data ownership, privacy, and breach. The Commonwealth retains discretion to choose the option Contractor shall perform. In the event that the Commonwealth has not communicated to the Contractor which option should be followed, the Contractor shall perform the actions listed in option (1).
13. The Contractor agrees that the Commonwealth, and its duly authorized agents and designees, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this IA for the purpose of a financial audit or program review, including confidential and proprietary information. The Contractor also recognizes that any and all books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, except to the extent that books, documents, papers, records, or other evidence is subject to KRS 61.878(1)(c)(1).
14. The Contractor shall comply, at a minimum, with the Records Retention Schedule promulgated by the State Archives and Records Commission applicable to the agency to which it is providing goods, services, revenue, or any and all combinations thereof pursuant to this Agreement.
15. The Contractor shall implement all applicable federal, state, and local requirements and advisories pertaining to any public health emergency when providing services pursuant to this IA.
16. Upon written request of the Commonwealth, the Contractor shall perform services by remote communications technology where feasible and as needed to comply with applicable federal, state, and local requirements and advisories pertaining to any public health emergency.
17. The parties agree that they receive all information communicated between them before the execution of this IA in strict confidence and that the receiving party, its agents, or employees shall not, without prior written consent of the other party, disclose any such information, subject to Commonwealth of Kentucky and federal disclosure laws.
18. Contractor shall not represent that a working copy, draft, or the finalized version of this IA is identical to a previous iteration of this IA if the Contractor has made edits since the last iteration. Contractor shall clearly present all edits, either through editing functions in word processing software, or as a list provided contemporaneously with the most recently edited iteration.
19. During the term of this IA, Contractor shall be authorized in its sole discretion to discipline, terminate, or take any other personnel action against Contractor personnel. Upon communication by Commonwealth of an issue with Contractor personnel, Contractor shall have the sole authority to take action to effect a solution.
20. In no event shall any person or entity be deemed to be a third-party beneficiary of this IA.
21. Contractor acknowledges that the Commonwealth may execute agreements with other vendors for additional or related goods and services that address, interact with, or otherwise regard this IA. Contractor shall fully cooperate with such other vendors and vendor personnel, agents, and designees. Contractor shall not commit any act; allow any omission; or permit its personnel, agents, or designees to commit any act or allow any omission that will interfere with the performance of work by any other vendor or any other vendor's personnel, agents, or designees.
22. Each party shall provide a contact to resolve any and all issues related to this IA and promptly update the contact information as necessary.
23. All notices under this IA shall be given in writing. Electronic mail constitutes a writing.

24. No change, waiver, or discharge of any liability or obligation under this IA on any one or more occasions shall be deemed a waiver of performance of any continuing or other obligation, or shall prohibit enforcement of any obligation, on any other occasion.
25. No party shall assign its respective rights or obligations under this IA without prior written consent of the other party. Any purported assignment or delegation in violation of this IA is void.
26. The terms and conditions of this IA may only be amended by mutual written consent of both parties.
27. Contractor agrees that any and all violations of this IA may result in the immediate termination of this IA.
28. If any term or provision or any part of this IA is declared invalid or unenforceable, the remainder of this IA shall not be affected, and each term and provision of this IA shall be valid and enforceable to the fullest extent permitted by the law.
29. The descriptive headings in this IA are inserted for convenience only and shall not control or affect the meaning or construction of any of the terms and conditions within nor any materials incorporated by reference.
30. No provision of this IA shall be construed in favor of or against any party on the ground that such party or its counsel drafted the provision.
31. This IA is the final and exclusive agreement between the parties. All prior negotiations and agreements are superseded by this IA.
32. Nothing in this IA shall be deemed to waive, or otherwise limit, the rights, privileges, immunities, including sovereign immunity granted under Kentucky Constitution §§ 230 & 231 and the United States Constitution Eleventh Amendment, and matters of defense now available or hereafter made available to the Commonwealth and/or its officers and employees.

COMMONWEALTH: Department of Juvenile Justice
Name of Agency

APPROVED:

BY: _____
DJJ Commissioner Signature

DATE: _____

CONTRACTOR: _____
Name of Agency

APPROVED:

BY: _____
Signature

TITLE: _____

DATE: _____

Appendix A

Department of Juvenile Justice

Contracted Day Treatment Programs

DJJ 102- Ethics Policy

DJJ 104- Code of Conduct

 JUSTICE CABINET DEPARTMENT OF JUVENILE JUSTICE POLICY AND PROCEDURES	REFERENCES: 3-JDF-1A-33; 1C- 15, 21, 22 3-JCRF-1A-20, 21; 1C-05, 17 1-JDTP-1A-26; 1C-18, 24, 25 4-JCF-6F-01, 6G-06 1-CO-1A-29; 1C-04, 20, 24
SERIES: Administration	AUTHORITY: KRS 15A.065
SUBJECT: Code of Ethics	
POLICY NUMBER: DJJPP 102	
TOTAL PAGES: 4	
EFFECTIVE DATE: 5/5/2026	
APPROVAL: Randy White, COMMISSIONER	

I. POLICY

The Department of Juvenile Justice (DJJ) shall expect honesty, integrity, and a commitment to professional and compassionate service from staff. The department shall require a drug-free workplace.

II. APPLICABILITY

This policy shall be applicable to all DJJ staff.

III. DEFINITIONS

Refer to DJJPP Series 100.

IV. PROCEDURES

- A. Each employee shall adhere to the requirements of KRS 11A.020 (Prohibited conduct for public servants). To meet the ethical standards prescribed by this policy, an employee shall adhere to the KRS 11A.005 through 11A.045 (Executive Branch Code of Ethics) and the standards of conduct set forth.
- B. Staff shall respect and protect the civil and legal rights of juveniles under the care, custody, and control of the department.
- C. Staff shall serve each juvenile with appropriate concern for their welfare and with no purpose of personal gain.
- D. Relationships with colleagues shall be of such character as to promote mutual respect within the profession and improvement of its quality of service.
- E. Staff shall not influence other staff to violate the standards of ethical conduct.
- F. Confidential Information
 1. Per KRS 11A.040(1), an employee shall sign the Employee Confidentiality and Security Agreement prior to beginning employment or prior to accessing any confidential information. The original agreement shall be kept in the employee's electronic department personnel file.

POLICY NUMBER DJJPP 102	EFFECTIVE DATE 5/5/2026	PAGE NUMBER 2 of 4
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2. Any release of confidential information shall require the prior consent of the appropriate authority within the department. Failure to obtain prior approval may be grounds for disciplinary or other appropriate action.
 3. If it is determined that an employee released confidential information to anyone not authorized to receive the information, the employee may be prosecuted in accordance with department policy, state or federal law, including KRS 11A.990.
 4. Staff shall maintain the confidentiality of juvenile records and information per KRS 610.320 and 610.340.
- G. Staff shall respect and protect the right of the public to be safeguarded from criminal activity.
- H. Staff shall report any corrupt, unethical behavior, or policy violations which may affect either a juvenile or the integrity of the organization, as well as any abuse or neglect as required by KRS 620.030 and 620.040.
- I. Staff shall not discriminate against any juvenile, other staff, or prospective staff on the basis of religion, race, sex, age, disability, national origin, color, sexual orientation, gender identity, genetic information, political affiliation, or veteran's status per KRS Chapter 334 and any other applicable law.
- J. Each employee shall follow the Executive Branch Ethics Code. Further, the "Guide to the Executive Branch Code of Ethics" published by the Executive Branch Ethics Commission shall provide employees additional guidance. DJJ employees shall be directed to take available and necessary action to follow these guidelines and avoid even the appearance of unethical conduct.
- K. Employees shall not use their official position to secure privileges for themselves or others and shall not engage in activities that constitute a conflict of interest.
- L. Employees shall not act in their official capacity in any matter in which they have personal interest that may impair objectivity and create the appearance of conflict of interest.
- M. Political activities of employees shall be in compliance with KRS 18A.140.
- N. Workplace violence shall be prohibited and constitute grounds for disciplinary action and referral for criminal prosecution.
- O. DJJ staff shall comply with the Commonwealth of Kentucky's Drug-Free Workplace requirements as enacted by the Anti-Drug Abuse Act (P.L. 100-690).
1. Staff shall not be under the influence of alcohol or other drugs. The department has a zero-tolerance policy for the use of or being under the influence of drugs or alcohol. If a reasonable suspicion exists to believe a staff member may have alcohol or drugs in their system, which may adversely affect the staff member's job performance, the staff member may be requested to submit to a breathalyzer or other analysis, whether or not the staff member is on-site, off-site, or on leave of any type.
 2. Staff shall not work or be on the grounds of a department facility, office, or

POLICY NUMBER DJJPP 102	EFFECTIVE DATE 5/5/2026	PAGE NUMBER 3 of 4
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operate a state vehicle with more than 0.00 percent by weight of alcohol in their blood. Registering any level of intoxication in an employee's system by itself may be sufficient for disciplinary action but shall be considered with all other aspects of behavior in determining the impact on job performance.

3. Refusal to submit to a breathalyzer test or other analysis may be grounds for disciplinary action. Staff who refuse to submit to these tests shall be in violation of the department's zero-tolerance policy and may be disciplined up to and including termination for violating DJJPP 104's mandate for all staff to obey lawful orders. Staff who refuse to submit to these tests shall be instructed to leave the department facility or office and may be prohibited from returning.
 4. If a staff member must use medication which may adversely affect job performance, the staff member shall notify their immediate supervisor.
 5. Staff found to be in violation shall be subject to discipline up to and including dismissal.
- P. If a staff member is arrested for or charged with any offense, other than a minor traffic violation, or have actual knowledge that there is an outstanding warrant for their arrest, they shall notify their immediate supervisor, if available, or the highest-level supervisor on duty. This shall include being served with a domestic violence order or an emergency protective order where the staff member is a respondent. This report shall be made prior to their next scheduled shift. Staff shall not be relieved of the responsibility of providing notice or reporting to work as a result of being detained.
1. Staff shall furnish their supervisor with the name of the charging authority, the city or county where the charges are filed, and the next court date assigned to them.
 2. The supervisor, upon notification of the staff arrest or charge, shall communicate by e-mail through the chain of command, to the Division Director, the details of the incident.
 3. The Division Director shall immediately notify the Executive Director, Department of Juvenile Justice Human Resources (DJJ HR) Branch Manager, and the Commissioner by e-mail.
 4. Staff shall be subject to discipline up to and including dismissal for failure to comply.
- Q. If a staff member becomes aware that they are the subject of an investigation of child abuse, neglect, or dependency, they shall notify their immediate supervisor, if available, or the highest-level supervisor on-duty. This report shall be made prior to their next scheduled shift.
1. Staff shall furnish the supervisor with documentation detailing the circumstances of the investigation.
 2. The supervisor, upon notification of the investigation shall communicate by e-

POLICY NUMBER DJJPP 102	EFFECTIVE DATE 5/5/2026	PAGE NUMBER 4 of 4
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mail through the chain of command to the Division Director, the details of the incident.

3. The Division Director shall immediately notify the Executive Director, the DJJ HR Branch Manager, the Justice and Public Safety Cabinet Office of Legal Services (OLS) Executive Director, and the Commissioner by e-mail.
 4. Staff shall be subject to discipline up to and including dismissal for failure to comply.
- R. If a licensed employee has actual knowledge that their licensure or certification is under investigation, suspension, or revocation, they shall notify their immediate supervisor, if available, or the highest-level supervisor on duty. This report shall be made prior to their next scheduled shift.
1. Staff shall furnish the supervisor with documentation detailing the circumstances of the investigation, suspension, or revocation.
 2. The supervisor, upon notification of the investigation, suspension, or revocation, shall communicate details of the incident via e-mail through the chain of command to their Division Director.
 3. The Division Director shall immediately notify the Executive Director, the DJJ HR Branch Manager, OLS Executive Director and the Commissioner by e-mail.
 4. Staff shall not perform any work requiring licensure or certification if their licensure or certification has been suspended.
 5. Staff shall be subject to discipline up to and including dismissal for failure to comply.

V. MONITORING MECHANISM

Monitoring shall be done by all supervisors on an ongoing basis.

	JUSTICE CABINET DEPARTMENT OF JUVENILE JUSTICE POLICY AND PROCEDURES	REFERENCES: 3-JTS-1B-21 3-JDF-1B-21 3-JCRF-1B-17 1-JDTP-1B-19 1-JBC-1B-19 4-JCF-6D-06
CHAPTER: Administration		AUTHORITY: KRS 15A.065
SUBJECT: Code of Conduct		
POLICY NUMBER: DJJ 104		
TOTAL PAGES: 4		
EFFECTIVE DATE: November 30, 2018		
APPROVAL: Carey D. Cockerell		, COMMISSIONER

I. POLICY

Staff, volunteers, interns, and contract personnel shall conduct themselves in a professional manner. All persons shall be aware that their personal conduct reflects upon the integrity of the agency and its ability to provide services to youth.

II. APPLICABILITY

This policy shall apply to all staff, volunteers, interns, and contract personnel of the Department of Juvenile Justice. This policy shall apply to contract facilities and programs.

III. DEFINITIONS

Refer to Chapter 100.

IV. PROCEDURES

- A. Staff shall arrive and leave work at scheduled times as determined by their supervisor.
- B. Staff shall perform their work assignments competently and in a professional manner. It is the responsibility of each staff to know and act in accordance with department policy and procedures.
- C. Staff are required to obey the lawful order or directive of a supervisor. If the order or directive conflicts with an order or directive previously issued by another supervisor, the staff shall make the supervisor aware of the conflict. If the supervisor does not alter the order or directive, the most recent order shall stand and the responsibility shall be assigned to the supervisor issuing the most recent order.
- D. Staff shall remain in their assigned working areas during working hours. Staff shall not disturb or interrupt others at their working areas or prevent other staff from carrying out their duties.

POLICY NUMBER DJJ 104	EFFECTIVE DATE 11/30/2018	PAGE NUMBER 2 of 4
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- E. Staff are prohibited from entertaining friends or family on the premises of any DJJ office or program except during appropriate scheduled and approved events.
- F. Loud, abusive, or profane language and boisterous and unprofessional conduct shall not be tolerated. Staff shall refrain from making comments that are critical of colleagues or the agency.
- G. State property and resources or items purchased with Youth Activities Funds shall not be utilized by staff or others for personal use.
- H. Staff shall be prohibited from purchasing products for personal use from the agency's contracted vendors at the reduced agency rate.
- I. Staff shall also be prohibited from using the DJJ procurement card to make purchases of any kind for personal use.
- J. Staff shall only accept gifts that are allowable under the Executive Branch Ethics Code of Ethics.
- K. Donations made to offices or programs, including money, property, or material goods shall not be accepted by individual staff without authorization of the superintendent or district supervisors. Donations of money, property, and material goods shall be properly recorded.
- L. All shall be truthful in correspondence and interactions with other DJJ staff, youth, parents, outside agencies, investigators, and in the completion of any type of work-related written documentation (computer-based, hand-written, or typed).
- M. Items deemed to be contraband shall be prohibited in DJJ facilities and offices. No one shall transport contraband of any kind into a DJJ facility.
- N. Theft of any state property, including, linens, clothing, supplies, or equipment is prohibited.
- O. Cell phones shall be prohibited in areas of programs occupied by youth. All persons are prohibited from allowing youth to use a personal cell phone in any part of the facility. In areas where cell phones are allowed, the use shall not disturb or interrupt staff at their working areas or prevent staff from carrying out their duties.
- P. Staff are prohibited from sleeping, or giving an appearance of sleeping, while on duty. Sleeping on duty may result in disciplinary action up to, and including dismissal. Exception, staff assisting in emergency situations and unable to return home shall be provided sleeping and leisure areas separate from youth residential areas.
- Q. Staff shall not be on the premises except during working hours unless approved by their immediate supervisor.
- R. All persons shall be prohibited from having sexual or intimate contact while on department owned or leased property, or in a state vehicle..
- S. In accordance with KRS 237.110(13), KRS 237.110(14) and KRS 237.115(1), staff are prohibited from possession of firearms, or any other

POLICY NUMBER DJJ 104	EFFECTIVE DATE 11/30/2018	PAGE NUMBER 3 of 4
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deadly weapon as defined in KRS 500.080(4), at any program which houses delinquent youth and in any state vehicle or while transporting DJJ youth.

- T. All persons are prohibited from engaging in unwelcome written, verbal, or physical conduct that either degrades, shows hostility, or aversion towards a youth on the basis of race, color, national origin, age, sex, religion, disability, gender identity, sexual orientation, or genetic information.
- U. Staff shall protect the individual safety of youth and themselves through the use of approved controlling techniques utilizing no more than the absolute amount of force necessary to diffuse a confrontational situation. Staff shall only use controlling techniques in which they have been certified by the Division of Professional Development.
- V. All persons shall take appropriate precautions in dealing with youth to prevent allegations of inappropriate verbal communication, written communications, sexual contact or abuse of any type.
- W. Abuse or other mistreatment of youth in the care or custody of the department shall not be tolerated. Staff abusing youth shall be subject to disciplinary action up to and including dismissal under 101 KAR 1:345. All persons suspected of abuse are subject to investigation and prosecution under all applicable laws.
- X. All persons shall act in a manner that provides youth with a positive role model.
- Y. All persons shall be expected to maintain a professional relationship with youth at all times. The following rules help delineate this relationship and prevent complications in treatment of youth.
- Z. All staff are prohibited from the following actions:
 1. Selling or loaning personal belongings to youth or youth's representative;
 2. Entering into a business relationship or financial transaction with youth or the representatives of a youth;
 3. Giving special privileges to a youth, unless privileges are earned by the youth as part of the treatment plan;
 4. Accepting a bribe or payment from a youth or the representatives of a youth for special services rendered to them;
 5. Lending money to a youth or the representatives of a youth;
 6. Entering into an intimate or romantic relationship or having sexual contact with an individual who is currently under the custody, care, or supervision of DJJ. (reference KRS 510.020 (3)(e) regarding consent);
or
 7. Staff working at a Detention Center, Youth Development Center, or Group Home shall not send communications or correspondence to a

POLICY NUMBER DJJ 104	EFFECTIVE DATE 11/30/2018	PAGE NUMBER 4 of 4
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youth that within the last five (5) years has resided at the facility the staff works or worked at unless the Facility Superintendent has approved the communication or correspondence. If staff receive any communication or correspondence from a youth that resided at the facility the staff works or worked at within the last five (5) years, then the staff shall immediately forward a copy of the communication or correspondence to the Facility Superintendent.

- AA. DJJ staff are persons holding a position of authority and special trust as defined in KRS 532.045. DJJ prohibits any staff, regardless of his or her age, from subjecting anyone under the custody, care, or supervision of DJJ, with whom he or she comes into contact as a result of his or her position, to sexual contact.
- BB. Staff shall fully cooperate with and shall not interfere with any investigation conducted by the Internal Investigation Branch (IIB), a DJJ Supervisor, or Ombudsman, subject to Federal and State constitutional protections.
 - 1. Staff shall provide a written or verbal statement in a departmental investigation or when directed by a supervisor. Failure to provide a written statement as requested shall result in a disciplinary action, up to and including dismissal.
 - 2. Staff shall not discuss any active or inactive investigation with anyone other than IIB staff or a DJJ Ombudsman. Exceptions to this may be made under the direct authorization of the DJJ Commissioner's Office.

V. MONITORING MECHANISM

Administrative Managers and supervisors shall monitor staff conduct for adherence to this policy on a day-to-day basis.

Appendix B
Department of Juvenile Justice
Contracted Day Treatment Programs
DJJPP 1019

	JUSTICE AND PUBLIC SAFETY CABINET DEPARTMENT OF JUVENILE JUSTICE POLICY AND PROCEDURES	REFERENCES: 1-JDTP-3E-02; 1-JDTP-3E-10; 1-JDTP-3E-11
CHAPTER: Day Treatment Services		AUTHORITY: KRS 15A.0652
SUBJECT: Incident Reporting		
POLICY NUMBER: DJJ 1019		
TOTAL PAGES: 5		
EFFECTIVE DATE: 4/05/2019		
APPROVAL: Carey D. Cockerell		, COMMISSIONER

I. POLICY

The Department of Juvenile Justice (DJJ) shall have a system for day treatment programs to report incidents involving students. Prompt reporting shall take place in accordance with established procedures.

II. APPLICABILITY

This policy shall apply to DJJ operated day treatment programs.

III. DEFINITION

Refer to Chapter 1000.

IV. PROCEDURES

- A. Events involving students which compromise the health, safety, or security of students, staff, or others, or the orderly management of the facility shall be considered incidents. The following situations shall constitute an incident:
 1. Non-DJJ committed students leaving the building without permission;
 2. DJJ committed students absent without leave (AWOL) or attempts;
 3. Assault, attempted assault, or threatened assault by:
 - a. Student on student;
 - b. Student on staff;
 - c. Staff on student; or
 - d. Student on other;
 4. Sexual assault or attempted sexual assault, involving physical contact of:
 - a. Student on student;
 - b. Student on staff;
 - c. Staff on student; or

POLICY NUMBER DJJ 1019	EFFECTIVE DATE 4/05/2019	PAGE NUMBER 2 of 5
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- d. Student on other;
- 5. Sexual acting-out:
 - a. Student on student;
 - b. Student on staff;
 - c. Staff on student; or
 - d. Student on other;
- 6. Major property destruction;
- 7. Possession of contraband;
- 8. Death of a student;
- 9. Medication error;
- 10. Major injury or illness requiring more than first aid, including emergency medical care or transport;
- 11. Self-harming behavior;
- 12. Suicide attempt;
- 13. Use of physical restraint;
- 14. The taking of a hostage or hostages;
- 15. Rioting or attempting to incite a riot;
- 16. Chronic program disruption that threatens the safety of students or staff;
or
- 17. Other.
- B. The primary staff directly involved in an incident shall complete the incident report by the end of the shift.
- C. An incident report shall include the following:
 - 1. The full name of the student;
 - 2. Date including month, day, and year;
 - 3. Time including designations of a.m. or p.m.;
 - 4. Location of the incident;
 - 5. The reporting staff's name, signature, and current title;
 - 6. Detailed and specific information regarding the incident;
 - 7. Events leading up to the incident;
 - 8. The manner in which the incident was managed and any immediate consequences issued as a result;
 - 9. Witnesses or others involved, if applicable;
 - 10. Physical evidence and chain of custody documentation, if applicable;
 - 11. Specific restraints used, if any; and
 - 12. Injuries, if any.

POLICY NUMBER DJJ 1019	EFFECTIVE DATE 4/05/2019	PAGE NUMBER 3 of 5
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- D. Supporting documentation shall provide additional information regarding an incident. The following supporting documentation shall be required as part of the final incident report:**
- 1. Medical body identification (ID) when the student is the subject of the incident and may have sustained injuries. This shall not include students who are physically restrained;**
 - 2. Post-restraint body ID completed by health trained or medical staff when a student has been physically restrained;**
 - 3. Photographs in the following situations:**
 - a. Post-restraint or injury photographs, of the student, shall be retained, with a copy of the student's post-restraint body ID documentation, in the student's medical record, with a notation on the incident report stating the location of the photographs;**
 - b. Staff injury photographs shall be retained with a copy of the incident report in the staff's medical record, with a notation on the incident report stating the location of the photographs; and**
 - c. Damaged property photographs, dangerous contraband photographs, and all other photographs shall be attached to the incident report and retained in the student's hard case file;**
 - 4. Witness statements from staff observing the incident and students and staff involved in the incident. Any witness statements shall be submitted directly to the shift supervisor or submitted in a sealed envelope to the Superintendent prior to the end of the staff's shift. Witness statements shall not be given directly to the staff member completing the incident report; and**
 - 5. Police reports, when there has been a formal complaint made regarding an incident.**
 - 6. For the following incidents, refer to the corresponding policy for required documentation:**
 - a. Contraband;**
 - b. Searches;**
 - c. Restraint;**
 - d. AWOL;**
 - e. Sexual assault;**
 - f. Suicide attempt;**
 - g. Medical emergencies; or**
 - h. Death of a resident.**
- E. A student who is not the subject of the incident report, but involved in the incident, shall be identified by initials and DJJ number, if applicable.**
- F. Designated staff shall reference the incident report in the progress notes.**

POLICY NUMBER DJJ 1019	EFFECTIVE DATE 4/05/2019	PAGE NUMBER 4 of 5
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- G. The original incident report shall be filed in the student's Individual Client Record (ICR). An incident report that is medical in nature shall not be filed in the student's ICR.
- H. Designated staff shall document a summary of the incident in the electronic record of the student within seven (7) school days of the incident if applicable.
- I. The Superintendent or Administrative Duty Officer (ADO) shall be advised of all incidents immediately, or as soon as reasonably possible.
- J. The Superintendent or designee shall be responsible for review of the incident report, to ensure thoroughness and accuracy, shall sign off on the incident report, and include comments, if applicable.
- K. The Superintendent or designee shall conduct a preliminary review of all incidents involving injury, assaultive behavior (sexual or violent), suicide attempt, and any situation that may result in harm to a student or staff. The Superintendent shall take steps necessary to protect the safety and welfare of the student and staff.
- L. A debriefing shall be conducted after each incident. The debriefing process shall include coordination and feedback about the incident with staff involved in the incident, their supervisor, the Superintendent, and any other staff deemed appropriate by the Superintendent, as soon as possible after the incident. A debriefing shall include:
 - 1. A review of staff and the student's actions during the incident;
 - 2. A review of the incident's impact on staff and the student;
 - 3. A review of corrective actions taken and still needed; and
 - 4. Plans for improvement to avoid another incident.
- M. Notification
 - 1. The Superintendent or designee shall immediately provide notice, either by telephone or face to face contact, through the chain of command of all incidents that present an imminent threat to the safety or security of the facility.
 - 2. Incidents involving a student who is AWOL, attempted AWOL, death, or serious injury to staff or students, shall be immediately communicated through the chain of command to the Deputy Commissioner of Operations and the Commissioner.
 - 3. The Superintendent shall forward a copy of the incident report, including supporting documentation, to the Regional Facilities Administrator.
 - 4. The parent or caregiver, and the Juvenile Service Worker (JSW) if applicable, shall be promptly notified by the youth counselor, ADO, or designee of any of the following:
 - a. Serious injury or illness requiring more than first aid, including emergency medical care or transport;
 - b. Sexual assault; or

POLICY NUMBER DJJ 1019	EFFECTIVE DATE 4/05/2019	PAGE NUMBER 5 of 5
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- c. Suicide attempt.
- 5. If a student AWOLs or leaves the building without permission the parent or caregiver, and the JSW if applicable, shall be notified by the youth counselor, ADO, or designee as soon as practicable, but no later than four (4) hours from the occurrence.
- 6. In the event of the death of a student, staff shall refer to DJJPP Chapter 1 (Death of a Youth).
- N. After consultation with the Regional Director, the Department may submit a juvenile petition for a public offense or criminal complaint to the local prosecutor's office.

V. MONITORING MECHANISM

Monitoring shall be conducted by the Superintendent, the Facilities Regional Administrator (FRA), Regional Division Director, and Quality Assurance (QA) Branch.

ATTACHMENT 7
Program Improvement Plan
2026-2027

Per the Kentucky Board of Education, all KECSAC programs are required to submit an updated Program Improvement Plan for the academic year. This plan should be based on the Kentucky Summative Assessment data, KECSAC program improvement visits and other surveys or data collected by individual programs. In addition, the Program Improvement Plan should be specific to the individual program and address the educational needs of state agency children. Please contact sherri.clusky@kecsac.org if you have any questions on how to develop the program improvement plan for your program. A sample copy of a program improvement plan can be found at www.kecsac.org/funding on the Funding page under Memorandum of Agreement.

KECSAC Program Improvement Plan 2026-2027 School Year

District: Hopkins County

Date: 7/02/26

Program: Hopkins County Day Treatment

Person Responsible: Nathan Howton

Standard:	1) Purpose and Direction ☐	4) Resources and Support System ☐
	2) Governance and Leadership ☐	5) Using Results for Continuous Improvement ☐
	3) Teaching and Assessing for Learning ☐	

- Plans should include at least one measurable, academic goal.
- Ensure your objectives are attainable within a specific time frame.
- Goals and strategies should be SMART:

Specific Measurable Attainable Relevant Time-based

Priority Need

(What aspect of past student performance needs improvement?)

Previous assessment data has indicated that the majority of the students showed deficiencies in the areas of Reading and Math.

Based on previous KECSAC monitoring reports, more individualized/small-group instruction is needed to support student growth and achievement.

Supporting Data for Need

(What are the reasons for the need? What data illustrates these reasons?)

Student attendance, behaviors, and other factors in the A1 schools have caused achievement gaps, especially in the areas of Reading and Math.

Scheduling challenges and classroom environment at A1 have severely limited the ability to offer direct instruction or small group instruction based on students' specific academic and/or behavior needs.

Student performance records indicate deficiencies in Reading and Math.

Monitoring reports from partner agencies indicate a need for increased direct instruction (Blended Model and Restorative Practices)

Goal *(Addresses the Priority Need)*

(How will our future student performance be stronger?)

Goal 1: At least 80% of the students will achieve a half year's growth in Reading and Math by the end of their Phase placement based on TABE (or other assessment).

Goal 2: All teachers/staff will engage students daily in individual/small-group instruction and Restorative Practices in a Blended Instructional Model.

Objectives for Reaching Goal

(What strategies will be implemented in our program to improve student performance pertaining to the Need? Be specific.)

Students will participate in daily, blended instruction. Their growth will be determined by online assessments, as well as teacher-made assessments.

To develop direct instructional opportunities, the staff will collaborate with A1 schools to determine specific student needs and the resources to meet those needs. Also, intentional planning/scheduling with Day Treatment counselors will occur.

Measure of Objectives

(What data will show that we are implementing successful objectives to reach the goal? What data will show improvements in student performance?)

Online curriculum/assessments and teacher-made assessments.

Ongoing classroom observations will be conducted throughout the school year to verify increased and quality direct instruction.

ATTACHMENT 8

Implementation and Impact Check Report for 2025-2026

As part of the Program Improvement Planning process, KECSAC is requiring each program to complete an Implementation and Impact Check Report based upon the submitted 2025-2026 Program Improvement Plan. The report should include updates on the goals set from the previous academic year. Please contact sherri.clusky@kecsac.org if you have any questions on how to develop your program's implantation and impact check.

Implementation and Impact Check 2026-2027 School Year

District: Hopkins County

Date: 7/02/26

Program: Hopkins County Day Treatment

NOTE: The Implementation and Impact Check should be completed at the end of the school year and is used to document the implementation of strategies/activities from the Program Improvement Plan as well as provide evidence and outcomes of the activity. The number of goals listed below should be based upon the goals set in the 2025-2026 school year's Program Improvement Plan. Submit this document with the 2026-2027 Memorandum of Agreement.

First Goal:

(The first goal that was listed on the previous year's Program Improvement Plan (PIP) in MOA.)

At least 80% of the students will achieve a half year's growth in Reading and Math based on TABE (or other assessment).

Activities & Strategies:

(List the activities or strategies you utilized in the PIP for this goal. Indicate whether that strategy was fully implemented, partially implemented, or not implemented.)

Students will participate in daily, blended instruction. Their growth will be determined by online assessments, as well as teacher-made assessments. Staff will implement use of effective instructional strategies and Restorative Practices (i.e. Bellringers, Notemaking, Exit Slips, other Research Evidenced Strategies, etc...)

Data & Evidence:

(Provide data/evidence of impact in terms of student progress and success for this goal.)

In all but three students, there was measurable progress from TABE pre- to post-assessment.

The intentional focus on R/M instruction, Restorative Practices, and implementation of Intervention period using TABE Tutor materials, directly correlated to the observed increases in student performance.

Second Goal:

(The first goal that was listed on the previous year's Program Improvement Plan (PIP) in MOA.)

All teachers/staff will engage students daily in individual/small-group instruction and Restorative Practices in a Blended Instructional Model.

Activities & Strategies:

(List the activities or strategies you utilized in the PIP for this goal. Indicate whether that strategy was fully implemented, partially implemented, or not implemented.)

To develop direct instructional opportunities, the staff will collaborate with A1 schools to determine specific student needs and the resources to meet those needs. Also, intentional planning/scheduling with Day Treatment counselors will occur.

Data & Evidence:

(Provide data/evidence of impact in terms of student progress and success for this goal.)

Through our continued collaborative partnership with A1 schools, we are observing increasing success with our enhanced Referral/Intake/Transition protocols. Students' needs were communicated and addressed more efficiently and effectively from input/feedback from all stakeholders.

Communication/Input/Feedback among HCDT staff and A1 schools, as well as District, State, and Federal support were at a maximum for the benefit of meeting students' individual needs. Also, by providing instruction and services (Restorative Practices, counseling, therapy, etc...) to students in a more intentional format (Long Term vs. Short Term referrals), we observed much more successful student transitions.

ATTACHMENT 9
Student Transition Plan
2026-2027

KECSAC programs are required to submit a Student Transition Plan (STP) for the 2026-2027 academic year. The STP should outline the program's procedures for transitioning state agency children from one educational program to the next instructional or vocational setting. The STP shall comply with the transition plan and service requirements of the Individual with Disabilities Education Act (IDEA), enacted as 20 USC 1200 to 1491o, 707 KAR 1:320 for students with educational disabilities.

The Student Transition Plan shall include procedures that address the transfer of student educational records.

505 KAR 1:080 stipulates that the last school or district a state agency youth attends prior to placement in a state agency program shall be responsible for forwarding the educational records to the state agency program within five (5) school days of receipt of the request.

The school administrator shall ensure that the educational records of state agency children are forwarded to the receiving school within five (5) school days following the release of the youth from the treatment facility.

Please refer to KECSAC policies 04.2 and 04.21 regarding Records and the Educational Passport.

Please contact sherri.clusky@kecsac.org if you have any questions on how to develop the student transition plan. A sample copy of a student transition plan can be found at www.kecsac.org/funding on the Funding page under Memorandum of Agreement.

Hopkins County Day Treatment Center Student Transition Plan 2026-2027



Soaring to New Heights!

**"Helping all students find success and make
successful transitions to graduation and beyond."**

**Nathan Howton – Principal/Transition Contact
Rachel Sandberg – Guidance Counselor/Transition Contact**

**Hopkins County Day Treatment
Comprehensive Facility Transition Plan for Students**

Transition Component – Academic Education

Objective: To provide all students with curriculum and instruction aligned to state standards while meeting individualized needs, which will allow for successful transition into their previous educational setting or the most appropriate academic environment upon discharge from the program.

Strategy/Task	Implementation Measures/Resources
1. Upon admission, all students will complete a variety of assessments as specified by program policy to determine the most appropriate academic plan for the student.	TABE, MAP, TASSEL, Learning Styles Inventory, Orientation Treatment Plan
2. Staff will review student transcripts and other educational records, including assessments to gather information for individualized planning and placement.	IEP, ILP, Student school transcripts, consult with sending school guidance counselors and instructional coaches
3. Periodic reviews including staff, and/or parents/students will allow for necessary modifications to plan.	Treatment Team meetings, ARC meetings, parent/teacher conferences, IPI meetings, ITP, individual/group counseling
4. Family involvement will be encouraged throughout academic placement.	Intake meeting, ARC meetings, ITP meetings, parent/teacher conferences, transition meetings, weekly point sheets, homework sheets, parenting classes ("Breathe")
5. Community resources will be utilized as available to meet individualized student academic needs.	Guest speakers, Breathe ("Light of Chance"), other referrals to appropriate resources (PMHC, FRYSC)
6. Prior to discharge, a meeting will be held involving student, parent, receiving school (as available: Guidance Counselor, Administrator, and FRYSC), and any others involved to review academic needs and to plan for successful transition.	Transition meetings, Transition activities, ARC meetings, 504 Team at sending school (if applicable), Transition Team

Hopkins County Day Treatment Comprehensive Facility Transition Plan for Students Transition Component –Vocational Education

Objective: To provide all students with the opportunity to explore career/vocational options, experience career related learning and access to available community resources

Strategy/Task	Implementation Measures/Resources
1. Upon admission all students will complete a variety of assessments as specified by program policy to determine needs and provide information for individualized vocational interests.	TABE, MAP, Learning Styles Inventory, TASSEL, Orientation Treatment Plan, ASVAB, Career Interest Activities
2. Educational and counseling staff will review student educational records and assessments to gather information for individualized vocational exploration.	Development of IEP, ILP, ITP, ITP
3. All students will have the opportunity to participate in career related experiences to prepare for transition.	Guest speakers, field trips, career related curricula, Breathe
4. Program will access community resources as indicated by individual student need and assist with completion of appropriate referrals, applications, etc.	Career Tech Center, mentoring, Job Corps, educational opportunities, employment applications, military recruiters
5. Parents will have the opportunity to participate in vocational planning for student and to obtain information regarding career interests, learning styles, available resources.	IEP meetings, IPI meetings, ITP meeting, parent/teacher conferences, transition meetings.
6. Prior to discharge, a meeting will be held involving student, parent, receiving school (as available: Guidance Counselor, Administrator, and FRYSC), and any others involved to review academic needs and to plan for successful transition.	Transition meeting, transition leader, ongoing monitoring by Transition Team, individual academic needs assessment

Hopkins County Day Treatment Comprehensive Facility Transition Plan for Students

Transition Component – Social Emotional (Health/Mental Health)

Objective: To ensure all students have access to appropriate health/mental health services and resources to meet their individualized needs.

Strategy/Task	Implementation Measures/Resources
1. Upon enrollment, counseling staff will review social history and assess all students for health and mental health needs.	Review records, parent conference, individual counseling, ACE's Screening
2. Staff will make referrals as necessary using community and school resources to meet health and mental health needs.	Referrals to FRYSC, School Nurse, Community Mental Health Centers, School-based Therapist, Physicians, DCBS, DJJ
3. Staff will provide all students with counseling services as indicated by the ITP to assist in developing life skills necessary for successful life transition.	Individual, group and family counseling, behavior modification program.
4. Staff will participate as appropriate in meetings outside the facility that will provide information for treatment planning, review progress and determine transition needs.	Conferences and treatment planning meetings with outside services and resources, community resource site visits
5. Family involvement will be encouraged throughout the treatment process to provide education to parents, access services for students and to assist in preparing for successful transition.	Intake meetings, IEP meetings, ITP meetings, 60 day reviews, parent/teacher conferences, parenting classes ("Breathe"), transition meetings
6. Prior to discharge, a meeting will be held involving student, parent, receiving school (as available: Guidance Counselor, Administrator, and FRYSC), and any others involved to review academic needs and to plan for successful transition.	Transition meetings, transition leader, ongoing monitoring by Transition Team

Hopkins County Day Treatment Comprehensive Facility Transition Plan for Students

Transition Component – Monitoring

Objective: Monitoring of student transition will take place to ensure the effective transition of all students entering and exiting the program.

Strategy/Task	Implementation Measures/Resources
1. Upon enrollment, all program goals, policies and procedures will be explained to students and their parent/guardian.	Intake meeting attendance, student/parent surveys
2. During each team meeting, ILP's, career goals, and transitioning will be discussed.	Weekly staff treatment team meetings, 60 day review meetings, parent/teacher meetings, weekly individual and group counseling, monthly team meetings
3. Upon successful completion of program, a transition meeting will be held at the school student is transitioning to whenever possible. A mentor at that school will be assigned to the student.	Transition meetings, faculty mentor, mentor-mentee luncheon, transition leader, follow-up correspondence with receiving school within the first 10 days
4. Staff will work with parent, student, and transition school to prepare student schedule	Transition meeting, Transition leader, meet with guidance counselors
5. Staff will visit the school/workplace a minimum of two times after transition to meet with the student and school personnel to evaluate transition.	Visit to school/workplace
6. Staff will contact parents after transition to evaluate transition.	Visit school/workplace, parent contact
7. Staff will collaborate with transitioning school to ensure successful transfer of grades and credits from program.	DTC staff and transitioning school guidance counselor, school tours
8. Staff will forward educational records to the receiving school within 5 school days following the release of the youth from the treatment facility.	Educational passport, transcript, grades

Mentors at Transitioning/Receiving School

Hopkins County Schools
Randall Campbell, MNHHS
Dana Byrum, HCCHS
Kristin Mackey, SHMS
Shanda Hughes, JMMS
Zach Evans, BSMS
Bobbie Schaffer, WES