### DAYTON INDEPENDENT SCHOOLS

### CERTIFIED PERSONNEL EVALUATION PLAN



Dayton Board of Education 200 Clay Street Dayton, KY 41074

Mr. Rick Wolf, Superintendent

Approved by the Dayton Independent Board of Education on \_\_\_\_\_

Certified Evaluation Committee Dayton Independent Schools 200 Clay Street Dayton, KY 41074 (859) 491-6565

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#### CERTIFIED SCHOOL PERSONNEL EVALUATION PLAN

#### **Assurances**

The Dayton Independent Public School District hereby assures the Commissioner of Education that:

This evaluation plan was developed by an evaluation committee composed of an equal number of teachers and administrators. The evaluation plan is in compliance with KRS 156.557 and 704 KAR 003.370.

The evaluation process and criteria for evaluation will be explained to and discussed with all certified personnel annually within one month of reporting for employment. This shall occur prior to the implementation of the plan. The evaluation of each certified staff member will be conducted or supervised by the immediate supervisor of the employee.

All certified employees shall develop an Individual Professional Growth Plan (PGP) that shall be aligned with the school/district improvement plan and comply with the requirements of 704 KAR 003:370. The PGP will be reviewed annually.

All administrators, to include the superintendent and non-tenured teachers, will be evaluated annually.

All tenured teachers will be evaluated a minimum of once every three years.

Each evaluator will be trained and certified in the use of appropriated evaluation techniques and the use of local instruments and procedures annually.

Each person evaluated will have both formative and summative conferences with the evaluator regarding his/her performance.

Each evaluatee shall be given a copy of his/her summative evaluation and the summative evaluation shall be filed with the official personnel records.

The local evaluation plan provides for the right to a hearing as to every appeal, and opportunity to review all documents presented to the evaluation appeals panel, and a right to presence of evaluatee's chosen representative.

The evaluation plan process will not discriminate on the basis of race, national origin, religion, marital status, sex or disability.

This evaluation plan will be reviewed as needed and any substantive revisions will be submitted to the Department of Education for approval.

The local board of education approved the evaluation plan as recorded in the minutes of the meeting held on \_\_\_\_\_\_.

Signature of the Superintendent of Schools

Signature of the Chairperson of the Board of Education

#### Members of 2025 - 2026 50/50 Evaluation Committee

Administrators	Teachers
Christopher Wright	Amanda Berringer
Director of Teaching and Learning	LES Teacher
Tim Chenot	Jackie Ellison
LES Assistant Principal	LES Teacher
Heather Dragan	Brenda Sceifres
LES Principal	LES Teacher
Scott Meyers	Angela Kohrs
DHS Principal	DHS Teacher
Brian Volpenhein	Kyle Fitzgerald
DHS Assistant Principal	DHS Teacher

#### **Dayton Independent Public Schools**

#### CERTIFIED EVALUATION PLAN OVERVIEW

Effective teaching and school leadership depend on clear standards and expectations, reliable feedback, and the tools, resources and support for professional growth and continuous improvement.

The Superintendent shall recommend for approval of the Board and the Kentucky Department of Education an evaluation system, developed by an Evaluation Committee, for all certified employees below the level of District Superintendent, which is in compliance with applicable statute and regulation. The Evaluation Committee shall review the plan annually to ensure appropriate implementation and to make revisions as necessary. Revisions are to be approved by the Dayton Board of Education and the Kentucky Department of Education.

The evaluation criteria and evaluation process to be used shall be explained to and discussed with certified school personnel within the first (30) days of reporting for employment for each school year.

The Director of Teaching and Learning shall serve as the District contact person responsible for monitoring evaluation training and implementing the Certified Evaluation Plan.

#### **Purpose**

According to board policy 03.18, purposes of the evaluation system shall be to:

- Improve Instruction
- Provide a measure of performance accountability
- Foster professional growth
- Support individual personnel decision

#### **Notification**

The evaluation criteria and process used to evaluate certified school personnel shall be explained to and discussed with certified school personnel no later than the end of the first month of reporting for employment for each school year. Amendments approved by the Kentucky Department of Education to local systems of certified personnel evaluation that occur after the end of the certified employees' first school month shall not apply to the employee until the following school year.

#### **Observer Certification**

To ensure consistency of observations, evaluators must complete the state-approved observer certification process for an evaluator who is observing teachers for the purpose of evaluation. The system allows observers to develop a deep understanding of how the four domains of the Kentucky Framework for Teaching are applied in observation. Evaluators must complete an initial 12 hours of training and 6 hours of update training each year.

#### **Evaluators**

The immediate supervisor of the evaluatee shall be designated as the primary evaluator. Tenured and Nontenured teachers and other professionals will be evaluated yearly.

Dual role employees and supervisor will determine the criteria for evaluating the dual role employee within the first 30 days of school.

Administrators will be evaluated annually by the superintendent or by the superintendent's designee.

The superintendent will be evaluated annually by the local school board.

#### **Certified Employees**

Evaluators shall review the evaluation process and instruments within the end of the first month of reporting for employment for each school year.

All evaluations will be completed on board-approved forms, and in district or state approved technology platform where appropriate, and will become part of the official personnel file of the employee.

The evaluatee will be given the opportunity to respond to the evaluation in writing. Any such documentation shall be included in the official personnel record.

Each evaluatee will be provided a written copy of his/her evaluation for his/her personal records.

#### Specific Procedure for Conducting Evaluations

All monitoring or observation of performance of a certified school employee shall be conducted openly. The employee will be informed of data collected through observation or other technique. All evaluations must be on forms approved by the Board of Education (included in this manual).

#### **Corrective Action Plan**

A plan developed by either the certified staff member and/or the supervisor for changes in behavior either during the summative conference or when an immediate change in behavior by the certified staff member is needed.

It is important to note that the Corrective Action Plan may be initiated by the evaluator or supervisor at any point when a change in behavior is required, even if the certified staff member is not currently in the evaluation cycle.

A Corrective Action Plan must be developed whenever the evaluatee receives an "Ineffective" on the Summative Evaluation. All Corrective Action Plans become a part of the employee's personnel records.

#### Appeals/Hearings

#### **Evaluation Appeals Panel**

An Evaluation Appeals Panel shall be established in accordance with KRS Chapter 156 and 704 KAR 3:345.

#### **Panel Members and Alternates**

Under procedures developed by the evaluation committee, a three (3) member panel shall be established to hear certified staff appeals from summative evaluations as required by law.

The duties of the evaluation appeals panel shall be limited to reviewing the summative evaluation of any certified employee who receives an "Ineffective" on a majority of the performance standards.

Two (2) members of the panel shall be elected by and from the certified employees of the District. Two (2) alternates shall also be elected by and from the certified employees, to serve in the event an elected member cannot serve. The Board shall appoint one (1) certified employee and one (1) alternate certified employee to the panel. All regular panel members and alternates shall be certified employees of the District at the time of appointment or election and during their term of office.

Panel members and their alternates shall serve without compensation. The terms of panel members and their alternates shall be for three (3) years and run from July 1 to June 30. Members may be reappointed or re-elected. The chairperson of the panel shall be elected by the three (3) panel members at the first meeting of the panel. Should it become necessary for the chairperson to be represented by an alternate, the three (3) panel members shall elect a temporary chairperson to serve until the regular chairperson is eligible to resume that role.

Alternates shall serve when:

- 1. a panel member is ill
- 2. a panel member is appealing to the panel
- 3. a member of the panel member's immediate family (defined as father, mother, brother, sister, husband, wife, son daughter, uncle, aunt, nephew, niece, grandparent and corresponding in-laws) is appealing to the panel
- 4. a panel member was the evaluator of the appellant.

#### **Appeals**

Pursuant to Board Policy 03.18, any certified employee who believes that s/he was not fairly evaluated on the summative evaluation may appeal to the Evaluation Appeals Panel within five (5) working days of receipt of the summative evaluation. Appeals submitted after the 5-day deadline shall be considered untimely and shall not be reviewed.

Appeals shall be conducted in accordance with the following procedures:

- 1. Both the evaluatee and evaluator shall submit three (3) copies of any appropriate documentation to be reviewed by members of the Appeals Panel in the presence of all three (3) members. Both parties shall be provided an opportunity to review all documentation five (5) days prior to the hearing. The members of the Appeals Panel will be the only persons to review the documentation. All documentation will be located in a secure place in the Central Office except during Appeals Panel meetings. Confidentiality will be maintained. Copies of the documentation shall not be carried away from the established meeting by either party involved or the panel members.
- 2. Arrangements shall be made for the panel to meet to review pertinent documents after the regular school day. The panel shall be permitted to use school facilities and equipment needed to implement its duties.
- 3. The panel will meet, review all documents, discuss and prepare questions to be asked of each party by the Chairperson. Additional questions may be posed by panel members during the hearing.

- 4. The panel will set the time and place for the hearing, and the Chairperson will provide written notification to the appealing employee and his/her evaluator of the date, time and place to appear before the panel to answer questions.
- 5. A chosen representative may be present during the hearing to represent either or both parties.
- 6. For official records, the hearing will be audiotaped and a copy provided to both parties if requested in writing.
- 7. Only panel members, the evaluatee and evaluator, and a chosen representative will be present at the hearing.
- 8. Witnesses may be presented, but will be called one at a time and will not be allowed to observe the proceedings.

#### **Hearings**

The following procedures will be implemented during the hearings:

- 1. The Chairperson of the Appeals Panel will convene the hearing, review procedures and clarify the Panel's responsibilities.
- 2. Each party will be allowed to make a statement of claim. The evaluatee will begin, followed by the evaluator.
- 3. The panel may question the evaluatee and evaluator.
- 4. The Chairperson may disallow materials and/or information to be presented or used in the hearing when he/she determines that such materials and/or information is not relevant to the appeal.
- 5. Each party (evaluator and evaluatee) will be asked to make closing remarks.
- 6. The Chairperson of the panel will make closing remarks.
- 7. The decision of the panel, after sufficiently reviewing all evidence, may include, but not be limited to, the following:
  - a. Upholding all parts of the original evaluation
  - b. Voiding the original evaluation or parts of it
  - c. Ordering a new evaluation by a second certified employee
  - d. Removing the summative evaluation from the personnel file and placing a copy of the panel's written findings in the file
- 8. The chairperson of the panel shall present the panel's decision to the evaluatee, evaluator and the Superintendent within ten (10) working days from the date an appeal is received. In the event that the evaluator is the Superintendent, the Panel's recommendation shall go directly to the Board of Education.
- 9. The Superintendent shall act on the recommendation(s) of the panel. If the Superintendent was the evaluator and the recommendation of the Appeal's Panel was presented to the Board, the Board shall review the Panel's recommendation and render a final decision on the appeal.
- 10. The Superintendent or Board decision, as applicable, the Panel's recommendation and the original summative evaluation form shall be placed in the employee's evaluation file. In the case of a new evaluation, both evaluations shall be included in the employee's personnel file.
- 11. The Panel's decision may be appealed to the Kentucky Department of Education.
- 12. Neither a panel member nor an alternate may hear an appeal filed by his/her immediate supervisor.

#### **Confidentiality of Records**

Personnel evaluation records, specifically the personnel evaluation folder and its contents, will be kept as a part of the employee's personnel file and will be treated with the same confidentiality as other personnel records. During an appeal/hearing, evaluation records will be kept in a secure location designated by the Superintendent.

#### Accessibility

Evaluation records will be accessible only to:

- 1. Members of the District Evaluation Appeals Panel when an employee has appealed his/her summative evaluation to the Panel
- 2. Administrators who supervise, or share the supervision of, the evaluatee. Generally, these administrators will include the Principal/Assistant Principal in the evaluatee's building, the Superintendent and other District level administrative staff members, as designated by the Superintendent
- 3. The Board, if the majority of Board members vote to request such access. Board members shall review evaluation records in a closed Board meeting in the presence of the Superintendent
- 4. Records may be subpoenaed in cases where litigation occurs

#### **Roles and Definitions**

- 1. **Appeals:** A process whereby any certified personnel employee who feels that the local school district failed to properly implement the approved evaluation system can formally disagree with his/her evaluation.
- **2. Artifact:** A product of a certified school personnel's work that demonstrates knowledge and skills.
- 3. Assistant Principal: A certified school personnel who devotes the majority of employed time in the role of assistant principal, for which administrative certification is required by EPSB pursuant to Title 16 KAR Chap 3.
- **4. Certified Administrator:** A certified school personnel, other than principal or assistant principal, who devotes the majority of time in a position for which administrative certification is required by EPSB pursuant to Title 16 1 KAR Chap 3.
- 5. **Certified Evaluation Plan:** means the procedures and forms for evaluation of certified personnel below the level of superintendent developed by and evaluation committee and meeting all requirements of the Kentucky Framework for Personnel Evaluation.
- **6. Certified School Personnel:** A certified employee, below the level of superintendent, who devotes the majority of employed time in a position in a district for which certification is required by EPSB pursuant to Title 16 KAR and includes certified administrators, assistant principals, principals, other professionals, and teachers.
- 7. **Conference:** A meeting between the evaluator and the evaluatee for the purposes of providing feedback, analyzing the results of an observation or observations, reviewing other evidence to determine the evaluatee's accomplishments and areas for growth, and leading to the establishment or revision of a professional growth plan.
- **8. Documentation:** Artifacts created in the day-to-day world of running a school that can provide evidence of meeting the performance standard.
- **9. Evaluatee:** the certified school personnel who is being evaluated.
- **10. Evaluator:** the immediate supervisor/designee of certified personnel, who has satisfactorily completed all required evaluation training, and if evaluating teachers, observation certification training.
- 11. **Evaluator Certification:** means successful completion of certified evaluation training to ensure that certified school personnel who serve as observers of evaluates demonstrate proficiency in rating teachers and other professionals for the purposes of evaluation and feedback.
- **12. Evidence:** documents or demonstrations that indicate proof of a particular descriptor.
- **13. Formative Evaluation:** Is defined by KRS 156.557(1)(a).
- **14. Full Observation:** An observation conducted by a certified observer that is conducted for the length of a full class period or full lesson.
- **15. Individual Corrective Action Plan:** A plan whereby the primary evaluator, with input from the person being evaluated, develops a process to assist the evaluatee to address the deficiencies identified in the evaluation plan as "Ineffective."
- **16. Job Category:** A group or class of certified school personnel positions with closely related functions.

- **17. Kentucky Framework for Personnel Evaluation:** means the statewide framework a school district uses to develop a local certified personnel evaluation system.
- **18. Late Hire**: certified professionals who do not report for work sixty (60) or more consecutive school days.
- **19.** Leave and Absence: See Board Policy
- 20. Local Formative Growth Measures: Is defined by CEP and District
- **21. Mini Observation –** an observation that is conducted during a partial class period.
- **22. Non-Tenured Teacher:** a teacher on a "limited contract." A "limited contract" shall mean a contract for the employment of a teacher for a term of one (1) year only or for that portion of the school year that remains at the time of employment.
- **23. Observation:** a data collection process conducted by a certified observer, in person or through video, for the purpose of evaluation, including notes, professional judgments, and examination of artifacts made during one (1) or more classroom or worksite visits of any duration.
- **24. Other Professionals:** Certified school personnel, except for teachers, administrators, assistant principals, or principals.
- **25. Performance Criteria:** The areas, skills, or outcomes on which certified school personnel are evaluated.
- **26. Performance Rating:** The summative description of a teacher, other professional, principal, or assistant principal evaluatee's performance.
- **27. Post Conference:** A meeting between the evaluator and the certified personnel employee (evaluatee) to provide feedback from the evaluator, analyze results of an observation, review other evidence to determine the evaluatee's accomplishments and areas for growth, and leading to the establishment of a professional growth plan.
- **28. Pre-Conference:** A meeting between the evaluator and the certified personnel (evaluatee) to discuss the upcoming scheduled observation.
- **29. Preschool Teacher:** a certified school personnel who holds a certificate required by 16 KAR 2:040 and who meets the preschool lead teacher qualifications required by 704 KAR 3:410, Section 7.
- **30. Principal:** A certified school personnel who devotes the majority of employed time in the role of principal, for which administrative certification is required by the Education Professional Standards Board pursuant to 16 KAR 3:050.
- 31. Principal Student Growth Criteria: based on school need Interim Assessment data sources can include but not be limited to: Interim assessment data; common imbedded assessment data, overall previous year's state assessment scores, working conditions, gap reduction, and growth.
- **32. Professional Growth:** increased effectiveness resulting from experiences that develop an educator's skills, knowledge, expertise and other characteristics.
- **33. Professional Growth Goal:** measurable goal written by certified employee using established guiding questions and meets the established criteria checklist.
- 34. Professional Growth Plan: An individualized plan for a certified personnel that is focused on improving professional practice and leadership skills, aligned with performance standards and the specific goals and objectives of the school improvement plan or the district improvement plan, built using a variety of sources and types of data that reflect student needs and strengths, evaluatee data, and school and district data, produced in consultation with the evaluator as described in Section 9(1), (2), (3), and (4) and Section 12(1), (2), (3), and (4) of this administrative regulation, and includes: (a) Goals for enrichment and development that are established by the evaluatee in consultation with the evaluator; (b) Objectives or targets aligned to the goals; (c) An action plan for achieving the objectives or targets and a plan for monitoring progress; (d) A method for evaluating success; and (e) The identification, prioritization, and coordination of presently available school and district resources to accomplish the goals.

- **35. Professional Practice:** The demonstration, in the school environment, of the evaluatee's professional knowledge and skill.
- **36. Self-Reflection:** The process by which certified personnel assesses the effectiveness and adequacy of their knowledge and performance for the purpose of identifying areas for professional learning and growth.
- **37. Site Visit:** methods by which superintendents may gain insight into whether principals are meeting the performance standards.
- **38. SMART Goal Criteria:** acronym/criteria for developing Principal student growth goals (Smart, Measurable, Attainable, Realistic, Time-bound)
- **39. Summative Evaluation:** Is defined by KRS 156.557(1)(d).
- **40. Surveys:** tools used to provide information to principals about perception of job performance.
- **41. Teacher:** A certified school personnel who has been assigned the lead responsibility for student learning in a classroom, grade level, subject, or course and holds a teaching certificate under 16 KAR 2:010 or 16 KAR 2:020.
- **TELL Kentucky:** A working conditions survey of all school staff conducted every two years to provide feedback on specific aspects of the school's work environment.
- **43. Tenured Teacher:** A teacher who is on a "continuing contract," shall mean a contract for the employment of a teacher which shall remain in full force and effect until the teacher resigns or retires, or until it is terminated or suspended as provided in KRS 161.790 and 161.800.
- **44. Working Condition's Survey Goal:** a school improvement goal set by a principal or assistant principal every two (2) years with the use of data from the department-approved working conditions survey.

For Additional Definitions and Roles, please see 704KAR 3:370 Professional Growth and Effectiveness System

. Pursuant to KRS.157.577, only certified administrative and supervisory personnel trained, tested, and approved in accordance with administrative regulations adopted by the Kentucky Board of Education and in the use of the school district evaluation system shall evaluate certified personnel. Video recording shall be done at the mutual consent of both parties. Additional administrative personnel may be used to observe and provide information to the primary evaluator. The Superintendent may designate additional trained administrative personnel to provide evaluations, or to review any evaluation.

#### **SECTION 1 - TEACHER**

#### **Certified Evaluation Plan - Certified Teacher**

The vision for the Certified Evaluation Plan is to have every student taught by an effective teacher. The goal is to create a fair and equitable system to measure teacher effectiveness and act as a catalyst for professional growth.

#### The Kentucky Framework for Teaching

The Kentucky Framework for Teaching is designed to support student achievement and professional practice through the domains of Planning and Preparation, Classroom Environment, Instruction, and Professional Responsibilities. The Framework also includes themes such as equity, cultural competence, high expectations, developmental appropriateness, accommodating individual needs effective technology integration, and student assumption of responsibility. It provides structure for feedback for continuous improvement through individual goals that target student and professional growth, thus supporting overall school improvement. Evidence supporting a teacher's professional practice will be situated within one or more of the four domains of the framework.

- Domain 1: Planning and PreparationDomain 2: Classroom Environment
- Domain 3: Instruction
- Domain 4: Professional Responsibilities

Performance will be rated for each component according to four performance levels: Ineffective, Developing, Accomplished, and Exemplary. The summative rating is a holistic representation of performance, combining data from multiple sources of evidence across each domain.

The use of professional judgment based on multiple sources of evidence promotes a holistic and comprehensive analysis of practice, rather than over-reliance on one individual data point or rote calculation of practice based on predetermined formulas. Evaluators also take into account how educators respond to or apply additional supports and resources designed to promote student learning, as well as their own professional growth and development. Finally, professional judgment gives evaluators the flexibility to account for a wide variety of factors related to individual educator performance, such as: school-specific priorities that may drive practice in one domain, an educator's number of goals, experience level and/or leadership opportunities, and contextual variables that may impact the learning environment, such as unanticipated outside events or traumas.

Evaluators must use the following categories of evidence in determining overall ratings:

#### **Required Sources of Evidence**

- Professional Growth Planning
- Self-Reflection
- Observation
- All components and sources of evidence related supporting an educator's professional practice will be completed and recorded in the state/district approved platform.

Teacher Evaluation Timeline					
Evaluation Activity Timeline					
Certified Evaluation Orientation	Must occur within the first thirty (30) calendar days of each school year.				
Self-Reflection Professional Growth Plan	<ul> <li>All teachers reflect on his/her current growth needs based on multiple sources of data and identifies an area or areas for focus.</li> <li>On or before September 30<sup>th</sup>: All teachers complete the self-reflection process and professional growth goal in the</li> </ul>				
	state/district approved platform.				
First Observation Window (First Semester)	Begins the day after the evaluation process has been explained to certified personnel and concludes the end of first semester  Non-Tenured Teacher: One mini observation may be completed by evaluator during this window.  Tenured Teacher: Full observation may occur in the first or second window set by the district.				
Second Observation Window (Second Semester)	Non-Tenured Teacher: One mini observation may occur during the second window and one full observation will be completed by evaluator during this window.     Tenured Teacher: Full observation may occur in the first or second window set by the district.				
Non-Tenured Summative Evaluation Completed	On or before April 30				
Non-Tenured Summative Evaluations to District Contact Person	On or before May 15				
Tenured Summative Evaluation Completed	On or before May 30				
Tenured Summative Evaluations to District Point of Contact	On or before June 10				
Summative Evaluation Appeal	Within 5 working days of the summative conference				
Summative Evaluations to District Contact Person	On or Before May 15				
Summative Self-Reflection	Completed by the summative conference				
Professional Growth Plan Review	<ul> <li>Teachers not on a summative year will meet with primary evaluator by May 31<sup>st</sup>.</li> </ul>				
Corrective Action Plan	As Needed Throughout the Process				

❖ Non-Tenured Teachers shall receive two observations annually, a mini observation in the first or second window and a full observation in the first or second window

#### **Professional Practice**

#### **Professional Growth Planning and Self-Reflection**

The Professional Growth Plan will address realistic, focused, and measurable professional goals. The plan will connect data from multiple sources including classroom observation feedback, data on student growth and achievement, and professional growth needs identified through self-assessment and reflection. In collaboration with the administrators, teachers will identify explicit goals which will drive the focus of professional growth activities, support, and on-going reflection.

Reflective practices and professional growth planning are iterative processes. The teacher (1) reflects on his or her current growth needs based on multiple sources of data and identifies an area or areas for focus; (2) collaborates with his or her administrator to develop a professional growth plan and action steps; (3) implements the plan; (4) regularly reflects on the progress and impact of the plan on his or her professional practice; (5) modifies the plan as appropriate; (6) continues implementation and ongoing reflection; (7) and, finally, conducts a summative reflection on the degree of goal attainment and the implications for next steps. All teachers will participate in self-reflection and professional growth planning each year.

- All teachers will document self-reflection in the state/district approved platform on or before September 30<sup>th</sup>.
- All certified staff hired after the first day of school, will have 30 calendar days from their start date to complete their professional growth plan and self-reflection.
- Teachers in their summative year will continuously self-reflect.
- Professional growth plans shall be completed in the state/district approved platform on or before September 30<sup>th</sup> of each school year and approved annually by the primary evaluator. Professional growth plans shall be aligned with the school/district improvement plans.
- The summative self-reflection and final review of the professional growth plan will be completed at a teacher's summative conference or by May 15<sup>th</sup> if the teacher is not in their summative year.

#### **Professional Practice**

## <u>Self-Reflection and Professional Growth Planning (For Teachers and Other Professionals)</u>

- All teachers and other professionals will participate in on going self-reflection and professional growth planning each year. At minimum, teachers and other professionals will complete an initial self-reflection covering all components and domains and a reflection on the components and domains determined by the evaluator and evaluatee following each observation.
- All teachers and other professionals will complete the self-reflection process in the district approved technology platform prior to the end of the first quarter.

- Professional Growth Goals in the district-approved technology platform shall be completed, in collaboration with the teacher's supervisor, on or before the end of the first quarter of the school year.
- All teachers and other professionals, in collaboration with the primary evaluator, will
  create a professional growth goal and student growth goal in the district approved
  technology platform prior to the end of the first quarter.

#### Observation

The observation process is one source of evidence to determine teacher effectiveness that includes supervisor for each certified teacher. The supervisor observation will provide documentation and feedback to measure the effectiveness of a teacher's professional practice. The supervisor observation will be used to inform calculate a summative rating. The rationale for each observation is to encourage continued professional learning in teaching and learning through critical reflection.

- Observations will be documented in the state/district approved platform.
- Observations will take place for tenured teachers in their summative cycle every three years unless professional practice ratings dictate otherwise.
- Observations for non-tenured teachers shall occur every year.
- In the event of a late hire, the primary evaluator will consult with the district evaluation plan contact to determine an appropriate observation schedule.

#### **Observation Model**

1<sup>st</sup> Window-Immediately after evaluation orientation process has occurred through end of first semester

2<sup>nd</sup> Window- Beginning of the 2<sup>nd</sup> semester through April 15

Teacher Observation Model and Schedule					
Tenured (Summative Year, or Professional Practice Rating warranting Summative)	Non-Tenured				
Within a three-year period, three observations by the administrator. In the summative year the administrator must conduct a full summative evaluation. Observation (both formative and summative) can occur in ANY window.	Annually, the administrator must conduct a total of two observations, one being a mini observation during the first observation window. A full observation must be conducted in the second window.				

- If a non-tenured teacher misses sixty or more consecutive school days within a single school year, a minimum of one full observation will be completed.
- If a tenured teacher misses sixty or more consecutive school days within a single school year, the observation requirements will remain the same as for any other tenured teacher.
- The administrator's full observation of a non-tenured teacher shall occur within the second observation window.

## The administrator's full observation of a tenured teacher can occur within the first or second observation window.

If requested by the teacher, observations by another administrator who has been trained in the state-approved certification program will be provided. The selection of the third-party observer will, if possible, be determined through mutual agreement by evaluator and evaluatee. A teacher who exercises this option shall do so, in writing to the evaluator, by no later than March 15 of the academic year in which the summative evaluation occurs. If the evaluator and evaluatee have not agreed upon the selection of the third-party observer within five (5) working days of the teacher's written request, the evaluator shall select the third-party observer.

Certified employees may request a full evaluation during any year, even though they are not scheduled for a formal evaluation. In addition, the immediate supervisor may elect to evaluate a certified staff member more frequently even though he/she may have continuing contract status.

#### **Observation Conferencing**

Observers will adhere to the following observation conferencing requirements:

- Conduct observation conference within five (5) working days of the observation.
- The summative evaluation conference shall be held at the end of the summative evaluation cycle.

#### **Pre-Conferences**

- A pre-conference shall take place before a full observation. This pre-conference may be face to face, through email, or some other electronic format. For a full observation, the teacher will submit a lesson plan and the pre-conference question form prior to the observation.
- Pre-conferences are not required in any format for other observations unless requested by the teacher and/or evaluator.

#### Post-Conferences

 Post Conferences for observations must occur within 5 working days after the day of the observation. All post conferences will be face to face.

#### **Observer Certification**

- Initial certification must be done face-to-face via approved Initial Certified Evaluation training provider (KASA)
- Six-hour update annually (two options)
  - In district annual certified evaluation update
  - Face-to-face (KASA)

#### **Student Growth**

Teachers will use classroom assessment data, benchmark assessment data, progress monitoring data and/or some other form of data to track and measure student growth throughout the school year.

#### **Determining the Overall Performance Category**

Supervisors are responsible for determining an Overall Performance Category for each teacher at the conclusion of their summative evaluation year. The Overall Performance Category is informed by the educator's ratings on professional practice. The evaluator determines the Overall Performance Category based on professional judgment informed by evidence that demonstrates the educator's performance against the Domains, district-developed rubrics and decision rules that establish a common understanding of performance thresholds to which all educators are held.

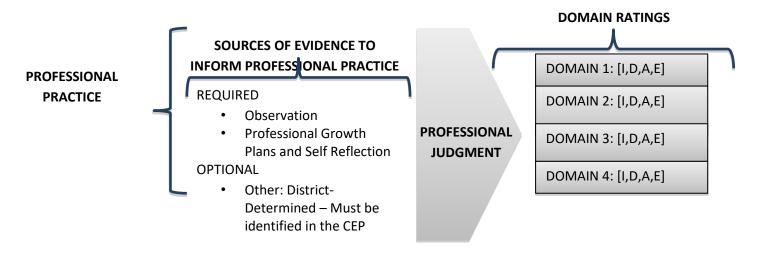
An educator's Overall Performance Category is determined by the following steps:

- 1. Determine the individual domain ratings through the use of sources of evidence and professional judgment.
- 2. Apply State Decisions Rules for determining an educator's Professional Practice.

#### **Rating Professional Practice**

The Kentucky Framework for Teaching stands as the critical rubric for providing educators and evaluators with concrete descriptions of practice associated with specific domains. Each element describes a discrete behavior or related set of behaviors that educators and evaluators can prioritize for evidence-gathering, feedback, and eventually, evaluation. Supervisors will organize and analyze evidence for each individual educator based on these concrete descriptions of practice.

Supervisors and educators will be engaged in ongoing dialogue throughout the evaluation cycle. The process concludes with the evaluator's analysis of evidence and the final assessment of practice in relation to performance described under each Domain at the culmination of an educator's cycle. A summative rating for each domain will be recorded in the district approved technology platform.



#### **Products of Practice/Other Sources of Evidence**

Teachers may provide additional evidences to support assessment of their own professional practice. These evidences should yield information related to the teachers practice within the domains.

- Evaluators will provide a summative rating for each domain based on evidence and professional judgment.
- All ratings must be recorded in district approved technology platform.
- Evaluators will apply state decision rules for determining an educator's professional practice.
   (See Chart Below)
- Teachers may provide additional evidences to support assessment of their own professional practice. These evidences should yield information related to the teacher's practice within the domains. Examples include

	team-developed curriculum units
	lesson plans
	communication logs
	timely, targeted feedback from mini or informal observations
	student data records
	student work
	student formative and/or summative course evaluations/feedback
	minutes from PLCs
	teacher reflections and/or self-reflections
	teacher interviews
	teacher committee or team contributions
	parent engagement surveys
	records of student and/or teacher attendance
	video lessons
	engagement in professional organizations
	action research
П	Other: Describe

**Decision Rules for Rating Professional Practice** 

#### CRITERIA FOR DETERMINING AN EDUCATOR'S PROFESSIONAL PRACTICE RATING THEN... **IF...** Domains 2 and 3 are rated **Professional Practice Rating INEFFECTIVE** shall be INEFFECTIVE **Professional Practice Rating** Domains 2 OR 3 are rated shall be DEVELOPING OR **INEFFECTIVE** INEFFECTIVE Domains 1 OR 4 are rated **Professional Practice Rating INEFFECTIVE** shall NOT be EXEMPLARY Two Domains are rated **Professional Practice Rating** DEVELOPING, and Two shall be ACCOMPLISHED Domains are rated **ACCOMPLISHED Professional Practice Rating** Two Domains are rated **DEVELOPING.** and Two shall be ACCOMPLISHED Domains are rated **EXEMPLARY Professional Practice Rating** Two Domains are rated ACCOMPLISHED, and Two shall be EXEMPLARY Domains are rated **EXEMPLARY**

#### **Professional Growth Plan and Summative Cycle**

The Overall Performance Category, in combination with trends of multiple measures, will be used to determine the educator's summative cycle and growth plan.

#### NON-TENURED TEACHERS

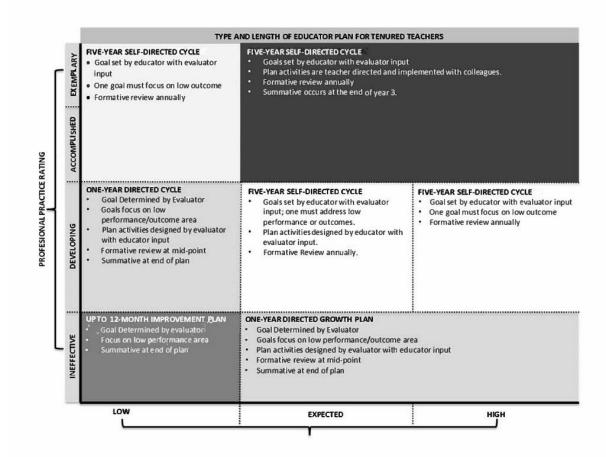
- Non-tenured teachers shall be evaluated annually
- Non-tenured teachers shall have a yearly Professional Growth Plan; growth plan activities shall be designed by the evaluatee with evaluator input.

#### **TENURED TEACHERS**

 Based on the overall Professional Practice rating, supervisors shall assist tenured teachers to determine the type of Professional Growth Plan and the length of the summative cycle. The following matrix details the type and length of the plan for tenured teachers based on ratings.

<sup>\*</sup>Evaluator will use professional judgment, in addition to the final evidence, to make final rating determination.

• For tenured teachers, evaluation cycles are determined by the growth plan matrix as identified in the following chart.



#### **Corrective Action Plan**

The individual corrective action plan shall be written upon the determination of an "Ineffective" domain rating in the evaluation plan cycle or any time an immediate change is required in teacher behavior or practice. The evaluator shall direct the individual corrective action plan with input from the evaluatee. The plan shall address the ineffective teacher practices through objectives, procedures, and activities (including support personnel), appraisal methods, and target dates for completion. See "Forms" section of the CEP for more details.

#### **Summative Evaluation Appeal**

Any certified employee who feels he/she was not fairly evaluated on the summative evaluation based on the District's criteria may appeal to the Evaluation Appeals Committee. The completed form shall be completed, signed, dated and submitted to the primary evaluator within five (5) working days of the summative conference. The employee should retain a copy of the form for his/her personal records. The primary evaluator shall file all original response forms in the employee's personnel file. The primary evaluator shall submit a copy of the appeal response to the district certified evaluation contact within 2 working days of the response. The Summative Evaluation Appeal form is located in the "Forms" section of the CEP.

## **Teacher Forms**



#### Dayton Independent Schools Teacher Self-Reflection Template

**Evaluatee:** Click here to enter text. **School Year:** Choose an item.

#### **DOMAIN 1: PLANNING AND PREPARATION**

Component		Self-Assessment			
		D	A	E	
1a: Knowledge of content/pedagogy					
1b: Demonstrate knowledge of students					
1c: Setting Instructional Outcomes					
1d: Demonstrates knowledge of resources					
1e: Designing Coherent Instruction					
1f: Designing Student Assessment					

#### **Rationale for Domain 1**

Click here to enter text.

#### **DOMAIN 2: CLASSROOM ENVIRONMENT**

Component		Self-Assessment				
		D	A	Е		
2a: Creating Environment of Respect & Rapport						
2b: Establish Culture of Learning						
2c: Maintaining Classroom Procedures						
2d: Managing Student Behavior						
2e: Organizing Physical Space						

#### **Rationale for Domain 2**

Click here to enter text.

**DOMAIN 3: INSTRUCTION** 

Component		Self-Assessment				
		D	A	Е		
3a: Communicating with Students						
3b: Questioning & Discussion Techniques						
3c: Engaging Students in Learning						
3d: Using Assessment in Learning						
3e: Demonstrating Flexibility & Responsive						

#### **Rationale for Domain 3**

Click here to enter text.

**DOMAIN 4: PROFESSIONAL REPSONSIBILITIES** 

Component		Self-Assessment			
		D	A	Е	
4a: Reflecting On Teaching					
4b: Maintaining Accurate Records					
4c: Communicating With Families					
4d: Participating in Professional Learning Community					
4e: Growing & Developing Professionally					
4f: Showing Professionalism					

#### **Rationale for Domain 4**

Click here to enter text.

## Dayton Independent Schools Teacher Professional Growth Plan Template

**Evaluatee:** Click here to enter text. **School Year:** Choose an item.

#### **Guiding Questions for Goal Development**

#### 1. Professional Practice

What do I want to change about my practice that will positively impact student learning?

Click here to enter text.

## **2. Connecting Priority Growth Needs to Professional Growth Planning** Please select one or more areas that show how your goal connects with a priority

area of need.

☐ Self-Reflection

☐ Student Growth Goals
□ Observations
☐ Student Voice
☐ Framework for Teaching
$\square$ Comprehensive District or School Improvement Plan
3. Component Alignment
☐ 1a: Knowledge of content/pedagogy
☐ 1b: Demonstrate knowledge of students
☐ 1c: Setting Instructional Outcomes
☐ 1d: Demonstrates knowledge of resources
☐ 1e: Designing Coherent Instruction
☐ 1f: Designing Student Assessment
☐ 2a: Creating Environment of Respect & Rapport
☐ 2b: Establish Culture of Learning
☐ 2c: Maintaining Classroom Procedures
☐ 2d: Managing Student Behavior
☐ 2e: Organizing Physical Space
☐ 3a: Communicating with Students
☐ 3b: Questioning & Discussion Techniques
☐ 3c: Engaging Students in Learning
☐ 3d: Using Assessment in Learning
☐ 3e: Demonstrating Flexibility & Responsive
☐ 4a: Reflecting On Teaching

<ul> <li>□ 4b: Maintaining Accurate Records</li> <li>□ 4c: Communicating With Families</li> <li>□ 4d: Participating in Professional Learning Community</li> <li>□ 4e: Growing &amp; Developing Professionally</li> <li>□ 4f: Showing Professionalism</li> </ul>	
<b>4. Professional Learning</b> What personal learning is necessary to make that change?	
Click here to enter text.	
5. Monitoring Progress  How will I monitor my progress towards my goal? (Student Da Feedback, Unit Lesson Plans, Video-taped Lessons)	ita, Student
Click here to enter text.	
Action Plan	
Action Plan What is my plan of action to advance my professional growth?	
Click here to enter a date.	
Support and Resources What resources and/or support do I need to achieve my goal?	
Click here to enter text.	
<b>Target Completion Date</b> What is my target completion date for achievement of my goal?	
Choose an item.	
Signatures - Upon Approval of Professional Growth Plan by Prim	ary Evaluator
Evaluator's Signature	Date
Evaluatee's Signature	Date

#### **End of Year Reflection**

#### **Professional Learning Update**

List the professional learning activities related to your goal that you have completed at this time.

Click here to enter text.

#### **Instructional Changes**

Describe the instructional changes you have made as a result of each professional learning activity listed.

Click here to enter text.

#### **Professional Learning Impact**

Describe the impact the professional learning activities have had on student learning.

Click here to enter text.

End	l of	Y	Par	Sta	tus
ши	·		-uı	Ju	LUJ

Select the status of your goal.

$\square$ Achieved	□ Revised	$\square$ Continued	
Evaluator's Cignature			
Evaluator's Signature		Date	
Evaluatee's Signature		 Date	

Dayton Independent Schools Pre-Conference Document					
Teacher Name:	School:				
Grade Level:	Subject:				
Date of Conference:	Date of Observation:				
Questions for Discussion	Notes:				
<ol> <li>What is your identified student learning target?</li> </ol>					
2. To which part of your curriculum does this lesson relate?					
3. How does this learning fit in the sequence of learning for this class?					
<ol> <li>Briefly describe the students in this class, including those with special needs.</li> </ol>					
5. How will you engage students in the learning? What will you do? What will the students be in groups, work individually, or as a large group? Provide any materials that students will be using.					
6. How will you differentiate instruction for individuals or groups of students?					
7. How and when will you know whether the students have achieved the learning target?					
8. Is there anything that you would like me to specifically observe during the lesson?					

## **Dayton Independent Schools Teacher Evaluation Template**

**Evaluatee:** Click here to enter text. **School Year:** Choose an item.

**Evaluator:** Choose an item. **Evaluation Type:** Choose an item.

#### **DOMAIN 1: PLANNING AND PREPARATION**

Component		Performance Levels					
Component	1	D	Α	E	N/A		
1a: Knowledge of content/pedagogy							
1b: Demonstrate knowledge of students							
1c: Setting Instructional Outcomes							
1d: Demonstrates knowledge of resources							
1e: Designing Coherent Instruction							
1f: Designing Student Assessment							

#### **Rationale for Domain 1: Planning and Preparation**

Click here to enter text.

#### **DOMAIN 2: CLASSROOM ENVIRONMENT**

Commonant	Performance Levels						
Component		D	Α	Е	N/A		
2a: Creating Environment of Respect & Rapport							
2b: Establish Culture of Learning							
2c: Maintaining Classroom Procedures							
2d: Managing Student Behavior							
2e: Organizing Physical Space							

#### **Rationale for Domain 2: Classroom Environment**

Click here to enter text.

#### **DOMAIN 3: INSTRUCTION**

Component		Performance Levels					
Component	1	D	Α	Е	N/A		
3a: Communicating with Students							

3b: Questioning & Discussion Techniques			
3c: Engaging Students in Learning			
3d: Using Assessment in Learning			
3e: Demonstrating Flexibility & Responsive			

#### **Rationale for Domain 3: Instruction**

Click here to enter text.

**DOMAIN 4: PROFESSIONAL RESPONSIBILITIES** 

Commonant	Self-Assessment					
Component	ı	D	А	Е	N/A	
4a: Reflecting On Teaching						
4b: Maintaining Accurate Records						
4c: Communicating With Families						
4d: Participating in Professional Learning Community						
4e: Growing & Developing Professionally						
4f: Showing Professionalism						

#### **Rationale for Domain 4: Professional Responsibilities**

Click here to enter text.

#### **Summary of Formative Performance**

Additional Notes: Click	here to enter text.
Evaluatee's Name:	
Evaluatee's Signature	
Evaluator's Name:	
Evaluator's Signature:	
Date:	

# Dayton Independent Schools Summative Performance Review Certified School Personnel

Evaluatee:						
Position:						
School:						
Evaluator:						
Date(s) of Observation(s):						
Date(s) of Conference(s):						
G: 1 1				Ra	ting	
Standard			I	D	A	E
1. Planning and Preparation						
2. Classroom Environment/E Environment	nvironment/1	The Library				
3. Instruction/Delivery of Ser	vice					
4. Professional Responsibiliti						
<b>Professional Practice Rating</b>						
Employment Rec	ommendatio	n to the Centra	al Office	e		
☐ Meets standards for re-employm	ent					
☐ Does not meet standards for re-e	employment					
Evaluator Comments: Click here to enter text.						
Evaluator's Name:		_				
Evaluator's Signature:				-		
Date:						

Evaluatee Comments: Click here to enter text. (May also be attached)

(Signature of evaluatee denotes receipt of the summative evaluation, not necessarily agreement with the contents of the form.)

Certified employees must make their appeals to this summative evaluation within the time frames mandated in 704 KAR 3:345 Sections 7, 8, 9 and the local district certified evaluation plan.

## **Evaluation Appeal Form for Teachers**

This form is to be used by certified employees who wish to appeal their performance evaluations to the Appeal Panel.

e Address		
	Location	Crade or Department
ion	Location	Grade or Department
	<del></del>	<del></del>
If additional sp	pace is needed, attach extra	a sheet.
Date you rece	ived the summative evalua	tion
•		,
Name of Evalu	uator	Date
		on records to be presented to the member
of the Evaluati		tudy and review. I will appear before the

#### COMPLETING THE INDIVIDUAL CORRECTIVE ACTION PLAN

This plan is to be completed by the evaluator (with discussion and assistance from the evaluatee) as it relates to an "ineffective" rating on any one **Domain** or when an immediate change in behavior or practice is needed. The evaluator and evaluatee must identify corrective action goals and objectives; procedures and activities designed to achieve the goals; and targeted dates for appraising the evaluatee's improvement of the domain(s) or behavior or practice. It is the evaluator's responsibility to document all actions taken to assist the evaluatee in improving his/her performance.

#### 1. <u>Domain Number/Immediate Change in Behavior or Practice</u>

Identify the specific component(s) that has an "ineffective" rating assigned, or indicate the immediate change required in behavior or practice.

#### 2. Objectives

Objectives must address the specific domain(s) rated as "ineffective" or the immediate change in behavior or practice. The evaluatee and evaluator work closely to correct the identified weaknesses.

#### 3. Strategies

Identify and design specific strategies for the improvement of performance. Include support personnel, when appropriate.

#### 4. Assessment Method and Target Dates

List the specific target dates and appraisal methods used to determine improvement of performance. Exact documentation and record keeping of all actions must be provided to the evaluatee.

5. Documentation of all reviews, corrective actions, and evaluator's assistance must be provided periodically (as they occur) to the evaluatee.

SCHOOL	<b>YEAR</b>						

#### **DAYTON INDEPENDENT SCHOOLS**

#### **Corrective Action Plan**

Name:		Date:		
Area of Concern	Growth Objective(s)/Goal(s) (Describe desired outcomes)	Procedure and Activities for Achie Goal(s)/Objectives(s) (including support personnel)	Appraisal Method and Target Dates	
			dd additional pages if eded	
Date of Expected Correct	ion:Immediate	Within 30 days	_Other (specify below)	i
Corrective Action Plan I	Developed:	Corrective Action Plan Review  Status: MET	ved: _NOT MET	
Evaluatee's Signature	 Date	CONTINUE  Evaluatee's Signature	Date	
Evaluator's Signature	Date	Evaluator's Signature	Date	

#### **SECTION 2 – OTHER PROFESSIONALS**

(Other Professionals identified as: Library Media Specialist, Speech Therapist, Guidance Counselor, Instructional Coaches, and School Psychologists)

#### Other Professionals

Other professionals are an essential part of the educational process. The goals of CEP are for every student to be taught by an effective educator. Other Professionals is a portion of the CEP system designed for other professionals who have a nontraditional educational setting.

#### The Kentucky Framework for Other Professionals

The Frameworks for Teaching for Other Professionals are research-based sets of components of instruction that are grounded in a constructivist view of learning and teaching. The complex activity of teaching is divided into multiple standards clustered into four domains of teaching responsibility:

- Domain 1: Planning and Preparation
- Domain 2: The Environment
- Domain 3: Instruction/Delivery of Service
- Domain 4: Professional Responsibilities

Frameworks for Teaching for Other Professionals are designed for the unique professional responsibilities of these specialists.

Performance will be rated for each component according to four performance levels: Ineffective, Developing, Accomplished, and Exemplary. The summative rating will be a holistic representation of performance, combining data from multiple sources of evidence across each domain.

The use of professional judgment based on multiple sources of evidence promotes a more holistic and comprehensive analysis of practice, rather than over-reliance on one individual data point or rote calculation of practice based on predetermined formulas. Evaluators will also take into account how educators respond to or apply additional supports and resources designed to promote student learning, as well as their own professional growth and development. Finally, professional judgment gives evaluators the flexibility to account for a wide variety of factors related to individual educator performance, such as: school-specific priorities that may drive practice in one domain, an educator's number of goals, experience level and/or leadership opportunities, and contextual variables that may impact the learning environment, such as unanticipated outside events or traumas.

Other Professionals Overview				
Evaluation Activity	Timeline			
Certified Evaluation Orientation	Must occur within the first thirty (30) calendar days of each school year.			
Self-Reflection	All Other Professionals reflect on his/her current growth needs based on multiple sources of data and identifies an area or areas for focus.			
Professional Growth Plan	On or before September 30 <sup>th</sup> : All Other Professionals complete the self-reflection process and professional growth goal in the state/district approved platform.			
First Observation Window (First Semester)	Begins the day after the evaluation process has been explained to certified personnel and concludes the end of first semester  • Non-Tenured Other Professional: One mini observation may be completed by evaluator during this window.  • *Tenured Other Professional: Full observation may occur in the first or second window set by the district.			
Second Observation Window (Second Semester)	Non-Tenured Other Professional: One mini observation may occur during the second window and one full observation will be completed by evaluator during this window.     Tenured Other Professional: Full observation may occur in the first or second window set by the district.			
Non-Tenured Summative Evaluation Completed	On or before April 30			
Non-Tenured Summative Evaluations to District Contact Person	On or before May 15			
Tenured Summative Evaluation Completed	On or before May 30			
Tenured Summative Evaluations to District Point of Contact	On or before June 10			
Summative Evaluation Appeal	Within 5 working days of the summative conference			
Summative Evaluations to District Contact Person	On or Before May 15			
Summative Self-Reflection	Completed by the summative conference			
Professional Growth Plan Review Student Growth Goal	<ul> <li>Teachers not on a summative year will meet with primary evaluator by May 31<sup>st</sup>.</li> </ul>			
Corrective Action Plan	As Needed Throughout the Process			

Evaluators must use the following categories of evidence in determining overall ratings:

#### **Required Sources of Evidence**

- Professional Growth Planning and Self-Reflection
- Observation

All components and sources of evidence related supporting an educator's professional practice approved platform.

❖ Final Summative Ratings shall be entered into approved on / or before June 15th

#### **Professional Practice**

#### **Professional Growth Planning and Self-Reflection**

The Professional Growth Plan will address realistic, focused, and measurable professional goals. The plan will connect data from multiple sources including observation feedback, data on student growth and achievement, and professional growth needs identified through self-assessment and reflection. In collaboration with the primary evaluator, professionals will identify explicit goals which will drive the focus of professional growth activities, support, and on-going reflection.

Reflective practices and professional growth planning are iterative processes. The professional (1) reflects on his or her current growth needs based on multiple sources of data and identifies an area or areas for focus; (2) collaborates with his or her evaluator to develop a professional growth plan and action steps; (3) implements the plan; (4) regularly reflects on the progress and impact of the plan on his or her professional practice; (5) modifies the plan as appropriate; (6) continues implementation and ongoing reflection; (7) and, finally, conducts a summative reflection on the degree of goal attainment and the implications for next steps.

- Other Professionals shall complete the self-reflection and professional growth goal in the state/district approved platform on or before September 30<sup>th</sup> of each school year.
- In the event of a late hire, the primary evaluator will consult with the district evaluation plan contact to determine the timeline for completing the self-reflection and professional growth plan.
- Other Professionals in their summative cycle will continuously self-reflect.
- Professional Growth Plans shall be aligned with school/district improvement plans.
- The summative self-reflection and final review of the professional growth plan will be completed at a professional's summative conference or by May 15<sup>th</sup> if the professional is not in their summative year.

#### **Observation/Workplace Visit**

Observation is one source of evidence that contributes to an educator's Overall Professional Practice Rating. For some categories of Other Professionals, observations may look more like a workplace visit to meet the confidentiality needs of their work. All observations will include a post-observation conference where Other Professionals and primary evaluators will have a conversation about how the evidence collected during the observation aligns with the Kentucky Framework for Teaching-Specialist Frameworks. The power of observation lies in its ability to provide feedback and analytical reflection necessary for Other Professionals to make intentional changes to their professional practices.

All observations must be documented in the state/district approved platform.

- In the event of a late hire, the primary evaluator will consult with the district certified evaluation plan contact to determine the observation schedule.
- All observations must be scheduled and announced.
- The evaluatee will have an opportunity to respond to the evaluation in written form and shall be included in the personnel file.

#### **Observation Conferencing**

Observers will adhere to the following observation conferencing requirements:

- Conduct observation conference within five (5) working days of the observation.
- The summative evaluation conference shall be held at the end of the summative evaluation cycle.

#### **Pre-Conferences**

- A pre-conference shall take place before a full observation. This pre-conference may be face to face, through email, or some other electronic format. For a full observation, the teacher will submit a lesson plan and the pre-conference question form prior to the observation.
- Pre-conferences are not required in any format for other observations unless requested by the teacher and/or evaluator.

#### Post-Conferences

 Post Conferences for observations must occur within 5 working days after the day of the observation. All post conferences will be face to face.

1<sup>st</sup> Window-Immediately after evaluation orientation process has occurred through end of first semester

2<sup>nd</sup> Window-5 days after beginning of 2<sup>nd</sup> semester through March 30th

OP Observation Model and Schedule	
OP Tenured	Non-Tenured
Within a three-year period, three observations by the administrator. In the summative year, the administrator must conduct summative evaluation.	Annually, the administrator must conduct a total of two observations, one being a mini observation during the first observation window. A full observation must be conducted in the second window.

- If a non-tenured other professional misses sixty or more consecutive school days within a single school year, a minimum of one full observation will be completed.
- If a tenured other professional misses sixty or more consecutive school days within a single school year, the observation requirements will remain the same as for any other tenured other professional.
- The administrator's full observation of a non-tenured other professional shall occur within any observation window.

- If requested by the other professional, observations by another administrator who has been trained in the state-approved certification program will be provided. The selection of the third-party observer will, if possible, be determined through mutual agreement by evaluator and evaluatee. An other professional who exercises this option shall do so, in writing to the evaluator, by no later than March 15 of the academic year in which the summative evaluation occurs. If the evaluator and evaluatee have not agreed upon the selection of the third-party observer within five (5) working days of the other professional's written request, the evaluator shall select the third-party observer.
- Certified employees may request a full evaluation during any year, even though they are not scheduled for a formal evaluation. In addition, the immediate supervisor may elect to evaluate a certified staff member more frequently even though he/she may have continuing contract status.

#### **Determining the Overall Performance Category**

Supervisors are responsible for determining an Overall Performance Category for each professional at the conclusion of their summative evaluation year. The Overall Performance Category is informed by the professional's ratings on professional practice. The evaluator determines the Overall Performance Category based on professional judgment informed by evidence that demonstrates the professional's performance against the Domains and decision rules that establish a common understanding of performance thresholds to which all professionals are held.

#### **Rating Professional Practice**

The Kentucky Frameworks for other professionals stand as the critical rubrics for providing educators and evaluators with concrete descriptions of practice associated with specific domains. Each element describes a discrete behavior or related set of behaviors that educators and evaluators can prioritize for evidence-gathering, feedback, and eventually, evaluation. Supervisors will organize and analyze evidence for each individual professional based on these concrete descriptions of practice.

Supervisors and professionals will be engaged in ongoing dialogue throughout the evaluation cycle. The process concludes with the evaluator's analysis of evidence and the final assessment of practice in relation to performance described under each Domain at the culmination of a professional's cycle.

- Evaluators will provide a summative rating for each domain based on evidence and professional judgment.
- Professionals may provide additional evidences to support assessment of their professional practice. These evidences should yield information related to the professional's practice within the domains. Examples include:
  - lesson plans
  - communication logs
  - timely, targeted feedback from mini or informal observations

- student data records
- student work
- student feedback
- minutes from PLCs
- reflections and/or self-reflections
- committee or team contributions
- parent engagement surveys
- records of student and/or professional attendance
- video lessons
- engagement in professional organizations
- action research
- All ratings must be recorded in the state/district approved platform.
- Evaluators will apply state decision rules for determining a professional's professional practice. (See chart below.)

CRITERIA FOR DETERMINING AN EDUCATOR'S PROFESSIONAL PRACTICE RATING										
IF	THEN									
Domains 2 and 3 are rated INEFFECTIVE	Professional Practice Rating shall be INEFFECTIVE									
Domains 2 OR 3 are rated INEFFECTIVE	Professional Practice Rating shall be DEVELOPING OR INEFFECTIVE									
Domains 1 OR 4 are rated INEFFECTIVE	Professional Practice Rating shall NOT be EXEMPLARY									
Two Domains are rated DEVELOPING, and Two Domains are rated ACCOMPLISHED	Professional Practice Rating shall be ACCOMPLISHED									
Two Domains are rated DEVELOPING, and Two Domains are rated EXEMPLARY	Professional Practice Rating shall be ACCOMPLISHED									
Two Domains are rated ACCOMPLISHED, and Two Domains are rated EXEMPLARY	Professional Practice Rating shall be EXEMPLARY									

#### **Professional Growth Plan and Summative Cycle**

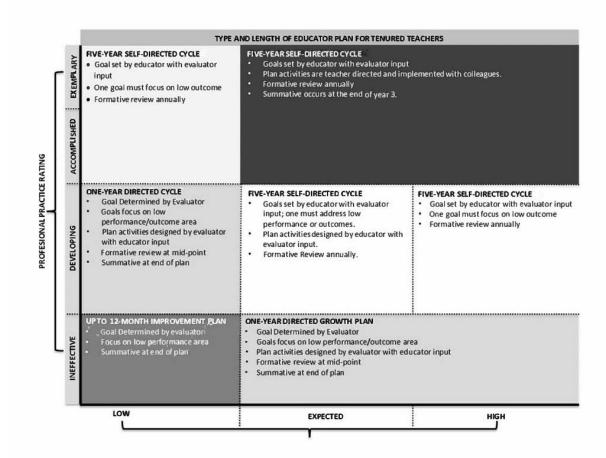
The Overall Performance Category, in combination with trends of multiple measures, will be used to determine the educator's summative cycle and growth plan.

#### NON-TENURED OTHER PROFESSIONALS

- Non-tenured other professionals shall be evaluated annually
- Non-tenured other professionals shall have a yearly Professional Growth Plan; growth plan activities shall be designed by the evaluatee with evaluator input.

#### TENURED OTHER PROFESSIONALS

- Based on the overall Professional Practice rating, supervisors shall assist tenured teachers to determine the type of Professional Growth Plan and the length of the summative cycle. The following matrix details the type and length of the plan for tenured other professionals based on ratings.
- For tenured other professionals, evaluation cycles are determined by the growth plan matrix as identified in the following chart.



#### **Corrective Action Plan**

The individual corrective action plan shall be written upon the determination of an "Ineffective" domain rating in the evaluation plan cycle or when an immediate change is required in behavior or practice. The evaluator shall direct the individual corrective action plan with input from the evaluatee. The plan shall address the ineffective practices through objectives, procedures and activities (including support personnel), appraisal methods, and target dates for completion. See Forms Section of the CEP for more details

#### **Summative Evaluation Appeal**

Any certified employee who desires to respond to the evaluation process may complete the Summative Evaluation Appeal form. The completed form shall be signed, dated and submitted to the primary evaluator within 5 working days of the summative conference. The employee should retain a copy of the form for his/her personal records. The primary evaluator shall file all original response forms in the employee's personnel file. The primary evaluator shall submit a copy of the appeal response to the district certified employee evaluation contact within 2 working days of receipt of the response. The Summative Evaluation Appeal form is located in the forms section of this plan.

#### **Self-reflection and Professional Growth Planning**

Only reflect on those domains and indicators from your individual observation instrument that are related to on-going self-reflection for the development of your PGP. This is done in collaboration with your primary evaluator.

# **Other Professional Forms**



# **Guidance Counselor Observation Instrument**

**Directions:** Highlight a rating for each component and then match evidence from your observation notes to explain the rating for each component. Text boxes expand to accommodate multiple evidence examples.

Guidance Counselor: Click here to enter text. School: Click here to enter

text.

Component:		Rai	ing.		Evidence.
<b>1A</b> - Demonstrating knowledge of counseling theory and techniques	I	D	Α	E	
<b>1B</b> - Demonstrating knowledge of child and adolescent development	I	D	Α	E	
<b>1C</b> - Establishing goals for the counseling program appropriate to the setting and the students served	Ι	D	Α	E	
<b>1D</b> - Demonstrating knowledge of state and federal regulations and of resources both within and beyond the school and district	I	D	A	Е	
<b>1E</b> - Plan in the counseling program integrated with the regular school program	Ι	D	Α	E	
<b>1F</b> - Developing a plan to evaluate the counseling program	I	D	Α	E	
2A - Creating an environment of respect   I   D   A and rapport	E				

<b>2A</b> - Creating an environment of respect and rapport	Ī	D	Α	E	
<b>2B</b> - Establishing a culture for productive communication	I	D	Α	E	
2C - Managing routines and procedures	I	D	Α	E	
<b>2D</b> - Establishing standards of conduct and contributing to the culture for student behavior throughout the school.	_	D	A	ш	
2E - Organizing physical space	Ι	D	Α	Ш	

								·					
<b>3A</b> – Assessing student needs								D	Α	Е			
<b>3B</b> - Assisting students and teachers in the formulation of academic personal social and career plans based on knowledge of student needs								D	A	E			
<b>3C</b> - Using counseling text makes an individual and classroom programs							I	D	A	Е			
<b>3D</b> - Brokering resou	BD - Brokering resources to meet needs								Α	Е			
<b>3E</b> - Demonstrating t	flexibilit	y and i	respons	sivene	ess		I	D	A	Е			
									<u>'</u>				
<b>4A</b> - Reflecting on pr					I	D	Α	E					
<b>4B</b> - Maintaining records and submitting them in a timely fashion					I	D	Α	E					
4C - Communicating	with fa	milies			I	D	Α	Е					
<b>4D</b> - Participating in a community	a profe	ssiona	l		I	D	Α	Е					
4E - Engaging in pro	fession	al dev	elopmeı	nt	I	D	Α	Е					
<b>4F</b> - Showing profess	sionalis	m			I	D	Α	Е					
Complete as a			1						ı				
Window 1	NT/T	Full	Mini	Sumi	mativ	'e							
Window 2													
Comments:	l		1										

Evaluatee's Signature/Date	Evaluator's Signature/Date
The Signatures below indicate the evaluate content of this form.	ee and evaluator have reviewed and discussed the

# **Media Specialist Observation Instrument**

**Directions**: Completed by principal. Highlight a rating for each component and then match evidence from your observation notes to explain the rating for each component. Text boxes expand to accommodate multiple evidence examples.

**Library Media Specialist**: Click here to enter text. **School**: Click here to enter text.

**Evaluator:** Click here to enter text. **Date**: Click here to enter text.

Component:		Raf	ting:		Evidence:
<b>1A</b> - Demonstrating Knowledge of Content Curriculum and Process	I	D	A	E	
<b>1B</b> - Demonstrating Knowledge of Students	I	D	Α	E	
1C- Supporting Instructional Goals	I	D	Α	E	
<b>1D</b> - Demonstrating Knowledge and Use of Resources	I	D	А	Е	
<b>1E</b> - Demonstrating a Knowledge of Literature and Lifelong Learning	I	D	А	Е	
<b>1F</b> - Collaborating in the Design of Instructional Experiences	I	D	А	Е	
	<del></del>	<del></del>	<u>—</u>		
<b>2A</b> - Creating an environment of respect and rapport	I	D	A	E	
2B - Establishing a Culture for Learning	I	D	Α	Е	
2C - Managing Library Procedures	I	D	Α	E	
2D - Managing student behavior	I	D	А	E	
2E - Organizing physical space	I	D	Α	E	
			: <u></u>		
3A - Communicating Clearly and Accurately	T	D	Α	E	
<b>3B</b> - Using Questioning and Research Techniques	ı	D	A	Е	
<b>3C</b> - Engaging Students in Learning	I	D	Α	Е	

<b>3D</b> - Assessment in Instruction (whole class, one- on-one and small group)	I	D	Α		≡					
<b>3E</b> - Demonstrating Flexibility and Responsiveness	I	D	) A		Ξ					
<b>4A</b> - Reflecting on Practice		I	D	Α	E					
4B - Maintaining Accurate Records		I	D	Α	Е					
<b>4C</b> - Communicating with School Staff and Community		Ι	D	A	E					
<b>4D</b> - Participating in a Professional Community		I	D	Α	Е					
<b>4E</b> - Growing and Developing Professionally		I	D	Α	Е					
<b>4F</b> - Collection Development and Maintenance		I	D	Α	Е					
4G - Managing the Library Budget		I	D	Α	Е					
4H - Managing Personnel		I	D	Α	Е					
4I - Professional ethics		I	D	Α	Е					
Complete as appropriate:										
Window 1 Window 2 Mini Su	mma	itive								
The Signatures below indicate the evaluatee and evaluator have reviewed and discussed the content of this form.										
Evaluatee's Signature Date Evaluator's Signature Date										

# **Speech Therapist Observation Instrument**

**Directions:** Highlight a rating for each component and then match evidence from your observation notes to explain the rating for each component. Text boxes expand to accommodate multiple evidence examples.

Therapeutic Specialist: Click here to enter text. School: Click here to enter

Rating:

text.

Component:

**Evaluator:** Click here to enter text. **Date:** Click here to enter text.

1A - Demonstrating knowledge and skill in the specialisttherapy area holding the relevantcertificate or license	I	D	A	E	
<b>1B</b> - Establishing goals for the therapy program appropriate to the setting and the students served	I	D	A	E	
<b>1C-</b> Demonstrating knowledge of District state and federal regulations and guidelines	I	D	A	E	
1D -Demonstrating knowledge of resources both within and beyond the school and district	I	D	A	E	
<b>1E-</b> Planning the therapy program integrated with the regular school program to meet the needs of individual students	I	D	A	E	
1F - Developing a plan to evaluate the therapy program	I	D	Α	E	
2A – Establishing rapport with students	I	D	Α	E	
2B - Organizing time effectively	I	D	Α	Е	
<b>2C</b> - Establishing and maintaining clear procedures for referrals	I	D	A	ш	
<b>2D</b> - Establishing standards of conduct in the treatment center	I	D	A	ш	
<b>2E</b> - Organizing physical space for testing of students and providing therapy	I	D	Α	E	

**Evidence:** 

3A - Responding to referrals and evaluati student needs	ng								
student needs		I	D	Α	Е				
<ul><li>3B - Developing and implementing treatr</li><li>plans to maximize student s success</li></ul>	nent	I	D	Α	E				
3C - Communicating with families		I	D	Α	E				
3D - Collecting information; writing report	ts	I	D	Α	E				
<b>3E</b> - Demonstrating flexibility and responsiveness		I	D	A	E				
4A - Reflecting on practice		I	D	Α	Е				
4B - Collaborating with teachers and administrators		I	D	Α	E				
<b>4C -</b> Maintaining an effective data management system		I	D	Α	E				
<b>4D</b> - Participating in a professional community	. •								
4E - Engaging in professional developme	nt	I	D	Α	Е				
<ul> <li>4F - Showing professionalism including integrity advocacy and maintaining confidentiality</li> </ul>		ı	D	A	Е				
Complete as appropriate:			<u>I</u>	l					
NT/T Full Mini Window 1	Sum	mativ	'e						
Window 2									
Comments:	1								
The Signatures below indicate the evaluatee and evaluator have reviewed and discussed the content of this form.									
Evaluatee's Signature Da	te		 Eva	luato	r's Si	gnature Date			

# **Instructional Coach Observation Instrument**

**Directions:** Completed by principal. Highlight a rating for each component and then match evidence from your observation notes to explain the rating for each component. Text boxes expand to accommodate multiple evidence examples.

**Instructional Specialist:** Click here to enter text. **School:** Click here to enter text.

**Evaluator:** Click here to enter text. **Date:** Click here to enter text.

Component:		Ra	ating:		Evidence:
<b>1A</b> - Demonstrating knowledge of current trends in specialty area and professional	ı	D	Α	П	
development	'			_	
<b>1B</b> - Demonstrating knowledge of the school's					
program and levels of teacher skill in delivering	1	D	Α	Е	
that program					
<b>1C</b> - Establishing goals for the instructional					
support program appropriate to the setting	1	D	Α	Е	
and the teachers served					
<b>1D</b> - Demonstrating knowledge of resources					
both within and beyond the school and district		D	Α	Е	
<b>1E</b> - Planning the instructional support program				_	
integrated with the overall school program	ı	D	Α	E	
<b>1F</b> - Developing a plan to evaluate the		_		_	
instructional support program	ı	D	Α	E	
<b>2A</b> - Creating an environment of trust and respect	ı	D	Α	Е	
2B - Establishing a culture for ongoing					
instructional improvement	I	D	Α	Е	
<b>2C</b> - Establishing clear procedures for teachers		_		_	
to gain access to the instructional support	1	D	Α	E	
2D - Establishing and maintaining norms of	I	D	Α	Е	
behavior for professional interactions		_	_	_	
<b>2E</b> - Organizing physical space for workshops or training	I	D	Α	Е	
Liaming		<u> </u>			
<b>3A</b> - Collaborating with teachers in the design of					
instructional units and lessons	l	D	Α	E	
3B - Engaging teachers in learning new	•		/ \	_	
instructional skills	l	D	Α	Е	
<b>3C</b> - Sharing expertise with staff	I	D	Α	Е	
2D Location was always for to all and to always and		_	_	_	
<b>3D</b> - Locating resources for teachers to support		D	Α	E	
instructional improvement <b>3E</b> - Demonstrating flexibility and					
responsiveness		D	Α	Е	
reaponaiveness	ı	ט			

<b>4A</b> - Reflecting	I L	D	Α	E							
<b>4B</b> - Preparing a eports	ets and		D	Α	E						
<b>4C</b> - Coordinatin	I	D	Α	E							
<b>4D</b> - Participatin	ı	D	Α	E							
<b>4E</b> - Engaging in professional development						D	Α	Е			
<b>4F</b> - Showing professionalism including integrity and confidentiality						D	Α	E			
Complete as		ate:			ı	1	1	1			
Window 1 Window 2	NT/T	Full	Mini	Summative							
Comments:											
The Signatu			cate th	e evaluatee a	and ev	aluato	or have	e revie\	ved and	d discus	ssed the
oonton or ti	101111.										

# **Psychologist Observation Instrument**

**Directions:** Highlight a rating for each component and then match evidence from your observation notes to explain the rating for each component. Text boxes expand to accommodate multiple evidence examples.

Component:

1A - Demonstrating knowledge and skill in using

1B - Demonstrating knowledge of child and

adolescent development and psychopathology

psychological instruments to evaluate

students

**Psychologist:** Click here to enter text. **School:** Click here to enter text.

**Evaluator:** Click here to enter text. **Date:** Click here to enter text.

I

D

D

Rating:

Α

Α

Ε

Ε

<b>1C</b> - Establishing goals for the psychology program appropriate to the setting and the students served		I	D	Α	E		
<b>1D</b> - Demonstrating knowledge of state and federal regulations and the resources both and beyond the school and district			I	D	Α	E	
<b>1E</b> - Planning the psychology program integ with the regular school program to meet the individual students and including prevention	need		I	D	Α	E	
<b>1F</b> - Developing a plan to evaluate the psychogram	hology	y	I	D	Α	E	
24 Fatablishing repnert with attribute				T	1		
<b>2A</b> - Establishing rapport with students			ı	D	Α	E	
<b>2B</b> - Establishing a culture for positive mention throughout the school	alth	I	D	Α	Е		
<b>2C</b> - Establishing and maintaining clear procedures for referrals		I	D	А	Е		
<b>2D</b> - Establishing standards of conduct in t testing center		I	D	Α	Е		
<b>2E</b> - Organizing physical space for testing t students and storage of materials	he		I	D	Α	E	
<b>3A</b> - Responding to referrals consulting with teachers and administrators	I	D	A	Е			
3B - Evaluating student needs and compliance with national Association of school psychologists NASP guidelines				Е			
<b>3C</b> - Chairing evaluation team	I	D	Α	Е			
<b>3D</b> - Planning interventions to maximize student's likelihood of success	I	D	А	E			

Evidence:

<b>3E</b> - Maintaining contact with physicians and community mental health service providers				I	D	А	E		
<b>3F</b> - Demonstrating flexibility and responsiveness				I	D	А	E		
<b>4A</b> - Re	flecting on pr	actice			ı	D	А	E	
<b>4B</b> - Cor	nmunicating	with fai	milies			D	A	E	
<b>4C</b> - Mai	ntaining acc	urate re	cords		ı	D	Α	E	
<b>4D</b> - Par commun	ticipating in a iity	a profes	ssional		I	D	А	Е	
<b>4E</b> - Eng	gaging in prof	ession	al deve	lopmen	it I	D	А	Е	
<b>4F</b> - Sho	owing profes	sionalis	sm			D	Α	E	
	Complete as	appropri	iate:		'		/ / /		
	Window 1 Window 2 Window 2			Summa	ative				
	Comments:								
	The Signatu content of th			cate the	e evalua	atee ar	nd eva	lluator	have reviewed and discussed the
	Evaluatee's Signature Da				Date	Ev	aluato	or's Sig	gnature Date

# **SECTION 3 – DISTRICT ADMIN/P/AP/**

#### **Overview and Summative Model**

Evaluators will look for trends and patterns in practice across multiple types of evidence and apply their professional judgment based on this evidence when evaluating a principal. The role of evidence and professional judgment in the determination of ratings on standards and an overall rating is paramount in this process. However, professional judgment is grounded in a common framework: Professional Standards for Educational Leaders (PSEL).

# **Professional Standards for Educational Leaders (PSEL)**

Role		Meas	Measures			
	Planning	Environment	Instruction	Professionalism		
<b>Principal</b>	Standard 1	Standard 3	Standard 4	Standard 2		
Professional	Mission, Vision,	Equity and	Curriculum,	Ethics and		
Standards for	and Core	Cultural	Instruction	Professional		
Educational	Values	Responsiveness	and	Norms		
Leaders	Standard 9	Standard 7	Assessment	Standard 8		
(PSEL)	Operations and	Professional	Standard 5	Meaningful		
	Management	Community for	Community	Engagement of		
	Standard 10	Teachers and	of Care and	Families and		
	School	Staff	Support for	Community		
	Improvement		Students			
			Standard 6			
			Professional			
			Capacity of			
			School			
			Personnel			

**ADMINISTRATOR TIMELINES** 

ADMINIOTRATOR TIMELINEO							
ADMINISTRATOR Evaluation Activity	Timeline						
Explanation and Review of Certified Evaluation Process with Administration	<ul> <li>Must occur within the first thirty (30) calendar days of employment</li> </ul>						
Self-Reflection Professional Growth Plan	<ul> <li>Administrators will reflect on his/her data from IMPACT, achievement data, non-academic measures, superintendent feedback, etc. prior to completion of PGP.</li> <li>On or before September 30<sup>th</sup>: All administrators complete the self-reflection process and professional growth goal in district/state-approved technology plan</li> </ul>						
IMPACT Working Conditions Goal	<ul> <li>By the end of the first quarter of school—         All administrators, in collaboration with the         Superintendent or designee, create a         professional growth plan and one working         conditions goal.</li> <li>Assistant Principals will inherit the goal of         the principal.</li> </ul>						
IMPACT Kentucky Working Conditions Survey	<ul> <li>IMPACT Survey – administered during the required KDE window</li> <li>Interim – IMPACT surveys</li> </ul>						
Summative Evaluation Completed	On or before June 15						
Corrective Action Plan	As needed throughout the process						

Final Summative Ratings shall be entered into approved platform on/or before June
15

#### **Professional Practice**

The following sections provide a detailed overview of the various sources of evidence used to inform Professional Practice Ratings.

# Professional Growth Planning and Self-Reflection – completed by principals, assistant principals, and district administrators

The Professional Growth Plan will address realistic, focused, and measurable professional goals. The plan will connect data from multiple sources including site-visit conferences, data on student growth and achievement, and professional growth needs identified through self-assessment and reflection. Self-reflection improves principal practice through ongoing, careful consideration of the impact of leadership practice on student growth and achievement. PGP and self-reflections will be completed in collaboration with the superintendent and approved by the superintendent by September 30<sup>th</sup>.

Late hires will complete the self-reflection and PGP process within 30 calendar days of their hire date.

#### Observations of Assistant Principals – Completed by Evaluator of Assistant Principal

Observations are a method by which the principal may gain insight into the assistant principal's practice in relation to the standards. During an observation, the principal will discuss various aspects of the job with the assistant principal.

- Observations are to be conducted at least once each year by the principal/designee, but additional observations may be conducted if needed
- All assistant principals will be evaluated and receive summative evaluations annually

#### Working Conditions Goal (Principal Goal Inherited by the Assistant Principal)

Principals/Administrators are responsible for setting a 2-year Working Conditions Growth Goal based on the most recent IMPACT Kentucky Survey. The administrator's effort to accomplish the Working Conditions Growth Goal is a powerful way to enhance professional performance and, in turn, positively impact school culture and student success.

- The working conditions goal is developed following the completion of the IMPACT Kentucky Survey.
- Each principal is required to create (1) 2-year goal.
- In the year in which the IMPACT survey is not given, a district developed on line survey will be created and sent to all teachers. The district developed on line survey will use the same language as the IMPACT survey and will address the areas in which the district scored lowest on the most recent IMPACT survey.
- The administrator, in collaboration with the superintendent, will establish a working conditions goal rubric to measure the outcome of the working conditions growth goal. Below is an example that can be used.

Working Conditions Goal Rubric						
Ineffective	Developing	Accomplished	Exemplary			
% and below	%-%	%-%	% and above			

#### **Determining the Overall Performance Category**

Superintendent/designee is responsible for determining an Overall Performance Category for each principal at the conclusion of their summative evaluation year. The Overall Performance Category is informed by the principal's ratings on professional practice and student growth.

#### **Rating Professional Practice**

The Principal Performance Standards stand as the critical rubric for providing principals and evaluators with concrete descriptions of practice associated with specific standards. The uniform performance standards used in the system provide a balance between structure and flexibility and define common purposes and expectations, thereby guiding effective leadership. Evaluators will organize and analyze evidence for each individual principal based on these concrete descriptions of practice.

Evaluators and principals will be engaged in ongoing dialogue throughout the evaluation cycle. The process concludes with the evaluator's analysis of evidence and the final assessment of practice in relation to performance described under each Standard at the culmination of an educator's cycle. All ratings will be recorded in the district approved technology platform on or before June 15<sup>th</sup>.

- Evaluators will place all final summative ratings in the approved platform.
- Principals may provide additional evidences to support assessment of their own professional practice. These evidences should yield information related to the principal's practice within the standards. Examples include:
  - SBDM Minutes
  - Faculty Meeting Agendas and Minutes
  - Department/Grade Level Agendas and Minutes
  - PLC Agendas and Minutes
  - Leadership Team Agendas and Minutes
  - Instructional Round/Walk-through documentation
  - Budgets
  - EILA/Professional Learning experience documentation
  - Surveys
  - Professional Organization memberships
  - Parent/Community engagement surveys
  - Parent/Community engagement events documentation
  - School schedules

Decision rules for determining an Administrators' professional practice

CRITERIA FOR DETERMINING AN ADMINISTRATORS PROFESSIONAL PRACTICE RATING						
IF	THEN					
At least three (3) standards are rated as EXEMPLARY and no standard is	Professional Practice Rating shall					
rated below ACCOMPLISHED	be EXEMPLARY					
At least three (3) standards are rated as ACCOMPLISHED and no standard	Professional Practice Rating shall					
is rated below DEVELOPING	be ACCOMPLISHED					

At least three (3) standards are rated as DEVELOPING	Professional Practice Rating shall be DEVELOPING
Two (2) or more standards are rated as INEFFECTIVE	Professional Practice Rating shall be INEFFECTIVE

#### **Decision Rules for Determining Educator's Overall Performance Category**

An administrators Overall Performance Category is determined by the evaluator based on the ratings on each standard. Evaluators record final ratings in approved state technology platform by June 15<sup>th</sup> of each year.

#### **Determining the Overall Performance Category**

An Administrators Overall Performance Category is determined by the evaluator based on the ratings on each standard.

#### **Corrective Action Plan**

The individual corrective action plan shall be written upon the determination of an "Ineffective" standard rating in the evaluation plan cycle or when an immediate change is required in principal/assistant principal behavior or practice. The evaluator shall direct the individual corrective action plan with input from the evaluatee. The plan shall address the ineffective practices through objectives, procedures and activities (including support personnel), appraisal methods, and target dates for completion. Corrective Action Plans can be located in the "forms" section of the certified evaluation plan.

### **Appeals**

Any certified employee who desires to respond to the evaluation process may complete the Summative Evaluation Appeal form. The completed form shall be signed, dated and submitted to the primary evaluator within 5 working days of the summative conference. The employee should retain a copy of the form for his/her personal records. The primary evaluator shall file all original response forms in the employee's personnel file. The primary evaluator shall submit a copy of the appeal response to the district certified employee evaluation contact within 2 working days of receipt of the response. The Summative Evaluation Appeal form is located in the forms section of this plan.

# Administrator Forms



# **Administrator Forms**

The Superintendent/designee maintains the forms and provides copies to the principal. At a minimum, the superintendent retains copies of the completed *Reflective Practice, Student Growth and Professional Growth Planning Template Goal Setting Form, Administrator Summative Performance Report,* and *Corrective Action Plan* (if needed).

	Documentation  Completed by		
	Superinte ndent	Principal	
Student Growth/ Professional Growth & Reflection	Student Growth Planning/Professional Growth Planning Template and Reflective Practice		
Observation/ Site Visit	Observation/Site Visit Form		
Documentation	Documentation Form		
Reports	Principal Mid-Year Performance Review		
	Principal Summative Performance Report		
Improvement	Support Dialogue Form (optional)		

# Reflective Practice, IMPACT KY Working Conditions and Professional Growth Planning Template

#### Part A: Reflection on the Standards Reflect on the effectiveness and adequacy of your practice in each

Administrator	
Role	
Level	
School (if applicable)	

of the performance standards. Provide a rating (I = Ineffective; D = Developing; A = Accomplished; E = Exemplary) on each performance standard and list your strengths and areas for growth. A complete listing of performance standards and indicators can be found at the end of this form.

Role	Measures							
	Planning	Environment	Instruction	Professionalism				
<u>Administrator</u>	Standard 1	Standard 3	Standard 4	Standard 2				
Professional	Mission, Vision, and	Equity and	Curriculum,	Ethics and				
Standards for	Core Values	Cultural	Instruction and	Professional				
Educational Leaders	Standard 9	Responsiveness	Assessment	Norms				
(PSEL)	Operations and	Standard 7	Standard 5	Standard 8				
	Management	Professional	Community of Care	Meaningful				
	Standard 10	Community for	and Support for	Engagement of				
	School	Teachers and	Students	Families and				
	Improvement	Staff	Standard 6	Community				
			Professional Capacity					
			of School Personnel					

Measure			lf-		Strengths and areas for growth
4 Planning Chandard 4 Missian Visian		sses	sme	nt	
Planning – Standard 1 – Mission, Vision, and Core Values; Standard 9 – Operations and Management; Standard 10 – School Improvement	I	D	Α	Е	
2. Environment – Standard 3 – Equity and Cultural Responsiveness; Standard 7 – Professional Community for Teachers and Staff.	I	D	Α	Е	
3. Instruction – Standard 4 – Curriculum, Instruction, Assessment; Standard 5 – Community of Care and Support for Students; Standard 6 – Professional Capacity of School Personnel.	I	D	Α	Ш	
4. Professionalism – Standard 2 – Ethics and Professional Norms; Standard 8 – Meaningful	I	D	Α	Е	

Examine additional relevant data sources to make an informed decision on growth needs. Select an area of growth from the above self-reflection to focus your professional growth goals.

# Part C: Principal's IMPACT Kentucky Working Conditions Goal Target Question(s) from IMPACT Kentucky Results:

Following a review of IMPACT Kentucky results, the principal, in collaboration with the superintendent, will identify questions that signify areas of growth that the principal can address that will impact school culture and ultimately student success.

**Target Performance Standard:** The principal will connect the Target Questions to the appropriate Performance Standard, which becomes the Target Performance Standard for the WC Growth Goal.

**Working Conditions Growth Goal Statement:** The WC Growth Goal statement should be specific to the principal and should identify the specific growth that the principal plans to accomplish in the 2-year cycle of IMPACT Kentucky.

**Working Conditions Growth Goal Rubric:** The rubric is established when setting the WC Growth Goal in collaboration with the Superintendent. An "Accomplished" result is the expected outcome from the goal. To achieve "Exemplary" the goal must be exceeded.

#### Sample:

Working Conditions Goal Rubric							
Ineffective Developing Accomplished Exemplary							
% and below	%-%	%-%	% and above				

Working Conditions Goal Rubric								
Ineffective	Developing	Accomplished	Exemplary					
The administrator is more than -10% of the goal.	The administrator is no more than - 10% of the goal.	The administrator achieves the expected outcome	The administrator exceeds the expected outcome from the goal.					
	_	from the goal.						

	Working Conditions Goal A	ction Plan	
Working Conditions What do I want to change about my leadership or role that will effectively impact working conditions in my school and their impact on student learning?	Strategies/Actions What will I need to do in order to impact the target standard and target question(s)? How will I apply what I have learned? How will I accomplish my goal?	Resources/Support What resources will I need to complete my plan? What support will I need?	Targeted Completion Date When will I complete each identified strategy/ action?

# Part D: Professional Growth & Effectiveness Data Reflection

What do I need to learn to meet my Working Conditions Goal?

Other Information of	Other Information on which to Reflect						
Survey Results □ ○	other:						
Number of Surveys Distributed							
Questions to Consider:							
<ul> <li>What did teachers/s</li> </ul>	taff perceive as major strengths?						
What did teachers/s	taff perceive as major weaknesses?						
List factors that migl	ht have influenced the results.						
Other Data: Student Achievement Data ☐ Non-Academic Data ☐ Supervisor Feedback ☐ Other ☐							
Data Selected Results							

# Questions to Consider:

• How does the additional data inform your decision about your learning needs?

# Part E: Connecting Priority Growth Needs to Professional Growth Planning

1) Initial Reflection: Based on the areas of growth identified in Self-Reflection and Parts B, C, and/or D complete this section at the beginning of the school year.

What do I want to change effectively impact studen     How can I develop a plan professional learning?     How will I know if I accon	e about my practices that will nt learning? n of action to address my	Ctandarda		
The Administrator sh	hould connect the PGP Goal to the approp		lard and list that standard	d below:
	11	<b>F</b>		
	Action F	Plan		
Professional Learning What do I want to change about my leadership or role that will effectively impact student learning? What is my personal learning necessary to make that change?  Strategies/Actions What will I need to do in order to learn my identified skill or content? How will I apply what I have learned? How will I accomplish my goal?		What rese	ources/Support ources will I need to plete my plan? upport will I need?	Targeted Completion Date When will I complete each identified strategy/ action?
Administrator's Signature:			Date:	
Superintendent's Signature:			Date:	

	Reflection: Complete thi Conditions/Professional Gr		at mid-year to identify progress toward each al
Administra	ator Growth Goals-Review	1	
(Describe goal progress and other relevant data.)  Mid-year review conducted on			
		Initials _	Principal's Superintendent
Date	Status of Growth Goa Working Conditions,		Revisions/Modifications of Strategies or Action Plans
	,		
Administrator	's Signature:		Date:
Superintender	nt's Signature:		Date:

3) Summative Reflection:
Complete this section at the end of the year to describe the level of attainment for each Professional Growth Goal.

Date:	End of Year IMPACT KY Working Conditions Growth Reflection:
Date:	End of Year Professional Growth Reflection:
Next Steps:	
Heat Grope.	
Administrator's Signature:	Date:
Superintendent's Signature:	Date:

#### **Professional Standards for Educational Leaders (PSEL)**

# STANDARD 1. MISSION, VISION, AND CORE VALUES

Effective educational leaders develop, advocate, and enact a shared mission, vision, and core values of high-quality education and academic success and well-being of each student.

#### Effective leaders:

- a) Develop an educational mission for the school to promote the academic success and well-being of each student.
- b) In collaboration with members of the school and the community and using relevant data, develop and promote a vision for the school on the successful learning and development of each child and on instructional and organizational practices that promote such success.
- c) Articulate, advocate, and cultivate core values that define the school's culture and stress the imperative of child-centered education; high expectations and student support; equity, inclusiveness, and social justice; openness, caring, and trust; and continuous improvement.
- d) Strategically develop, implement, and evaluate actions to achieve the vision for the school. e) Review the school's mission and vision and adjust them to changing expectations and opportunities for the school, and changing needs and situations of students.
- f) Develop shared understanding of and commitment to mission, vision, and core values within the school and the community.
- g) Model and pursue the school's mission, vision, and core values in all aspects of leadership.

#### Standard 1: Mission, Vision, and Core Values

Effective educational leaders develop, advocate, and enact a shared mission, vision, and core values of high-quality education and academic success and well being of each student.

Elements	ents Performance Levels					
Licinciits				An Evennland School		
	An Ineffective School	A <b>Developing</b> School	An <b>Accomplished</b> School	An Exemplary School		
	Leader	Leader	Leader	Leader		
Α	Fails to develop an	Develops an educational	Develops an educational	Ensures all school resources		
	educational mission for	mission for the school	mission for the school to	are aligned with the		
	the school.	that only promotes	promote the academic	educational mission to		
		academic success and	success and well-being of	promote the academic		
		well-being of some each student.		success and well being of		
		students.		each student		
В	Fails to collaborate with	Inconsistently	In collaboration with	Builds capacity of members		
	members of the school	(collaborates with	members of the school and	of the school and community		
	or community to create	members of the school the community and using		to use relevant data, develop		
	a vision for student	or community in using	relevant data, develops and	and promote a		
	success.	relevant data to develop	promotes a vision for the	transformative vision for the		
		a vision for the school	school on the successful	school on the successful		
		on the successful	learning and development	learning and development of		
		learning and	of each child and on	each child and on		
		development of each	instructional and	instructional and		

	child and on instructional and organizational practices that promote such	organizational practices that promote such success.	organizational practices that promote such success.
Fails to articulates values that define the	success. Inconsistently articulates and/or advocates core	Articulates, advocates, and cultivates core values that	Consistently articulates, advocates and cultivates
school's culture and does not stress the imperative of child-	values that define the school's culture and attempts to stress the	define the school's culture and stress the imperative of child-centered education;	transformative core values that define the school's culture and creates the
centered education; high expectations and student support;	imperative of child- centered education; high expectations and	high expectations and student support; equity, inclusiveness and social	conditions for the imperative of child-centered education; high expectations and
equity, inclusiveness, and social justice; openness, caring, and	student support; equity, inclusiveness, and social justice; openness,	justice; openness, caring and trust; and continuous improvement.	student support; equity, inclusiveness and social justice; openness, caring and
trust; and continuous improvement.	caring, and trust; and continuous improvement.		trust; and continuous improvement.
Fails to develop, implement and evaluate actions taken to achieve the vision for the school.	Inconsistently develops, implements and/or evaluates actions to achieve the vision for the school.	Strategically develops, implements and evaluates actions to achieve the vision for the school.	Strategically and collaboratively develops, implements and evaluates actions to achieve an innovative and
			transformative vision for the school.
Fails to review the school's mission and vision.	Inconsistently reviews the school's mission and vision and/or adjusts them to changing expectations and opportunities for the school and changing needs and situations of students.	Reviews the school's mission and vision and adjusts them to changing expectations and opportunities for the school and changing needs and situations of students.	Reviews the school's mission and vision annually and makes innovative and transformative adjustments based on changing expectations and opportunities for the school, along with needs and situations of students.
Fails to demonstrate a sense of understanding and/or commitment by the leader to the mission, vision and/or core values within the school and the community.	Demonstrates a personal understanding of the mission, vision and core values, yet lacks an attempt to develop a shared understanding and commitment within members of the school community.	Develops shared understanding of and commitment to mission, vision and core values within the school and the community.	Demonstrates a culture where the mission, vision and core values are consistently accepted and integrated into the work of the school.
Fails to model the school's mission, vision or core values in their leadership of the school.	Inconsistently models and/or pursues the school's mission, vision and/or core values.	Models and pursues the school's mission, vision and core values in all aspects of leadership.	Models and exemplifies the school's mission, vision and core values, particularly as an innovative and transformational leader, in all aspects of leadership.

### STANDARD 2. ETHICS AND PROFESSIONAL NORMS

Effective educational leaders act ethically and according to professional norms to promote each student's academic success and well-being.

#### Effective leaders:

- a) Act ethically and professionally in personal conduct, relationships with others, decision-making, stewardship of the school's resources, and all aspects of school leadership.
- b) Act according to and promote the professional norms of integrity, fairness, transparency, trust, collaboration, perseverance, learning, and continuous improvement.
- c) Place children at the center of education and accept responsibility for each student's academic success and well-being.
- d) Safeguard and promote the values of democracy, individual freedom and responsibility, equity, social justice, community, and diversity.
- e) Lead with interpersonal and communication skill, social-emotional insight, and understanding of all students' and staff members' backgrounds and cultures.
- f) Provide moral direction for the school and promote ethical and professional behavior among faculty and staff.

Standard 2: Ethics and Professional Norms
Effective educational leaders act ethically and according to professional norms to promote each student's academic success and well-being.

Elements	Performance Levels			
	An <b>Ineffective</b> School	A <b>Developing</b> School	An <b>Accomplished</b>	An Exemplary School
	Leader	Leader	School Leader	Leader
Α	Fails to act ethically	Inconsistently acts	Acts ethically and	Consistently holds and
	and professionally in	ethically and	professionally in	models the highest
	personal conduct,	professionally in	personal conduct,	standards of personal
	relationships with	personal conduct,	relationships with	and professional
	others, decision-	relationships with	others, decision-	conduct in all aspects
	making, stewardship of	others, decision-	making, stewardship of	of school leadership
	the school's resources,	making, stewardship of	the school's resources,	and is recognized as a
	or in any other aspect	the school's resources	and all aspects of	"leader among
	of school leadership.	and/or in any other	school leadership.	leaders."
		aspect of school		
		leadership.		
В	Does not practice or	Inconsistently practices	Acts according to and	Creates systems that
	promote the	and/or promotes the	promotes the	promote the
	professional norms of	professional norms of integrity, fairness,	professional norms of	professional norms of
	integrity, fairness,		integrity, fairness,	integrity, fairness,
	transparency, trust,	transparency, trust,	transparency, trust,	transparency, trust,
	collaboration,	collaboration,	collaboration,	collaboration,
	perseverance, learning	perseverance, learning,	perseverance, learning	perseverance, learning
	or continuous	and/or continuous	and continuous	and continuous
	improvement.	improvement.	improvement.	improvement within

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				the school and
				community.
С	Fails to place children	Inconsistently places	Places children at the	Creates a shared
	at the center of	children at the center	center of education	responsibility that
	education and takes	of education and	and accepts	ensures prioritizing
	little to no	accepts minimal	responsibility for each	children at the center
	responsibility for the	responsibility for each	student's academic	of education to ensure
	academic success and	student's academic	success and well-being.	each student's
	well-being for students	success and well-being.		academic success and
	9	J		well-being.
D	Does not safeguard or	Inconsistently	Safeguards and	Creates a shared
	promote the values of	safeguards and	promotes the values of	responsibility within
	democracy, individual	promotes the values of	democracy, individual	the school community
	freedom and	democracy, individual	freedom and	to prioritize the values
	responsibility, equity,	freedom and	responsibility, equity,	of democracy,
	social justice,	responsibility, equity,	social justice,	individual freedom and
	community, or	social justice,	community and	responsibility, equity,
	diversity.	community and	diversity.	social justice,
	diversity.	•	diversity.	
		diversity.		community and
			4 1 11	diversity.
E	Fails to lead with	Inconsistently leads	Leads with	Builds the capacity of
	interpersonal and	with interpersonal and	interpersonal and	all members of the
	communication skills,	communication skills,	communication skills,	school community to
	lacks social emotional	social emotional	social emotional	utilize interpersonal
	insight, or exhibits	insight, and	insight, and	and communication
	minimal understanding	understanding of all	understanding of all	skills and social
	of all students' and	students' and staff	students' and staff	emotional insight that
	staff members'	members' backgrounds	members'	demonstrates
	backgrounds and	and cultures.	backgrounds and	understanding of all
	cultures.		cultures.	students' and staff
				members' backgrounds
				and cultures.
F	Fails to provide moral	Inconsistently provides	Provides moral	All systems within the
	direction for the school	moral direction for the	direction for the school	school operate from a
	or fails to promote	school and promotes	and promotes ethical	perspective of moral,
	ethical and professional	ethical and professional	and professional	ethical and professional
	behavior among faculty	behavior among faculty	behavior among	behavior.
	and staff.	and staff.	faculty and staff.	
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#### STANDARD 3. EQUITY AND CULTURAL RESPONSIVENESS

Effective educational leaders strive for equity of educational opportunity and culturally responsive practices to promote each student's academic success and well-being.

Effective leaders:

- a) Ensure that each student is treated fairly, respectfully, and with an understanding of each student's culture and context.
- b) Recognize, respect, and employ each student's strengths, diversity, and culture as assets for teaching and learning.
- c) Ensure that each student has equitable access to effective teachers, learning opportunities, academic and social support, and other resources necessary for success.
- d) Develop student policies and address student misconduct in a positive, fair, and unbiased manner.
- e) Confront and alter institutional biases of student marginalization, deficit-based schooling, and low expectations associated with race, class, culture and language, gender and sexual orientation, and disability or special status.
- f) Promote the preparation of students to live productively in and contribute to the diverse cultural contexts of a global society.
- g) Act with cultural competence and responsiveness in their interactions, decision making, and practice.
- h) Address matters of equity and cultural responsiveness in all aspects of leadership.

#### Standard 3: Equity and Cultural Responsiveness

Effective educational leaders strive for equity of educational opportunity and culturally responsive practices to promote each student's academic success and well-being.

Elements		Performa	nce Levels	
	An <b>Ineffective</b> School	A <b>Developing</b> School	An <b>Accomplished</b>	An Exemplary School
	Leader	Leader	School Leader	Leader
Α	Lacks efforts to ensure	Limited and	Ensures that each	Builds capacity among
	each student is treated	inconsistent efforts to	student is treated	all members of the
	fairly, respectfully or	ensure each student is	fairly, respectfully and	school community and
	with an understanding	treated fairly,	with an understanding	serves as a model to
	of each student's	respectfully and/or	of each student's	ensure each student is
	culture and context.	with an understanding	culture and context.	treated fairly,
		of each student's		respectfully, and with
		culture and context.		an understanding of
				the student's culture
				and context.
В	Does not recognize,	Inconsistently	Recognizes, respects	Builds capacity of all
	respect, and employ	recognizes, respects	and employs each	members of the school
	each student's	and employs each	student's strengths,	community to
	strengths, diversity and	student's strengths,	diversity and culture as	consistently recognize,
	culture as assets for	diversity and culture as	assets for teaching and	respect and employ
	teaching and learning.	assets for teaching and	learning.	each student's
		learning.		strengths, diversity and
				culture as assets for
				teaching and learning.
С	Does not ensure	Attempts to provide	Ensures that each	Builds and sustains
	equitable access, for	equitable access, for	student has equitable	systems to ensure that
	each student, to	each student, to	access to effective	each student has
	effective teachers,	effective teachers,	teachers, learning	equitable access to

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	learning opportunities, academic and social	learning opportunities, academic and social	opportunities, academic and social	effective teachers, learning opportunities,
	support, and other	support and other	support, and other	academic and social
	resources necessary for	resources necessary for	resources necessary	support, and other
	<u> </u>	success.	for success.	
	success.	success.	ior success.	resources necessary for
	Daga not have a nation	Attomorpho to dovolon	Davidana atudant	Success.
D	Does not have a policy	Attempts to develop	Develops student	Routinely refines and
	or fails to follow	student policies and/or	policies and addresses	implements student
	student policies to	inconsistently	student misconduct in	policies and
	address student	addresses student	a positive, fair and	consistently addresses
	misconduct in a	misconduct in a	unbiased manner.	student misconduct in
	positive, fair and	positive, fair and		a positive, fair, and
	unbiased manner.	unbiased manner.		unbiased manner.
E	Ignores or does not	Does not consistently	Confronts and alters	Builds the capacity of
	confront institutional	or effectively confront	institutional biases of	others and serves as a
	biases of student	and alter institutional	student	model, to confront and
	marginalization, deficit-	biases of student	marginalization,	alter institutional
	based schooling, and	marginalization, deficit-	deficit-based	biases of
	low expectations	based schooling, and	schooling, and low	marginalization, deficit-
	associated with race,	low expectations	expectations	based schooling and
	class, culture and	associated with race,	associated with race,	low expectations
	language, gender and	class, culture and	class, culture and	associated with race,
	sexual orientation, and	language, gender and	language, gender and	class, culture and
	disability or special	sexual orientation, and	sexual orientation, and	language, gender and
	status.	disability or special	disability or special	sexual orientation, and
		status.	status.	disability or special
				status.
F	Does not understand	Attempts to promote	Promotes the	Develops systems,
	the need for the	the preparation of all	preparation of all	serves as a model and
	preparation of all	students to live	students to live	consistently promotes
	students to live	productively in and	productively in and	the preparation of
	productively in and	contribute to the	contribute to the	students to live
	contribute to the	diverse cultural	diverse cultural	productively and
	diverse cultural	contexts of a global	contexts of a global	positively impact the
	contexts of a global	society.	society.	diverse cultural
	society.			contexts of a global
				society.
G	Demonstrates a lack of	Inconsistently acts with	Acts with cultural	Ensures the
	cultural competence	cultural competence	competence and	development of all to
	and/or responsiveness	and responsiveness in	responsiveness in their	act with cultural
	in their interactions,	their interactions,	interactions, decision-	competence and
	decision-making and/or	decision-making and	making and practice.	responsiveness in their
	practice.	practice.		interactions, decision-
				making and practice.
Н	Ignores or does not	Inconsistently	Addresses matters of	Urgently addresses and
	understand matters of	addresses matters of	equity and cultural	prioritizes matters of
	equity and cultural	equity and cultural	responsiveness in all	equity and cultural
	responsiveness in all	responsiveness in	aspects of leadership.	responsiveness in all
	aspects of leadership.	aspects of leadership.		aspects of leadership
				and requires the same
				for all members of the
				school community.
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#### STANDARD 4. CURRICULUM, INSTRUCTION, AND ASSESSMENT

Effective educational leaders develop and support intellectually rigorous and coherent systems of curriculum, instruction, and assessment to promote each student's academic success and well-being. Effective leaders:

- a) Implement coherent systems of curriculum, instruction, and assessment that promote the mission, vision, and core values of the school, embody high expectations for student learning, align with academic standards, and are culturally responsive.
- b) Align and focus systems of curriculum, instruction, and assessment within and across grade levels to promote student academic success, love of learning, the identities and habits of learners, and healthy sense of self.
- c) Promote instructional practice that is consistent with knowledge of child learning and development, effective pedagogy, and the needs of each student.
- d) Ensure instructional practice that is intellectually challenging, authentic to student experiences, recognizes student strengths, and is differentiated and personalized.
- e) Promote the effective use of technology in the service of teaching and learning. f) Employ valid assessments that are consistent with knowledge of child learning and development and technical standards of measurement.
- g) Use assessment data appropriately and within technical limitations to monitor student progress and improve instruction.

#### **Standard 4: Curriculum, Instruction and Assessment**

Effective educational leaders develop and support intellectually rigorous and coherent systems of curriculum, instruction, and assessment to promote each student's academic success and well-being.

Elements	Performance Levels					
	An Ineffective School	A <b>Developing</b> School	An <b>Accomplished</b> School	An Exemplary School		
	Leader	Leader	Leader	Leader		
A	Leader Fails to implement an effective system of curriculum, instruction and assessment.			· · ·		
		standards and/or not culturally responsive.		strategic plan, that exemplify high student learning expectations for ALL, tightly align with grade level academic standards and embraces culturally responsive teaching.		

_	T	Γ	T	<u> </u>
В	Attempts to align and/or focus systems of curriculum, instruction, and assessment within and/or across grade levels to promote positive student outcomes of academic and emotional development.	Coordinates systems of curriculum, instruction and assessment within and across grade levels to support academic growth and personal/emotional development of students.	Aligns and focuses systems of curriculum, instruction, and assessment within and across grade levels to promote student academic success, love of learning, the identities and habits of learners, and a healthy sense of self.	Continually evaluates the effectiveness of aligned and focused systems of curriculum, instruction, and assessments and adjusts plans to strengthen coherence and alignment across grade levels and disciplines to accelerate student academic success, love of learning, the identities and habits of learners, and a healthy sense of self.
С	Lacks an awareness of how to promote instructional practice and/or is inconsistent with supporting and/or recognizing best practice techniques.	Attempts to promote instructional practice that is consistent with knowledge of child learning and development, effective pedagogy, and/or the needs of each student.	Promotes instructional practice that is consistent with knowledge of child learning and development, effective pedagogy, and the needs of each student.	Builds the capacity of others to utilize instructional practice that is indicative of a deep understanding of child learning and development, highly effective pedagogy, and targeted to meet the unique needs of each student.
D	Fails to ensure instructional practices are intellectually challenging, authentic to student experiences and/or strengths. Lacks awareness of the importance of differentiated and personalized instruction.	Encourages instructional practices that are intellectually challenging, authentic and student focused, but utilizes limited and/or ineffective structures to ensure implementation.	Ensures instructional practice that is intellectually challenging, authentic to student experiences, recognizes student strengths, and is differentiated and personalized.	Fosters an environment of systemic accountability for ensuring all members of the school community utilize instructional practice that is intentionally designed to elicit high levels of student engagement and significant cognitive challenge, has purposeful relevance to student experiences, and amplifies student strengths through differentiation and personalization of learning.
E	Superficially supports the use of technology within the learning environment.	Demonstrates limited knowledge of technology and its value related to teaching and learning.	Promotes the effective use of technology in the service of teaching and learning.	Evaluates the effective use of instructional technology to maximize teaching and learning that leads to high levels of student achievement through a systemic process that is grounded in evidence and action planning.
F	Demonstrates a lack of awareness of the attributes of valid assessments and evidence, reflects minimal knowledge of child learning and development, and	Demonstrate awareness of key attributes of valid assessments and evidence, reflects some knowledge of child learning and development, and technical standards of	Employs valid assessments that are consistent with knowledge of child learning and development, and technical standards of measurement.	Evaluates a system of review in which assessments that are consistent with extensive knowledge of child learning and development and technical standards of measurement are analyzed and refined in order to

	technical standards of measurement in connection to assessment.	measurement in connection to assessment.		ensure validity and consistency of implementation.
G	Exhibits limited knowledge of assessment data and/or unsuccessfully utilizes it to monitor student progress or improve instruction.	Demonstrates basic knowledge of assessment data and attempts to use it appropriately to monitor student progress and improve instruction, but has inconsistent success.	Uses assessment data appropriately and within technical limitations to monitor student progress and improve instruction.	Builds the capacity of staff to collaboratively and continuously analyze summative and interim learning outcomes for identified student groups, predictive indicators and school practices; builds the capacity of staff to diagnose the current state of the school, set school priorities and goals, and establish grade level targets using disaggregated data.

# STANDARD 5. COMMUNITY OF CARE AND SUPPORT FOR STUDENTS

Effective educational leaders cultivate an inclusive, caring, and supportive school community that promotes the academic success and well-being of each student

### Effective leaders:

- a) Build and maintain a safe, caring, and healthy school environment that meets that the academic, social, emotional, and physical needs of each student.
- b) Create and sustain a school environment in which each student is known, accepted and valued, trusted and respected, cared for, and encouraged to be an active and responsible member of the school community.
- c) Provide coherent systems of academic and social supports, services, extracurricular activities, and accommodations to meet the range of learning needs of each student.
- d) Promote adult-student, student-peer, and school-community relationships that value and support academic learning and positive social and emotional development.
- e) Cultivate and reinforce student engagement in school and positive student conduct. f) Infuse the school's learning environment with the cultures and languages of the school's community.

### Standard 5: Community of Care and Support for Students

Effective educational leaders cultivate an inclusive, caring and supportive school community that promotes the academic success and well-being of each student.

Elements	Performance Levels				
	An Ineffective School	A <b>Developing</b> School	An <b>Accomplished</b> School	An Exemplary School	
	Leader	Leader	Leader	Leader	
Α	Does not build and	Attempts to build and	Builds and maintains a safe,	Evaluates and builds the	
	maintain a safe, caring	maintain a safe, caring,	caring, and healthy school	capacity of others to ensure	
	and healthy school	and healthy school	environment that meets the	the maintenance of the safe,	
	environment that	environment that meets	academic, social, emotional	caring, and healthy school	
	meets the academic,	the academic, social,		environment, and enhances	

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	social, emotional and	emotional and physical	and physical needs of each	the academic, social,
	physical needs of each	needs of each student.	student.	emotional, and physical
	student.			needs of each student by
				providing targeted support
				systems for each student.
В	Does not create and	Inconsistently creates	Creates and sustains a	Collaborates with
	sustain a school	and sustains a school	school environment in	community stakeholders to
	environment in which	environment in which	which each student is	sustain and enhance a school
	each student is known,	each student is known,	known, accepted, valued,	environment in which all
	accepted, valued,	accepted, valued,	trusted, respected, cared for	students are known,
	trusted, respected,	trusted, respected,	and encouraged to be an	accepted, valued, trusted,
	cared for and	cared for and	active and responsible	respected, cared for and
	encouraged to be an	encouraged to be an	member of the school	encouraged to be an active
	active and responsible	active and responsible	community.	and responsible member of
	member of the school	member of the school		the school community and
	community.	community.		society.
С	Provides a disjointed,	Provides a system of	Provides coherent systems	Evaluates the effectiveness
	stand alone systems of	academic and social	of academic and social	of the system and responds
	academic and social	support services,	supports, services,	to the data as part of a
	support services that do	extracurricular activities,	extracurricular activities,	consistent review. The
	not show evidence of	and accommodations	and accommodations to	systems in place produce
	meeting the range of	that is somewhat	meet the range of learning	evidence/data that the
	learning needs of	interconnected and	needs of each student.	diverse learning needs of
	students.	interdependent, that		students are not only being
		meets most learning		met, but that student
		needs of groups of		success also is accelerated.
		students.		
D	Does not promote	Attempts to promote	Promotes adult-student,	Models how to build the
	adult-student, student-	adult-student, student-	student-peer, and school-	capacity of others to sustain
	peer and school-	peer, and school-	community relationships	positive relationships by
	community	community relationships	that value and support	promoting adult-student,
	relationships that value	that value and support	academic learning and	student-peer, and school-
	and support academic	academic learning and	positive social and	community relationships
	learning and positive	positive social and	emotional development.	that value, support and
	social and emotional	emotional development.		promote academic learning
	development.			and positive social and
				emotional development of
_				each student.
E	Does not cultivate and	Begins to cultivate	Cultivates and reinforces	Builds the capacity of the
	reinforce student	student engagement in	student engagement in	school community to
	engagement in school	school and positive	school and positive student	prioritize high levels of
	and positive student	student conduct with	conduct.	student engagement in
	conduct.	some degree of success.		school and focus on positive
				student conduct, and creates
				a space for students to offer
				input on what is working/not
				working in terms of student
				engagement and student
				behavior.
F	Does not infuse the	Attempts to infuse the	Infuses the school's learning	Showcases the infusion of
	school's learning	school's learning	environment with the	cultures and languages; the
	environment with the	environment with the	cultures and languages of	cultures and languages of
1	cultures and languages	cultures and languages	the school's community.	the school's community
	cultures and languages	cultures and languages	the school's community.	permeate throughout and

	of the school's community.	that represent the students of the school.	there is an intentional and strategic focus on the unique diversity of the school's community.
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# STANDARD 6. PROFESSIONAL CAPACITY OF SCHOOL PERSONNEL

Effective educational leaders develop the professional capacity and practice of school personnel to promote each student's academic success and well-being.

### Effective leaders:

- a) Recruit, hire, support, develop, and retain effective and caring teachers and other professional staff and form them into an educationally effective faculty.
- b) Plan for and manage staff turnover and succession, providing opportunities for effective induction and mentoring of new personnel.
- c) Develop teachers' and staff members' professional knowledge, skills, and practice through differentiated opportunities for learning and growth, guided by understanding of professional and adult learning and development.
- d) Foster continuous improvement of individual and collective instructional capacity to achieve outcomes envisioned for each student.
- e) Deliver actionable feedback about instruction and other professional practice through valid, researchanchored systems of supervision and evaluation to support the development of teachers' and staff members' knowledge, skills, and practice.
- f) Empower and motivate teachers and staff to the highest levels of professional practice and to continuous learning and improvement.
- g) Develop the capacity, opportunities, and support for teacher leadership and leadership from other members of the school community.
- h) Promote the personal and professional health, well-being, and work-life balance of faculty and staff.
- i) Tend to their own learning and effectiveness through reflection, study, and improvement, maintaining a healthy work-life balance.

### **Standard 6: Professional Capacity of School Personnel**

Effective educational leaders develop the professional capacity and practice of school personnel to promote each student's academic success and well-being.

Elements	Performance Levels			
	An Ineffective School	A <b>Developing</b> School	An <b>Accomplished</b> School	An Exemplary School
	Leader	Leader	Leader	Leader
Α	Unsuccessfully recruits,	Attempts to develop	Recruits, hires, supports,	Builds stakeholder capacity
	hires, supports,	practices to recruit, hire,	develops and retains	and commitment to utilize
	develops, and retains	support, develop and	effective and caring teachers	intentional strategies to
	effective and caring	retain effective and	and other professional staff	recruit, hire, support,
	teachers and other	caring teachers and	and forms them into an	develop and retain a highly
	professional staff	other professional staff,		effective and caring school

	and/or consistently misses opportunities to form them into an educationally effective faculty.	but the leader's personnel practices are inconsistent or ineffective. There is limited ownership by the school leader regarding faculty growth and development.	educationally effective faculty.	staff that willingly assumes collective responsibility for the growth and development of all staff.
В	Does not effectively plan for and manage staff turnover and succession, and/or consistently misses opportunities for effective induction and mentoring of new personnel.	Reacts to staff turnover and succession when it occurs and has a basic plan of action to support new personnel.	Plans for and manages staff turnover and succession, providing opportunities for effective induction and mentoring of new personnel.	Builds stakeholder capacity and commitment to proactively engage in strategic planning and management of staff turnover and succession, empower school staff to design and facilitate highly-effective and innovative induction and mentoring programs while seeking to consistently improve systems of support for new personnel.
C	Lacks evidence of professional learning impact regarding participants' professional knowledge, skills and practice. Does not prioritize differentiated opportunities for learning and growth and/or does not utilize best practices to support professional learning experiences for teachers and staff members	Utilizes a surface-level approach to develop teachers' and staff members' professional knowledge, skills and practice while utilizing some differentiated opportunities for learning and growth, minimal evidence demonstrates intentional design structures.	Develops teachers' and staff members' professional knowledge, skills and practice through differentiated opportunities for learning and growth, guided by understanding of professional and adult learning and development.	Builds the capacity of the school community to collaboratively develop teachers' and staff members' extensive professional knowledge, enhanced skills and accomplished practice through strategically designed opportunities for deep learning and evidence-based growth, guided by an application of professional and adult learning structures grounded in extensive research.
D	members.  Leads some continuous school improvement efforts but with inconsistent outcomes; as a result, improvement in student performance and school effectiveness are limited.	Promotes a continuous improvement process that addresses individual and collective instructional capacity; as a result, some improvement in student performance and school effectiveness is evident.	Fosters continuous improvement of individual and collective instructional capacity to achieve outcomes envisioned for each student.	In collaboration with the school community, leads a systemic continuous improvement process that results in an action plan that promotes personal and group instructional capacity and in turn nets improved student academic achievement, enhanced student well-being and greater school effectiveness.
E	Allocates little time to deliver feedback and/or delivers token feedback as required by the	Delivers non-specific feedback about instruction and other professional practice.	Delivers actionable feedback about instruction and other professional practice through valid, research-	Sustains a culture where stakeholders independently seek, reflect on and utilize actionable feedback, from

	mandated evaluation system.	Feedback includes some degree of intentionality to a goal but has minimal impact on the evaluatee's growth and development.	anchored systems of supervision and evaluation to support the development of teachers' and staff members' knowledge, skills, and practice.	various sources, about instruction and other professional practice through vetted systems of supervision, evaluation and growth models to support the continuous improvement of teachers' and staff members' application of extensive knowledge, high-
-	Accounts basis	Encourages tooches and	Empourer and metions	leverage skills and research- based practice.
F	Accepts basic professional practice and/or minimal effort toward learning and improvement.	Encourages teachers and staff to enhance professional practice and promotes continuous learning and improvement.	Empowers and motivates teachers and staff to the highest levels of professional practice and to continuous learning and improvement.	Sustains a culture where stakeholders empower and motivate others to the highest levels of professional practice and continuous learning and improvement is a valued and expected norm guiding professional growth.
G	Lacks investment in developing and/or supporting authentic leadership opportunities within the school and/or designs leadership opportunities that lack ownership and decision making.	"Articulates the value of teacher leadership and leadership from other members of the school community and identifies some leadership opportunities for those who express interest."	"Develops the capacity, opportunities and support for teacher leadership and leadership from other members of the school community."	Sustains systems and structures that encourage ALL stakeholders to engage in diverse leadership opportunities.
H	Vocalizes support for the personal and professional health, well-being, and work-life balance of faculty and staff; however, does not demonstrate correlating action and/or over time restricts school personnel from prioritizing personal and professional health, well-being, and work-life balance.	Exerts effort to design structures to support the personal and professional health, well-being, and work-life balance of faculty and staff; however, there is limited implementation and moderate impact.	Promotes the personal and professional health, wellbeing and work-life balance of faculty and staff.	Champions systems and structures designed to ease the challenge of achieving work-life balance for faculty and staff while also purposefully addressing and assessing their professional health and well-being through strategic support and expertise.
I	Dismisses the importance of personal growth and/or trivializes the possibility of achieving a healthy work-life balance.	Inconsistently focuses on own learning and effectiveness, utilizes limited tools for growth and sporadically uses strategies to achieve a healthy work-life balance.	Tends to their own learning and effectiveness through reflection, study and improvement, maintaining a healthy work-life balance.	Purposefully models the value of self-directed learning and increased effectiveness through strategic reflection, targeted study and outcomes-based personal growth while stimulating interest in

		innovative ways to prioritize
		and sustain a healthy-work
		life balance.

# STANDARD 7: PROFESSIONAL COMMUNITY FOR TEACHERS AND STAFF

Effective educational leaders foster a professional community of teachers and other professional staff to promote each student's academic success and well-being.

### Effective leaders:

- a) Develop workplace conditions for teachers and other professional staff that promote effective professional development, practice, and student learning.
- b) Empower and entrust teachers and staff with collective responsibility for meeting the academic, social, emotional, and physical needs of each student, pursuant to the mission, vision, and core values of the school.
- c) Establish and sustain a professional culture of engagement and commitment to shared vision, goals, and objectives pertaining to the education of the whole child; high expectations for professional work; ethical and equitable practice; trust and open communication; collaboration, collective efficacy, and continuous individual and organizational learning and improvement.
- d) Promote mutual accountability among teachers and other professional staff for each student's success and the effectiveness of the school as a whole.
- e) Develop and support open, productive, caring, and trusting working relationships among leaders, faculty, and staff to promote professional capacity and the improvement of practice.
- f) Design and implement job-embedded and other opportunities for professional learning collaboratively with faculty and staff.
- g) Provide opportunities for collaborative examination of practice, collegial feedback, and collective learning.
- h) Encourage faculty-initiated improvement of programs and practices.

Standard 7: Professional Community for Teachers and Staff
Effective educational leaders foster a professional community of teachers and other professional staff to promote each student's academic success and well-being.

Elements		Perfo	ormance Levels	
	An <b>Ineffective</b> School Leader	A <b>Developing</b> School Leader	An <b>Accomplished</b> School Leader	An Exemplary School Leader
А	Workplace conditions are underdeveloped or negatively impact professional development, practice and student learning.	Develops workplace conditions for teachers and other professional staff that attempt to promote effective professional development, practice and student learning, and there is minimal evidence of success.	Develops workplace conditions for teachers and other professional staff that promote effective professional development, practice and student learning.	Establishes a system/cycle to maintain and continuously improve workplace conditions for teachers and other professional staff to ensure continued effective professional development, practice and student learning.
В	Acts as a consultant to teachers and staff so that decisions about meeting the various needs of students is made at the school leader level, with little to no consideration of mission, vision and the core values of the school	Collaborates with teachers and staff to meet various needs of students, with some connection to the mission, vision and core values of the school.	Empowers and entrusts teachers and staff with collective responsibility for meeting the academic, social, emotional and physical needs of each student, pursuant to the mission, vision and core values of the school.	Sustains a culture of teacher and staff empowerment and collective responsibility for meeting the academic, social, emotional and physical needs of each student, pursuant to the mission, vision and core values of the school.
C	Unsuccessfully establishes and sustains a professional culture of engagement and commitment to shared vision, goals or objectives pertaining to the education of the whole child; or fails to hold high expectations for professional work, including ethical and equitable practice, trust and open communication, collaboration, collective efficacy or continuous improvement.	Attempts to establish a professional culture of engagement and commitment to some of the following: a shared vision, goals and objectives pertaining to the education of the whole child; high expectations for professional work; ethical and equitable practice; trust and open communication; collaboration, collective efficacy, and continuous individual and organizational learning and improvement.	Establishes and sustains a professional culture of engagement and commitment to shared vision, goals and objectives pertaining to the education of the whole child; high expectations for professional work; ethical and equitable practice; trust and open communication; collaboration, collective efficacy and continuous individual and organizational learning and improvement.	Establishes a system to ensure all key stakeholders collectively monitor and regularly evaluate the professional culture as it relates to engagement and commitment to shared vision, goals and objectives pertaining to the education of the whole child; high expectations for professional work; ethical and equitable practice; trust and open communication; collaboration, collective efficacy, and continuous individual and organizational learning and improvement.
D	Does not promote responsibility among teachers and other professional staff for each student's success	Promotes individual accountability for teachers and other professional staff without prioritizing and fostering mutual	Promotes mutual accountability among teachers and other professional staff for each student's success and the	Sustains a culture of collective efficacy among teachers and other professionals to ensure success for each student, and continuously shows

	the ff t'		-ffth	in an
	or the effectiveness of	accountability among	effectiveness of the school	improvement of school
	the school as a whole.	teachers and other	as a whole.	effectiveness, as a whole.
		professionals for each		
		student's success or		
		effectiveness of the		
		school as a whole.		
E	Unsuccessfully develops	Attempts to develop and	Develops and supports	Leverages a repertoire of
	and/or supports open,	support open,	open, productive, caring and	strategies designed to
	productive, caring or	productive, caring and	trusting working	assess, build and sustain
	trusting working	trusting working	relationships among	open, caring and trusting
	relationships among	relationships among	leaders, faculty and staff to	working relationships among
	leaders, faculty and	leaders, faculty and staff	promote professional	leaders, faculty and staff to
	staff to promote	to promote professional	capacity and the	cultivate high levels of
	professional capacity or	capacity and the	improvement of practice.	professional capacity that
	the improvement of	improvement of practice	improvement or practice.	leads to the continuous
		with limited evidence of		
	practice.			improvement of practice.
		success		
F	Fails to design or	Attempts to design and	Designs and implements	Empowers and entrusts
	implement job-	implement job-	job-embedded and other	teachers and other
	embedded or other	embedded and other	opportunities for	professionals to design,
	opportunities for	opportunities for	professional learning	implement and sustain
	professional learning	professional learning	collaboratively with faculty	effective job-embedded,
	collaboratively for	collaboratively with	and staff.	personalized and relevant
	faculty and staff; or	faculty and staff that		ongoing professional
	implementation shows	showcases some		learning.
	no positive impact on	evidence of positive		
	instruction	effects of		
		implementation.		
G	Unsuccessfully provides	Attempts to provide	Provides opportunities for	Empowers teachers and
	opportunities for	opportunities for	collaborative examination of	other professionals to
	collaborative	collaborative	practice, collegial feedback	sustain and further develop
	examination of	examination of practice,	and collective learning.	effective systems for
	practice, collegial	collegial feedback and	and concentre rearring.	collaborative examination of
	feedback and collective	collective learning.		practice, collegial feedback
		collective learning.		
	learning.	Attamata to develop and	Funnament for sultant initiated	and collective learning.
Н	Is unable or unwilling to	Attempts to develop and	Encourages faculty-initiated	Builds and sustains a system
	encourage faculty-	encourage faculty-	improvement of programs	that supports faculty-
	initiated improvement	initiated improvement	and practices.	initiated improvement of
	of programs and	of programs and		programs; shares autonomy
	practices.	practices, but		and ensures mutual
		sometimes resorts to		accountability of teachers
		previously established,		and staff for improvement of
		current practices.		programs and practices.

# STANDARD 8: MEANINGFUL ENGAGEMENT OF FAMILIES AND COMMUNITY

Effective educational leaders engage families and the community in meaningful, reciprocal, and mutually beneficial ways to promote each student's academic success and well-being.

### Effective leaders:

- a) Are approachable, accessible, and welcoming to families and members of the community.
- b) Create and sustain positive, collaborative, and productive relationships with families and the community for the benefit of students.
- c) Engage in regular and open two-way communication with families and the community about the school, students, needs, problems, and accomplishments.
- d) Maintain a presence in the community to understand its strengths and needs, develop productive relationships, and engage its resources for the school.
- e) Create means for the school community to partner with families to support student learning in and out of school.
- f) Understand, value, and employ the community's cultural, social, intellectual, and political resources to promote student learning and school improvement.
- g) Develop and provide the school as a resource for families and the community. h) Advocate for the school and district, and for the importance of education and student needs and priorities to families and the community.
- i) Advocate publicly for the needs and priorities of students, families, and the community.
- j) Build and sustain productive partnerships with public and private sectors to promote school improvement and student learning.

Standard 8: Meaningful Engagement of Families and Community Effective educational leaders engage families and the community in meaningful, reciprocal and mutually beneficial ways to promote each student's academic success and well-being.

Elements	Performance Levels			
	An <b>Ineffective</b> School	A <b>Developing</b> School	An <b>Accomplished</b> School	An Exemplary School
	Leader	Leader	Leader	Leader
A	Often fails to be approachable, accessible, and welcoming to families and members of the community.	Generally approachable, accessible and welcoming to families and members of the community.	Is approachable, accessible and welcoming to families and members of the community.	Builds the capacity of all school personnel to be approachable, accessible and welcoming to families and members of the community.
В	Fails to effectively engage in the conversations and activities necessary to develop mutually beneficial relationships with families and the community and/or does	Attempts to develop positive, collaborative and productive relationships with families and the community, but these attempts may be haphazard or less than fully effective.	Creates and sustains positive, collaborative and productive relationships with families and the community for the benefit of students.	Develops schoolwide capacity to establish trusting relationships and holds school personnel (and self) accountable for developing positive relationships among and between all stakeholder groups.

	not nurture positive relationships that exist.			
С	Communication with families and the community is minimal, lacks purpose and/or lacks inclusivity.	Communicates regularly and/or openly with families and the community about school-related issues, but the majority of the communication is one sided.	Engages in regular and open two-way communication with families and the community about the school, students, needs, problems and accomplishments.	Uses multiple strategies, languages and vehicles to continuously create reciprocal links with families and the community to support highly effective communication systems focused on school and student success.
D	Does not maintain an active presence in the community and/or fails to capitalize on the community as a valuable school partner.	Maintains some degree of presence in the community and attempts to promote a partnership between school and community,	Maintains a presence in the community to understand its strengths and needs, develops productive relationships, and engages its resources for the school.	Maintains a strong and continuous presence in the community and actively seeks out and draws upon the community's resources while also building community commitment to the school and its students by prioritizing strategic partnerships.
E	Does not utilize effective structures between the school and families as a tool to support student success and/or limited connections between the school community and families exist.	Encourages active family participation in the learning process and uses basic communication structures to facilitate student learning.	Creates means for the school community to partner with families to support student learning in and out of school.	Evaluates the effectiveness of structures designed to promote dynamic family engagement in the learning process and advocates for innovative avenues to continuously strengthen the bond.
F	Makes little use of the community's cultural, social, intellectual and political resources as a means to advance student and school growth, and/or is not particularly aware of the community's resources and/or makes little effort to increase his/her understanding.	Developing an ability to identify the community's cultural, social, intellectual and political resources, and makes some use of those resources to strengthen the school and promote student learning and well-being.	Understands, values and employs the community's cultural, social, intellectual and political resources to promote student learning and school improvement.	Seeks out and draws frequently upon the community's cultural, social, intellectual and political resources to advance the school and extend learning opportunities for students.
G	Restricts the use of the school as a resource for families and the community and/or many obstacles discourage the use of the school as a resource for families and the community.	Provides limited use of the school as a resource for families and the community	Develops and provides the school as a resource for families and the community.	Promotes the school as a community center and actively seeks out opportunities for families and community members to engage in programs and activities that strengthen family and community member connections and

Н	Lacks conviction when communicating with families and the community regarding the value of the educational system and/or family, and community buy in of the message is low.	Verbalizes the value of the educational system and student well-being to families and community members periodically and evidence confirms some degree of buy in.	Advocates for the school and district, for the importance of education and student needs, and priorities to families and the community.	validate the school as a joint asset.  Empowers families and the community to advocate, on behalf of the school and district, the gravity of education and necessity of student well-being to fellow families and community members.
I	Fails to advocate publicly for the needs and priorities of students, families and the community, and/or makes moderate advocacy attempts that result in minimal impact.	Promotes the needs and priorities of students, families and the community.	Advocates publicly for the needs and priorities of students, families and the community.	Empowers all members of the school community to passionately advocate publicly, using a variety of platforms, for the needs and priorities of students, families and the community.
J	Dismisses partnerships with public and private sectors and/or has negative interactions that derail long-term, mutually beneficial relationships.	Seeks out some mutually beneficial partnerships with public and private sectors: however; the relationships are shortlived and/or are not grounded in a shared vision.	Builds and sustains productive partnerships with public and private sectors to promote school improvement and student learning.	Consistently evaluates and expands innovative, mutually high-yielding partnerships with public and private sectors based on evolving needs of the school and individualized learning needs of each student resulting in elevated and shared success.

# STANDARD 9: OPERATIONS AND MANAGEMENT

Effective educational leaders manage school operations and resources to promote each student's academic success and well-being.

### Effective leaders:

- a) Institute, manage, and monitor operations and administrative systems that promote the mission and vision of the school.
- b) Strategically manage staff resources, assigning and scheduling teachers and staff to roles and responsibilities that optimize their professional capacity to address each student's learning needs.
- c) Seek, acquire, and manage fiscal, physical, and other resources to support curriculum, instruction, and assessment; student learning community; professional capacity and community; and family and community engagement.
- d) Are responsible, ethical, and accountable stewards of the school's monetary and nonmonetary resources, engaging in effective budgeting and accounting practices.
- e) Protect teachers' and other staff members' work and learning from disruption. f) Employ technology to improve the quality and efficiency of operations and management. g) Develop and maintain data and communication systems to deliver actionable information for classroom and school improvement.
- h) Know, comply with, and help the school community understand local, state, and federal laws, rights, policies, and regulations so as to promote student success.
- i) Develop and manage relationships with feeder and connecting schools for enrollment management and curricular and instructional articulation.
- j) Develop and manage productive relationships with the central office and school board. k) Develop and administer systems for fair and equitable management of conflict among students, faculty and staff, leaders, families, and community.
- I) Manage governance processes and internal and external politics toward achieving the school's mission and vision.

# Standard 9: Operations and Management Effective educational leaders manage school operations and resources to promote each student's academic success and well-being.

Elements		Performance Levels					
	An <b>Ineffective</b> School	A <b>Developing</b> School	An <b>Accomplished</b> School	An Exemplary School			
	Leader	Leader	Leader	Leader			
Α	Fails to institute,	Institutes operations	Institutes, manages and	In collaboration with			
	manage or monitor	and administrative	monitors operations and	teachers and/or other			
	operations and	systems that are loosely	administrative systems that	administrative team			
	administrative systems	aligned to the mission	promote the mission and	members, the school			
	that promote the	and vision of the school	vision of the school.	leader institutes, manages			
	mission and vision of	and makes some		and monitors operations			
	the school.	attempt to monitor the		and administrative			
		effectiveness of these		systems that are tightly			
		systems.		aligned with and			
				accelerates the mission			
				and vision of the school.			
В	Management choices	Some management	Strategically manages staff	Empowers teachers and			
	are primarily based on	choices may be strategic	resources, assigning and	other professionals to			
	adult preferences	in order to optimize	scheduling teachers and	advocate for strategic			

	and/or lack focus on student's learning needs.	professional capacity to address students' learning needs, while other choices may be based on adult preferences.	staff to roles and responsibilities that optimize their professional capacity to address each student's learning needs.	management of staff resources, specifically requesting the assignment and scheduling of teachers and staff to roles and responsibilities that correlate to their identified instructional strengths to ensure the academic and emotional success of each student.
C	Fails to seek, acquire and manage fiscal, physical and other resources to support curriculum, instruction and assessment; student learning community; professional capacity and community; or family and community engagement.	Inconsistently seeks, acquires and manages fiscal, physical and other resources to support curriculum, instruction and assessment; student learning community; professional capacity and community; and family and community engagement.	Seeks, acquires and manages fiscal, physical and other resources to support curriculum, instruction and assessment; student learning community; professional capacity and community; and family and community engagement.	Empowers others to innovatively and actively seek, acquire and manage fiscal, physical and other resources to support curriculum, instruction and assessment; student learning community; professional capacity and community; and family and community engagement.
D	Lapses in acting responsibly and ethically in stewardship of the school's monetary and nonmonetary resources, resulting in ineffective budgeting and accounting practices.	Makes most decisions that demonstrate responsible, ethical and accountable actions in the management of the school's monetary and nonmonetary resources, while almost always engaging in effective budgeting and accounting practices.	Is a responsible, ethical and accountable steward of the school's monetary and nonmonetary resources, engaging in effective budgeting and accounting practices.	Creates a system where all stakeholders are responsible, ethical and accountable stewards of the school's monetary and nonmonetary resources, engaging in strategic budgeting and accounting practices.
E	Fails to protect teachers' and other staff members' work and learning from disruption.	Inconsistently protects teachers' and other staff members' work and learning from disruption.	Protects teachers' and other staff members' work and learning from disruption.	Empowers the school community to hold self and others accountable for consistently ensuring that work and learning are protected from disruption.
F	There is limited effort to employ technology to improve the quality and efficiency of operations and management and/or there is no positive impact on quality or efficiency.	Uses the basic technology available to support operations and management, but technology integration has limited impact on the quality and efficiency.	Employs technology to improve the quality and efficiency of operations and management.	Continually researches and seeks recommendations for advancements in technology and then makes changes to current technology practices that result in accelerated quality and efficiency.
G	Sporadically uses data and communication systems to provide information that may or may not be actionable.	Uses data and communication systems to inform feedback for classroom and school improvement that is	Develops and maintains data and communication systems to deliver actionable information for	Continually evaluates data and communication systems and creates an effective plan for actionable feedback loops

		mostly actionable and/or consistently delivered.	classroom and school improvement.	resulting in highly effective teaching and learning.
Н	Unaware and/or fails to comply with local, state and federal laws, rights, policies and regulations.	Knows and complies with local, state and federal laws, rights, policies and regulations to promote student success.	Knows, complies with and helps the school community understand local, state and federal laws, rights, policies and regulations to promote student success.	Leads advocacy efforts in collaboration with community members for tight adherence to local, state and federal laws, rights, policies and regulations so as to elevate academic success and social and emotional well-being of each student.
I	Inconsistently or fails to develop and manage relationships with feeder and connecting schools for enrollment management and/or instruction.	Develops and manages relationships with feeder and connecting schools for enrollment management with a limited degree of communication around curriculum and instruction.	Develops and manages relationships with feeder and connecting schools for enrollment management and curricular and instructional articulation.	Sustains and nurtures an ongoing communication system with feeder and connecting schools aimed at the holistic success and well-being of each student.
J	Fails to recognize the value in developing and managing productive relationships with the central office and school board and/or relationship primarily negative or stilted.	Engages in a relationship with the central office and the school board and outcomes reflect some elements of productivity.	Develops and manages productive relationships with the central office and the school board.	Sustains, ongoing, active and synergistic relationships with the central office and the school board.
K	Has a lack of awareness of the need for systems for fair and equitable management of conflict among students, faculty and staff, leaders, families and community.	Attempts to develop systems for fair and equitable management of conflict among students, faculty and staff, leaders, families and community, but there is inconsistent administration and implementation.	Develops and administers systems for fair and equitable management of conflict among students, faculty and staff, leaders, families, and community.	Continuously monitors, evaluates and refines the systems for fair and equitable conflict management and builds capacity for all stakeholders to recognize and confront lapses in effective implementation.
L	Demonstrates lack of skill or will to adequately manage governance processes or internal/external politics.	Adequately manages governance processes and internal and external politics with some degree of success in achieving the school's mission and vision.	Manages governance processes and internal and external politics toward achieving the school's mission and vision.	Prioritizes the highly effective management of governance processes and internal and external politics with laser-like focus on achieving the school's mission and vision.

# STANDARD 10: SCHOOL IMPROVEMENT

Effective educational leaders act as agents of continuous improvement to promote each student's academic success and well-being.

### Effective leaders:

- a) Seek to make school more effective for each student, teachers and staff, families, and the community.
- b) Use methods of continuous improvement to achieve the vision, fulfill the mission, and promote the core values of the school.
- c) Prepare the school and the community for improvement, promoting readiness, an imperative for improvement, instilling mutual commitment and accountability, and developing the knowledge, skills, and motivation to succeed in improvement.
- d) Engage others in an ongoing process of evidence-based inquiry, learning, strategic goal setting, planning, implementation, and evaluation for continuous school and classroom improvement.
- e) Employ situationally-appropriate strategies for improvement, including transformational and incremental, adaptive approaches and attention to different phases of implementation.
- f) Assess and develop the capacity of staff to assess the value and applicability of emerging educational trends and the findings of research for the school and its improvement.
- g) Develop technically appropriate systems of data collection, management, analysis, and use, connecting as needed to the district office and external partners for support in planning, implementation, monitoring, feedback, and evaluation.
- h) Adopt a systems perspective and promote coherence among improvement efforts and all aspects of school organization, programs, and services.
- i) Manage uncertainty, risk, competing initiatives, and politics of change with courage and perseverance, providing support and encouragement, and openly communicating the need for, process for, and outcomes of improvement efforts.
- j) Develop and promote leadership among teachers and staff for inquiry, experimentation and innovation, and initiating and implementing improvement.

# Standard 10: School Improvement

Effective educational leaders act as agents of continuous improvement to promote each student's academic success and well-being.

Elemei	nts	Performance Levels						
	An <b>Ineffective</b> School	A <b>Developing</b> School	An <b>Accomplished</b> School	An Exemplary School				
	Leader	Leader	Leader	Leader				
Α	Demonstrates little	Maintains personal	Seeks to make school more	Prioritizes a relentless				
	commitment to	belief in the potential for	effective for each student,	focus on improvement				
	personalizing	improving student	teachers and staff, families,	efforts targeted to match				
	improvement efforts to	achievement and overall	and the community.	the specialized needs of				
	meet the needs of the	school effectiveness and		each student, teachers and				
	school community	attempts to prioritize		staff, families, and the				
	and/or easily loses	efforts but may struggle		community while				
	focus and reacts with			demonstrating resilience				

В	visible frustration to challenges and setbacks and/or makes concessions on student achievement goals in the face of persistent challenges.  The leader's actions or attitude regarding the development and enactment of continuous improvement methods and school goals have a negative impact on the	Inconsistent in planning, implementing and supporting actions associated with mission, vision and core values, and/or these actions have limited positive impact on the	Uses methods of continuous improvement to achieve the vision, fulfill the mission and promote the core values of the school.	and using every challenge as an opportunity to learn and develop themselves and their staff.  Consistently and collaboratively plans, implements and supports actions that develop, advocate and enact a shared mission, vision and set of core values of high-quality education resulting
	educational environment and/or fails to develop essential components of the continuous improvement process.	educational environment.		in each student's success, and to significant improvements in or sustained excellence of the educational environment.
C	Passively manages school change while ignoring the role change may have on the school and/or community, and/or rarely provides support to stakeholders during times of change.	While focusing on improvement, provides time for the school and community to process change; embraces change that is in the best interest of students; anticipates reactions to change and initiates some degree of forward-moving momentum.	Prepares the school and the community for improvement by developing strategies to promote readiness, instill mutual commitment and accountability, and develop the knowledge, skills and motivation to succeed in improvement.	While developing allegiance to the imperative of improvement, facilitates opportunities for the school and community to raise questions, doubts, and feelings about change and to adapt to change; builds the capacity to embrace and support changes that are in the best interest of the students; proactively manages reactions to change and capitalizes on forward moving momentum.
D	Exerts minimal effort to collaborate with stakeholders throughout the cycle of continuous improvement and/or the continuous improvement cycle does not reflect many of the best practice principles.	Collaboratively establishes priorities for school improvement based on data/research and implements a system to monitor progress.	Engages others in an ongoing process of evidence-based inquiry, learning, strategic goal setting, planning, implementation and evaluation for continuous school and classroom improvement.	Utilizing a shared leadership approach that involves diverse stakeholders, leads a systematic continuous school improvement process that results in verified change initiatives promoting improved student academic achievement, enhanced student well- being, and greater school and teacher effectiveness.

E	Minimally	Makes use of limited	Employs situationally-	Develops the capacity of
	demonstrates inclusion	strategies within the	appropriate strategies for	stakeholders to employ
	of strategies and/or a	school plan for	improvement, including	situationally appropriate
	plan to achieve school	improvement, with little	transformational and	strategies within the
	improvement goals.	attention to different	incremental, adaptive	school plan for
		phases of	approaches and attention to	improvement, including
		implementation and/or	different phases of	transformational and
		a sustainable system.	implementation.	incremental, adaptive
				approaches and attention
				to different phases of
				implementation, through a
				self-sustainable system.
F	Does not expect staff to	Staff is moderately	Assesses and develops the	Share decision making
	be capable of assessing	involved in the analytical	capacity of staff to assess	with staff to gauge
	and applying emerging	process of discerning	the value and apply	effectiveness of the most
	educational trends and	merit and relatedness of	appropriate emerging	appropriate research-
	research as a means to	current educational	educational trends and the	based emerging
	support continuous	trends/research and/or	findings of research for the	educational trends that
	improvement and/or	adoption is based on	school and its improvement.	are targeted to support
	leadership is dismissive	trend popularity instead		the unique, changing
	to exploring research-	of most effective for		needs of the school while
	based educational	school.		encouraging forward-
	trends.			thinking.
G	Completes a cursory	Implements a system to	Develops technically	In collaboration with the
	review that lacks	collect data and utilize;	appropriate systems of data	school community, leads a
	characteristics of a	however, limited data	collection, management,	systemic data review
	systemic process, of the	sources are analyzed to	analysis and use, connecting	process using multiple and
	school's strengths and	diagnose the current	as needed to the district	varied data sources over
	weaknesses using	state of the school and	office and external partners	time to diagnose and
	annual student	student achievement.	for support in planning,	respond to student needs
	achievement results;	Stakeholder connections	implementation,	and school improvement
	may misinterpret	are somewhat used to	monitoring, feedback and	while prioritizing
	patterns; may set goals	support system	evaluation.	stakeholder collaboration
	unrelated to student	implementation and the		and coherence as a critical
	learning and/or not	well-being of some		component of ensuring
	adequately specific or	students.		significant and sustained
	measurable.			gains in school
				improvement and
				attainment of rigorous
				student achievement goals
				as measured outcomes of
				the continuous review
Н	Lacks an understandin	Establishes coherence	Adopte a systems	cycle.
"	Lacks an understanding		Adopts a systems	Increases both the speed and breadth of the
	of the power of	across initiatives in	perspective and promotes	
	interconnectedness	support of school	coherence among	adoption of a systems
	and/or misses	improvement goals and in most areas of the	improvement efforts and all	perspective within all
	opportunities to align		aspects of school	aspects of the school and
	strategies.	school.	organization, programs and services.	community while using a
			services.	communication strategy that ensures all school
				staff, district personnel
				and stakeholder groups
				have clarity of purpose,

I	Does not recognize the role that the change process will have on the school community and/or articulates that change will raise emotions and attempts to support staff but does not effectively manage all needs; and/or does not balance the need to make change within the school quickly while supporting the staff's ability to learn and	Demonstrates some understanding of the change process and uses leadership and facilitation skills to manage it effectively with minor gaps in performance.	Manages uncertainty, risk, competing initiatives and politics of change with courage and perseverance, providing support and encouragement, and openly communicating the need for, process for and outcomes of improvement efforts.	priorities and outcomes for district-focused and school-driven improvement efforts while utilizing the most appropriate drivers AND builds the capacity of staff to identify misalignment between school, district and community priorities.  Provides modeling and coaching to colleagues in support of highly effective change management practices focusing specifically on barriers to successfully facilitating the change process.
J	develop new skills.  Relies heavily on  "power flows from the	Capitalizes on obvious teacher and staff	Develops and promotes leadership among teachers	Fosters leadership potential among
	top down" leadership	strengths to unify	and staff for inquiry,	teachers/staff and
	style and/or fails to	improvement efforts	experimentation and	monitors leaders in a
	ensure equitable access	and provides some	innovation, and initiating	variety of settings and
	to leadership opportunities among	opportunities for teacher and staff to	and implementing improvement.	provides specific feedback to support their continued
	staff.	assume leadership roles		leadership development
		in activities designed to		within and beyond the
		promote changes to		school while serving as a
		school-wide systems.		mentor/model of effective
				leadership.

# Principal/Assistant Principal/District Administrator Summative Form-Overall Performance Category

**Directions:** Completed by Superintendent/Designee. Overall Performance Category is based on Professional Practice, including student growth and accompanying decision rules. Once the overall Professional Practice rating has been determined, the Overall Performance Category is achieved using the established Overall Performance Category matrix.

Administrator:	Sch	ool Y	ear:			School	:
Meas	ure		RAT	ING			COMMENTS
Planning – Standard 1 – Mission, Vision, and Core Values; Standard 9 – Operations and Management; Standard 10 – School Improvement		I	D	Α	Е		
2. Environment – Standard 3 – Equity and Cultural Responsiveness; Standard 7 – Professional Community for Teachers and Staff.			D	Α	Е		
3. Instruction – Standard 4 – Curriculum, Instruction, Assessment; Standard 5 – Community of Care and Support for Students; Standard 6 – Professional Capacity of School Personnel.		I	D	A	Е		
Professionalism – Standard 2 – Ethics and Professional Norms; Standard 8 – Meaningful			D	Α	ш		
Overall Professi	onal Practice Ratin	g:	Cho	ose	an (	Overall Profes	ssional Practice Rating
Ineffective	Developing				е ехре	nplished ected level of rmance	Exemplary In addition to meeting the requirements for Accomplished
To be signed after all i	nformation above ha	s be	en c	omp	lete	d and discuss	ed:
Evaluator's Name			Adm	inistr	ator'	s Name	
Evaluator's Signature(Signature denotes red	ceipt of the summative e	/ valua	Admi ation,	nistra not n	ator's	s Signature sarily agreement	with the contents of this form.)

Opportunities for appeal process at both the local and state levels are a part of the Dayton Independent Public School district evaluation plan.

Date

# **Employment Recommendation to Central Office:**

- Meets administrator standards for re-employment
- ☐ Does not meet administrator standards for re-employment

Certified employees must make their appeal to this summative evaluation within the time frames mandated in 704 KAR 3:345 Sections 7, 8, 9 and the local district plan.

\*Any rating in the "Ineffective" column requires the development of an Individual Corrective Action Plan.

<u>IF</u>	THEN
Administrator is rated Exemplary in at least three of the measures and no standard below Accomplished,	Professional Practice Rating shall be Exemplary
Administrator is rated Accomplished in at least three standards and no standard is rating below Developing	Professional Practice Rating shall be Accomplished.
Administrator is rated Developing in at least three standards	Professional Practice Rating shall be Developing.
Administrator is rated Ineffective in two or more standards	Professional Practice Rating shall be Ineffective.

Date

# **Evaluation Appeal Form for Administrators**

This form is to be used by certified employees who wish to appeal their performance evaluations to the Appeal Panel.

Employee's Name:			
Home Address:			
Job Title:	Location:	Grade or Department:	
What specifically	y do you object to or why do you	ı feel you were not fairly evaluated?	
If additional space	ce is needed, attach extra sheet		
Date you receive	ed the summative evaluation		
Name of Evalua	tor	Date	
	onsent for my evaluation records to be ew. I will appear before the Panel if re	presented to the members of the Evaluation Appeal Panel quested.	for
Employee's Sig	gnature		

# Superintendent

The Board of Education will evaluate the Superintendent annually.

# **Individual Professional Growth Plans**

All other certified employees shall develop an Individual Professional Growth Plan using the **DAYTON INDEPENDENT PUBLIC SCHOOLS INDIVIDUAL PROFESSIONAL GROWTH PLAN for Other Administrators** that complies with the requirements of 704 KAR 3:345. Each other certified administrator is responsible to initiate, implement, monitor, revise (as needed) and document completion of the plan in a given school year. This document becomes a part of the personnel record for the year. Other certified administrators are encouraged to review the schools/districts improvement plans, district trajectory goals (School Report Card data) and professional development plans when considering areas for improvement. The goals must be written to support the district trajectory goal.

# **Central Office/District Administrators Procedures:**

The evaluation of central office/district/school level administrators shall follow the procedures as described:

- A Superintendent/designee will be the evaluator for all other certified district administration.
- All other certified administrators shall be evaluated annually, including the Superintendent. The evaluation of the Superintendent is the responsibility of the Board of Education.
- The Superintendent/designee will hold an initial formative conference by October 31 with the other certified district administration which will include:
  - Discuss areas of strengths and weaknesses
  - Finalize and clarify goals that have been mutually established in the PGP
  - o Reviews plans for implementation of all goals and improvements
- The superintendent/designee will request that administrators conduct a self-appraisal using the district evaluation form for administrators by May 15. Formative Evaluation for all other certified district administration will be measured by the use of individual job performance criteria and by the degree of accomplishment of their PGPs.
- The Final Summative Evaluation Form is due by June 15<sup>th</sup>. The Superintendent/designee will hold a conference before June 15<sup>th</sup> with the other certified district personnel which will include:
  - Discussion of job performance criteria
  - Review of PGP goals for the year
  - Discussion of district goals for upcoming school year

The evaluatee is provided an opportunity to respond in writing within five (5) school days to the evaluator's ratings and comments. The evaluator and the evaluatee must discuss the results of the appraisal and any recommended action pertinent to such, and both parties must sign the instrument in the assigned spaces. The evaluatee's signature indicates only that he/she has seen and read the evaluation. The instrument and all mandatory forms must be filed in the evaluatee's personnel folder and a signed copy given to the evaluatee. In case of an employee failing to meet a standard or whose actions require immediate need, a Corrective Action Plan (attached), will be jointly formulated by the evaluator and the evaluatee.