

# Kentucky Department of Education Version of AIA Document A101 – 2007

**Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum**

AGREEMENT made as of the Ninth day of April  
in the year Two Thousand Twenty-One  
(In words, indicate day, month and year.)

BETWEEN the Owner:  
(Name, legal status, address and other information)  
Boone County Board of Education  
8330 US 42  
Florence, Kentucky 41042

and the Contractor:  
(Name, legal status, address and other information)  
Seco Electric Company, Inc.  
350 West Pike Street  
Covington, Kentucky 41011

for the following Project:  
(Name, location and detailed description)  
Boone County Schools – LED Upgrades  
Boone County, Cooper and Ryle High and Mann Elementary  
BG #21-129 / REH #129-1020-B

This project consists of LED lighting upgrades at the following:

1. Practice gymnasiums at Boone County and Cooper High Schools.
2. Auditoriums (house lighting) at Boone County and Ryle High Schools.
3. Gymnasium at Mann Elementary School.

The Architect:  
(Name, legal status, address and other information)  
Robert Ehmet Hayes & Associates, PLLC  
2512 Dixie Highway  
Fort Mitchell, Kentucky 41017

The Owner and Contractor agree as follows.



This version of AIA Document A101–2007 is modified by the Kentucky Department of Education. Publication of this version of AIA Document A101 does not imply the American Institute of Architects' endorsement of any modification by the Kentucky Department of Education. A comparative version of AIA Document A101–2007 showing additions and deletions by the Kentucky Department of Education is available for review on the Kentucky Department of Education Web site.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

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### ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Owner direct Purchase Orders, Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

### ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

### ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be the date of this Agreement unless a different date is stated below or provision is made for the date to be fixed in a notice to proceed issued by the Owner.

*(Insert the date of commencement if it differs from the date of this Agreement or, if applicable, state that the date will be fixed in a notice to proceed.)*

If, prior to the commencement of the Work, the Owner requires time to file mortgages and other security interests, the Owner's time requirement shall be as follows:

§ 3.2 The Contract Time shall be measured from the date of commencement.

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§ 3.3 The Contractor shall achieve Substantial Completion of the entire Work not later than ( ) days from the date of commencement, or as follows:  
*(Insert number of calendar days. Alternatively, a calendar date may be used when coordinated with the date of commencement. If appropriate, insert requirements for earlier Substantial Completion of certain portions of the Work. Either list requirements for earlier Substantial Completion here or refer to an exhibit attached to this Agreement.)*

Portion of Work  
 Total Project

Substantial Completion Date  
 August 1, 2021

, subject to adjustments of this Contract Time as provided in the Contract Documents.

Liquidated Damages: As actual damages for delay in completion of Work are impossible to determine, the Contractor and his Surety shall be liable for and shall pay to the Owner the sum of  
 N/A

(\$ ), not as a penalty, but as fixed, agreed and liquidated damages for each calendar day of delay until the Contract Work is substantially completed as defined in the General Conditions of the Contract for Construction. The Owner shall have the right to deduct liquidated damages from money in hand otherwise due, or to become due, to the Contractor, or to sue and recover compensation for damages for failure to substantially complete the Work within the time stipulated herein. Said liquidated damages shall cease to accrue from the date of Substantial Completion.

#### ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be  
 One Hundred Thirty-Seven Thousand Dollars  
 (\$ 137,000 ), subject to additions and deductions as provided in the Contract Documents.

*(List the base bid amount, sum of accepted alternates, total construction cost (the sum of base bid amount plus sum of accepted alternates), sum of Owner's direct Purchase Orders. The Contract Sum shall equal the sum of Total Construction Cost, less Owner direct Purchase Orders. Either list this information here or refer to an exhibit attached to this Agreement.)*

|                                                                                      | Amount     |
|--------------------------------------------------------------------------------------|------------|
| Base Bid                                                                             | \$ 137,000 |
| Sum of Accepted Alternates                                                           | \$ N/A     |
| Total Construction Cost (the sum of base bid amount plus sum of accepted alternates) | \$ 137,000 |
| Sum of Owner's direct Purchase Orders                                                | \$ N/A     |
| Contract Sum (total construction cost less Owner direct Purchase Orders)             | \$ 137,000 |

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§ 4.2 The Contract Sum is based upon the following alternates, if any, which are described in the Contract Documents and are hereby accepted by the Owner:

*(State the numbers or other identification of accepted alternates. If the bidding or proposal documents permit the Owner to accept other alternates subsequent to the execution of this Agreement, attach a schedule of such other alternates showing the amount for each and the date when that amount expires. Either list alternates here or refer to an exhibit attached to this Agreement.)*

None

| Number              | Item Description | Amount |
|---------------------|------------------|--------|
|                     |                  |        |
|                     |                  |        |
|                     |                  |        |
|                     |                  |        |
|                     |                  |        |
|                     |                  |        |
|                     |                  |        |
|                     |                  |        |
|                     |                  |        |
| Total of Alternates |                  |        |

§ 4.3 Unit prices, if any:

*(Identify and state the unit price; state quantity limitations, if any, to which the unit price will be applicable. Either list unit prices here or refer to an exhibit attached to this Agreement.)*

As outlined on Seco Electric Co., Inc.'s Form of Proposal.

| Item | Units and Limitations | Price per Unit (\$0.00) |
|------|-----------------------|-------------------------|
|------|-----------------------|-------------------------|

§ 4.4 Allowances included in the Contract Sum, if any:

*(Identify allowance and state exclusions, if any, from the allowance price. Either list allowances here or refer to an exhibit attached to this Agreement.)*

As outlined in the Bidding and Contract Documents.

| Item | Price |
|------|-------|
|------|-------|

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## ARTICLE 5 PAYMENTS

### § 5.1 PROGRESS PAYMENTS

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

Contractor shall submit each application for payment to the Architect allowing seven days for his review and for receipt of the application by the Owner two weeks before the second Thursday of each month. Owner will make payment within twenty (20) days after the application has been approved by the Owner and release has been authorized.

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the \_\_\_\_\_ day of a month, the Owner shall make payment of the certified amount to the Contractor not later than the \_\_\_\_\_ day of the \_\_\_\_\_ month. If an Application for Payment is received by the Architect after the application date fixed above, payment shall be made by the Owner not later than ( ) days after the Architect receives the Application for Payment.

State law (KRS 371.405) requires the Owner to pay undisputed Applications for Payment within forty-five (45) business days following receipt of the invoices. If the Owner fails to pay the Contractor within forty-five (45) business days following receipt of an undisputed Application for Payment, state law requires the Owner shall pay interest to the Contractor beginning on the forty-sixth business day after receipt of the Application for Payment, computed at the rate required by state law.

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 Subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

- .1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of ten percent ( 10%). Pending final determination of cost to the Owner of changes in the Work, amounts not in dispute shall be included as provided in Section 7.3.9 of AIA Document A201™-2007, General Conditions of the Contract for Construction — KDE Version;
- .2 Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of ten percent ( 10%);
- .3 Subtract the aggregate of previous payments made by the Owner; and
- .4 Subtract amounts, if any, for which the Architect has withheld or nullified a Certificate for Payment as provided in Section 9.5 of AIA Document A201-2007 — KDE Version.

§ 5.1.7 The progress payment amount determined in accordance with Section 5.1.6 shall be further modified under the following circumstances:

- .1 Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the Architect shall determine for incomplete Work, retainage applicable to such work and unsettled claims; and  
(Section 9.8.5 of AIA Document A201-2007 — KDE Version requires release of applicable retainage upon Substantial Completion of Work with consent of surety, if any.)

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- .2 Add, if final completion of the Work is thereafter materially delayed through no fault of the Contractor, any additional amounts payable in accordance with Section 9.10.3 of AIA Document A201-2007 — KDE Version.

**§ 5.1.8** Reduction or limitation of retainage, if any, shall be as follows:

*When Owner direct Purchase Orders are used, retainage that would otherwise be held on materials and equipment shall transfer to the Contractor, and the material suppliers will be paid the full amount of their invoices. The Owner shall retain ten percent (10%) from each Application for Payment, and an amount equal to ten percent (10%) of approved Purchase Order payments, up to fifty percent (50%) completion of the Work, then provided the Work is on schedule and satisfactory, and upon written request of the Contractor together with consent of surety and the recommendation of the Architect, the Owner shall approve a reduction in Retainage to five percent (5%) of the current Contract Sum plus Purchase Orders. No part of the five percent (5%) retainage shall be paid until after Substantial Completion of the Work, as defined in the General Conditions of the Contract for Construction. After Substantial Completion, if reasons for reduction in retainage are certified in writing by the Architect, a reduction to a lump sum amount less than the five percent (5%) retainage may be approved by the Owner when deemed reasonable. The minimum lump sum retainage shall be twice the estimated cost to correct deficient or incomplete work.*

Paragraph 5.1.3 above is deleted.

Payments to the Contractor shall be in accordance with the General, Supplementary and other Conditions of the Contract, and Section 017900 "Payment Procedures".

**§ 5.1.9** Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

**§ 5.2 FINAL PAYMENT**

**§ 5.2.1** Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Section 12.2.2 of AIA Document A201-2007 — KDE Version, and to satisfy other requirements, if any, which extend beyond final payment;
- .2 a final Certificate for Payment has been issued by the Architect; and
- .3 the Contractor provides the Owner with affidavits that all payrolls, bills for materials, supplies and equipment, and other indebtedness connected with the Work have been paid or otherwise satisfied, and with Consent of Surety for final payment.

**ARTICLE 6 DISPUTE RESOLUTION**

**§ 6.1 INITIAL DECISION MAKER**

The Architect will serve as Initial Decision Maker pursuant to Section 15.2 of AIA Document A201-2007 — KDE Version, unless the parties appoint below another individual, not a party to this Agreement, to serve as Initial Decision Maker.

*(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)*

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## § 6.2 BINDING DISPUTE RESOLUTION

For any Claim subject to, but not resolved by, mediation pursuant to Section 15.3 of AIA Document A201-2007 — KDE Version, the method of binding dispute resolution shall be as follows:

*(Check the appropriate box. If the Owner and Contractor do not select a method of binding dispute resolution below, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.)*

- ☐ Arbitration pursuant to Section 15.4 of AIA Document A201-2007 — KDE Version
- ☒ Litigation in a court of competent jurisdiction where the Project is located
- ☐ Other: *(Specify)*

## ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201-2007 — KDE Version.

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201-2007 — KDE Version.

## ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201-2007 — KDE Version or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 Payments due and unpaid under the Contract shall bear interest from the date payment is due at such rate required by state law, or in the absence of law, at the legal rate prevailing at the time and place where the Project is located.  
*(Insert rate of interest agreed upon, if any.)*

See Article 5.1.2 above.

§ 8.3 The Owner's representative:  
*(Name, address and other information)*

Dr. Mike Poiry, Assistant Superintendent  
Boone County Board of Education  
8330 U.S. 42  
Florence, Kentucky 41042

§ 8.4 The Contractor's representative:  
*(Name, address and other information)*

Joe Schamer, Field Supervisor  
Seco Electric Company, Inc.  
350 West Pike Street  
Covington, Kentucky 41011

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§ 8.5 Neither the Owner's nor the Contractor's representative shall be changed without ten days written notice to the other party.

§ 8.6 Other provisions:

AWARD OF THE CONTRACT- Award of this Contract is subject to acceptance of the bids by the Kentucky Department of Education.

#### ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 The Contract Documents, except for Modifications issued after execution of this Agreement, are enumerated in the sections below.

§ 9.1.1 The Agreement is this executed AIA Document A101-2007, Standard Form of Agreement Between Owner and Contractor — KDE Version.

§ 9.1.2 The General Conditions are AIA Document A201-2007, General Conditions of the Contract for Construction — KDE Version.

§ 9.1.3 The Supplementary and other Conditions of the Contract:

*(Either list Supplementary and other Conditions of the Contract here or refer to an exhibit attached to this Agreement.)*

Supplementary Conditions, Pages 1 - 7

| Document | Title | Date | Pages |
|----------|-------|------|-------|
|----------|-------|------|-------|

§ 9.1.4 The Specifications:

*(Either list the Specifications here or refer to an exhibit attached to this Agreement.)*

See Exhibit 'A' attached to this Agreement.

| Section | Title | Date | Pages |
|---------|-------|------|-------|
|---------|-------|------|-------|

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**§ 9.1.5 The Drawings:**

*(Either list the Drawings here or refer to an exhibit attached to this Agreement.)*

See Exhibit 'B' attached to this Agreement.

| Number | Title | Date |
|--------|-------|------|
|--------|-------|------|

**§ 9.1.6 The Addenda, if any:**

*(Either list the Addenda here or refer to an exhibit attached to this Agreement.)*

| Number         | Date           | Pages                    |
|----------------|----------------|--------------------------|
| Addendum No. 1 | March 2, 2021  | Page 1 of 1 + attachment |
| Addendum No. 2 | March 10, 2021 | Page 1 of 1 + attachment |

Portions of Addenda relating to bidding requirements are not part of the Contract Documents unless the bidding requirements are also enumerated in this Article 9.

**§ 9.1.7 Additional documents, if any, forming part of the Contract Documents:**

.1 AIA Document E201™–2007, Digital Data Protocol Exhibit, if completed by the parties, or the following:

9.1.7.1 above is deleted.

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2 Other documents, if any, listed below:

*(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201-2007 — KDE Version provides that bidding requirements such as advertisement or invitation to bid, Instructions to Bidders, sample forms and the Contractor's bid are not part of the Contract Documents unless enumerated in this Agreement. They should be listed here only if intended to be part of the Contract Documents.)*

- A. AIA Document A701-1997, Instructions to Bidders — KDE Version
- B. Contractor's Form of Proposal
- C. KDE Purchase Order Summary Form

C. is not applicable

D. Supplementary Instructions to Bidders, Pages 1 - 5

E. Seco Electric Co., Inc.'s Form of Proposal, Pages 1 through 4, with accompanying Conflict of Interest, Bid Bond and Power of Attorney, all dated March 16, 2021

**ARTICLE 10 INSURANCE AND BONDS**

The Contractor shall purchase and maintain insurance and provide bonds as set forth in Article 11 of AIA Document A201-2007 — KDE Version.

*(State bonding requirements, if any, and limits of liability for insurance required in Article 11 of AIA Document A201-2007 — KDE Version. Either list insurance and bond information here or refer to an exhibit attached to this Agreement.)*

Insurance as outlined in the Contract Documents.

KDE version of Performance and Payment Bonds, AIA Document A312, 2010, to be executed with this Agreement.

Type of Insurance or Bond

Limit of Liability or Bond Amount (\$0.00)

This Agreement entered into as of the day and year first written above.

OWNER (Signature)

CONTRACTOR (Signature)

(Printed name and title)

Joe Schamer, Seco Electric Co., Inc.

(Printed name and title)

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Certificate of Corporate Principal (Contractor)  
(To be executed if Contractor is a Corporation)

I, \_\_\_\_\_, certify that I am the \_\_\_\_\_ of the organization named as Contractor herein, that \_\_\_\_\_, the officer who signed this Agreement on behalf of the Contractor, was then \_\_\_\_\_ of said organization, and that this Agreement was duly signed for and in behalf of said organization by authority of its governing body and is within the scope of its powers.

State of Incorporation: \_\_\_\_\_

Corporate Seal:

Certificate of Corporate Principal (Owner)  
(To be executed if Owner is a Corporation)

I, \_\_\_\_\_, certify that I am the \_\_\_\_\_ of the organization named as Owner herein, that \_\_\_\_\_, the officer who signed this Agreement on behalf of the Owner, was then \_\_\_\_\_ of said organization, and that this Agreement was duly signed for and in behalf of said organization by authority of its governing body and is within the scope of its powers.

State of Incorporation: \_\_\_\_\_

Corporate Seal:

Boone County Schools  
LED Upgrades  
(Boone County, Cooper, Ryle, Mann)

EXHIBIT 'A'

CONTRACT DOCUMENTS

|                                                               |        |
|---------------------------------------------------------------|--------|
| Sample General Conditions (AIA A201, 2007) – KDE Version      | 1 – 41 |
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| Sample Supplemental Certificate of Insurance (AIA G715, 1991) | 1 – 1  |

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|--------------------------|-------|

|                                           |         |
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| 014000 Quality Requirements                | 01-5  |
| 015000 Temporary Facilities and Controls   | 01-6  |
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| 017300 Execution                           | 01-8  |
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Division 26 – Electrical

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| 260553 Identification                              | 1 – 4 |
| 265100 Lighting                                    | 1 – 7 |

Boone County Schools  
LED Upgrades  
(Boone County, Cooper, Ryle, Mann)

EXHIBIT 'B'

| SHEET<br>NUMBER | SHEET TITLE                                                          |
|-----------------|----------------------------------------------------------------------|
| E0.1            | ELECTRICAL LEGEND                                                    |
| ED1.1           | MANN ELEMENTARY PARTIAL DEMOLITION FLOOR PLAN - LIGHTING             |
| ED1.2           | BOONE COUNTY HIGH SCHOOL PARTIAL DEMOLITION FLOOR PLAN -<br>LIGHTING |
| ED1.3           | COOPER HIGH SCHOOL PARTIAL DEMOLITION FLOOR PLAN - LIGHTING          |
| ED1.4           | RYLE HIGH SCHOOL PARTIAL DEMOLITION FLOOR PLAN - LIGHTING            |
| E1.1            | MANN ELEMENTARY PARTIAL NEW WORK FLOOR PLAN - LIGHTING               |
| E1.2            | BOONE COUNTY HIGH SCHOOL PARTIAL NEW WORK FLOOR PLAN -<br>LIGHTING   |
| E1.3            | COOPER HIGH SCHOOL PARTIAL NEW WORK FLOOR PLAN - LIGHTING            |
| E1.4            | RYLE HIGH SCHOOL PARTIAL NEW WORK FLOOR PLAN - LIGHTING              |
| E5.0            | ELECTRICAL SCHEDULES & DETAILS                                       |

KENTUCKY DEPARTMENT OF EDUCATION  
702 KAR 4:160  
Boone County Schools  
LED Upgrades  
(Boone County, Cooper, Ryle, Mann)

FORM OF PROPOSAL

BG No. 21-129 REH Project No. 129-1020-B

Date: 3/16/2021 To: (Owner) Boone County Board of Education

Project Name: Boone County Schools - LED Upgrades (Boone County, Cooper, Ryle, Mann)

City, County: Florence / Union, Boone

Name of Contractor: Seco Electirc Co., Inc.

Mailing Address: 350 W. Pike Street, Covington, Kentucky 41011

Business Address: Same Telephone: 859-491-2984

Having carefully examined the Instructions to Bidders, Contract Agreement, General Conditions, Supplemental Conditions, Specifications, and Drawings, for the above referenced project, the undersigned bidder proposes to furnish all labor, materials, equipment, tools, supplies, and temporary devices required to complete the work in accordance with the contract documents and any addenda listed below for the price stated herein.

Addendum 1 & 2 (Insert the addendum numbers received or the word "none" if no addendum received.)

**BASE BID:** For the construction required to complete the work, in accordance with the contract documents, I/We submit the following lump sum price of:

\$137,000.00

Use Figures

One hundred thirty-seven thousand

Use Words

Dollars & no Cents

Use Words

**ALTERNATE BIDS:** (If applicable and denoted in the Bidding Documents)

For omission from or addition to those items, services, or construction specified in Bidding Documents by alternate number, the following lump sum price will be added or deducted from the base bid.

| Alternate Bid No. | Alternate Description | + (Add to the Base Bid) | - (Deduct from the Base Bid) | No Cost Change<br>(from the Base Bid) |
|-------------------|-----------------------|-------------------------|------------------------------|---------------------------------------|
| Alt. Bid No. 1    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 2    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 3    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 4    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 5    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 6    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 7    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 8    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 9    |                       |                         |                              | <input type="checkbox"/>              |
| Alt. Bid No. 10   |                       |                         |                              | <input type="checkbox"/>              |

A maximum of 10 Alternate Bids will be acceptable with each Base Bid. Do not add supplemental sheets for Alternate Bids to this document.

**LIST OF PROPOSED SUBCONTRACTORS:**

List on the lines below each major branch of work and the subcontractor involved with that portion of work. If the branch of work is to be done by the Contractor, so indicate.

The listing of more than one subcontractor in a work category shall invalidate the bid.

The listing of the bidder as the subcontractor for a work category certifies that the bidder has in current employment, skilled staff and necessary equipment to complete that category. The architect/engineer will evaluate the ability of all listed subcontractors to complete the work and notify the owner. Listing of the bidder as the subcontractor may invalidate the bid should the architect's review indicate bidder does not have skilled staff and equipment to complete the work category at the time the bid was submitted.

The bidder shall submit the list of subcontractors with the bid.

|    | <b><u>BRANCH OF WORK</u></b><br>(to be filled out by the Architect) | <b><u>SUBCONTRACTOR</u></b><br>(to be filled out by the contractor) |
|----|---------------------------------------------------------------------|---------------------------------------------------------------------|
| 1. | Electrical                                                          | Seco Electric Co., Inc.                                             |
| 2. |                                                                     |                                                                     |
| 3. |                                                                     |                                                                     |
| 4. |                                                                     |                                                                     |
| 5. |                                                                     |                                                                     |

**LIST OF PROPOSED SUPPLIERS AND MANUFACTURERS:**

List on the lines below each major material category for this project and the suppliers and manufacturers involved with that portion of work. Listing the supplier below means the Contractor is acknowledging authorization from the Supplier to include the Supplier in this bid.

The listing of more than one supplier or manufacturer in a material category shall invalidate the bid.

The bidders shall submit the list of suppliers and manufacturers with the bid.

|    | <b><u>MATERIAL DESCRIPTION BY<br/>SPECIFICATION DIVISION AND<br/>CATEGORY</u></b><br>(to be filled out by the Architect or Contractor) | <b><u>SUPPLIER</u></b><br>(to be filled out by the Contractor) | <b><u>MANUFACTURER</u></b><br>(to be filled out by the Contractor) |
|----|----------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------------------------------|
| 1. | Light fixtures and controls<br>(manufacturer's representative)                                                                         | King Lighting                                                  | Acuity                                                             |
| 2. |                                                                                                                                        |                                                                |                                                                    |
| 3. |                                                                                                                                        |                                                                |                                                                    |
| 4. |                                                                                                                                        |                                                                |                                                                    |
| 5. |                                                                                                                                        |                                                                |                                                                    |

**UNIT PRICES:**

Indicate on the lines below those unit prices to determine any adjustment to the contract price due to changes in work or extra work performed under this contract. The unit prices shall include the furnishing of all labor and materials, cost of all items, and overhead and profit for the Contractor, as well as any subcontractor involved. These unit prices shall be listed in units of work.

A maximum of 40 unit prices will be acceptable with each bid. Do not add supplemental sheets for unit pricing to this document.

The bidder shall submit the list of unit prices within one (1) hour of the bid.

|     | <u>WORK</u>                                     | <u>PRICE / UNIT</u><br>(to be filled out by the Contractor) | <u>UNIT</u> |
|-----|-------------------------------------------------|-------------------------------------------------------------|-------------|
| 1.  | ¾ inch EMT Conduit - Installed                  | 7.86                                                        | If          |
| 2.  | 3#12 Conductor - Installed in Conduit           | 2.17                                                        | If          |
| 3.  | Duplex Receptacle - Installed                   | 49.00                                                       | each        |
| 4.  | 20 Amp, Single Pole Circuit Breaker - Installed | 105.00                                                      | each        |
| 5.  | 20 Amp, Two Pole Circuit Breaker - Installed    | 128.00                                                      | each        |
| 6.  | Light Fixture (Type A) - Installed              | 1,032.00                                                    | each        |
| 7.  | Light Fixture (Type B) - Installed              | 1,032.00                                                    | each        |
| 8.  | Light Fixture (Type C) - Installed              | 576.00                                                      | each        |
| 9.  | Exit Sign (Type X) - Installed                  | 257.00                                                      | each        |
| 10. |                                                 |                                                             |             |
| 11. |                                                 |                                                             |             |
|     |                                                 |                                                             |             |
|     |                                                 |                                                             |             |

**COMPLETION OF PROJECT:**

The Bidder proposes and agrees to substantially complete all Work under this Contract as outlined in Section 011000 "Summary". To meet this schedule Contractor may have to factor acceleration costs and overtime in his Bid

CERTIFICATE OF CORPORATE PRINCIPAL  
(To Be Completed If Bidder Is a Corporation)

I, Kimberley A. Schamer, certify that I am the Vice-President of the Company named as Bidder in the within Bid, that Joseph F. Schamer, who signed this Bid on behalf of the Bidder, was then Field Supervisor of said Company, that I know his signature and his signature hereto is genuine; and that said Bid was duly signed, sealed, and attested for and on behalf of said Company by authority of its governing body and is within the scope of its powers.

By: Kimberley A. Schamer

State of Incorporation: Kentucky

Corporate Seal:

TIME LIMIT FOR EXECUTION OF CONTRACT DOCUMENTS:

In the event that a bidder's proposal is accepted by the Owner and such bidder should fail to execute the contract within ten (10) consecutive days from the date of notification of the awarding of the contract, the Owner, at his option, may determine that the awardee has abandoned the contract. The bidder's proposal shall then become null and void, and the bid bond or certified check which accompanied it shall be forfeited to and become the property of the Owner as liquidated damages for failure to execute the contract.

The bidder hereby agrees that failure to submit herein above all required information and/or prices can cause disqualification of this proposal.

Submitted by:

NAME OF CONTRACTOR / BIDDER: Seco Electric Co., Inc

AUTHORIZED REPRESENTATIVE'S NAME (signature): [Signature]

AUTHORIZED REPRESENTATIVE'S NAME (printed): Joseph F. Schamer

AUTHORIZED REPRESENTATIVE'S TITLE: Field Supervisor

Resident Bidder (As defined in Kentucky Department of Education Amendment to Instructions to Bidders AIA A701, 1997, as amended by the Supplementary Instructions to Bidders at Article 5.3.1)

(X) Yes ( ) No

**NOTICE:** Bid security must accompany this proposal if the Base Bid price is greater than of \$100,000.

This form shall not be modified.

Boone County Schools  
LED Upgrades  
(Boone County, Cooper, Ryle, Mann)

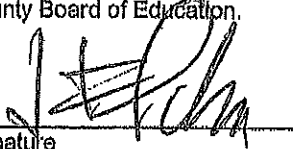
CONFLICT OF INTEREST

It shall be a breach of ethical standards for any employee with procurement authority to participate directly in any proceeding or application; request for ruling or other determination; claim or controversy; or other particular matter pertaining to any contract or subcontract, and any solicitation or proposal therefore, in which to his knowledge:

- a. he, or any member of his immediate family, has a financial interest herein; or
- b. a business or organization which he or any member of his immediate family has a financial interest as an officer, director, trustee, partner, or employee is a party; or
- c. any other person, business, shareholder or other stockholder, or organization with whom he or any member of his immediate family is negotiating or had an arrangement concerning prospective employment is a party. Direct or indirect participation shall include, but not be limited to, involvement through decision, approval, disapproval, recommendation, preparation of any part of a purchase request, influencing the content of any specification or purchase standard, rendering of advice, investigation, auditing or in any other advisory capacity.

It is a violation of Kentucky law for any board member or employee, or a member of their immediate family, to have a pecuniary interest either directly or indirectly in an amount exceeding \$25.00 per year in any purchase of goods or services by the Board of Education or any school thereof. Violation of this provision subjects the board member or employee to forfeiture of their position and/or employment with the school system.

I hereby certify that no member of my immediate family is an employee or board member of the Boone County Board of Education.

  
Signature

3/16/21  
Date

References: KRS 156.480, OAG 80-32, Model Procurement Code 45A.455

Note: This certificate must be signed and attached to the Form of Proposal in order for Bid to be qualified.

# AIA® Document A310™ - 2010

## Bid Bond

**CONTRACTOR:**

(Name, legal status and address)

Seco Electric Co., Inc.  
350 Pike Street  
Covington, KY 41011

**SURETY:**

(Name, legal status and principal place of business)

United Fire & Casualty Company  
PO Box 73909  
Cedar Rapids, IA 52407-3909

**OWNER:**

(Name, legal status and address) Boone County Board of Education  
8330 US 42  
Florence, KY 41042

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

**BOND AMOUNT:** Five Percent of the Amount Bid

( \$ 5% of Bid )

**PROJECT:**

(Name, location or address, and Project number, if any)

Selected LED Lighting Upgrade for Boone County High School, Cooper High School, Ryle High School, and Mann Elementary School

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

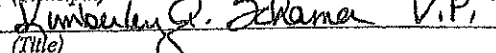
Signed and sealed this 16th day of March 2021

Seco Electric Co., Inc.

(Witness)



(Principal)

 V.P.

(Title)

United Fire & Casualty Company

(Surety)

  
Raymond M. Hundley  
Attorney-In-Fact

(Witness)

Int.

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UNITED FIRE & CASUALTY COMPANY, CEDAR RAPIDS, IA  
UNITED FIRE & INDEMNITY COMPANY, WEBSTER, TX  
FINANCIAL PACIFIC INSURANCE COMPANY, ROCKLIN, CA  
CERTIFIED COPY OF POWER OF ATTORNEY  
(original on file at Home Office of Company - See Certification)

Inquiries: Surety Department  
118 Second Ave SE  
Cedar Rapids, IA 52401

KNOW ALL PERSONS BY THESE PRESENTS, That United Fire & Casualty Company, a corporation duly organized and existing under the laws of the State of Iowa; United Fire & Indemnity Company, a corporation duly organized and existing under the laws of the State of Texas; and Financial Pacific Insurance Company, a corporation duly organized and existing under the laws of the State of California (herein collectively called the Companies), and having their corporate headquarters in Cedar Rapids, State of Iowa, does make, constitute and appoint

JAMES T. SMITH, JAMES H. MARTIN, BROOK T. SMITH, RAYMOND M. HUNDLEY, DEBORAH NEICHTER, MICHELE LACROSSE, JASON CROMWELL, LEIGH MCCARTHY, EACH INDIVIDUALLY

their true and lawful Attorney(s)-in-Fact with power and authority hereby conferred to sign, seal and execute in its behalf all lawful bonds, undertakings and other obligatory instruments of similar nature provided that no single obligation shall exceed \$75,000,000.00 and to bind the Companies thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of the Companies and all of the acts of said Attorney, pursuant to the authority hereby given and hereby ratified and confirmed.

The Authority hereby granted shall expire the 16th day of April, 2022 unless sooner revoked by United Fire & Casualty Company, United Fire & Indemnity Company, and Financial Pacific Insurance Company.

This Power of Attorney is made and executed pursuant to and by authority of the following bylaw duly adopted on May 15, 2013, by the Boards of Directors of United Fire & Casualty Company, United Fire & Indemnity Company, and Financial Pacific Insurance Company.

"Article VI - Surety Bonds and Undertakings"

Section 2, Appointment of Attorney-in-Fact. "The President or any Vice President, or any other officer of the Companies may, from time to time, appoint by written certificates attorneys-in-fact to act in behalf of the Companies in the execution of policies of insurance, bonds, undertakings and other obligatory instruments of like nature. The signature of any officer authorized hereby, and the Corporate seal, may be affixed by facsimile to any power of attorney or special power of attorney or certification of other authorized hereby; such signature and seal, when so used, being adopted by the Companies as the original signature of such officer and the original seal of the Companies, to be valid and binding upon the Companies with the same force and effect as though manually affixed. Such attorneys-in-fact, subject to the limitations set forth in their respective certificates of authority shall have full power to bind the Companies by their signature and execution of any such instruments and to attach the seal the Companies thereto. The President or any Vice President, the Board of Directors or any other officer of the Companies may at any time revoke all power and authority previously given to any attorney-in-fact.

IN WITNESS WHEREOF, the COMPANIES have each caused these presents to be signed by its vice president and its corporate seal to be hereto affixed this 16th day of April, 2020

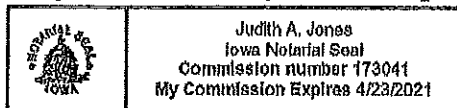


UNITED FIRE & CASUALTY COMPANY  
UNITED FIRE & INDEMNITY COMPANY  
FINANCIAL PACIFIC INSURANCE COMPANY

By: *Dennis J. Richmann*  
Vice President

State of Iowa, County of Linn, ss:

On 16th day of April, 2020, before me personally came Dennis J. Richmann to me known, who being by me duly sworn, did depose and say; that he resides in Cedar Rapids, State of Iowa; that he is a Vice President of United Fire & Casualty Company, a Vice President of United Fire & Indemnity Company, and a Vice President of Financial Pacific Insurance Company the corporations described in and which executed the above instrument; that he knows the seal of said corporations; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporations and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporations.



*Judith A. Jones*  
Notary Public  
My commission expires: 4/23/2021

I, Mary A. Bertsch, Assistant Secretary of United Fire & Casualty Company and Assistant Secretary of United Fire & Indemnity Company, and Assistant Secretary of Financial Pacific Insurance Company, do hereby certify that I have compared the foregoing copy of the Power of Attorney and affidavit, and the copy of the Section of the bylaws and resolutions of said Corporations as set forth in said Power of Attorney, with the ORIGINALS ON FILE IN THE HOME OFFICE OF SAID CORPORATIONS, and that the same are correct transcripts thereof, and of the whole of the said originals, and that the said Power of Attorney has not been revoked and is now in full force and effect.

In testimony whereof I have hereunto subscribed my name and affixed the corporate seal of the said Corporations this 16th day of March, 2021



By: *Mary A. Bertsch*  
Assistant Secretary,  
UF&C & UF&I & FPIC