

**AIA**[®]**Document G701™ – 2017****Change Order**

PROJECT: *(Name and address)*
 Spencer County Early Learning Center
 206 Reasor Avenue
 Taylorsville, KY 40071

CONTRACT INFORMATION:
 Contract For: General Construction
 Date: April 28, 2020

CHANGE ORDER INFORMATION:
 Change Order Number: 004
 Date: April 21, 2021

OWNER: *(Name and address)*
 Spencer County Board of Education
 207 W. Main Street
 Taylorsville, KY 40071

ARCHITECT: *(Name and address)*
 Sherman Carter Barnhart Arch PLLC
 2405 Harrodsburg Road
 Lexington, KY 40504

CONTRACTOR: *(Name and address)*
 Rising Sun Development
 2555 Palumbo Drive, #110
 Lexington, KY 40509

THE CONTRACT IS CHANGED AS FOLLOWS:

(Insert a detailed description of the change and, if applicable, attach or reference specific exhibits. Also include agreed upon adjustments attributable to executed Construction Change Directives.)

As a follow-up to Construction Change Directive No. 1 & 2 unsuitable soils. Cost to remediate unsuitable soils per the instruction of the Special Inspection Testing Agent. Add \$135,651.16

Refer to attached documents and Construction Change Directive No. 1 & 2 unsuitable.

The original Contract Sum was	\$	3,548,761.93
The net change by previously authorized Change Orders	\$	87,912.85
The Contract Sum prior to this Change Order was	\$	3,636,674.78
The Contract Sum will be increased by this Change Order in the amount of	\$	135,651.16
The new Contract Sum including this Change Order will be	\$	3,772,325.94
The Contract Time will be increased by Zero (0) days.		
The new date of Substantial Completion will be		

NOTE: This Change Order does not include adjustments to the Contract Sum or Guaranteed Maximum Price, or the Contract Time, that have been authorized by Construction Change Directive until the cost and time have been agreed upon by both the Owner and Contractor, in which case a Change Order is executed to supersede the Construction Change Directive.

NOT VALID UNTIL SIGNED BY THE ARCHITECT, CONTRACTOR AND OWNER.

Sherman Carter Barnhart Arch PLLC

ARCHITECT *(Firm name)*

V. Tony Pham

SIGNATURE

V. Tony Pham, Construction
 Administrator

PRINTED NAME AND TITLE

April 21, 2021

DATE

Rising Sun Development

CONTRACTOR *(Firm name)*

SIGNATURE

PRINTED NAME AND TITLE

DATE

Spencer County Board of Education

OWNER *(Firm name)*

SIGNATURE

PRINTED NAME AND TITLE

DATE

FACPAC Contract Change Order

Supplemental Information Form (Ref# 54868)

Form Status: Saved

Tier 1 Project: Spencer Co Early Learning Center

BG Number: 19-371

Status: Active

District: Spencer County (541)

Phase: Project Initiation (View Checklist)

Contract: Rising Sun Development, 0001

Type: General Contractor

Proposed

Change Order Number	4
Time Extension Required	No
Date Of Change Order	4/21/2021
Change Order Amount To Date	Increase

Construction Contingency

Calculations below are project wide. Remaining negative Construction Contingency may require the submission of a revised BG1.

Current Approved Amount	\$419,847.00
Net Approved COs	\$87,912.85
Remaining After Approved COs	\$331,934.15
Net All COs	\$230,125.74
Remaining After All COs	\$189,721.26

This Requested Change Order Amount \$135,651.16

+/-

Change In A/E Fee This Change Order \$7,664.29

+/-

Change In CM Fee This Change Order

+/-

Remaining Construction Contingency

Balance

Contract Change Requested By Found Condition

Contract Change Reason Code Found Condition

Change Order Description And Justification

As a follow-up to Construction Change Directive No. 1 & 2 unsuitable soils. Cost to remediate unsuitable soils per the instruction of the Special Inspection Test Agent. Add \$135,651.16

Refer to attached documents and Construction Change Directive No. 1 & 2 unsuitable.

Cost Benefit To Owner

Cost is fair and reasonable.

Contract unit prices have been utilized Yes
to support the cost associated with this
change order.

Detailed Cost Breakdown

Contract unit prices have not been utilized, provide a detailed cost breakdown which
separates labor, material, profit and overhead.

Detail Item	Amount	Percent of Total
Labor		0.00%
Materials		0.00%
Profit and Overhead		
Bond Insurance		0.00%
Cost Breakdown Total:	\$0.00	
Cost for this Change Order supported by an alternate bid or competitive price quote		
Explain Why		

Change Order Supplemental Information Form Signature Page (Online Form Ref# 54868)


Architect

4/21/21

Date

N/A

Construction Manager

Date

Finance Officer

Date

Local Board of Education Designee

Date



4/16/21

To: Sherman Carter Barnhart

Attn: Tony Pham

CCD 1 and CCD2

Change Request

Project: Spencer County Early Learning

2764.75 yds of earth @ \$22.00 per yd.	\$60,824.50
3097.13 tons of gravel @ \$24.16 per ton	\$74,826.66

Total:	<u>\$135,651.16</u>
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AIA® Document G714™ – 2017

Construction Change Directive

PROJECT: <i>(name and address)</i> Spencer County Early Learning Center 206 Reasor Avenue Taylorsville, KY 40071	CONTRACT INFORMATION: Contract For: General Construction Date: April 28, 2020	CCD INFORMATION: Directive Number: 001 Date: March 17, 2021
OWNER: <i>(name and address)</i> Spencer County Board of Education 207 W. Main Street Taylorsville, KY 40071	ARCHITECT: <i>(name and address)</i> Sherman Carter Barnhart Arch PLLC 2405 Harrodsburg Road Lexington, KY 40504	CONTRACTOR: <i>(name and address)</i> Rising Sun Development 2555 Palumbo Drive, #110 Lexington, KY 40509

The Contractor is hereby directed to make the following change(s) in this Contract:
(Insert a detailed description of the change and, if applicable, attach or reference specific exhibits.)

Unsuitable soils were encountered at the majority of the parking lot areas ranging from 12" to 36" deep. Per the recommendations of the Special Inspection Agent, ECS, remediate the unsuitable soils noted on ECS' report dated Feb. 25, 2021. Contractor shall remove unsuitable soils and replace with compacted fill materials as recommended by ECS. Cost of this CCD shall not exceed \$128,104.59. Since the undercut of the material varies and in the event that the unsuitable soils exceeds this amount, the contractor shall notify the Architect immediately and an additional Construction Change Directive will be issued. Once the remediation of the unsuitable soils is completed a Change Order will be written for actual amount accrued. Please note that the General Contractor has elected not to utilize contract unit prices and will conduct this work on a time and materials basis which will reduce the final cost to the Owner.

Refer to attached documents.

PROPOSED ADJUSTMENTS

- The proposed basis of adjustment to the Contract Sum or Guaranteed Maximum Price is:
 - ☒ Lump Sum increase of \$128,104.59
 - ☐ Unit Price of \$ per
 - ☐ Cost, as defined below, plus the following fee:
(Insert a definition of, or method for determining
 - ☐ As follows:
- The Contract Time is proposed to remain unchanged. The proposed adjustment, if any, is (0 days).

NOTE: The Owner, Architect and Contractor should execute a Change Order to supersede this Construction Change Directive to the extent they agree upon adjustments to the Contract Sum, Contract Time, or Guaranteed Maximum price for the change(s) described herein.

When signed by the Owner and Architect and received by the Contractor, this document becomes effective IMMEDIATELY as a Construction Change Directive (CCD), and the Contractor shall proceed with the change(s) described above.

Contractor signature indicates agreement with the proposed adjustments in Contract Sum and Contract Time set forth in this CCD.

Sherman Carter Barnhart Arch PLLC
ARCHITECT *(Firm name)*

Spencer County Board of Education
OWNER *(Firm name)*

Rising Sun Development
CONTRACTOR *(Firm name)*

V. Tony Pham
SIGNATURE
V. Tony Pham, Construction Administrator
PRINTED NAME AND TITLE
March 17, 2021
DATE

Cherise Adams
SIGNATURE
Cherise Adams
PRINTED NAME AND TITLE
3/20/21
DATE

R. Shane Carpenter, OFR MGR
SIGNATURE
R. Shane Carpenter, OFR MGR
PRINTED NAME AND TITLE
3.17.21
DATE

**AIA®****Document G714™ – 2017****Construction Change Directive****PROJECT:** *(name and address)*Spencer County Early Learning Center
206 Reasor Avenue
Taylorsville, KY 40071**CONTRACT INFORMATION:**Contract For: General Construction
Date: April 28, 2020**CCD INFORMATION:**Directive Number: 002
Date: March 22, 2021**OWNER:** *(name and address)*Spencer County Board of Education
207 W. Main Street
Taylorsville, KY 40071**ARCHITECT:** *(name and address)*Sherman Carter Barnhart Arch PLLC
2405 Harrodsburg Road
Lexington, KY 40504**CONTRACTOR:** *(name and address)*Rising Sun Development
2555 Palumbo Drive, #110
Lexington, KY 40509

The Contractor is hereby directed to make the following change(s) in this Contract:

(Insert a detailed description of the change and, if applicable, attach or reference specific exhibits.)

Unsuitable soils were encountered at the majority of the back parking lot areas adjacent to the Kitchen ranging from 12" to 16" deep. Per the recommendations of the Special Inspection Agent, ECS, remediate the unsuitable soils. Contractor shall remove unsuitable soils and replace with compacted fill materials as recommended by ECS. Cost of this CCD shall not exceed \$30,000.00. Since the undercut of the material varies and in the event that the unsuitable soils exceeds this amount, the Contractor shall notify the Architect immediately and an additional Construction Change Directive will be issued. Once the remediation of the unsuitable soils is completed a Change Order will be written for actual amount accrued.

Please note that the General Contractor has elected not to utilize contract unit prices and will conduct this work on a time and materials basis which will reduce the final cost to the Owner.

PROPOSED ADJUSTMENTS

1. The proposed basis of adjustment to the Contract Sum or Guaranteed Maximum Price is:

☒ Lump Sum increase of \$30,000.00☐ Unit Price of \$ per☐ Cost, as defined below, plus the following fee:*(Insert a definition of, or method for determining, cost)*☐ As follows:

2. The Contract Time is proposed to remain unchanged. The proposed adjustment, if any, is (0 days).

NOTE: *The Owner, Architect and Contractor should execute a Change Order to supersede this Construction Change Directive to the extent they agree upon adjustments to the Contract Sum, Contract Time, or Guaranteed Maximum price for the change(s) described herein.*

When signed by the Owner and Architect and received by the Contractor, this document becomes effective IMMEDIATELY as a Construction Change Directive (CCD), and the Contractor shall proceed with the change(s) described above.

Contractor signature indicates agreement with the proposed adjustments in Contract Sum and Contract Time set forth in this CCD.

Sherman Carter Barnhart Arch PLLC
ARCHITECT (Firm name)

V. Tony Pham
SIGNATURE

V. Tony Pham, Construction Administrator
PRINTED NAME AND TITLE

March 22, 2021
DATE

Spencer County Board of Education
OWNER (Firm name)

Charles Adams
SIGNATURE

Charles Adams
PRINTED NAME AND TITLE

3/22/21
DATE

Rising Sun Development
CONTRACTOR (Firm name)

OPSmy
SIGNATURE

CARPENTER, OPSmy
PRINTED NAME AND TITLE

3/22/21
DATE