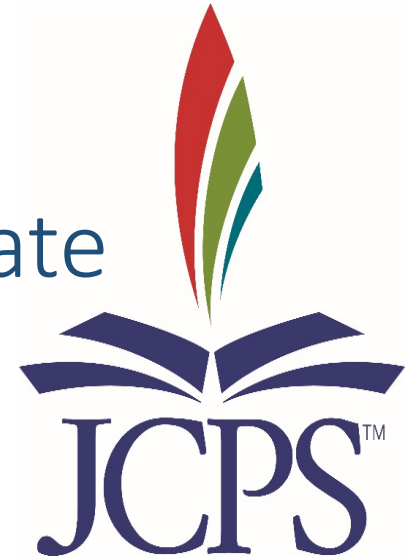


Internal Audit FY20-21 Status Update



*Audit and Risk Management Advisory Committee
April 28, 2020*

Internal Audit Team

- Jodell Renn, CPA, CIA, CFE Director of Internal Audit
 - Chalynn Comage, Risk Investigator
 - John LeMaster, CPA Internal Auditor
 - Sonya Miller, Internal Auditor
 - Shari Mattingly, Clerk
- Co-Sourced Partners
 - Brown Smith Wallace LLP
 - Strothman & Company



2020-2021 Work Plan Status Update

Accomplishments To Date:

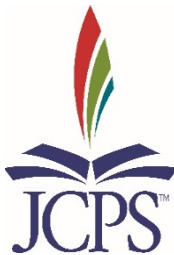
- Performed on-going monthly monitoring of school activity funds (SAF) for 144 schools;
 - Created audit procedure to identify potential operational expenses in SAF.
- Administered the anonymous reporting hotline that received over 64 reports;
 - Worked with IT, facilitated creation of Cybersecurity Incident Type.
 - Currently working with Diversity, Equity and Poverty staff on a workflow and incident type to capture reports addressing racism, bias, hate, bigotry and/or identity-based violence.
- Performed 10 investigations/inquiries;
- Travel and other Business Expenses assurance engagement;
- Google Enterprise – Security Review and Penetration Testing – Assurance - Co-Sourced with Brown Smith Wallace LLP;
- Standard Information Gathering Tool assistance to IT3 - Consulting – Co-Sourced with Strothman & Company;
- Facilitated preparation of FY 2022 Principal's Combining Budget;
- Participated on staff committees in an advisory capacity.



2020-2021 Work Plan Status Update continued

In Process/To Be Scheduled:

- Electronic Travel and Expense pilot project – Consulting;
- Risk Maturity Model development – Backpack of Success program – Consulting;
- Exceptional Child Education Assessment Monitoring Dashboard – Consulting;
- Contract Administration Process Review – Assurance;
- Geographic Information Systems – Consulting;
- Building Modification Process – Consulting;
- Developing an automated workflow for ongoing school activity fund monitoring – Administrative;
- Data Dashboard for selected object codes – Consulting – Co-Sourced Brown Smith Wallace LLP;
- Construction Management Process Review – Assurance;
- Researching governance, risk and compliance tools – Consulting;



Considerations for 2021-2022

- Aligning work plan with fiscal year;
- Revamping audit universe to align with the District's Future State plans;
- Prepare Quality Assessment Review Self-Assessment in preparation for Third Party Validation in FY 2022-23.



Questions

