

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0*** The LEA assures that it will comply with the following provisions:**

1.	A comprehensive and current needs assessment, consistent with local board policy, supports the district improvement plan. The needs assessment is considered comprehensive and current if all of the following areas have been assessed at some point in the last three years: 1) curriculum; 2) classroom evaluation/assessment; 3) instruction; 4) school culture; 5) family and community involvement; 6) professional growth and evaluation; 7) leadership; 8) organizational structure and resources; and 9) an effective planning process.	* Yes
2.	The district has a planning policy in place for school councils to follow that describes the form and function of school improvement planning in the district as per KRS 160.345(3)(c). This policy includes a description of the district's annual planning cycle (with dates). The district and all schools develop their improvement plans in accordance with this policy and with the involvement of representative groups, including required members of the needs assessment team.	* Yes
3.	The local school district reviews its district improvement plan at least annually and revises as needed. Implementation of activities and strategies described in the action plan are evaluated for impact on student performance and classroom practices. The local school district assures that at least annually, an updated district improvement plan is approved by the local board and posted on the appropriate school or district website. The Comprehensive District Improvement Plan (CDIP) for each district shall be posted to the district's Web site. The Comprehensive School Improvement Plan (CSIP) for each school shall be posted to the school's Web site.	* Yes
4.	The local school district will administer each covered program in accordance with all program plans and applications.	* Yes
5.	Before its district improvement plan is posted, the district has afforded a reasonable opportunity for public comment on the plan and has considered such comment.	* Yes

6.	Where appropriate, the local school district will consult with private school officials in a timely and meaningful way to assure equitable participation of children and/or teachers in the private schools	Yes
7.	The local school district will coordinate and collaborate with other agencies as required by the Every Student Succeeds Act (ESSA) Title I, Parts A, C, and D; ESSA Title II, Parts A, B, and D; ESSA Title IV, Title VII, Title X, Part C, the Individuals with Disabilities Education Act (IDEA), and the Carl D. Perkins Vocational and Technical Education Act of 2006 or its successor.	Yes
8.	The local school district will adopt and use proper methods of administering the covered programs, including: implementation of obligations, the correction of deficiencies in program operations as identified through technical assistance, program audits, monitoring or evaluation, and the adoption of written procedures for the receipt and resolution of complaints alleging violations of law in the administration of such programs	Yes
9.	The local school district will cooperate in carrying out any evaluation of each program conducted by or for the Kentucky Department of Education (KDE), or the U. S. Department of Education.	Yes
10.	The local school district will: a. Provide timely program reports to the Kentucky Department of Education on activities and expenditures, including reports requested by the U. S. Department of Education b. Maintain records, provide information, and afford access to the records as the Kentucky Department of Education or the federal offices may find necessary to carry out their responsibilities	Yes
11.	The local school district will comply with the Civil Rights Act of 1964, Title IV, Title VI, Title VII; the Equal Educational Opportunities Act of 1974; Title IX of the Education Amendments of 1972; Section 504 of the Rehabilitation Act of 1973; the Americans with Disabilities Act of 1990; and the Age Discrimination Act prohibiting discrimination on the basis of race, color, national origin, age, religion, marital status, sex, or disability.	Yes

12.	The local school district assures that its district improvement plan describes steps it will take to ensure equitable access to, and equitable participation in, the project or activity to be conducted with such assistance, by addressing the special needs of students, teachers, and other program beneficiaries in order to overcome barriers to equitable participation, including barriers to gender, race, color, national origin, disability, and age. [General Education Provisions Act (GEPA) Section 427].	Yes
13.	The local school district will comply with the Single Audit Act. (2 C.F.R. Part 200 Subpart F)	Yes
14.	The local school district has control of programs and holds title to property acquired with the funds. The district will administer the funds and property as required by the authorizing law and for the purpose for which they are granted. The district retains control in the event of contractual arrangements made with other parties.	Yes
15.	The local school district will use fiscal control and fund accounting procedures (MUNIS) to ensure proper disbursement of and accounting for federal and state funds paid to the district under the covered programs.	Yes
16.	The local school district will submit an amendment prior to opening an object code series or to purchase equipment that costs \$5,000 or more per unit after the initial budget has been submitted and approved.	Yes
17.	The local school district assures that: a. Federal appropriated funds have not been paid and shall not be paid by or on behalf of the local school district, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress, in connection with the making of any federal grant, for entering any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.	Yes

	b.	No funds other than federal appropriated funds have been paid, and shall not be paid, to any person for influencing or attempting to influence an officer or employee of any agency in connection with the federal grant. The Superintendent shall complete and file Standard Form LLL "Disclosure Form to Report Lobbying" in accordance with its instructions for any payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	
18.	The superintendent shall require that these assurances and certifications be included in the award documents for all sub-grantees.	*	Yes ▼
19.	Federal funds received under covered programs are used only to supplement and in no case supplant funds from non-federal sources.	*	Yes ▼
20.	If the project involves construction, the project is consistent with overall state plans for the construction of school facilities; and, in developing plans for construction, due consideration is given to excellence of architecture and design, compliance with the Americans with Disabilities Act and standards prescribed by the Secretary under Section 504 of the Rehabilitation Act of 1973 in order to ensure that facilities constructed with the use of federal funds are accessible to and usable by individuals with disabilities [GEPA, Sec. 436].	*	Yes ▼
21.	Federal funds received will not be used to acquire equipment (including computer software) when such acquisition results in a direct financial benefit to an organization representing the interests of the school district or its employees or any affiliate of such organization [GEPA, Sec. 436].	*	Yes ▼
22.	The local school district will maintain procedures to minimize the time elapsing between the transfer of federal grant funds and their disbursement (2 C.F.R. Part 200.305).	*	Yes ▼

23.	Any plan, budget, evaluation, periodic program plan, or report relating to the covered programs is made readily available to parents and other members of the general public for the purpose of public inspection (34 C.F.R. 76.304). The local school district will comply with the Open Records Act.	* Yes ▼
24.	Children served in covered programs will have access to all state and locally funded instructional, social, health, transportation, and nutritional services on the same basis as any other child and have the opportunity to meet the same challenging content and performance standards as any other child.	* Yes ▼
25.	The local school district will comply with 2 C.F.R. Part 200 - Uniform Administrative Requirements, Cost Principles, And Audit Requirements for Federal Awards as applicable.	* Yes ▼
26.	The local school district will comply with 2 C.F.R. Part 200 Subpart E as it relates to cost principles for federal fund uses.	* Yes ▼
27.	The local school district will comply with 2 C.F.R. Part 200 Subpart D Property Standards (200.310-200.316).	* Yes ▼
28.	The local school district will comply with the Debarment, Suspension, and other Responsibility matters regulation (34 C.F.R. 85.110).	* Yes ▼
29.	The local school district will comply with assurance of Compliance (Form HEW 441) or any court ordered desegregation plan that applies to this application.	* Yes ▼
30.	The local school district will comply with the Gun-Free Schools Act of 1994.	* Yes ▼
31.	The local school district will comply with the Pro-Children Act of 1994.	* Yes ▼
32.	The local school district will comply with the Family Educational Rights and Privacy Act of 1974 (FERPA) and the Protection of Pupil Rights Amendment (PPRA).	* Yes ▼
33.	The local school district will comply with the Procurement Standards as describe in 2 C.F.R. 200.318-200.326.	* Yes ▼

34.	The local school district shall certify that no policy of the district or the school prevents or otherwise denies participation in constitutionally protected prayer in the public schools (K-12) (20 U.S.C. 7904).	*	Yes ▼
35.	The local school district will comply with all provisions of KRS 158.649 relating to the reduction of achievement gaps among student populations.	*	Yes ▼
36.	The district improvement plan includes specific strategies based on data in the School Report Card to support schools.	*	Yes ▼
37.	The district improvement plan includes specific strategies to support schools that have not met the goals of the Interim Performance Report for the Kentucky Performance Rating for Educational Progress (K-PREP).	*	Yes ▼
38.	All current school improvement plans are on file in the district's central office for review.	*	Yes ▼
39.	The local school district will have a technology plan in place to support the technology initiatives that are funded through various federal and state programs including the Every Student Succeeds Act (ESSA), the Universal Service Administrative Company (USAC) E-Rate program, and the Kentucky Education Technology System (KETS) program.	*	Yes ▼
40.	The local district assures that all students have had access and opportunity to learn the standards contained in the Kentucky Academic Standards. 704 KAR 3:303 and 704 KAR Chapter 8.	*	Yes ▼
41.	The local district assures that all students have met the minimum graduation requirements upon graduation. 704 KAR 3:305.	*	Yes ▼
42.	The local district assures that all students in grades 6-12 have an Individual Learning Plan. KRS 158.6459 and 704 KAR 3:305.	*	Yes ▼
43.	As it relates to student interventions, the local district assures:	*	Yes ▼

	a. A high school student whose highest score on the college admissions examination under KRS 158.6453 (5)(b)5 in English, reading, or mathematics is below the system-wide standard established by the Council on Postsecondary Education for entry into a credit-bearing course at a public postsecondary institution without placement in a remedial course or an entry-level course with supplementary academic support shall be provided the opportunity to participate in accelerated learning designed to address his or her identified academic deficiencies prior to high school graduation. KRS 158.6459(1).	
	b. By February 1 of each year, the school-based decision making council, or the principal if there is not a council, with the involvement of parents, faculty, and staff shall set the school's targets for eliminating any achievement gap and submit them to the superintendent for consideration. The superintendent and the school-based decision making council, or the principal if there is not a council, shall agree on the targets before they are submitted to the local board of education for adoption. KRS 158.649(4).	
44.	All students not meeting benchmarks established by the Council on Postsecondary Education on the college readiness exam are provided intervention/transition courses. 704 KAR 3:305.	* Yes ▼
45.	The local district assures that all courses in the local course catalog are linked to Kentucky's Uniform Academic Course Codes. 704 KAR 3:540.	* Yes ▼
46.	The local district assures that a library media center has been established in every elementary and secondary school and that a school librarian is employed to organize, equip, and manage the operations of the school media library and holds the appropriate certificate in accordance with KRS 161.020, 161.030, and 158.102.	* Yes ▼
47.	The local district assures that all students grades K-3 have been provided learning experiences that include developmentally appropriate educational practices; multiage and multiability classrooms; continuous progress; authentic assessment; qualitative reporting methods; professional teamwork; and positive parent involvement. 704 KAR 3:440	* Yes ▼

48.	The local district assures that any courses being identified as advanced placement courses are identified as an advanced placement course by the College Board; include the content as described in the college board overview, description, and recommended course syllabus for the appropriate course; are aligned with Kentucky's Academic Expectations as established in KRS 158.6451 and Kentucky's Academic Standards as established in 704 KAR 3:303 and 704 KAR Chapter 8; and prepares a student to take and be successful on the appropriate advanced placement examination administered by the college board. Advanced placement courses must be accessible to all students. 704 KAR 3:510		Yes ▼
49.	The district assures compliance with KRS 158.791 which requires:		Yes ▼
	Elementary Schools to:		
	a.	Provide comprehensive school-wide reading program;	
	b.	Provide diagnostic reading assessments and intervention services for those students who need them to learn to read at the proficient level;	
	c.	Ensure quality instruction by highly trained teachers;	
	d.	Provide high quality library media programming; (defined in KDE's Beyond Proficiency @ your library)	
	Middle and High Schools:		
	a.	Provide direct, explicit instruction to students lacking skills in how to read, learn, and analyze information in key subjects, including language, reading , English, mathematics, science, social studies, arts and humanities, practical living, and career studies;	
	b.	Ensure that teachers have the skills to help all students develop critical strategies and skills for subject-based reading.	

50.	The district assures compliance with KRS 156.160 which requires every public middle and high school curriculum to include instruction on the Holocaust and other cases of genocide, as defined by the United Nations Convention on the Prevention and Punishment of the Crime of Genocide, that a court of competent jurisdiction, whether a court in the United States or the International Court of Justice, has determined to have been committed by applying rigorous standards of due process.	* Yes
51.	If a school council or, if none exists, the principal adopts a curriculum for human sexuality or sexually transmitted diseases, instruction shall include but not be limited to the following content: (1) Abstinence from sexual activity is the desirable goal for all school-age children; (2) Abstinence from sexual activity is the only certain way to avoid unintended pregnancy, sexually transmitted diseases, and other associated health problems; and (3) The best way to avoid sexually transmitted diseases and other associated health problems is to establish a permanent mutually faithful monogamous relationship.	* Yes
52.	As required by the Every Student Succeeds Act, the school district assures that its employees, contractors, or agents, shall not assisting a school employee, contractor, or agent in obtaining a new job, apart from the routine transmission of administrative and personnel files, if the individual or school district knows, or has probable cause to believe, that such school employee, contractor, or agent engaged in sexual misconduct regarding a minor or student in violation of the law. [20 U.S.C.A. 7926]	* Yes

Children in Foster Care

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

***The LEA assures that it will comply with the following provisions:**

1.	The local school district will designate a point of contact for the district for foster care.	* Yes ▼							
2.	The local school district will collaborate with the State or local child welfare agency to develop and implement clear written procedures governing how transportation will be provided, arranged and funded to ensure children in foster care can remain in their school of origin when in their best interest for the duration of the time in foster care. Procedures shall: a. Ensure that children in foster care needing transportation to the school of origin will promptly receive it in a cost-effective manner and in accordance with section 475(4)(A) of the Social Security Act (42 U.S.C. 675(4)(A)); and b. Ensure that, if there are additional costs incurred in providing transportation to maintain children in foster care in their schools of origin, the local educational agency will provide transportation to the school of origin if: <table> <tr> <td>i.</td><td>The local child welfare agency agrees to reimburse the local educational agency for the cost of such transportation;</td><td rowspan="3">* Yes ▼</td></tr> <tr> <td>ii.</td><td>The local educational agency agrees to pay for the cost of such transportation; or</td></tr> <tr> <td>iii.</td><td>The local educational agency and the local child welfare agency agree to share the cost of such transportation.</td></tr> </table>	i.	The local child welfare agency agrees to reimburse the local educational agency for the cost of such transportation;	* Yes ▼	ii.	The local educational agency agrees to pay for the cost of such transportation; or	iii.	The local educational agency and the local child welfare agency agree to share the cost of such transportation.	
i.	The local child welfare agency agrees to reimburse the local educational agency for the cost of such transportation;	* Yes ▼							
ii.	The local educational agency agrees to pay for the cost of such transportation; or								
iii.	The local educational agency and the local child welfare agency agree to share the cost of such transportation.								

3.	The local school district will adopt policies and practices to ensure that any child in foster care remains in the child's school of origin, unless a determination is made that it is not in such child's best interest. Such decisions shall be based on all factors relating to the child's best interest, including consideration of the appropriateness of the current educational setting and the proximity to the school in which the child is enrolled at the time of placement. ESEA Sec. 1111(g)(1)(E)(i)	* Yes ▼
4.	The local school district will adopt policies and practices to ensure that if it is not in the child's best interest to remain in the school of origin, the child will be immediately enrolled in a new school even if the child is unable to produce records normally required for enrollment. ESEA Sec. 1111(g)(1)(E)(ii)	* Yes ▼
5.	The enrolling school shall immediately contact the school last attended by any such child to obtain relevant academic and other records. ESEA Sec. 1111(g)(1)(E)(iii)	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

Use of Physical Restraint and Seclusion in Public Schools (704 KAR 7:160) Local Education Agency (LEA) Assurances

***The LEA assures that it will comply with the following provisions:**

1.	The local school district will fully comply with the requirements of <u>704 KAR 7:160</u> . Use of Physical Restraint and Seclusion in public schools, including but not limited to policy development and reporting incidents of physical restraint and seclusion.	* Yes ▼
2.	If selected, the district will submit to monitoring of its compliance with <u>704 KAR 7:160</u> and will comply with all corrective actions that result from said monitoring.	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0*** The LEA assures the that it will comply with the following provisions:**

1.	Districts and schools receiving Title I, Part A funds will comply with all requirements outlined in Title I, Part A of the Every Student Succeeds Act, unless a notice of the waiver of specific requirements has been issued by the Kentucky Department of Education.	* Yes ▼
2.	Districts and schools receiving Title I, Part A funds will maintain records that support their compliance with Title I, Part A requirements and approved plans.	* Yes ▼
3.	If selected, the local school district will submit to state-conducted Title I, Part A monitoring and will comply with all corrective actions that result of such monitoring.	* Yes ▼
4.	Districts will comply with the following assurances as outlined within Title I, Part A, Section 1112 of the Every Student Succeeds Act, unless a notice of the waiver of specific requirements has been issued by the Kentucky Department of Education.	* Yes ▼
The local school district will • ensure that migratory children and formerly migratory children who are eligible to receive services under this part are selected to receive such services on the same basis as other children who are selected to receive services under this part; • provide services to eligible children attending private elementary schools and secondary schools in accordance with section 1117, and timely and meaningful consultation with private school officials regarding such services;		

	- participate, if selected, in the National Assessment of Educational Progress in reading and mathematics in grades 4 and 8 carried out under section 303(b)(3) of the National Assessment of Educational Progress Authorization Act (20 U.S.C. 9622(b)(3)); - coordinate and integrate services provided under this part with other educational services at the local school district or individual school level, such as services for English learners, children with disabilities, migratory children, American Indian, Alaska Native, and Native Hawaiian children, and homeless children and youths, in order to increase program effectiveness, eliminate duplication, and reduce fragmentation of the instructional program; - ensure that all teachers and paraprofessionals working in a program supported with Title I, Part A funds meet applicable State certification and licensure requirements, including any requirements for certification obtained through alternative routes to certification; and - in the case of a local school district that chooses to use Title I, Part A funds to provide early childhood education services to low-income children below the age of compulsory school attendance, ensure that such services comply with the performance standards established under section 641A(a) of the Head Start Act (42 U.S.C. 9836a(a)).	
5.	Local school districts shall: - provide technical assistance and support to schoolwide and targeted assistance programs, including consulting with schools as they develop plans pursuant to section 1114 and 1115 and assisting schools with the implementation of such plans; - take into account the experience of model programs for the educationally disadvantaged and the findings of relevant evidence based research when implementing services at Title I-served schools;	* Yes ▼

ensure, through incentives for voluntary transfers, the provision of professional development, recruitment programs, or other effective strategies, that low-income students and minority students are not taught at higher rates than other students by unqualified, out-of-field, or inexperienced teachers;	use the results of the student academic assessments required under section 1111(b)(3), and other measures or indicators available to the district, to review annually the progress of each school served by the district and receiving funds under this part to determine whether all of the schools are making the progress necessary to ensure that all students will meet the State's proficient level of achievement on the State academic assessments described in section 1111(b)(3); and
ensure that the results from the academic assessments required under section 1111(b)(3) will be provided to parents and teachers as soon as is practicably possible after the test is taken, in an understandable and uniform format and, to the extent practicable, provided in a language that the parents can understand;	demonstrate compliance with the supplement, not supplant requirement by demonstrating that the methodology used to allocate State and local funds to each school receiving assistance under Title I ensures that such school receives all of the State and local funds it would otherwise receive if it were not receiving assistance under Title I (ESSA Section 1118 (b)(2)).
notify parents of each student attending any Title I school in the district at the start of the school year that they have the right to request, and the agency will provide the parents on request (and in a timely manner), information on the professional qualifications of their children's classroom teachers and paraprofessionals (ESSA Section 1112 (e))	notify parents of each student attending any Title I school in the district at the start of the school year that the parents may request, and the local school district will provide the parents on request (and in a timely manner), information regarding any State or local school district policy regarding student participation in any assessments mandated by section 1111(b)(2) and by the State or local school district (ESSA Section 1112(e)(2)(A).

• make widely available through public means (including by posting in a clear and easily accessible manner on the local educational agency's website), information on each assessment required by the State to comply with section 1111, other assessment required by the State, and where such information is available and feasible to report, assessments required districtwide by the local educational agency (ESSA Section 1112(e)(2)(B)).	
• not later than 30 days after the beginning of the school year, inform parents of an English learner identified for participation or participating in a language instruction educational program of the information described in ESSA Section 1112(e)(3)(A). The notice and information provided to parents shall be in an understandable and uniform format and, to the extent practicable, provided in a language that the parents can understand.	
• For those children who have not been identified as English learners prior to the beginning of the school year but are identified as English learners during such school year, the district shall notify the children's parents during the first 2 weeks of the child being placed in a language instruction educational program (1112(e)(3)(B). The notice and information provided to parents shall be in an understandable and uniform format and, to the extent practicable, provided in a language that the parents can understand.	
• Implement an effective means of outreach to parents of English learners to inform the parents regarding how the parents can be involved in the education of their children; and be active participants in assisting their children to attain English proficiency, achieve at high levels within a well-rounded education, and meet the challenging State academic standards expected of all students. Implementing an effective means of outreach to parents shall include holding, and sending notice of opportunities for, regular meetings for the purpose of formulating and responding to recommendations from parents of students assisted under Title I or Title III. The notice and information provided to parents shall be in an understandable and uniform format and, to the extent practicable, provided in a language that the parents can understand (1112(e)(3)(C).	

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

*** The LEA assures the that it will comply with the following provisions:**

1.	Districts will not discriminate against children who are not legally admitted to the United States by denying them access to educational programs offered to children of U. S. citizens. Neither shall the district:	* Yes
a.	Require students or parents to disclose or document their immigration status.	
b.	Make inquiries of students or parents which may expose their undocumented status.	
c.	Require social security numbers of all students, as it may expose the undocumented status of students or parents (Plyler v. Doe, 457 U.S. 202, (1982))	

2.	Section 1304(b)(3) of the Every Student Succeeds Act states that the Kentucky Department of Education must promote interstate and intrastate coordination of migrant education services, including the transfer of pertinent school records, for migratory children. School districts must meet privacy requirements of FERPA. In carrying out this requirement, the signed Certificate of Eligibility (COE), by the migrant child's parent or legal guardian will serve as a consensual written permission to share personally identifiable information from their migrant record with local educational agencies and migrant regional service centers and to transfer such information to appropriate education officials in other states having migrant programs. Regional migrant service centers will have oversight of district programs in their region.		
	a.	Districts and regional offices agree to comply with any and all requests for data and documentation made by the Regional Service Centers and/or KDE in the manner in which it is requested (hard copy, electronic, etc.) within the timeline requested.	

b.	Districts will comply with the written requirements of the state migrant regional service centers to ensure the accuracy of data and the transfer of migrant student records. Districts, educational cooperatives or public colleges and universities serving as a local operating agency or migrant regional service centers will maintain a written record (Certificate of Eligibility) of the basis on which each child was determined to be eligible.	
c.	Districts and local operating agencies will supply the Kentucky Department of Education with all of the Migrant Student Information eXchange (MSIX) minimum data elements applicable to the child's age and grade within the timeframe established in 34 CFR 200.85 regardless of the type of school in which the child is enrolled (e.g. public, private, or home school), or whether a child is enrolled in any school.	

				2) To the SEA, or a specific local operating agency, for forwarding to MSIX, in which case the SEA or local operating agency must follow up with the parent, emancipated child, or the private school to make sure that the records requested by the parent or emancipated child have been forwarded.
		ii.		For migratory children who are or were enrolled in home schools, the local operating agency meets its responsibility for collecting MDEs applicable to the child's age and grade level by requesting these records, either directly from the parent or emancipated child.
	d.	The local operating agency is required to use the Consolidated Student Record for all migratory children who have changed residence to a new school district within the State or in another State in order to facilitate school enrollment, grade and course placement, accrual of high school credits, and participation in the MEP.		

	e.	The local operating agency is required to use reasonable and appropriate measures determined by the Kentucky Department of Education to ensure that all data submitted to MSIX are accurate and complete; and to respond promptly to any request by the US Department of Education for information needed to meet the Department's responsibility for the accuracy and completeness of data in MSIX.	
	f.	The local operating agency is required to follow the procedures outlined in the Kentucky MSIX Policies and Procedures guidebook for correcting data as requested by parents, guardians, and migratory children, and other SEAs.	
3.	Sections 1304 and 1306 of the Every Student Succeeds Act states that the Kentucky Department of Education shall identify and address the needs of migrant students through the appropriate coordination of local, state and federal funds. Districts will coordinate the migrant program with schoolwide projects and other programs within the district. Districts should identify all available resources for migrant students who are most academically at need.	* Yes	
4.	Each local operating agency will fully participate in a system for the storage of data and the transfer of migrant student records.	* Yes	

5.	Each local operating agency must employ personnel to recruit and advocate, ensuring the identification and recruitment of all eligible migrant children. It is highly recommended that these positions be full-time and bilingual.	* Yes	
6.	Each local operating agency shall implement effective parent involvement activities in accordance with the program Service Delivery Plan (SDP).	* Yes	
7.	The local operating agency will consult with parents of migratory children, including parent advisory councils, for programs not less than 1 school year in duration. All such programs and projects are carried out	* Yes	
	a. In a manner that provides for the same parental involvement as is required for programs and projects under section 1116, unless extraordinary circumstances make such provision impractical; and		
	b. In a format and language understandable to the parents (ESSA Section 1304 (c)(3)).		
8.	In providing services with funds received under this part, each recipient of such funds shall give priority to migratory children who have made a qualifying move within the previous 1-year period and who:	* Yes	
	a. Are failing, or most at risk of failing, to meet the challenging State academic standards; or		
	b. Have dropped out of school (ESSA Section 1304 (d)).		

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1.	Districts and schools receiving Title I, Part D, Subpart 2 funds will comply with all applicable requirements outlined in Sections 1421-1432 of the Every Student Succeeds Act. This includes submission of an application to the state by prescribed deadlines and the maintenance of records supporting program compliance and adherence to state-approved plans.	* Yes ▼
2.	The local school district will submit to state-conducted Title I, Part D monitoring and will comply with all corrective actions/findings that result from such monitoring.	* Yes ▼
3.	The programs and services provided under this grant will be used to address the needs set forth in the application and fiscal related information will be provided within the fiscal year timelines established for new, reapplying, and/or continuing programs.	* Yes ▼
4.	The local school district will comply with civil rights and nondiscrimination requirement provisions and equal opportunities to participate for all eligible students, teachers, and other program beneficiaries. The programs and services provided with federal funds under this grant will be operated so as not to discriminate on the basis of age, gender, race, national origin, ancestry, religion, creed, pregnancy, marital or parental status, sexual orientation, or physical, mental, emotional, or learning disabilities.	* Yes ▼
5.	The local school district will require the entity and its principals involved in any subtler covered transaction paid through federal funds, that requires such certification, to ensure it/they are not debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation by a federal department or agency. {EDGAR-Part 85}	* Yes ▼

6.	The local school district will evaluate its program periodically to assess its progress toward achieving its goals and objectives and use its evaluation results to refine, improve, and strengthen its program and to refine its goals and objectives as appropriate. The local school district will submit to the department such information, and at such intervals, that the department requires to complete state and/or federal reports.	* Yes ▼
7.	Each local school district receiving Title I, Part D Subpart 2 funds will ensure accurate and timely submission of neglected and delinquent student data as requested by the Kentucky Department of Education, including reports requested by the U.S. Department of Education.	* Yes ▼
8.	The local school district will use fiscal control and fund accounting procedures that will ensure proper disbursement of, and accounting for, federal funds received and distributed under this program.	* Yes ▼
9.	Each agency receiving funds under this grant shall use these funds only to supplement, and not to supplant, state and local funds that, in the absence of such funds, would otherwise be spent for activities under this section.	* Yes ▼
10.	The local school district will administer such funds and property to the extent required by the authorizing statutes.	* Yes ▼
11.	Where feasible, the local school district will ensure educational programs in juvenile facilities are coordinated with the student's home school, particularly with respect to special education students with an individualized education program.	* Yes ▼
12.	Where feasible, the local school district will provide transition assistance to help the youth stay in school, including coordination of services for counseling, assistance, in accessing drug and alcohol abuse prevention programs, tutoring, and family counseling.	* Yes ▼
13.	The local school district will provide support programs which encourage youth who have dropped out to re-enter school once their term has been completed or provide such youth with the skills necessary for such youth to gain employment or seek a high school diploma or its recognized equivalent.	* Yes ▼

14.	The local school district will ensure facilities for neglected, delinquent, or at-risk students are staffed with teachers and other qualified staff who are trained to work with children with disabilities and other students with special needs taking into consideration the unique needs of such children and students.	☐ Yes ☐
15.	The local school district will use, to the extent possible, technology to assist in coordinating educational programs between the juvenile facility and the community school.	☐ Yes ☐
16.	Where feasible, the local school district will involve parents in efforts to improve the educational achievement of their children and prevent the further involvement of such children in delinquent activities.	☐ Yes ☐
17.	The local school district will coordinate funds received under this program with other local, state, and federal funds available to provide services to participating youths, such as funds under the Job Training Partnership Act, and vocational education funds.	☐ Yes ☐
18.	The local school district will coordinate programs operated under this subpart with activities funded under the Juvenile Justice and Delinquency Prevention Act of 1974 as amended by the Juvenile Justice Reform Act of 2018 and other comparable programs, if applicable.	☐ Yes ☐
19.	If appropriate, the local school district will work with local businesses to develop training and mentoring programs for participating youth.	☐ Yes ☐

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0***Districts receiving ESSA Title II, Part A funds shall:**

1.	Submit an application to the Kentucky Department of Education (KDE) at such time, in such manner, and containing such information as required;	* Yes ▼
2.	Ensure activities carried out under this program are in accordance with the purpose of Title II as stated in Section 2001 of the ESSA, which is to:	* Yes ▼
	a. Increase student achievement consistent with the challenging State academic standards;	
	b. Improve the quality and effectiveness of teachers, principals, and other school leaders; and	
	c. Increase the number of teachers, principals, and other school leaders who are effective in improving student academic achievement in schools; and	
	d. Provide low-income and minority students greater access to effective teachers, principals, and other school leaders;	
3.	Comply with all applicable requirements outlined in Sections 2102-2104 of the ESSA or its successor;	* Yes ▼
4.	Comply with section 8501 of the ESSA regarding the participation by private school children and teachers;	* Yes ▼
5.	Coordinate professional learning activities authorized under this part with professional learning activities provided through other Federal, State, and local programs;	* Yes ▼
6.	Engage shareholders in the following ways:	* Yes ▼

	a.	Meaningfully consult with teachers, principals, other school leaders, paraprofessionals (including organizations representing such individuals), specialized instructional support personnel, charter school leaders (in a local school district that has charter schools), parents, community partners, and other organizations or partners with relevant and demonstrated expertise in programs and activities designed to meet the purpose of this title;	
	b.	Seek advice from the individuals and organizations described in the bullet above regarding how best to improve the local school district's activities to meet the purpose of this title; and	
	c.	Coordinate the local school district's activities under this part with other related strategies, programs, and activities being conducted in the community;	
7.		Use Title II, Part A funds to develop, implement, and evaluate the comprehensive programs and activities carried out under this program;	* Yes
8.		Ensure that if funds are used for class size reduction, classes will be reduced to a level that is evidence-based, to the extent the State (in consultation with local educational agencies in the State) determines that such evidence is reasonably available, to improve student achievement through the recruiting and hiring of additional effective teachers;	* Yes
9.		Ensure that if funds are used for professional learning, it is high-quality, personalized and evidence-based, to the extent the (in consultation with local educational agencies in the State) determines that such evidence is reasonably available, for teachers, instructional leadership teams, principals or other school leaders, that is focused on improving teaching and student learning and achievement, including supporting efforts to:	* Yes
	a.	Train educators to effectively integrate technology into curricula and instruction (including education about the harms of copyright piracy);	
	b.	Train educators to use data to improve student achievement and understand how to ensure individual student privacy is protected, (FERPA) and State and local policies and laws in the use of such data;	
	c.	Train educators to effectively engage parents, families, and community partners, and coordinate services between school and community;	

	d. Train educators to help all students develop the skills essential for learning readiness and academic success;	
	e. Train educators to develop policy with school, local educational agency, community or State leaders; and	
	f. Train educators to participate in opportunities for experiential learning through observation.	
10.	Ensure that if funds are used to recruit a diverse workforce, it is not limited to race only;	* Yes ▼
11.	Ensure the activities carried out under Title II, Part A address the learning needs of all students, including children with disabilities, English learners, and gifted and talented students;	* Yes ▼
12.	Ensure and provide written affirmation to KDE that timely, meaningful and on-going consultation with each nonpublic school occurs prior to any decision about the equitable services to be provided to eligible nonpublic school students, teachers, and other educational personnel;	* Yes ▼
13.	Retains control of Title II, Part A funds used to provide equitable services to nonpublic schools and ensures that services are provided by either an employee of the district or through a contract with an individual, agency or organization independent of the nonpublic school and any religious organization.	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0*** The LEA assures the that it will comply with the following provisions:**

1.	The eligible entity (LEA/school district/consortium) assures that it has developed a district plan for educating all English Learners (EL) students within its jurisdiction and submitted the plan and budget to KDE for approval each year it receives Title III funds. It also assures that a copy of the district EL plan will be provided to all schools receiving Title III funds and that the plan and its contents will be made available to EL families and the public in compliance with open records laws.	* N/A ▼
2.	The eligible entity (LEA/school district/consortium) assures that it will provide equal educational opportunities to all EL and immigrant students and uphold such rights regardless of citizenship or nationality status, as provided under Titles IV and VI of the Civil Rights Act of 1964, the Equal Educational Opportunity Act of 1974, Sec. 204(f), and as affirmed in the Supreme Court ruling in Plyler v. Doe, (1982), and any other civil rights guaranteed by federal law.	* Yes ▼
3.	The eligible entity (LEA/school district/consortium) assures that it will expend all Title III funds to improve the education of EL children by assisting the children to speak, read, write and comprehend the English language and to meet challenging state content and performance standards.	* N/A ▼
4.	The eligible entity (LEA/school district/consortium) with substantial increases in immigrant children and youth students assures that it will use Title III immigrant funds in a manner consistent with activities under ESEA Sec.3114 (d) of Title III.	* N/A ▼
5.	The eligible entity (LEA/school district/consortium) assures that its proposed EL plan is based on effective approaches and methodologies for teaching EL students.	* N/A ▼
6.	The eligible entity (LEA/school district/consortium) assures that its proposed EL plan describes how language instruction programs will ensure that EL students develop English proficiency.	* N/A ▼

7.	The eligible entity (LEA/school district/consortium) assures that all teachers in its EL programs are fluent in English and any other language used for instruction, including written and oral communication skills.	*	N/A ▼
8.	The eligible entity (LEA/school district/consortium) assures that it will use Title III funds in ways that will build district and school capacity to continue to offer effective language instruction educational programs for EL students. This includes allocating Title III funds for effective professional development.	*	N/A ▼
9.	The eligible entity (LEA/school district/consortium) assures that its EL and immigrant programs, strategies and funding allocations are aligned and integrated with the Comprehensive District Improvement Plan (CDIP) and Comprehensive School Improvement Plans (CSIP).	*	N/A ▼
10.	The eligible entity (LEA/school district/consortium) assures that a Program Services Plan (PSP) will be developed for each EL student in the district. The Program Services Plan will, at a minimum, include all essential elements required by ESEA Section 1112(e)(3) and Kentucky's Regulations on Inclusion of Special Populations in State Assessment and Accountability (703 KAR 5:070).	*	Yes ▼
11.	The eligible entity (LEA/school district/consortium) assures that all EL students enrolled on the first day of the Kentucky State-Required Assessment testing window shall be assessed in all parts of the state-required assessments and their scores shall be included in accountability calculations consistent with state law, unless the students are in their first year of enrollment in a United States (U.S.) school.	*	N/A ▼

12.	The eligible entity (LEA/school district/consortium) assures that parents/legal guardians of all EL students in the district will be notified within 30 calendar days after the beginning of the school year of a) the reason for their child's identification as EL, b) the child's level of English proficiency, c) the child's program instructional services, d) the specific exit requirements for the program, and e) parental rights to opt out of services or to seek alternative services as outlined in ESEA Section 1112(e)(3) and in the case of a child with a disability, how such program meets the objectives of the individualized education program of the child, as described in section 614(d) of the Individuals with Disabilities Education Act. For a child who has not been identified for participation in a language instruction education program prior to the beginning of the school year, the eligible entity (LEA/school district/consortium) assures that it will carry out subsections (a) through (e) within two (2) weeks of the child's being placed in such a program.	Yes
13.	The eligible entity (LEA/school district/consortium) assures that it will implement an effective means of outreach to promote parent, family, and community engagement activities for EL and immigrant students as outlined in ESEA Section 3115(c)(3).	N/A
14.	The eligible entity (LEA/school district/consortium) assures that it will determine primary or home languages of EL children through the use of a home language survey administered to all students enrolled in the district as a first screening process to identify students as English learners (703 KAR 5:070).	Yes
15.	The eligible entity (LEA/school district/consortium) assures that it will submit to the Kentucky Department of Education all demographic and programmatic information, including the requirements of ESEA Sec. 3121 of Title III, pertinent to the implementation of the Title III program and the provision of services to EL and immigrant students.	N/A
16.	The eligible entity (LEA/school district/consortium) assures that it developed its proposed EL and immigrant plans in consultation with teachers, school administrators, parents, researchers, and if appropriate, with education-related community groups and nonprofit organizations, and institutions of higher education.	N/A

17.	The eligible entity (LEA/school district/consortium) assures that it has consulted with non-public schools within its area of service in the development of the district EL plan, and that it will administer and provide on an equitable basis educational services to EL students in non-public schools through a public agency or a contractual entity independent of the non-public schools or religious organizations.	* N/A ▼
18.	The eligible entity (LEA/school district/consortium) assures that all Title III funds will supplement, but in no case supplant, federal, state and local public funds for programs for EL and immigrant students as set forth in Sec. 3115 (g) of Title III.	* N/A ▼
19.	The eligible entity (LEA/school district/consortium) assures that at the end of the fiscal year it will submit to the KDE Title III Program an Annual Performance Report (APR) evaluating the EL and immigrant plans to include a description of programs and activities and of the progress made by EL and immigrant students towards attaining English language proficiency and meeting state academic achievement standards as set forth in Sec. 3121 of Title III.	* N/A ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

In accordance with ESEA section 4106(e) (2) and (f), a local school district or consortium of local school districts must assure in its application that it will:

1.	Prioritize the distribution of funds to schools served by the local school district based on one or more of the following criteria- <table border="1"> <tr> <td data-bbox="527 1892 592 1948">a.</td> <td data-bbox="527 338 592 1892">Are among the schools with the greatest needs;</td> </tr> <tr> <td data-bbox="592 1892 735 1948">b.</td> <td data-bbox="592 338 735 1892">Have the highest percentages or numbers of children counted under section 1124(c) (i.e., children counted for purposes of basic grants to local school districts under Title I, Part A of the ESEA);</td> </tr> <tr> <td data-bbox="735 1892 846 1948">c.</td> <td data-bbox="735 338 846 1892">Are identified for comprehensive support and improvement under section 1111(c)(4)(D)(i) (i.e., are among the lowest-achieving schools);</td> </tr> <tr> <td data-bbox="846 1892 948 1948">d.</td> <td data-bbox="846 338 948 1892">Are implementing targeted support and improvement plans as described in section 1111(d)(2) (i.e., have consistently underperforming student subgroups; or</td> </tr> <tr> <td data-bbox="948 1892 1052 1948">e.</td> <td data-bbox="948 338 1052 1892">Are identified as a persistently dangerous public elementary school or secondary school under section 8532. (ESEA section 4106(e)(2)(A)).</td> </tr> </table>	a.	Are among the schools with the greatest needs;	b.	Have the highest percentages or numbers of children counted under section 1124(c) (i.e., children counted for purposes of basic grants to local school districts under Title I, Part A of the ESEA);	c.	Are identified for comprehensive support and improvement under section 1111(c)(4)(D)(i) (i.e., are among the lowest-achieving schools);	d.	Are implementing targeted support and improvement plans as described in section 1111(d)(2) (i.e., have consistently underperforming student subgroups; or	e.	Are identified as a persistently dangerous public elementary school or secondary school under section 8532. (ESEA section 4106(e)(2)(A)).	* Yes ▼
a.	Are among the schools with the greatest needs;											
b.	Have the highest percentages or numbers of children counted under section 1124(c) (i.e., children counted for purposes of basic grants to local school districts under Title I, Part A of the ESEA);											
c.	Are identified for comprehensive support and improvement under section 1111(c)(4)(D)(i) (i.e., are among the lowest-achieving schools);											
d.	Are implementing targeted support and improvement plans as described in section 1111(d)(2) (i.e., have consistently underperforming student subgroups; or											
e.	Are identified as a persistently dangerous public elementary school or secondary school under section 8532. (ESEA section 4106(e)(2)(A)).											
2.	For a local school district or consortium that receives \$30,000 or more, use- <table border="1"> <tr> <td data-bbox="1122 1892 1227 1948">a.</td> <td data-bbox="1122 338 1227 1892">Not less than 20 percent of funds to support one or more of the activities authorized under section 4107 pertaining to well-rounded educational opportunities;</td> </tr> <tr> <td data-bbox="1227 1892 1333 1948">b.</td> <td data-bbox="1227 338 1333 1892">Not less than 20 percent of funds to support one or more activities authorized under section 4108 pertaining to safe and healthy students; and</td> </tr> <tr> <td data-bbox="1333 1892 1521 1948">c.</td> <td data-bbox="1333 338 1521 1892">A portion of funds to support one or more activities authorized under section 4109(a) pertaining to the effective use of technology; including an assurance that it will not use more than 15 percent of the remaining portion for purchasing technology infrastructure as described in section 4109(b). (ESEA section 4106(e)(2)(C)-(E)).</td> </tr> </table>	a.	Not less than 20 percent of funds to support one or more of the activities authorized under section 4107 pertaining to well-rounded educational opportunities;	b.	Not less than 20 percent of funds to support one or more activities authorized under section 4108 pertaining to safe and healthy students; and	c.	A portion of funds to support one or more activities authorized under section 4109(a) pertaining to the effective use of technology; including an assurance that it will not use more than 15 percent of the remaining portion for purchasing technology infrastructure as described in section 4109(b). (ESEA section 4106(e)(2)(C)-(E)).	* Yes ▼				
a.	Not less than 20 percent of funds to support one or more of the activities authorized under section 4107 pertaining to well-rounded educational opportunities;											
b.	Not less than 20 percent of funds to support one or more activities authorized under section 4108 pertaining to safe and healthy students; and											
c.	A portion of funds to support one or more activities authorized under section 4109(a) pertaining to the effective use of technology; including an assurance that it will not use more than 15 percent of the remaining portion for purchasing technology infrastructure as described in section 4109(b). (ESEA section 4106(e)(2)(C)-(E)).											

	d. Comply with section 8501-8504, regarding equitable participation of private school children and teachers. (ESEA section 4106(e)(2)(B)).	
	e. Complete an annual state report regarding how funds for the SSAE program are being used. (ESEA section 4106(e)(2)(F)).	

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0*** The LEA assures the that it will comply with the following provisions:**

1.	Districts and schools receiving Title V, Part B, Subpart 2 funds will comply with all program requirements outlined in the Every Student Succeeds Act.	* N/A ▼
2.	Districts and schools receiving Title V, Part B, Subpart 2 funds will maintain records that support their compliance with program requirements and approved plans.	* N/A ▼
3.	If selected, the local school district will submit to state-conducted Title V, Part B, Subpart 2 monitoring and will comply with all corrective actions that result of such monitoring.	* N/A ▼
4.	Rural-Low Income Funds will be used to support local, evidence-based strategies authorized under the following programs or activities:	* Yes ▼
	a. Title I, Part A;	
	b. Title II, Part A;	
	c. Title III;	
	d. Title IV, Part A; or	
	e. Parental Involvement	

McKinney-Vento Homeless Assistance Act

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

*** The LEA assures the that it will comply with the following provisions:**

1.	Districts and schools will comply with all McKinney-Vento Homeless Assistance Act program requirements.	* Yes ▼
2.	Districts and schools will maintain records that support their compliance with program requirements.	* Yes ▼
3.	If selected, the local school district will submit to state or federally conducted McKinney-Vento program monitoring and will comply with all corrective actions that result of such monitoring.	* Yes ▼
4.	The local school district will adopt policies and practices to ensure that homeless children and youths are not stigmatized or segregated on the basis of their status as homeless.	* Yes ▼
5.	The local school district will designate an appropriate staff person, who may also be a coordinator for other Federal programs, as a local educational agency liaison for homeless children and youths.	* Yes ▼
6.	The local school district will adopt policies and practices to ensure that transportation is provided at the request of the parent or guardian (or in the case of an unaccompanied youth, the liaison), to and from the school of origin, in accordance with the following as applicable: (l) If the child or youth continues to live in the area served by the local school district in which the school of origin is located, the child's or youth's transportation to and from the school of origin shall be provided or arranged by the local educational agency in which the school of origin is located.	* Yes ▼

	(II) If the child's or youth's living arrangements in the area served by the local school district of origin terminate and the child or youth, though continuing the child's or youth's education in the school of origin, begins living in an area served by another local school district, the local school district of origin and the local school district in which the child or youth is living shall agree upon a method to apportion the responsibility and costs for providing the child or youth with transportation to and from the school of origin. If the local school districts are unable to agree upon such method, the responsibility and costs for transportation shall be shared equally.	
7.	If a dispute arises over eligibility, school selection or enrollment in a school, the child or youth shall be immediately enrolled in the school in which enrollment is sought, pending final resolution of the dispute, including all available appeals .	* Yes ▼
8.	If a dispute arises over eligibility, school selection or enrollment in a school, the local school district liaison will follow the KDE Dispute Resolution Process, including requirements for meeting timelines and maintaining documentation.	* Yes ▼
9.	The local school district will adopt policies and practices to ensure participation by the homeless liaison in professional development and other technical assistance activities as determined appropriate by the Office of the Coordinator and detailed in 704 KAR 7:090.	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

*** The LEA assures the that it will comply with the following provisions:**

1. The local school district (eligible recipient) shall submit a local application in accordance with requirements established by the state agency. The local application shall describe how the career and technical education programs required under section 134 (b) will be carried out with funds received under this title. The local school district shall:		* Yes ▼
a.	Carry out career and technical education activities with respect to meeting state and local adjusted levels of performance established under section 113.	
b.	Offer the appropriate courses of not less than one of the career and technical programs of study described in section 2 (41).	
c.	Improve the academic and technical skills of students participating in career and technical education programs by strengthening the academic and career and technical education components of such programs through the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education programs to ensure learning in the core academic subjects (as defined by ESEA) and career and technical education subjects.	
d.	Provide students with strong experience in, and understanding of, all aspects of an industry.	
e.	Ensure that students who participate in such career and technical education programs are taught to the same coherent and rigorous content aligned with challenging academic standards as are taught to all other students.	
f.	Provide comprehensive professional development (including initial teacher preparation) for career and technical education, academic, guidance, and administrative personnel that promotes the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education (including curriculum development).	

	g. Involve parents, students, academic and career and technical education teachers, faculty, administrators, career guidance and academic counselors, representatives of business and industry, labor organizations, representatives of special populations and other interested individuals in the development, implementation, and evaluation of career and technical education programs assisted under this title. Maintain documentation on how such individuals and entities are effectively informed about, and assisted in understanding the requirements of this title, including career and technical programs of study.	
	h. Provide a career and technical education program that is of such size, scope, and quality to bring about improvement in the quality of career and technical education programs.	
	i. Implement a process to evaluate and continuously improve the performance of career and technical education programs.	
	j. Review career and technical education programs, and identify and adopt strategies to overcome barriers that result in lowering rates of access to or lowering success in the programs, for special populations; provide programs that are designed to enable the special populations to meet the local adjusted levels of performance; and provide activities to prepare special populations, including single parents and displaced homemakers, for high skill, high wage, or high demand occupations that will lead to self-sufficiency.	
2.	Individuals who are members of special populations will not be discriminated against on the basis of their status as members of special populations. (Section 134).	* Yes ▼
3.	Funds will be used to promote preparation for non-traditional fields.	* Yes ▼
4.	Career guidance and academic counseling will be provided to career and technical education students, including linkages to future education and training opportunities.	* Yes ▼
5.	Address the recruitment and retention of career and technical education teachers, faculty, and career guidance and academic counselors, including individuals in groups underrepresented in the teacher profession and the transition to teaching from business and industry.	* Yes ▼

6.	Master schedule forms will be submitted for all CTE programs at each high school and middle school that receives Perkins funds.	* Yes ▼
7.	Accurate data will be entered into the Technical Education Database System (TEDS) for the previous school year.	* Yes ▼
8.	The local school district shall follow guidelines for Levels of Consequences for Perkins Accountability based on Perkins Performance Indicators as notified by KDE.	* Yes ▼
9.	No more than 5 percent of the funds are used for administrative costs associated with the administration of activities assisted under this section.	* Yes ▼
10.	In any academic year that an eligible recipient does not expend all of the amounts the eligible recipient is allocated for such year under section 131 or 132, such eligible recipient shall return any unexpended amounts to the eligible agency.	* Yes ▼
11.	Eligible recipients shall not receive an allocation under Section 131 (a) unless the initial amount allocated is greater than \$15,000. Those whose allocation is not greater than \$15,000 may apply for a waiver or form a consortium.	* Yes ▼
12.	The local school district shall not bar students attending private, religious, or home schools from participation in programs or services under this Act (Section 217).	* Yes ▼
13.	No funds made available under Perkins V shall be used to require any secondary school student to choose or pursue a special career path or major OR to mandate that any individual participate in a career and technical education program, including a career and technical education program that requires the attainment of a federally funded skill level, standards, or certificate of mastery. (Section 214)	* Yes ▼
14.	No funds received under this Act may be used to provide career and technical programs to students prior to the middle grades, except that such students may use equipment and facilities purchased (Section 215).	* Yes ▼
15.	Funds are used according to the requirements identified in Section 135.	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

*** The LEA assures the that it will comply with the following provisions:**

1.	The expenditures of IDEA-B funds for services and goods are made exclusively for the benefit of children who meet the definitions and eligibility criteria for programs for exceptional children as found in 707 KAR Chapter 1.	* Yes ▼
2.	Special education and related services are provided in a manner consistent with policies and procedures required by the Individuals with Disabilities Education Act (IDEA) Part B. These policies and procedures address: free and appropriate public education, child identification, due process, evaluation, eligibility, individual education programs, placement in least restrictive environment, delivery of services, confidentiality, non-public schools, comprehensive system of personnel development, and IDEA-B funds.	* Yes ▼
3.	A goal of full educational opportunity has been established for all children with individual education programs, aged three (3) to twenty-one (21).	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

*** The LEA assures the that it will comply with the following provisions:**

1.	The local school district will comply with the following nondiscrimination statutes and regulations, any other related regulations, and any FNS and USDA nondiscrimination directives:	* Yes
	i. Title VI of the Civil Rights Act of 1964 (42 U.S.C. 200d et seq.), USDA regulations at 7 CFR Part 15, Nondiscrimination, and Department of Justice regulations at 28 CFR Part 42, Nondiscrimination; Equal Employment Opportunity; Policies and Procedures;	
	ii. Title IX of the Education Amendments of 1972 (20 U.S.C. 1681 et seq.) and USDA regulations at 7 CFR Part 15a, Education Programs or Activities Receiving or Benefitting from Federal Financial Assistance;	
	iii. Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), USDA regulations at 7 CFR Part 15b, Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance, and Department of Justice regulations at 28 CFR Part 41, Implementation of Executive Order 12250, Nondiscrimination On The Basis of Handicap In Federally Assisted Programs; and	
	iv. The Age Discrimination Act of 1975 (42 U.S.C. 6101 et seq.),	
2.	The local school district assures that it will immediately take any measures necessary to effectuate the requirements in the laws, regulations, and directives. The State agency gives this assurance in consideration of and for the purpose of obtaining the funds and commodities provided under this agreement.	* Yes

State Preschool Program Assurances (Flexible Focus Fund)

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

*** The LEA assures the that it will comply with the following provisions:**

1.	The local school district makes preschool program services available to all three- and four-year-old children with disabilities and at-risk four-year-old children in a manner consistent with KRS 157.3175.	*	Yes ▼
2.	The local school district has a current, signed agreement with the local Head Start program to maximize Head Start funds to serve as many eligible four-year-old children as possible, with certification from the Head Start director that the Head Start program is fully utilized.	*	Yes ▼
3.	When the local school district contracts with an outside agency for preschool placements, the contractor has been approved by the Kentucky Department of Education for these purposes and the contracted services meet all state and federal education requirements.	*	N/A ▼
4.	All preschool education programs operated by or located on school grounds meet state education facility requirements for preschool programs. All materials and equipment used by these programs are appropriate for young children. Test sheets, workbooks and ditto sheets shall not be used (704 KAR 3:410).	*	Yes ▼
5.	All children enrolled in preschool education programs that operate at least half-day are offered a meal while in the program (breakfast and/or lunch).	*	Yes ▼
6.	All instructional staff for preschool education programs meets qualification standards and professional development requirements for preschool, as specified by law.	*	Yes ▼

7.	The local school district has a written plan (policies and procedures) for the operation of the preschool program that addresses: recruitment of children; educational programming and related services; developmentally appropriate experiences in cognitive, communication, social, physical, and emotional development as well as creative expression; a curriculum which is relevant and reflective of the needs of the population served, in which a variety of skills are integrated into activities targeted toward the interests of children (704 KAR 3:410); parent outreach and active involvement; coordination of health and social services; coordination with the primary program; and an evaluation plan.	* Yes ▼
8.	At least annually, parents, staff and other professionals shall be involved in evaluating the effectiveness of the preschool program in meeting the needs of participating children.	* Yes ▼
9.	A formula is used to allocate preschool funds to school districts. Amounts are based on the average number of children served on December 1 and March 1 of the previous academic year. The sum of this average is multiplied by per-child rates approved by the Kentucky Board of Education for the new school year. There is a standard "per-child" rate for three categories of enrollment: speech, developmental delay, and income eligible children. There is a weighted category for children with severe/multiple disabilities. While funds are allocated to local school districts based on per-child rates, local school districts may use funds to address the needs of the entire preschool program. Local school districts may distribute funds across the program as long as the dollars benefit preschool students.	* Yes ▼
10.	The local school district ensures appropriate implementation of the Child Find process, delivering appropriate, relevant, research-based instruction and intervention services (Kentucky System of Intervention or KSI) prior to or as a part of the special education referral process.	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0*** The LEA assures the that it will comply with the following provisions:**

1.	The local school district certifies that each teacher participates in well-designed professional learning aligned to the Professional Learning Standards and that instructional improvement and training needs of staff are addressed in accordance with the goals in KRS 158.6451.	* Yes ▼
2.	Schools and districts align professional learning to their school or district improvement plans as implemented under KRS 158.070.	* Yes ▼
3.	The local school district certifies that all persons affected by the professional learning plan are represented or included on the school and district planning teams.	* Yes ▼
4.	The local school district certifies that the local professional development coordinator is qualified for that position and fulfills the qualifications and duties as specified in Section 5 of 704 KAR 3:035.	* Yes ▼
5.	Professional development funds are expended and accounted for as required in 704 KAR 3:035 and KRS 156.560. Funds are used for needs identified in the school and district planning process.	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0*** The LEA assures the that it will comply with the following provisions:**

1.	The local school district certifies that the textbook/instructional materials purchasing plans for all schools serving grades P-8 have been approved by the appropriate school councils and the local board of education and are on file in the district office. The plans address the requirements as stated in KRS 156.439 and 704 KAR 3:455.	Yes ▼
2.	The local school district certifies that an annual report and summary of expenditures for textbooks and instructional materials is available on the district's MUNIS report. The annual report addresses the requirements as stated in KRS 156.439 and 704 KAR 3:455.	Yes ▼

Gifted And Talented Assurances

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

* The LEA assures the that it will comply with the following provisions:

1.	The local school district has in operation and available for public inspection local board approved policies and procedures which address each requirement in 704 KAR 3:285 (Sections 1-10), the administrative regulation for programs for the gifted and talented, and are consistent with KRS 157.200, 157.224, 157.230 and 704 KAR 3:440.	Yes
2.	The local school district adheres to the definitions in Section 1 of 704 KAR 3:285 for primary through grade twelve (12).	Yes
3.	The local school district's policies and procedures are consistent with the requirements of 704 KAR 3:285, Section 3, as they relate to the identification and diagnosis of gifted characteristics, behaviors and talent, and determination of eligibility for services. The local school district has implemented its policies and procedures so that identification and determination of eligibility for services includes a combination of informal measures, formal measures, and objective-based eligibility criteria. Identification and determination of eligibility is based on students' individual needs, interests and abilities. The local school district provides a system for diagnostic screening and identification of strengths, gifted behaviors and talents which provides equal access for racial and ethnic minorities, disadvantaged children, and children with disabilities. Once a student is informally selected and placed in the talent pool, the local school district does not use a single assessment instrument as the basis for denying services to said student.	Yes

4.	The local school district's policies and procedures are consistent with the requirements of 704 KAR 3:285, Section 5. The local school district conducts an annual program evaluation which addresses: (a) overall student progress; (b) student, parent, and faculty attitudes toward the program; (c) community involvement; (d) cost effectiveness; (e) the incorporation of gifted education into the regular school program; (f) overall quality of instruction and program personnel credentials; and (g) future program directions and modifications. The local school district has ensured that school personnel report to a parent or guardian the progress of her/his child related to the gifted and talented student services plan at least once each semester.	* Yes ▼
5.	The local school district provides articulated primary through grade twelve (12) multiple service delivery options consistent with the requirements of 704 KAR 3:285, Section 6. No single service option exists alone, district wide, at a grade level. With the exception of an academic competition or optional extracurricular offering, services are provided during the regular school hours.	* Yes ▼
6.	Consistent with 704 KAR 3:285, the local school district provides a comprehensive framework or course of study for children and youth, primary through grade twelve (12), who are diagnosed as possessing gifted characteristics, behaviors and talent based on the district or school's curricula required to meet the goals established in KRS 158.6451. Each school has differentiated, replaced, supplemented, or modified curricula to facilitate high level attainment of the learning goals established in KRS 158.6451 and assists students identified as gifted and talented to further develop their individual interests, needs, and abilities (704 KAR 3:285 Section 7).	* Yes ▼
7.	Consistent with the provisions of 704 KAR 3:285, Section 8, the local school district has ensured that direct services to students identified as demonstrating gifted and talented behaviors and characteristics are provided by professionally qualified and certified personnel as required by the Education Professional Standards Board.	* Yes ▼
8.	State funds for gifted education are used specifically for direct services to students who are gifted and talented. Direct services to identified students are provided by professionally qualified and certified personnel as required by the Education Professional Standards Board and 704 KAR 3:285, Section 8. Seventy-five (75) percent of the district's gifted education allocation is used to employ properly certified personnel to provide direct instructional services (704 KAR 3:285 Section 9).	* Yes ▼

9.	The local school district has designated a gifted education coordinator (qualifications listed in 16 KAR 4:010 Section 7) to oversee the district gifted education operation, serve as liaison between the district and the state, ensure internal compliance with state statutes and administrative regulations, administer and revise the gifted education program budget, and submit to the Kentucky Department of Education for approval as an amendment any local district budget decision change causing a major or significant adjustment, thereby, impacting state funds for gifted education after the annual submission of the local district education plan (704 KAR 3:285 Section 9).	* Yes
10.	Consistent with the requirements of 704 KAR 3:285, the local school district employs properly certified personnel to administer and teach in the program, annually submits the local school district gifted education year-end report, annually submits the summative evaluation of the program and student progress, and otherwise complies with 704 KAR 3:285.	* Yes
11.	Consistent with 704 KAR 3:285, Section 10, the local school district has established a district wide grievance procedure through which a parent, guardian or student may resolve a concern regarding the appropriate and adequate provision of talent pool services or services addressed in a formally identified student's gifted and talented student services plan.	* Yes

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

*** The LEA assures the that it will comply with the following provisions:**

1.	The local school board and the district have approved and disseminated procedures whereby pupils who have a greater need as determined by the eligibility criteria as stated in KRS 158.070 and 704 KAR 3:390 shall be referred and selected first to receive extended school services. The local school district further ensures that students who have greater academic need as stated in KRS 158.070 and 704 KAR 3:390 are not excluded from referral or selection for extended school services due to the inability of the parent or student to provide transportation to or from the school or site of extended school services programs.	* Yes ▼
2.	The school informs parents or guardians of extended school services as follows: a. A general notification which describes the nature of the services to be offered including the opportunities for maintenance of performance, prevention of failure and reduction of academic deficiencies; b. A specific notification of their child's eligibility to receive extended school services; and c. Written procedures for parents or guardians to request reconsideration of their children's identification or lack of identification of eligibility for extended school services.	* Yes ▼
3.	(If applicable) The most current policy developed by the local school board that mandates attendance for any student(s) to Extended School Services is on file at the offices of Extended School Services, Kentucky Department of Education.	* Yes ▼
4.	Accurate time logs are maintained for personnel receiving salary from Extended School Services. Such salary is for direct services to the Extended School Services program. No ESS staff member is paid more than his/her actual hourly rate for a comparable position in the regular program.	* Yes ▼

5.	The local school district has written criteria for the selection of ESS staff (certified and classified). ESS teachers and other ESS staff are first employed based on having the specific expertise to meet the needs of the students being served. All other criteria for employment are both fair and equitable to applicants.	* Yes ▼
6.	Accurate records are maintained for student attendance to Extended School Services and of student progress toward individual goals.	* Yes ▼
7.	Students not enrolled in ESS are allowed to utilize local school district provided transportation through ESS funds only to the extent that it does not increase the cost of such transportation to ESS.	* Yes ▼
8.	Student data related to ESS services, either daytime or out of school, must be recorded in the "Intervention Tab" to the Kentucky Department of Education no later than June 30th of each school year for services offered during the school year itself, and September 30th for ESS Summer School offerings. This data must include but is not limited to:	* Yes ▼
	a. Number of students receiving extended school services;	
	b. Content areas where services received	
	c. Hours of service provided;	
	d. Demographic data for students receiving extended school services; and	
	e. Student improvement as a result of extended school services.	
9.	State funds for ESS are used specifically for direct services to students who are eligible for ESS services as defined in KRS 158.070 and 704 KAR 3:390 Section 3. All ESS funds are subject to rules and regulations outline in 704 KAR 3:390 Section 4.	* Yes ▼

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

*** The KETS program requires that districts complete and submit an updated technology plan on a yearly basis. Successful technology plans align the criteria in these ten assurances with the overall education improvement objectives. It is critical that technology planning not be viewed or treated as a separate exercise dealing primarily with software, hardware, applications and connectivity. There must be strong connections between the foundational components of the education technology itself and the professional development, curriculum resources and effective uses by teachers, students, and school leaders.**

1.	The local school district establishes clear goals and a realistic strategy for using education technology to improve education through digitally-connected teaching and learning.	*	Yes ▼
2.		*	Yes ▼

	The local board of education agrees to conform to the guidelines for filtering, Internet content management, caching, and auditing technologies regarding student and staff Internet access as provided in the Master Plan for Education Technology, including the implementation and maintenance of approved filtering and caching technology in the district for all students, teachers and administrators. The local school district agrees to adopt an acceptable use policy (AUP) in accordance with the guidelines for acceptable use policies as provided in the Master Plan for Education Technology. This AUP includes addressing the nine elements of digital citizenship as identified by the International Society for Technology in Education for all students, teachers and administrators. The AUP contains language compliant with the Children's Internet Protection Act (CIPA) which will prohibit access to objectionable materials, including sexually explicit materials, and shall include, but not be limited to, parental consent for student Internet use, teacher supervision of student computer use, auditing procedures to determine whether education technology is being used for the purpose of accessing sexually explicit or other objectionable material, and provide for the educating of minors about appropriate online behavior, including interacting with other individuals on social networking websites and in chat rooms, cyberbullying awareness, and response. The local school district acknowledges that these protections apply to all district owned devices and/or district-provided access to the network/internet without regard to the location of students, devices, or point of access.	
3.	The acceptable use policy also contains provisions that prohibit students, faculty, staff and others with network access from using district resources to establish Internet email accounts through third party providers or any other non-standard electronic mail. The local school district agrees to follow the KETS electronic mail product and design standards. These guidelines communicate the basic product and design standards for statewide electronic mail including State Level Shared Distribution Lists as incorporated by reference into the Master Plan for Education Technology. The use of personal (third party or non-standard) electronic mail accounts for school-related communications is also prohibited. KRS 156.160, 156.675; 701 KAR 5:120.	* Yes
4.	The local school districts are required by state regulation 701 KAR 5:110 to procure only those technologies that meet KETS Standards, if a standard has been established and regardless of source of funds, as set forth in the Master Plan for Education Technology- these include Technology Need, Architectural Design and Configuration and Product standards.	* Yes

5.	The local school district has a professional development strategy to ensure that all students, teachers, and administrators progress towards the maximization of education technology tools and resources to effectively use current and new technologies to support educational goals.	Yes ▼
6.	The local school district assesses all education technology services, as defined the KETS Master Plan, which will be needed to support education. The district Education Technology Leader will participate in the annual KETS feedback process.	Yes ▼
7.	The local board provides for sufficient funds to acquire and support the elements of education technology: hardware, software, connectivity, professional development, and other services that will be needed to implement the strategy.	Yes ▼
8.	The local school district includes an evidence-based evaluation process using data, metrics and analytics that enables the school to monitor progress toward the specified goals and make mid-course corrections in response to new developments and opportunities as they arise.	Yes ▼
9.	Consistent with the provisions of KRS 61.931, et seq. (2015 HB 5), the local school district addresses the safety and security of personal information by implementing, maintaining and updating security procedures and practices, including taking any appropriate corrective action to safeguard against and provide notification of security breaches in accordance with applicable state and federal laws. The local school district acknowledges, and to the best of its ability responds to, the recommendations and timelines that resulted from 702 KAR 1:170 and the Data Security and Breach Notification Best Practice Guide, incorporated by reference into this regulation.	Yes ▼
10.	Consistent with the provisions of KRS 365.734, et seq. (2015 HB 232), the local school district acknowledges and, to the best of its ability, ensures that cloud computing service providers: • Shall not process student data for any purpose other than providing, improving, developing, or maintaining the integrity of its cloud computing services,	Yes ▼

	• Shall not in any case process student data to advertise or facilitate advertising or create or correct an individual or household profile for any advertisement,	
	• Shall not sell, disclose, or otherwise process student data for any commercial purpose.	
	• May assist an educational institution to conduct educational research,	

Digital Readiness Survey Collection-Due September 15

The local school district will complete the Digital Readiness Survey Collection. The information collected will be used by local school districts, local Boards of Education, Legislators, and the Kentucky Board of Education to determine the needs for implementing the KETS Master Plan initiatives, technology funding, on-line applications, and on-line testing. The District Education Technology Leader (CIO/DTC) should work with the KETS Customer Relationship Manager (CRM - formerly KETS Engineer) to complete this online, annual collection. The District Education Technology leader should use the Digital Readiness Survey Collection to inform the Comprehensive/Consolidated/Continuous Improvement Plan documentation filed at the district. The report due September 15 will reflect data for July 1 - June 30 of the previous fiscal year.

Technology Activity Report-Due September 15

The local school district will complete the Technology Activity Report. Upon completion, this report is submitted to the Office of Education Technology (OET). The Technology Activity Report reflects district technology expenditures and progress on categorical purchases for statewide reporting. The September 15 report will reflect data for July 1 - June 30 of the previous fiscal year.

Related Documents

Mercer County (421) Public District - FY 2020 - District Funding Assurances - Rev 0

Required Documents

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