

APPENDIX D

PPGES FORMS

The following forms are included in this section:

- PPGES Professional Learning & Reflection Template
- PPGES Site Visit Form
- PPGES Summative Evaluation Form

NEWPORT INDEPENDENT SCHOOLS

PPGES PROFESSIONAL LEARNING, GOALS, & REFLECTION TEMPLATE

Principal	
School	
Level/Grades	
School Year	

SELF-REFLECTION ON THE PPGES PERFORMANCE MEASURES

Reflect on the effectiveness and adequacy of your practice in each of the performance measures in the table below.

PERFORMANCE MEASURE		SELF-ASSESSMENT				STRENGTHS AND AREAS FOR GROWTH
PLANNING	Human Resource Management <i>The principal fosters effective human resources management by assisting with selection and induction, and by supporting, evaluating, and retaining quality instructional and support personnel.</i>	I	D	A	E	
	Organizational Management <i>The principal fosters the success of all students by supporting, managing, and overseeing the school's organization, operation, and use of resources.</i>	I	D	A	E	
ENVIRONMENT	School Climate <i>The principal fosters the success of all students by developing, advocating, and sustaining an academically rigorous, positive, and safe school climate for all stakeholders.</i>	I	D	A	E	
	Communication and Community Relations <i>The principal fosters the success of all students by communicating and collaborating effectively with stakeholders.</i>	I	D	A	E	
INSTRUCTION	Instructional Leadership <i>The principal fosters the success of all students by facilitating the development, communication, implementation, and evaluation of a shared vision of teaching and learning that leads to student academic growth and school improvement</i>	I	D	A	E	
PROFESSIONALISM	Professionalism <i>The principal fosters the success of all students by demonstrating professional standards and ethics, engaging in continuous professional learning, and contributing to the profession.</i>	I	D	A	E	

Examine additional relevant data sources to make an informed decision on growth needs. Select an area of growth from the above self-reflection to focus your professional growth goals

PRINCIPAL'S PROFESSIONAL GROWTH GOAL

Professional Growth Goal Statement
(Based on the Self-Reflection)

Principal's Professional Growth Action Plan

*This plan will outline what the **principal** will do to impact his/her professional growth goal.*

Strategies/Actions

What strategies/actions will I need to do in order to assist my school in reaching the goal?
How will I accomplish my goal?

Resources/Support

What resources will I need to complete my plan?
What support will I need?

Targeted Completion Date

When will I complete each identified strategy/ action?

PROFESSIONAL GROWTH GOAL & ACTION PLAN APPROVAL

Principal Signature:

Date:

Superintendent/Designee Signature:

Date:

MID-YEAR PPGES DATA, SURVEY RESULTS, & REFLECTIONS

PPGES MID-YEAR DATA ANALYSIS

Date of Data Analysis

Data Selected

Student Achievement Data, Non-Academic Data,
Supervisor Feedback, etc...

Analysis & Results of Data

Provide Data Evidence

PPGES MID-YEAR SURVEY REFLECTIONS		
Type of Survey		
Date of Survey		
Number of Surveys Distributed	Number of Completed Surveys Returned	Percentage of Completed Surveys Returned
Survey Reflection Questions	Principal Reflections on Survey Results	
<ul style="list-style-type: none"> What did teachers/staff perceive as major strengths? 		
<ul style="list-style-type: none"> What did teachers/staff perceive as major weaknesses? 		
<ul style="list-style-type: none"> List any factors that might have influenced the results. 		

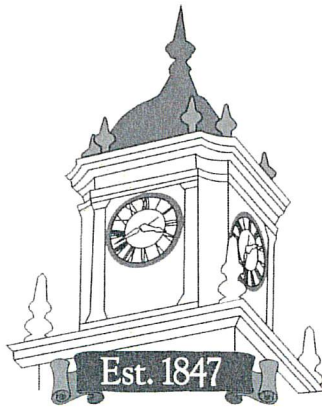
PPGES MID-YEAR REVIEW & REFLECTION MEETING	
Feedback to Principal Revisions/Modifications of Strategies or Action Plans	
Principal Signature:	Date:
Superintendent/Designee Signature:	Date:

END-OF-YEAR PPGES DATA, SURVEY RESULTS, & REFLECTIONS

PPGES END-OF-YEAR DATA ANALYSIS	
Date of Data Analysis	
Data Selected Student Achievement Data, Non-Academic Data, Supervisor Feedback, etc...	Analysis & Results of Data Provide Data Evidence

PPGES END-OF-YEAR SURVEY REFLECTIONS		
Type of Survey		
Date of Survey		
Number of Surveys Distributed	Number of Completed Surveys Returned	Percentage of Completed Surveys Returned
Survey Reflection Questions	Principal Reflections on Survey Results	
<ul style="list-style-type: none"> What did teachers/staff perceive as major strengths? 		
<ul style="list-style-type: none"> What did teachers/staff perceive as major weaknesses? 		
<ul style="list-style-type: none"> List any factors that might have influenced the results. 		

PPGES END-OF-YEAR REVIEW & REFLECTION MEETING	
Feedback to Principal & Next Steps	
Principal Signature:	Date:
Superintendent/Designee Signature:	Date:



PPGES Site Visit Form

NEWPORT INDEPENDENT SCHOOLS

Principal	
School	
Grade Level(s)	
School Year	

FIRST SITE VISIT	
Date of Site Visit	
Site Visit Observation Notes & Feedback	

FIRST SITE VISIT & REFLECTION MEETING	
Principal Signature:	Date:
Superintendent/Designee Signature:	Date:

SECOND SITE VISIT	
Date of Site Visit	
Site Visit Observation Notes & Feedback	

SECOND SITE VISIT & REFLECTION MEETING	
Principal Signature:	Date:
Superintendent/Designee Signature:	Date:

Performance Measure: PLANNING

Standard 3: Human Resources Management

The principal fosters effective human resources management by assisting with selection and induction, and by supporting, evaluating, and retaining quality instructional and support personnel.

Sample Indicators: Examples may include, but are not limited to:

The principal:

- 3.1 Actively participates in an effective and efficient selection process in consultation with the school council.
- 3.2 Assigns highly effective staff in a fair and equitable manner based on student and school needs, assessment data, and local and state requirements.
- 3.3 Supports formal building-level employee induction processes and informal procedures to support and assist all new personnel.
- 3.4 Provides a mentoring process for all new and targeted instructional personnel.
- 3.5 Manages the supervision and evaluation of staff in accordance with local and state requirements.
- 3.6 Properly implements the teacher and staff effectiveness systems, supports the important role evaluation plays in teacher and staff learning, and evaluates performance of personnel using multiple data sources.
- 3.7 Documents evidence of teacher effectiveness, provides timely, on-going formal and informal feedback on strengths and areas of growth, and provides support, access to resources, and professional learning opportunities for teachers and staff to improve job effectiveness.
- 3.8 Makes appropriate recommendations relative to personnel transfer, retention, promotion, and dismissal consistent with established policies and procedures and with student academic growth as a significant consideration.
- 3.9 Recognizes and supports highly effective teachers and staff and cultivates their leadership potential.
- 3.10 Maximizes human resources by building on the strengths of teachers and staff members through the collaborative development and implementation of the professional growth plan that aligns with the school and/or district plan.

Suggested Guiding Questions/Prompts:

- *Please give examples of ways you have helped your teachers and staff to become more effective this year.*
- *Please give examples of professional learning implemented and/or continued this school year to improve teacher performance.*
- *In what ways do you support the achievements of high-performing teachers?*
- *How do you ensure new teachers and staff receive the support they need during their first year?*
- *How do you foster an atmosphere of professional learning among staff?*
- *What are the most difficult human resources management decisions you have made this year? What aspects went well and what aspects were challenging?*

Evidence provided by the principal:

Evaluator's Feedback:

Performance Measure: PLANNING

Standard 4: Organizational Management

The principal fosters the success of all students by supporting, managing, and overseeing the school's organization, operation, and use of resources.

Sample Indicators: Examples may include, but are not limited to:

The principal:

- 4.1 Demonstrates and communicates a working knowledge and understanding of Kentucky school laws and regulations, and school/district policies and procedures.
- 4.2 Establishes, in collaboration with the school council, and enforces policies and procedures to ensure a safe, secure, efficient, and orderly facility and grounds.
- 4.3 Monitors and provides efficient supervision for all physical plant and related activities through an appropriate process.
- 4.4 Identifies potential organizational, operational, or resource-related problems and addresses them in a timely, consistent, and effective manner.
- 4.5 Reviews fiscal records regularly to ensure accountability for all funds.
- 4.6 In collaboration with the school council, plans and prepares a fiscally responsible budget to support the school's mission and both long- and short-term goals through effective resource allocation.
- 4.7 Follows state and local policies with regard to finances, school accountability, and reporting.
- 4.8 Implements strategies for the inclusion of staff and stakeholders in various planning processes, shares in management decisions, and delegates duties as applicable, resulting in an effective and efficient workplace.

Suggested Guiding Questions/Prompts:

- *Please explain the ways in which you have demonstrated proactive decision-making this year.*
- *Please provide an example of how you have been able to maximize your available resources.*
- *How do you establish routines and procedures for the smooth running of the school that staff members understand and follow?*
- *What information is used to inform the decisions related to organizational management?*
- *Instructional time is one of the most essential resources for student success in learning. What are you doing to protect instructional time?*
- *What are the strengths, weaknesses, opportunities, and challenges you have perceived in your school's organizational management?*

Evidence provided by the principal:

Evaluator's Feedback:

Performance Measure: **ENVIRONMENT**

Standard 2: School Climate

The principal fosters the success of all students by developing, advocating, and sustaining an academically rigorous, positive, and safe school climate for all stakeholders.

Sample Indicators: Examples may include, but are not limited to:

The principal:

- 2.1 Incorporates knowledge of the social, cultural, leadership, and political dynamics of the school community to cultivate a positive academic learning environment.
- 2.2 Consistently models and collaboratively promotes high expectations, mutual respect, concern, and empathy for students, staff, parents, and community.
- 2.3 Uses shared decision-making and collaboration to build relationships with all stakeholders and maintain positive school morale.
- 2.4 Promotes a culture of collaboration, trust and shared leadership.
- 2.5 Supports the staff through continuous improvement efforts.
- 2.6 Addresses barriers to teacher and staff performance and provides positive working conditions to encourage retention of highly effective personnel.
- 2.7 In collaboration with the school council, as appropriate, ensures a school safety plan is developed and implemented in alignment with district policy.
- 2.8 Involves students, staff, parents, and the community to create and sustain a positive, safe, and healthy learning environment, which reflects state, district, and local school policies, and procedures.
- 2.9 In collaboration with the school council, leads the development and/or implements best practices in school-wide behavior management that are effective within the school community and communicates behavior management expectations to students, teachers, and parents.
- 2.10 Is visible, approachable, and dedicates time to listen to the concerns of students, teachers, and other stakeholders.
- 2.11 Maintains a positive, inviting school environment that celebrates, promotes and assists in the development of the whole child/student, and values every child/student as an important member of the school community.

Suggested Guiding Questions/Prompts:

- *Please give some examples of where you have sought out new opportunities or improved existing programs to create an environment where students and stakeholders thrive.*
- *Please give some examples of the strategies you used to create and sustain a positive and safe learning environment in your school.*
- *What are the strategies you use to nurture and sustain a climate of trust in your school?*
- *Please provide a few examples of how you model care for children or model other desired characteristics for teachers and staff.*
- *What are the internal and external factors that you perceive are affecting your school?*
- *How have you strived this year to make the school environment more academically rigorous?*

Evidence provided by the principal:

Evaluator's Feedback:

Performance Measure: ENVIRONMENT

Standard 5: Communication and Community Relations

The principal fosters the success of all students by communicating and collaborating effectively with stakeholders.

Sample Indicators: Examples may include, but are not limited to:

The principal:

- 5.1 Plans for and solicits staff, parent, and stakeholder input to promote effective decision-making and communication when appropriate.
- 5.2 Communicates the mission and shared vision, long-and short-term goals, and the school improvement plan to all stakeholders.
- 5.3 Disseminates information to staff, parents, and other stakeholders in a timely manner through multiple channels and sources.
- 5.4 Involves students, parents, staff and other stakeholders in a collaborative effort to establish positive relationships.
- 5.5 Maintains visibility and accessibility to students, parents, staff, and other stakeholders.
- 5.6 Speaks and writes in an explicit and professional manner to students, parents, staff, and other stakeholders.
- 5.7 Provides a variety of opportunities for parent and family involvement in school activities.
- 5.8 Collaborates and networks with colleagues and stakeholders to effectively utilize the resources and expertise available in the local community.
- 5.9 Advocates for students and acts to influence local, district, and state decisions affecting student learning.
- 5.10 Assesses, plans for, responds to, and interacts with the larger political, social, economic, legal, and cultural context that affects schooling based on relevant evidence.

Suggested Guiding Questions/Prompts:

- *Please describe how you promote the success of all students through communication.*
- *How do you engage in open dialogue with multiple stakeholders from the larger school community?*
- *How do you involve parents and families in student learning?*
- *How do you disseminate needed information (such as student academic progress) to students, staff, parents, and the greater learning community?*
- *Please give an example of how you network with individuals and groups outside the school (e.g., business and government organizations) to build partnerships for pursuing shared goals.*

Evidence provided by the principal:

Evaluator's Feedback:

Performance Measure: INSTRUCTION

Standard 1. Instructional Leadership

The principal fosters the success of all students by facilitating the development, communication, implementation, and evaluation of a shared vision of teaching and learning that leads to student academic growth and school improvement.

Sample Performance Indicators: Examples may include, but are not limited to:

The principal:

- 1.1 Leads the collaborative development and sustainment of a shared vision for educational improvement and works with staff, students, parents, school councils and other stakeholders to develop a mission and programs consistent with the school and district improvement plan.
- 1.2 Collaboratively plans, implements, supports, monitors, and evaluates instructional programs that enhance teaching and student academic progress, and lead to continuous school improvement.
- 1.3 Analyzes current academic achievement data and instructional strategies to make appropriate educational decisions to improve classroom instruction, increase student achievement, and improve overall school effectiveness.
- 1.4 Demonstrates knowledge of research-based instructional best practices
- 1.5 Works collaboratively with staff to identify student needs and to design, revise, and monitor instruction to ensure effective delivery of the required curriculum.
- 1.6 Supports teachers to access resources (e.g., time, fiscal, human) for the successful implementation of effective instructional strategies.
- 1.7 Monitors and evaluates the use of assessment of and for learning (e.g., diagnostic, formative, summative assessments) to inform instructional practices and to provide timely and accurate feedback to students and parents.*
- 1.8 Works with school council to design and implement effective and efficient schedules that protect and maximize instructional time.
- 1.9 Provides the instructional focus and creates the culture for continuous learning of all members of the school community.
- 1.10 Supports professional learning and instructional practices that incorporate the use of multiple sources of data and result in increased student growth.
- 1.11 Participates, as appropriate, in professional learning alongside teachers when instructional strategies are being taught for future implementation.
- 1.12 Demonstrates the importance of professional growth by providing adequate time and resources for teachers and staff to participate in professional learning (i.e., peer observation, mentoring, coaching, study groups, learning teams).
- 1.13 Evaluates the impact professional learning has on the staff/school improvement and student academic progress.

Suggested Guiding Questions/Prompts:

- *Please describe any innovative and effective leadership strategies that you have used this year.*
- *What opportunities have you created this year for collaboration among teachers?*
- *How have you strived this year to improve the teachers' effective instructional practices associated with different subject areas?*
- *How do you make sure curriculum standards are taught by the teachers and mastered by the students?*
- *How do you monitor teachers' performance and provide constructive feedback to them?*
- *What types of teacher learning and development activities or programs have you participated in this year? What have you learned?*
- *How do you involve the expertise of teacher leaders?*

Evidence provided by the principal:

Evaluator's Feedback:

Performance Measure: **PROFESSIONALISM**

Standard 6: Professionalism

The principal fosters the success of all students by demonstrating professional standards and ethics, engaging in continuous professional learning, and contributing to the profession.

Sample Indicators: Examples may include, but are not limited to:

The principal:

- 6.1 Creates a culture of respect, understanding, sensitivity, and appreciation for students, staff, and other stakeholders, and models these attributes on a daily basis.
- 6.2 Works within professional and ethical guidelines to improve student learning and to meet school, district, and state requirements.
- 6.3 Maintains a professional appearance and demeanor.
- 6.4 Models professional behavior and cultural competency to students, staff, and other stakeholders.
- 6.5 Maintains confidentiality.
- 6.6 Maintains a positive, optimistic, and straight-forward attitude.
- 6.7 Provides leadership in the exchange of ideas and information with staff and other professionals.
- 6.8 Works in a collegial and collaborative manner with other administrators, school personnel, and other stakeholders to communicate, promote, and support the shared vision, mission, and goals of the school district.
- 6.9 Assumes responsibility for personal professional growth through accurate self-reflection on professional practice, and engages in continuous learning.
- 6.10 Contributes and supports the development of the profession through service as an instructor, mentor, coach, presenter, and/or researcher.
- 6.11 Remains current with research related to educational issues, trends, and practices and maintains a high level of technical and professional knowledge.

Suggested Guiding Questions/Prompts:

- *Please give an example of a way in which you have demonstrated your professionalism in activities outside the school district.*
- *How do you communicate professional beliefs and values to all stakeholders?*
- *Give an example of a skill that you learned during professional interactions with colleagues that you have used successfully in your school.*
- *What professional learning have you sought out this year?*
- *In what ways have you observed a change in your role as a school leader and your leadership style?*
- *In what ways do you take an active role in professional organizations?*

Evidence provided by the principal:

Evaluator's Feedback:

NEWPORT INDEPENDENT SCHOOLS

PPGES SUMMATIVE EVALUATION

PRINCIPAL	
SCHOOL	
GRADE LEVEL(S)	
SCHOOL-YEAR	

SITE VISIT DATA			
	Site Visit 1	Site Visit 2	<i>Optional Site Visit</i>
Date			
Pre-Conference Meeting Date (OPTIONAL)			
Post-Conference Meeting Date (Date shared if completed electronically)			

PERFORMANCE MEASURE RATINGS				
Please check which box applies to the Principal being evaluated				
	Exemplary	Accomplished	Developing	Ineffective
<u>PLANNING</u> Human Resource Management Organizational Management				
<u>ENVIRONMENT</u> School Climate Communication & Community Relations				
<u>INSTRUCTION</u> Instructional Leadership				
<u>PROFESSIONALISM</u> Professionalism				

	EXEMPLARY	ACCOMPLISHED	DEVELOPING	INEFFECTIVE
SUMMATIVE RATING				

Principal's Comments:**Superintendent/Designee's Comments:**

To be signed after all information above has been completed and discussed:

EMPLOYMENT RECOMMENDATION TO DISTRICT OFFICE Please check which box applies to the evaluatee	Meets PPGES Requirements for Re-employment	Does Not Meet PPGES Requirements for Re-employment

Certified employees must make their appeals to this summative evaluation within the time frames, mandated in 704 KAR 3:345 Sections 7, 8, 9, and the local district evaluation plan.

SUMMATIVE EVALUATION MEETING		Principal Agrees	Principal Disagrees
		Principal will Check One Below	
*Principal Signature:	Date:		
*Superintendent/Designee Signature:	Date:		

*Denotes sharing of results, not necessarily agreement with the summative rating
