

1 EDUCATION AND WORKFORCE DEVELOPMENT CABINET

2 Kentucky Board of Education

3 Department of Education

4 (Amendment)

5 **703 KAR 5:225. Continuous Improvement Planning for Schools and Districts.**

6 ~~[School and district accountability, recognition, support, and consequences.]~~

7 RELATES TO: KRS 158.645, 158.649, 158.6451, 158.6453, 158.6455, 160.346, 20 U.S.C.

8 6311~~[7861]~~

9 STATUTORY AUTHORITY: KRS 158.649, 158.6453, 158.6455, 160.346,

10 NECESSITY, FUNCTION, AND CONFORMITY: KRS 158.6453 requires the Kentucky

11 Board of Education to create and implement a balanced statewide accountability system

12 ~~[assessment program]~~ that measures the achievement of students, schools, and districts;

13 complies with the federal Elementary and Secondary Education Act, ~~[No Child Left Behind~~

14 ~~Act of 2001]~~, 20 U.S.C. secs. 6301 et seq., as amended by the Every Student

15 Succeeds Act (ESSA), or its successor; and ensures accountability. KRS 158.6455 requires

16 the Kentucky Board of Education, following the revision of academic standards and

17 development of a student assessment program, to create an accountability system to

18 classify schools and districts, including a formula for accountability, goals for

19 improvement, and rewards and consequences. This administrative regulation establishes the

20 comprehensive school and district improvement plan process. The requirements are key

21 components of the continuous improvement process in Kentucky and ultimately fulfillment

- 1 of school, district, and state goals under the Kentucky State Plan as required by the ESSA.  
 2 ~~[This administrative regulation establishes the statewide system of accountability,~~  
 3 ~~recognition, support, and consequences, and meets requirements of the U.S. Department of~~  
 4 ~~Education to receive approval of a state-level waiver of specific requirements of the federal~~  
 5 ~~No Child Left Behind Act of 2001 pursuant to 20 U.S.C. 7861.]~~
- 6 Section 1. Definitions. (1) ~~["Annual measurable objective" or "AMO" means the~~  
 7 ~~improvement goal for each school or district calculated from the total score of the next-~~  
 8 ~~generation learners component.]~~
- 9 "Charter school" means a "public charter school" as defined in KRS 160.1590(12);  
 10 (2) "Charter school board of directors" or "governing board" has the same meaning as in  
 11 KRS 160.1590(6);
- 12 (3)"Comprehensive District Improvement Plan" or "CDIP" means a plan developed  
 13 by the local school district with the input of parents, faculty, staff, and representatives of  
 14 school councils from each school in the district, based on a review of relevant data that  
 15 includes targets, strategies, activities, and a time schedule to support student achievement  
 16 and student growth, and to eliminate achievement gaps among groups of students.
- 17 (4) [3]"Comprehensive School Improvement Plan" or "CSIP" means a plan developed by  
 18 the school council, or successor, [pursuant to KRS 160.346] and charter schools with the  
 19 input of parents, faculty, and staff, based on a review of relevant data that includes targets,  
 20 strategies, activities, and a time schedule to support student achievement and student  
 21 growth, and to eliminate achievement gaps among groups of students.
- 22 ~~(4) "District of distinction" means a highest performing district that:~~  
 23 ~~(a) Meets its current year AMO, student participation rate, and graduation rate goal;~~  
 24 ~~(b) Has a graduation rate above eighty (80) percent for the prior two (2) years;~~  
 25 ~~(c) Does not have a school categorized as a focus school or priority school; and~~

- 1 ~~(d) Scores at the ninety fifth (95th) percentile or higher on the Overall Score.~~
- 2 ~~(5) "Focus district" means a district that has a non-duplicated student gap group score in the~~
- 3 ~~bottom ten (10) percent of non-duplicated student gap group scores for all districts. Focus~~
- 4 ~~calculations shall combine two (2) years of data.~~
- 5 ~~(6) "Focus school" means a school that has a non-duplicated student gap group score in the~~
- 6 ~~bottom ten (10) percent of non-duplicated student gap group scores for all elementary,~~
- 7 ~~middle, and high schools; schools with an individual student subgroup by level that falls in~~
- 8 ~~the bottom five (5) percent for individual subjects; or high schools that have a graduation~~
- 9 ~~rate that has been less than eighty (80) percent for two (2) consecutive years. Focus~~
- 10 ~~calculations shall combine two (2) years of data; focus calculations for new or reconfigured~~
- 11 ~~schools shall use one (1) year of data.~~
- 12 ~~(7) "Graduation rate goal" means the annual graduation rate goal set by the department for~~
- 13 ~~each high school and district that measures progression toward the statewide goal of ninety~~
- 14 ~~eight (98) percent by 2024 and is computed by dividing, by ten (10), the difference between~~
- 15 ~~the 2014 baseline percent and ninety-eight (98) percent.~~
- 16 ~~(8) "High progress district" means a district that:~~
- 17 ~~(a) Meets its current year AMO, student participation rate, and graduation rate goal;~~
- 18 ~~(b) Has a graduation rate above eighty (80) percent for the prior two (2) years; and~~
- 19 ~~(c) Has an improvement score indicating the district is in the top ten (10) percent of~~
- 20 ~~improvement of all districts as determined by the difference in the two (2) most recent~~
- 21 ~~calculations of the overall score.~~
- 22 ~~(9) "High progress school" means:~~
- 23 ~~(a) A Title I school that:~~
- 24 ~~1. Meets its current year AMO, student participation rate, and graduation rate goal;~~
- 25 ~~2. Has a graduation rate above eighty (80) percent for the prior two (2) years; and~~

- 1 ~~3. Has an improvement score indicating the school is in the top ten (10) percent of~~
- 2 ~~improvement of all Title I elementary, middle, or high schools as determined by the~~
- 3 ~~difference in the two (2) most recent calculations of the overall score; or~~
- 4 ~~(b) A Non Title I school that:~~
  - 5 ~~1. Meets its current year AMO, student participation rate, and graduation rate goal;~~
  - 6 ~~2. Has a graduation rate above eighty (80) percent for the prior two (2) years; and~~
  - 7 ~~3. Has an improvement score indicating the school is in the top ten (10) percent of~~
  - 8 ~~improvement of all non Title I elementary, middle, or high schools as determined by the~~
  - 9 ~~difference in the two (2) most recent calculations of the overall score.~~
- 10 ~~(10) "Highest performing district" means a district that:~~
  - 11 ~~(a) Meets its current year AMO, student participation rate, and graduation rate goal;~~
  - 12 ~~(b) Has a graduation rate above eighty (80) percent for the prior two years; and~~
  - 13 ~~(c) Scores at the ninetieth (90th) percentile or higher on the overall score except that a~~
  - 14 ~~district shall not qualify as highest performing if any school in the district is categorized as~~
  - 15 ~~a focus school or priority school.~~
- 16 ~~(11) "Highest performing school" means an elementary, middle, or high school level that:~~
  - 17 ~~(a) Meets its current year AMO, student participation rate, and graduation rate goal;~~
  - 18 ~~(b) Has a graduation rate above eighty (80) percent for the prior two (2) years; and~~
  - 19 ~~(c) Scores at the ninetieth (90th) percentile or higher on the overall score.~~
- 20 ~~(12) "Mean" means the sum of the values divided by the number of values.~~
- 21 ~~(13) "Next generation instructional programs and supports" means a component of the~~
- 22 ~~state wide accountability system for Kentucky public schools and districts based on~~
- 23 ~~reviews of instructional programs.~~
- 24 ~~(14) "Next generation learners" means a component of the state wide accountability system~~
- 25 ~~for Kentucky public schools and districts based on student data~~

1 ~~(15) "Next generation professionals" means a component of the state wide accountability-~~  
 2 ~~system for Kentucky public schools and districts based on teacher and administrator data.~~

3 ~~(16) "Next generation schools and districts" means a component of the state wide-~~  
 4 ~~accountability system that reports performance data for schools and districts.~~

5 ~~(17) "Non-duplicated student gap group score" means an aggregate, non-duplicated count-~~  
 6 ~~of achievement scores of student groups that include African/American, Hispanic,-~~  
 7 ~~American Indian, Limited English proficiency, students in poverty based on qualification-~~  
 8 ~~for free and reduced price lunch, and students with disabilities who have an Individualized-~~  
 9 ~~Education Program (IEP).~~

10 ~~(18) "Overall score" means the score resulting from a compilation of the accountability-~~  
 11 ~~components listed in Section 2 of this administrative regulation that determines placement-~~  
 12 ~~of a school or district in a classification for recognition, support, or consequences.~~

13 ~~(19) "Participation rate" means the percent of all students and the student subgroups in the-~~  
 14 ~~school or district that participate in annual statewide assessments, with a goal of ninety five~~  
 15 ~~(95) percent.~~

16 ~~(20) "Percentile" means the value of a variable below which a certain percent of numbers-~~  
 17 ~~fall.~~

18 ~~(21) "Priority district" means a district that has an overall score in the bottom five (5)-~~  
 19 ~~percent of overall scores for all districts that have failed to meet the AMO for the last three~~  
 20 ~~(3) consecutive years.~~

21 ~~(22) "Priority school" means a school that has an overall score in the bottom five (5)-~~  
 22 ~~percent of overall scores by level for all schools that have failed to meet the AMO for the~~  
 23 ~~last three (3) consecutive years.~~

24 ~~(23) "Progressing" means a designation attached to a school or district's classification as-~~  
 25 ~~proficient, distinguished, or needs improvement to indicate that the school has met its~~

1 ~~AMO, student participation rate for the all students group and each subgroup, and has met~~  
 2 ~~its graduation rate goal.~~

3 ~~(24) "School level" means the standard configuration of grade levels that form elementary,~~  
 4 ~~middle, and high schools as established in 703 KAR 5:240, Section 5.~~

5 ~~(25) "School of Distinction" means a highest-performing elementary, middle, or high~~  
 6 ~~school that:~~

7 ~~(a) Meets its current year AMO, student participation rate, and graduation rate goal, and is~~  
 8 ~~not identified as a focus school;~~

9 ~~(b) Has a graduation rate above eighty (80) percent for the prior two (2) years; and~~

10 ~~(c) Scores at the ninety-fifth (95th) percentile or higher on the overall score.~~

11 ~~(26) "Standard deviation" means a measure of the dispersion of a set of data from its~~  
 12 ~~average.~~

13 ~~(27) "Student subgroup" means a student group that includes African American, American~~  
 14 ~~Indian, Asian, White, Hispanic, English language learners, students in poverty on~~  
 15 ~~qualification for free or reduced price lunch, or students with disabilities who have an~~  
 16 ~~Individualized Education Program (IEP).]~~

17 ~~[Section 2. Statewide System of Accountability, Recognition, Support, and Consequences.~~

18 ~~(1) The accountability system established by 703 KAR chapter 5 shall be called Unbridled~~  
 19 ~~Learning: College and Career Ready for All.~~

20 ~~(2) An overall score shall be used to classify schools and districts for recognition, support,~~  
 21 ~~and consequences. The overall score shall be a compilation of the following accountability~~  
 22 ~~components:~~

23 ~~(a) Next Generation Learners, as established in 703 KAR 5:200;~~

24 ~~(b) Next Generation Instructional Programs and Support, as established in 703 KAR 5:230;~~  
 25 ~~and~~

~~(c) Next Generation Professionals, as established in an administrative regulation that will be promulgated by the Kentucky Board of Education to establish the requirements for Next Generation Professionals.]~~

~~[Section 3. Weighting of Components Comprising the Overall Score. (1) The timeline and weighting of each component as a percentage of the overall score shall occur as follows:~~

| <del>Year</del>                           | <del>Component</del>  | <del>Percentage of Overall Score</del> |
|---|---|--|
| <del>2014-2015</del>                      | <del>Next Generation-Learners</del>                           | <del>77 percent</del>                  |
|   | <del>Next Generation-Instructional Programs and Support</del> | <del>23 percent</del>                  |
| <del>2015-2016 and subsequent years</del> | <del>Next Generation-Learners</del>                           | <del>70 percent</del>                  |
|   | <del>Next Generation-Instruction Programs and Support</del>   | <del>20 percent</del>                  |
|   | <del>Next Generation-Professionals</del>                      | <del>10 percent</del>                  |

~~(2) If data cannot be calculated for any component, the weights shall be distributed equal to the other components that shall be reported for the school or district.]~~

~~[Section 4. Classifications, Annual Measurable Objectives, and Goals. (1) A school level or district shall be classified based on the overall score in accordance with the requirements-~~

1 ~~established in this subsection.~~

2 ~~(a) By level of elementary, middle, or high, a distribution of scores from the overall score~~  
 3 ~~shall be computed in order to determine the percentiles associated with each overall score.~~

4 ~~(b) The overall score associated with specific percentiles shall classify a school level or~~  
 5 ~~district as follows:~~

| <del>Percentile based on</del><br><del>Overall Score</del> | <del>School or District</del><br><del>Classification</del> |
|--|--|
| <del>At or above ninety (90)</del>                         | <del>Distinguished</del>                                   |
| <del>At or above seventy (70)</del>                        | <del>Proficient</del>                                      |
| <del>Below seventy (70)</del>                              | <del>Needs Improvement</del>                               |

6 ~~(c) The overall score associated with specific percentiles used to classify a school or district~~  
 7 ~~as distinguished, proficient, or needs improvement shall be recalculated as the components~~  
 8 ~~of the accountability system listed in Section 2 of this administrative regulation are added.~~

9 ~~When all components have been added, the overall score associated with specific~~  
 10 ~~percentiles used to classify a school or district as distinguished, proficient, or needs~~  
 11 ~~improvement shall remain constant for a period of five (5) years before calculation of the~~  
 12 ~~overall score associated with specific percentiles shall be re-established.~~

13 ~~(2) Each school level or district shall receive an AMO. The method for determining the~~  
 14 ~~AMO shall be as follows:~~

15 ~~(a) Using the total score of next generation learners, a mean and standard deviation shall be~~  
 16 ~~computed for the elementary, middle, and high school levels; and~~

17 ~~(b) The mean and standard deviation shall be recalculated as adjustments of next generation~~  
 18 ~~learners component are made.~~

19 ~~(3)(a) The AMO goal for a school level or district classified as needs improvement shall be~~



1 ~~to increase the total score by one third (1/3) of a standard deviation in a five (5) year period~~  
2 ~~and shall not be set lower than 1.0.~~

3 ~~(b) The AMO goal for a school level or district classified as proficient or distinguished~~  
4 ~~shall be one half (1/2) the goal of a needs improvement school or district annually and shall~~  
5 ~~not be set lower than 0.5.~~

6 ~~(4) Each school level or district classified as distinguished, proficient, or needs~~  
7 ~~improvement that meets its AMO goal, student participation rate, and graduation rate goal~~  
8 ~~shall be further classified as progressing.~~

9 ~~(5) For a school level with a changed school service area as established in 703 KAR 5:240,~~  
10 ~~Section 6, the AMO shall be recalculated based on current students. A school or district~~  
11 ~~may submit a plan to recalculate the AMO as established in this subsection.~~

12 ~~(a) A school or a district may request that individual students be tracked across schools or~~  
13 ~~that the district AMO be used for the school.~~

14 ~~(b) The department shall approve the plan and shall assure accurate calculations and the~~  
15 ~~inclusion of all students.~~

16 ~~(c) Upon approval, the plan shall be implemented and remain in effect until an additional~~  
17 ~~change in school service area occurs.~~

18 ~~(d) The granting of a request for a different method to recalculate an AMO shall include a~~  
19 ~~requirement that each affected school and district waive in writing its right to make the~~  
20 ~~request the basis of a subsequent appeal of a school's classification.~~

21 ~~(e) The intent to submit a plan to recalculate the AMO shall be received by the department~~  
22 ~~by June 30 of the year prior to which the AMO recalculation shall occur.~~

23 ~~(6) A focus school identified using the non-duplicated student gap group score method~~  
24 ~~shall be determined in accordance with the requirements established in this subsection.~~

25 ~~(a) The non-duplicated student gap group shall be ranked for all schools in the state.~~

1 ~~(b) The schools in the lowest ten (10) percent of the non-duplicated student gap group-~~  
2 ~~scores by level shall be called focus schools.~~

3 ~~(c) Additional Title I schools shall be added to the list as needed to ensure that the list~~  
4 ~~includes at least ten (10) percent of the Title I schools.~~

5 ~~(d) Non-duplicated student gap groups by school shall have at least ten (10) students in-~~  
6 ~~order for the subject area calculation to occur.~~

7 ~~(7) A focus school identified using the bottom five (5) percent method shall be determined~~  
8 ~~as established in this subsection.~~

9 ~~(a) By level of elementary, middle, or high, individual student subgroups shall be ranked on~~  
10 ~~the percentage of proficient and distinguished students for all schools in the state in each~~  
11 ~~subject area of reading, mathematics, science, social studies, and writing.~~

12 ~~(b) Student subgroups shall number at least twenty-five (25) students in order for the~~  
13 ~~calculation to occur.~~

14 ~~(c) A school having an individual student subgroup by level and subject that falls below the~~  
15 ~~bottom five (5) percent cut score shall be identified as a focus school.}]~~

16 ~~[Section 5. Recognition. (1) Recognition categories shall include Schools or Districts of~~  
17 ~~Distinction, Highest Performing Schools or Districts, and High Progress Schools or~~  
18 ~~Districts. Schools and districts in these categories shall receive notification from the~~  
19 ~~Commissioner of Education within five (5) days of release of the annual accountability~~  
20 ~~data, identifying the category of recognition and the rewards for which they are eligible.~~

21 ~~(2)(a) Each recognized school or district shall be authorized to use a department approved~~  
22 ~~web logo and other promotional materials as may be designated by the department~~  
23 ~~reflecting the category of recognition earned.~~

24 ~~(b) Subject to availability of funds, financial rewards may be used in conjunction with other~~  
25 ~~recognition activities, and may include funding for special professional growth-~~

1 ~~opportunities or support to enable recognized schools or districts to partner with and~~  
 2 ~~mentor a lower performing school or district.~~

3 ~~(c) Highest performing and high progress schools and districts shall receive special~~  
 4 ~~recognition as determined by the Commissioner of Education.~~

5 ~~(3) A school or district identified for recognition shall continue to meet eligibility criteria in~~  
 6 ~~order to retain its designation and receive recognition for that category.~~

7 ~~(4) A school or district identified as a priority school or district or a focus school or district~~  
 8 ~~shall not be eligible for recognition as a highest performing school or district or a school or~~  
 9 ~~district of distinction, but may receive recognition as a high progress school or district, if it~~  
 10 ~~meets the definition established in Section 1 of this administrative regulation and the~~  
 11 ~~requirements of this section.~~

12 ~~(5) In order to qualify for recognition, a school or district shall meet the AMO goal,~~  
 13 ~~graduation rate goal, and student participation rate, and each high school's graduation rate~~  
 14 ~~shall be above eighty (80) percent.}~~

15 ~~[Section 6. Supports and Consequences. (1) Supports and consequences categories shall~~  
 16 ~~include Priority Schools and Districts and Focus Schools and Districts.~~

17 ~~(2) A priority school or district shall undergo the education recovery processes established~~  
 18 ~~in KRS 160.346 and 703 KAR 5:260, in addition to the requirements and consequences~~  
 19 ~~established in this administrative regulation.~~

20 ~~(3) A focus school or district shall be required to revise its CSIP or CDIP consistent with~~  
 21 ~~the requirements of this section and Section 9 of this administrative regulation.~~

22 ~~(4) A school or district that is identified as a priority or focus school or district shall receive~~  
 23 ~~notification from the Commissioner of Education within five (5) days of release of the~~  
 24 ~~annual accountability data, identifying its category and the required supports and~~  
 25 ~~consequences that shall apply.~~

~~(5) A school or district that is identified as a priority or focus school or district for the first time shall revise its CSIP or CDIP within ninety (90) days of receiving the notice from the Commissioner of Education.]~~

~~[Section 7. Continuing Consequences for Schools and Districts that Remain in Priority or Focus Status for More Than One (1) Year. (1) To exit the priority status, the school or district shall:~~

~~(a) Meet AMO goals for three (3) consecutive years;~~

~~(b) No longer be identified by the applicable percent calculation of being in the lowest five (5) percent; and~~

~~(c) Score at or above an eighty (80) percent graduation rate for three (3) consecutive years.~~

~~(2) To exit the focus status, the requirements of this subsection shall be met.~~

~~(a) A focus school in the non-duplicated student gap group category shall:~~

~~1. Be above the lowest ten (10) percent category;~~

~~2. Show improvement in the non-duplicated student gap group; and~~

~~3. Meet AMO for two (2) years in a row.~~

~~(b) A focus school in the bottom five (5) percent category shall have the individual subgroup that triggered the school's placement in the category to:~~

~~1. Rise above the bottom five (5) percent cut score;~~

~~2. Show improvement in the individual subgroup that triggered the school's placement; and~~

~~3. Meet AMO for two (2) years in a row.~~

~~(c) A focus school in the category due to graduation rate shall:~~

~~1. Have a graduation rate higher than eighty (80) percent; and~~

~~2. Meet AMO for two (2) years in a row.~~

~~(d) A focus district in the non-duplicated student gap group category shall be above the lowest ten (10) percent category.~~

~~(3)(a) A school or district that is identified as a priority school or district for two (2) or more consecutive times, or a school or district that remains in the focus school or district category for three (3) consecutive years, shall revise its CSIP or CDIP as specified in Section 9 of this administrative regulation within ninety (90) days of receiving notice from the Commissioner of Education.~~

~~(b) The superintendent and the council shall review, revise, and agree upon the CSIP.~~

~~(c) The CSIP or CDIP shall be posted to the appropriate school or district Web site.~~

~~(4)(a) In addition to the requirements of this section, a priority school or district that is identified for three (3) or more consecutive times, or a focus school or district that is identified for four (4) or more consecutive years, shall revise its CSIP or CDIP as specified in Section 9 of this administrative regulation.~~

~~(b) The superintendent and the council shall review, revise, and agree upon the CSIP, which shall then be electronically transmitted to KDE within ninety (90) days of receiving notice from the Commissioner of Education.~~

~~(c) The CSIP or CDIP shall be posted to the appropriate school or district Web site.~~

~~(d) The school or district shall engage in the following actions:~~

- ~~1. Participate in a set of improvement strategies outlined by an accreditation process;~~
- ~~2. If directed by the department, receive the assignment of a high-achieving partner school or district of similar demographics for mentor activities as directed by the department; and~~
- ~~3. Accept ongoing assistance and resources throughout the year as assigned or approved by the department.]~~

Section 2[8]. Monitoring. (1) The department shall review and approve all submissions required by this administrative regulation.

(2) The department shall monitor implementation of each CDIP or CSIP and shall provide guidance based upon information which may include ~~[gathered from]~~ the following:

- 1 (a) Progress reports from the school through the district;
- 2 (b) Data reviews;
- 3 (c) On-site observation; and
- 4 (d) Other information supplied at the option of the district or school.
- 5 (3) In addition to the activities undertaken by the department, each school district or
- 6 governing board shall monitor compliance of ~~[individual]~~ their respective schools ~~[within~~
- 7 ~~the district]~~.

8 Section 3 [9]. Comprehensive School and District Improvement Plan Process. (1) Each

9 school or district shall, by January 1 of each school year, [annually] develop, review, and

10 revise a comprehensive school or district improvement plan.

11 (2) The structure of a school or district comprehensive improvement plan shall include:

- 12 (a) Completion of the Continuous Improvement Diagnostic between August 1 and October
- 13 1 of each school year; [Executive summary that shall include a vision and a mission;]
- 14 (b) Completion of the n[N]eeds assessment between October 1 and November 1 of each
- 15 school year, which [that] shall include:

- 16 1. A description of the data reviewed and the process used to develop the needs assessment;
- 17 2. A review of the previous plan and its implementation to inform development of the new
- 18 plan; and
- 19 3. Perception data gathered from the administration of a valid and reliable measure of
- 20 teaching and learning conditions;

21 (c) Process for development of the CSIP or CDIP, to be completed between November 1

22 and January 1 of each school year, which [that] shall include:

- 23 1. Analysis of data to determine causes and contributing factors;
- 24 2. Prioritization of needs; and
- 25 3. Development of goals, objectives, strategies, and activities based on the needs

- 1 assessment and root cause analysis, that shall include targets or measures of success,
- 2 timelines, persons responsible, a budget that includes resources needed and source of
- 3 funding, and a process for meaningful stakeholder communications and input;
- 4 (d) A set of assurances, approved by and on file with the local board of education, with a
- 5 signed declaration by the superintendent that all schools in the district are in compliance
- 6 with the requirements of the statutes and administrative regulations included in those
- 7 assurances; and
- 8 (e) A process for annual review and revision by the school or district.
- 9 (3) Continuous improvement and capacity building shall drive the development of the plan.
- 10 (4) Other required components in the process shall include:
- 11 (a) A standards-based process for measuring organizational effectiveness that shall include
- 12 purpose and direction, governance and leadership, teaching and assessing for learning,
- 13 resources and support systems, and using results for continuous improvement;
- 14 (b) A data driven self-evaluation based on the standards, including a means to gather
- 15 meaningful stakeholder input;
- 16 (c) A written improvement plan based on the issues identified in the self-evaluation;
- 17 (d) A set of assurances that includes a determination of compliance with each assurance
- 18 and the ability to upload any supporting documentation needed;
- 19 (e) Electronic submission of all elements of the plan;
- 20 (f) Monitoring implementation of the plan through implementation and impact checks; and
- 21 (g) Evaluation of the effectiveness based on the strategies and activities in the plan.
- 22 (5) A CSIP shall also include the elements required of schools by KRS 158.649(5).
- 23 ~~(6) [A CSIP or CDIP for a priority or focus school or district shall also address the~~
- 24 ~~following:~~
- 25 ~~(a) Curriculum alignment for schools within the district and within each individual school,~~

1 ~~ensuring the instructional program is:~~

2 ~~1. Research-based;~~

3 ~~2. Rigorous;~~

4 ~~3. Aligned with the Kentucky Core Academic Standards as established in 704 KAR 3:303;~~

5 ~~And~~

6 ~~4. Based on student needs;~~

7 ~~(b) Provision of time for collaboration on the use of data to inform evaluation and~~

8 ~~assessment strategies to continuously monitor and modify instruction to meet student needs~~

9 ~~and support proficient student work, if a priority or focus school;~~

10 ~~(c) Activities to target the underperforming areas of achievement, gap, growth, readiness,~~

11 ~~or graduation rate;~~

12 ~~(d) Activities to target demonstrators of weakness in program reviews;~~

13 ~~(e) Activities to target areas of need identified in teacher and leader effectiveness measures;~~

14 ~~(f) School safety, discipline strategies, and other non-academic factors that impact student~~

15 ~~achievement, such as students' social, emotional, and health needs, if a priority or focus~~

16 ~~school;~~

17 ~~(g) Design of the school day, week, or year to include additional time for student learning~~

18 ~~and teacher collaboration, if a priority or focus school;~~

19 ~~(h) Specific strategies to address gaps in achievement and graduation rates between the~~

20 ~~highest-achieving student performance group and the lowest-achieving student~~

21 ~~performance group, if a focus school or district; and~~

22 ~~(i) Short-term, monthly plans for the first ninety (90) days of implementation, and the~~

23 ~~establishment of teacher turnaround teams with intensive year-round training focused on~~

24 ~~teacher effectiveness and school improvement in the professional development component~~

25 ~~of its plan, if a priority school.~~



- 1 ~~(7) A priority or focus district shall use a variety of relevant sources that shall include~~  
 2 ~~perception data gathered from the administration of a valid and reliable measure of teaching~~  
 3 ~~and learning conditions to inform the needs assessment required by the CDIP. A district~~  
 4 ~~containing a priority or focus school shall assist those schools in using these data to inform~~  
 5 ~~the needs assessment required by the CSIP.~~
- 6 ~~(8) The Commissioner's Raising Achievement and Closing Gaps Council and the~~  
 7 ~~Commissioner's Parents Advisory Council shall provide guidance to focus schools and~~  
 8 ~~districts as they conduct their needs assessments and revise their CSIPs and CDIPs.~~
- 9 ~~(9) A priority school shall document meaningful family and community involvement in~~  
 10 ~~selecting the intervention strategies that shall be included in the revised CSIP.~~
- 11 ~~(10) The CDIP for a district with a priority or focus school shall include the support to be~~  
 12 ~~provided to the priority or focus school by the district. The priority or focus school's CSIP~~  
 13 ~~shall include the support that will be provided by the district to the school.~~
- 14 ~~(11) The CDIP for each district shall be posted to the district's Web site. The CSIP for~~  
 15 ~~each school shall be posted to the school's Web site. (38 Ky.R. 1919; Am. 39 Ky.R. 60;~~  
 16 ~~480; eff. 9-10-2012; 41 Ky.R. 2037; 2240; eff. 6-8-2015.)~~

This is to certify that the chief state school officer has reviewed and recommended this administrative regulation prior to its adoption by the Kentucky Board of Education, as required by KRS 156.070(5).

\_\_\_\_\_  
 (Date)

\_\_\_\_\_  
 Stephen L. Pruitt, Ph.D.  
 Commissioner of Education

\_\_\_\_\_  
 (Date)

\_\_\_\_\_  
 Mary Gwen Wheeler, Chairperson  
 Kentucky Board of Education

**PUBLIC HEARING AND PUBLIC COMMENT PERIOD:** A public hearing on this administrative regulation shall be held on March 22, 2017, at 10 am., in the State Board Room, Fifth Floor, Sower Building, 300 Sower Boulevard, Frankfort, Kentucky. Individuals interested in being heard at this hearing shall notify this agency in writing by 5 workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through XX. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

**CONTACT PERSON:** Kevin C. Brown, Associate Commissioner and General Counsel, Kentucky Department of Education, 300 Sower Boulevard, Fifth Floor, Sower Building, Frankfort, KY 40601, phone 502-564-4474, fax 502-564-9321, email [kevin.brown@education.ky.gov](mailto:kevin.brown@education.ky.gov).

## REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Administrative Regulation: 703 KAR 5:225, Continuous School Improvement Process for Schools and Districts.

Agency Contact Person: Kevin C. Brown, 502-564-4474, [kevin.brown@education.ky.gov](mailto:kevin.brown@education.ky.gov)

(1) Provide a brief summary of:

(a) What this administrative regulation does: The Elementary and Secondary Education Act (ESEA), as reauthorized by the Every Student Succeeds Act (ESSA), 20 U.S.C 6301, requires states receiving Title I Part A funding to adopt a system of accountability. An element of that accountability system is a system of goal making and planning that is utilized by schools and districts. Districts will address identified needs through setting goals in their Comprehensive School Improvement Plan (CSIP) and Comprehensive District Improvement Plan (CDIP), which will be reviewed annually. Much of Kentucky's support and monitoring activities for all schools and districts with regard to federal and state programs center around the development, revision and monitoring of the CSIP or CDIP.

(b) The necessity of this administrative regulation: The amendments to this regulation are required for alignment to the State Plan, as submitted to the United States Department of Education. This regulation reflects the essential functions and requirements under Title I Part A, as reauthorized under the Every Student Succeeds Act.

(c) How this administrative regulation conforms to the content of the authorizing statute: The regulation conforms to the authority given to the Kentucky Board of Education in KRS 158.6453 and 158.6455. It also aligns with the requirements under the ESEA, 20 U.S.C 6301, as reauthorized by the ESSA.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This regulation outlines the continuous improvement process in Kentucky that is used by schools and districts in their pursuit of their school, district, and state goals under the state accountability system. These are systems that will be utilized to meet federal requirements under the required accountability system.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation: The amendment removes the old accountability system that is housed in a new regulation, 703 KAR 5:270. Additionally, the regulation has been simplified and modified to reflect current practices.

(b) The necessity of the amendment to this administrative regulation: The continuous improvement process provided in this regulation is a necessary element of Kentucky's accountability system because of the direct tie to school and district goal setting and planning to meet those goals. It is also an element of the Kentucky State Plan that was submitted for approval to the United States Department of Education.

(c) How the amendment conforms to the content of the authorizing statute: KRS 158.6453 and 158.6455 spell out the required elements of the assessment and accountability system. The continuous improvement process described in this regulation is directly tied to the goal setting and planning required to meet the improvement required in the accountability system and uses the data

required in the assessment system.

(d) How the amendment will assist in the effective administration of the statutes: This regulation outlines the continuous improvement process in Kentucky that is used by schools and districts in their pursuit of their school, district, and state goals under the state accountability system. These are systems that will be utilized to meet federal requirements under the required accountability system.

(3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: Those affected by the amendments made in this regulation include: All public schools and school districts. The KDE as it is tasked with providing guidance, support, technical assistance, and review and oversight of the submitted CSIPs and CDIPs.

(4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: Schools and districts already comply with the continuous improvement framework as provided in this regulation as it was a component of the previous system.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): KDE provides the supports indicated in the regulation through the use of federal funding. There should be no additional cost to schools and districts because they are already complying with the framework provided in this regulation.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (3): The supports provided in this regulation will lead schools and districts to sustainable school improvement through intentional goal setting, planning, reflection, and action.

(5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially: The requirements in this regulation existed prior to the ESSA reauthorization and this regulation amendment. As a result, there is no initial cost. Federal funding is utilized for this work.

(b) On a continuing basis: KDE incurs an ongoing cost of staff and resources. Federal funding is utilized for this work.

(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: Federal funding under Title I Part A.

(7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No increase in fees or funding will be necessary to implement this amendment.

(8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees: This amendment does not establish or indirectly increase any fees.

(9) TIERING: Is tiering applied? (Explain why or why not) Tiering was not appropriate in this administrative regulation because the administrative regulation applies equally to all schools and districts.

## FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation Number: 703 KAR 5:225, Continuous Improvement Process for Schools and Districts.  
 Contact Person: Kevin C. Brown, [kevin.brown@education.ky.gov](mailto:kevin.brown@education.ky.gov)  
 Phone number: 502-564-4474

(1) What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? Local education agencies and the Kentucky Department of Education will be impacted.

(2) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 158.6453, KRS 158.6455, and 20 USC 6301

(3) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. No additional expenditures are anticipated because of this amendment.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? No revenue.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? No revenue.

(c) How much will it cost to administer this program for the first year? This framework existed prior to the amendment in this regulation. As a result, there is no initial cost. Federal funding is utilized for this work.

(d) How much will it cost to administer this program for subsequent years? KDE incurs an ongoing cost of staff and resources. Federal funding is utilized for this work.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-): N/A

Expenditures (+/-): NA

Other Explanation: N/A