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## **BOARD OF CONTROL ADOPTED POLICIES**

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The Board of Control has established many regulations and policies during its regular meetings. The following are the primary policies that affect daily operations at the Association.

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### **HIGH SCHOOL MEMBERSHIP IN THE ASSOCIATION AND COMPLIANCE OBLIGATIONS**

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Articles III and VI of the KHSAA Constitution detail the Board of Control's duty to develop standards for membership in the KHSAA and authorize the denial of membership applications for sufficient cause. The following policies detail that duty.

#### **Sec. 1) OPERATIONAL PROCEDURES**

- a) Membership Forms shall be distributed to current members on or about May 1 for the following year along with the bill for membership dues.
- b) Membership applications shall also be distributed as requested from other prospective KHSAA member schools.
- c) Membership applications shall be due to the KHSAA by July 1. Previous member schools are generally given until their opening day of school to join without penalty.
- d) At the July Board of Control meeting, the Commissioner reports to the Board of Control on recommendations for approval of the membership list for the coming school year. The Board shall take action on those recommendations.
- e) The deadline for the payment of membership dues is September 1.
- f) The school principal or designee must identify each member of the school's athletic personnel, utilizing the official Association process as approved by the Executive Director, no later than the Friday of week 10 on the standardized calendar. Failure to identify athletic personnel by this deadline will subject the school to a minimum \$50 administrative fee.

#### **Sec. 2) BASIC MEMBERSHIP CRITERIA**

- a) Pursuant to 702 KAR 7:065, Section 1, the KHSAA has been designated as the Kentucky Board of Education's agent to manage interscholastic athletics at the high school level in the common schools, including a private school desiring to associate with the KHSAA and compete with a common school. Any school desiring to associate with the KHSAA shall complete, sign and submit this application to the KHSAA together with the applicable dues, which shall be considered by the KHSAA Board of Control. The following are the basic criteria for membership in the KHSAA:
  - (1) The school shall have the 12th grade as the terminating grade, and not enroll students in only grades K-5;
  - (2) The school shall be:
    - a. A public school under the auspices of the Kentucky Department of Education fitting into one of the following membership categories: A1 (District operated general or multi-program school), D1 (State Department of Education operated school), F1 (Federal Dependent school), Public Charter School as defined by KRS 160; or
    - b. A non-public school (private, parochial or church affiliated school fitting into one of the following membership categories: J1 - Roman Catholic School, M1 - Other religious school or R1 - Private, non-church school) that has been certified by the Kentucky Board of Education consistent with KRS 156.160(3) (see KDE website for Certification Process for Non-Public Schools), is a current member of the Kentucky Non-Public School Commission, and is accredited by one of the listed approved accrediting agencies;
  - (3) The school shall agree, through this application and other monitoring methods, to adhere to and abide by the KHSAA Constitution, Bylaws, Competition Rules and all other policies and directives of the KHSAA Commissioner or Board of Control;
  - (4) The school shall pay the membership dues and any and all assessed fines by the imposed deadline;
  - (5) The Principal or Designated Representative shall be required to attend annual in-person update (not including the KHSAA Annual Meeting of the Membership) concerning the rules, regulations and policies of the Association, to provide feedback on Association ongoing activities, and to clarify ongoing issues or concerns related to athletics.

#### **Sec. 3) REASONS TO DENY OR REVOKE MEMBERSHIP**

- a) The following is a list of reasons that the KHSAA Board of Control may either deny an application, suspend or revoke membership in the KHSAA:
  - (1) Failure to satisfy any of the Basic Membership Criteria enumerated above;
  - (2) Failure to adhere to and abide by any of the Agreements enumerated below; or
  - (3) A documented pattern of continual violations and a general lack of institutional control as defined in KHSAA Bylaw 27.

#### **Sec. 4) SCHOOL MEMBERSHIP DUES**

- a) Schools desiring to become a member of the Association shall pay a fee in accordance with their prior year second-month enrollment on the following schedule:
  - (1) Schools enrolling less than 100 students and offering only individual sports or sport-activities shall pay \$500;
  - (2) Schools enrolling 1-400 students in grades 9-12 shall pay \$1000;
  - (3) Schools enrolling 401-610 students in grades 9-12 shall pay \$1500;
  - (4) Schools enrolling 611-899 students in grades 9-12 shall pay \$2000; and
  - (5) Schools enrolling more than 899 students in grades 9-12 shall pay \$2500.

#### **Sec. 5) ELIGIBILITY FOR CHAMPIONSHIP PLAY**

- a) All full members of the Association shall be eligible to enter championship play sponsored by the KHSAA provided there is no other restricting reasons such as sanction under the provisions of Bylaw 27;
- b) A new school formed by the local board of education from existing KHSAA member schools:
  - (1) Shall be immediately eligible for championship participation upon acceptance of the application for new membership upon approval of the Board of Control; and
  - (2) Shall be assigned a member of the staff for a period of not less two four years to serve as a mentor to guide the school in the rules and regulations of the Association and to report to the Board of Control prior to a decision to renew membership;
- c) Any other school applying for new membership:
  - (1) shall be ineligible for championship play during a probationary period from the date of acceptance of the application through the end

of the second approved year of membership;

- (2) If approved by the Commissioner, the school on probationary status is allowed to exhaust any contest contracts that were signed prior to joining the KHSAA. The candidacy school may therefore, if approved, compete against those non-member schools in contests during this period provided there was prior written agreement. However, once those contracts are exhausted, the school in candidacy status will not be able to compete against non-member schools located in Kentucky; and
- (3) The playing season in all sports will end for schools in candidacy status at the end of the regular season in each sport, and the school on candidacy status will not be eligible to compete in postseason play against other KHSAA schools until the end of the two-year period;
- (4) Shall be assigned a member of the staff for a period of not less than four years to serve as a mentor to guide the school in the rules and regulations of the Association and to report to the Board of Control prior to a decision to renew membership.

Sec. 6) COMPLIANCE AND EXPECTATIONS FOR MEMBERSHIP

- a) Each school desiring to join the KHSAA shall agree to compliance with certain specific requirements of the Board of Control. By signing this application on behalf of this school, the Principal or Designated Representative acknowledges that:
  - (1) The local Board of Education or School Based Decision Making Body has given the undersigned Principal authorization to apply for renewal of membership in the KHSAA for the above referenced Academic School Year;
  - (2) The school is a voluntary member of the KHSAA and is in compliance with the KHSAA Constitution, Bylaws, Competition Rules and all other policies and directives of the KHSAA Commissioner or Board of Control;
  - (3) In accordance with the KHSAA Constitution, the Board of Control is the final authority in determining Competition Rules (including assignment of schools to district, region, and/or class);
  - (4) The Principal/Designated Representative has read, understood and agree to abide by the KHSAA Constitution, Bylaws, Competition Rules, Due Process Procedure and all other policies of the KHSAA Commissioner or Board of Control as now enacted or later amended;
  - (5) The school will abide by any and all of the rulings and directives of the KHSAA Commissioner, Assistant Commissioners, Hearing Officer and Board of Control, and at all times act in the best interests of the KHSAA;
  - (6) The school will self-report any and all violations of the KHSAA Constitution, Bylaws, Competition Rules, Due Process Procedure or all other policies and directives of the KHSAA Commissioner or Board of Control, and any ruling by the KHSAA and its Commissioner, Assistant Commissioners, Hearing Officer or Board of Control;
  - (7) The management of the athletic program is not in contradiction to state or Federal law, including the submission of the proper materials in a timely manner as requested by the Commissioner to fully comply with 702 KAR 7:065, Section 2(13) as it relates to monitoring compliance with 20 USC Section 1681 (Title IX);
  - (8) The KHSAA may impose penalties as detailed in the Bylaws of the KHSAA against this school for violation of the KHSAA Constitution, Bylaws, Competition Rules, Due Process Procedure or all other policies and directives of the KHSAA Commissioner or Board of Control, and agree to timely adhere to and abide by any and all penalties assessed against this school under the Bylaws of the KHSAA or any other rule, regulation or policy;
  - (9) The school will comply with the principles of institutional control as defined within the Bylaws of the KHSAA and the KHSAA's published interpretations thereof;
  - (10) The school will comply in a timely manner with any and all requests by the KHSAA and its officials for information, records and reports;
  - (11) Any information submitted via the KHSAA online system using the secure login of an authorized school administrator shall be deemed to have been approved and signed off by the Principal;
  - (12) All records of the school including financial, scholastic and attendance, are open and available for inspection by the KHSAA and its officials;
  - (13) The school's records are available for inspection and that this school has the financial aid need analysis for its student-athletes performed by an approved agency;
  - (14) The school releases to the KHSAA and its official representatives permission to release the demographic information related to the athletic program (including motion picture and still photography) and all participation statistics (including height, weight and year in school, participation history and other performance based statistics) and other information as may be requested, and agrees that the students from this school may be photographed or otherwise digitally or electronically captured during KHSAA sponsored school-based competition and such image or other report may be used without further permission or compensation;
  - (15) The school has distributed KHSAA Form GE04 in its entirety, including the applicable student eligibility rules and the Physician consent and Parental permission forms to each prospective student-athlete, and that this school properly maintains on file the written permission of the parents of each student-athlete and the required physical examination form until the student graduates from the school;
  - (16) The school has ensured that each student-athlete has insurance coverage up to the KHSAA Catastrophic deductible prior to allowing them to practice (including try out) or play; and
  - (17) The school has maintained compliance with the Limitation of Seasons as published in the Bylaws of the KHSAA as it relates to the loss of school time for regular season athletic contests as well as properly monitoring the playing of scrimmage and regular season contests, and has not violated these provisions in any KHSAA sport, and will self-report any violations of these limitations.

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## **INSTITUTIONAL CONTROL POLICY – MEMBERSHIP OBLIGATION**

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Sec. 1) Institutional Control

- a) The member school principal is ultimately responsible for all aspects of the athletic program, whether it is rules enforcement and reporting or eligibility certification. It is important that each member school through its Principal exercise the principles of institutional control in order for the Association to continue as a fully functioning membership organization and in order for the school to remain a member.
- b) There are several principles that go into the concept of maintaining institutional control. Control shall first be defined in common sense terms and is best summarized by the school having in place the proper policies to ensure that violations do not occur, and if they do occur, the Principal exhibiting the leadership and duty to correct the problems and prevent recurrence. In general, violations do not result from a lack of institutional control if there are adequate preventive measures in place that are properly monitored and followed, and if swift action is taken.

- c) However, there are several things that demonstrate a lack of institutional control including the failure to implement proper preventive procedures; failure by members of the designated athletic staff to thoroughly investigate and report violations; failure to adequately disseminate and distribute compliance information; failure to adequately distribute compliance duties to allow for effective control; failing to make clear to all coaches and participants that rules violations will not be tolerated; failing to fully investigate and file reports as requested when potential violations are reported; a head coach failing to create a compliant atmosphere with the assistant coaches.
- d) The KHSAA enforces its rules based on the following premises: KHSAA regulations and information are readily available to the member schools and general public; the Principal or Designated Representative properly distributes information, rules manuals, communication, forms, and other needed materials to the members of the athletics staff; that meaningful education programs are conducted within the schools to ensure compliance; student-athletes are properly informed about rules prior to and during participation. Certainly the compliance history of a school and its cooperative spirit during any investigation or inquiry will factor into any penalty decision regarding violations.

Sec. 2) Applicable Control and Self-Reporting

- a) Per KHSAA Bylaw 1, all member schools are expected to exercise institutional control within his/her school and to maintain institutional control of all phases of interscholastic athletic activities within a member school, including the activities of its student-athletes, athletic and school administration, coaching staff (paid and unpaid, head and assistant) booster clubs, parent groups, and all related entities.
- b) Exercising that control requires the submission of "self-reports" when violations are determined and full cooperation with any ongoing review of a matter related to the conduct of the interscholastic athletic program.
- c) Any self-report must be submitted on school letterhead via email attachment or provided online form and should include the following:
  - (1) Identify and list the bylaws and/or policies that have been violated; and
  - (2) Provide a description of the circumstances leading to the reported violation; and
  - (3) Provide the name of the student and/or coach (if applicable); and
  - (4) List the contests in which the student participated (if applicable); and
  - (5) State any corrective actions taken by the school to deter this situation from happening again in the school's athletic program.
- d) The Commissioner reserves the right to further review and investigate this matter or other matters with respect to potential, additional compliance issues which may be identified as a result of this communication. The association's position is to support the member school in a mentoring fashion where possible.
- e) Sanctions will be issued in accordance with Bylaw 27 when any violations are confirmed. Sanctions include what is recommended by the member school as an action, or may include any other penalty deemed appropriate by the Commissioner that is contained within Bylaw 27.

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## PENALTY POLICY - BOARD OF CONTROL FINE SCHEDULE

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In accordance with 702 KAR 7:065 and Bylaw 27, a monetary fine may be assessed against a member school or licensed official. In addition, other penalties such as suspension of an individual or athletic program may be imposed for the same or similar violations of the particular bylaw, rule or tournament regulation.

Sec. 1) A MAXIMUM FINE OF \$50 PER OCCURRENCE FOR:

- a) Failure to notify game officials of change in game site or time in a timely manner; or
- b) Late licensing by a contest official desiring a license.

Sec. 2) A MAXIMUM FINE OF \$100 PER OCCURRENCE FOR:

- a) Failure to provide proper game administration personnel at contest site;
- b) Use of non-licensed officials in a contest;
- c) Late submission of tournament results, financial report or remittance of tournament proceeds by tournament manager;
- e) Failure of a tournament/contest manager to report a tournament bracket in a timely manner or failing to report results as requested;
- f) Ejection of a player or coach for unsportsmanlike conduct;
- g) Late membership dues payment by school;
- h) Late submission of the names of the school's athletic personnel, utilizing the official KHSAA online process, no later than the Friday of NFHS week 4 on the standardized calendar; or
- i) Late submission from schools of game statistics in specific sports.

Sec. 3) A MAXIMUM OF \$300 PER OCCURRENCE FOR:

- a) Filming or videotaping of a scrimmage or contest by representatives of nonparticipating schools without approval of competing teams or tournament manager;
- b) Failure to complete the required KHSAA rules clinic for coaches or officials during the prescribed schedule. Payment of this fine does not prevent further penalties already prescribed for failure to complete the clinic from being imposed;
- c) Playing non-sanctioned contest against out of state schools; playing a non-member school or a school not permitted by the bylaws in a contest;
- d) Late submission of tournament information from schools including season statistics, team pictures and entry of required rosters; or
- e) Late submission of any requested reports, or other required documents or late submission of any required form or report not otherwise detailed within this schedule.

Sec. 4) A MAXIMUM FINE OF \$500 PER OCCURRENCE FOR

- a) Use of athlete without proper physical examination or parental permission;
- b) Failure of a member school to adhere to Bylaw 22 with respect to school representatives accompanying athletes to competition;
- c) Withdrawal from championship play in any sport after the draw for bracket positions, entry deadline or deadline for seeded district games has passed;
- d) Withdrawal from championship competition in competitive cheer after the deadline for declaration of divisions and after the results of the declarations have been made public;
- e) Ejection of player for fight/unsportsmanlike conduct in games when athlete's eligibility in that sport has been exhausted;
- f) A coach or an administrator publicly criticizing a game official or coaches criticizing specific contest officials by name, position or local

- association to media representatives (including social media);
- g) Late reporting of participation list or Annual Title IX report;
- h) A member of a school coaching or athletic staff making public media comments (including by social media) that criticize officials by name, position or contest assignment;
- i) A licensed official making public media comments (including by social media) that criticize a member of a school coaching or athletic staff by name, position or assignment; or
- j) Failure of the sending school to return to the receiving school the necessary forms to effect a ruling for a transferring student within fifteen (15) days.

Sec. 5) A MAXIMUM FINE OF \$1000 PER OCCURRENCE FOR:

- a) Use of an ineligible player when the facts were present to prevent such usage;
- b) Participation in practice or contests by coaches not currently certified in the required KMA/KHSAA Sports Safety Course. Payment of this fine does not prevent further penalties already prescribed for failure to complete the course from being imposed;
- c) Failure to properly certify athletes with respect to age;
- d) Removal of team from field or court prior to completion of game;
- e) Team members leaving bench, sideline or dugout and coming into playing area during an altercation/fight or for any other reason related to unsporting conduct that are not specifically allowable by playing rule;
- f) Team involved in an altercation/fight before, during or after scrimmage or contest (from time of arrival to time of departure);
- g) Violation of sports season (Bylaw 23) by any sport or sport-activity team or squad;
- h) Excessive celebration or unsportsmanlike conduct by fans identifiable to a specific team entering the playing area following a contest; or
- i) Each violation of KHSAA rules when a specific fine is not explicitly addressed by this schedule;

Sec. 6) A MAXIMUM FINE OF \$1500 PER OCCURRENCE FOR:

- a) Violation of the required provisions regarding prime date scheduling of girls' basketball games;

Sec. 7) ADDITIONAL FINES AND PENALTIES

- a) A fine may be levied against specific documented receipts or reimbursed expenses when violations are discovered.
- b) In the case of restitution, the full amount of document fees may be assessed as a fine.
- c) A fine may be levied against a member school either directly or by assessing tournament receipts, for the use of coaches at any level in grades 9-12 competition that do not meet the requirements of Bylaw 25.

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## SPORTS SANCTIONING/SPONSORSHIP POLICY

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Sec. 1) REQUIREMENTS FOR SANCTIONING A HIGH SCHOOL SPORT OR SPORT-ACTIVITY

- a) The KHSAA Board of Control sanctions (approved for practice and play and provides the Catastrophe Insurance for) all sports and sport-activities for which the KHSAA sponsors a state high school championship. Under this definition, the sports of baseball, basketball, cross country, football, golf, soccer, softball (fastpitch), swimming, tennis, track and field, volleyball and wrestling are insured.
- b) The sport-activities of archery, bass fishing, bowling and competitive cheer are insured as sport-activities for those events conducted by the KHSAA. The insurance shall only cover competitive cheer competitions during which the competition is conducted within the rules of the National Federation Spirit Guide.
- c) This sanctioning shall allow students to participate in these sports and sport-activities as long as they are compliant with all applicable KHSAA bylaws regarding student eligibility.

Sec. 2) METHOD OF DETERMINING INTEREST IN NEW HIGH SCHOOL SPORTS OR SPORT-ACTIVITIES

- a) The KHSAA shall survey its membership every three (3) years thereafter to measure desire for a new offering or elimination of an existing offering.
- b) The KHSAA Limitation of Seasons shall not address a specific sport or sport-activity unless and until it meets the desired participation threshold to allow for the maximum opportunity to expose the sport to growth.

Sec. 3) SCHOOLS NECESSARY TO START NEW HIGH SCHOOL SPORTS OR SPORT-ACTIVITIES

- a) Unless otherwise approved by the Board of Control, in order for the KHSAA to begin discussions concerning the possible sponsoring of a state championship in a new sport or sport-activity, there shall be at least **fifty (50)** member schools desiring to participate by showing a favorable response on the interest survey and the sport having currently participating schools in at least three basketball regions. One of the key components for consideration is the presence of an already existing organized championship sponsored by another group.
- b) The presence of this amount of schools does not, in and of itself, guarantee the addition of championship play in that sport or sport-activity.
- c) The Board of Control must review all factors surrounding the possible addition including a fiscal analysis and the possible impact of the addition on member school compliance with Title IX.

Sec. 4) SCHOOLS NECESSARY TO CONTINUE EXISTING HIGH SCHOOL SPORT OR SPORT-ACTIVITIES

- a) In order for the KHSAA to continue a playoff system for a sport or sport-activity, and unless there is otherwise prevailing action by the Board of Control, there shall be at least **twenty-five (25)** member schools desiring to participate by showing a favorable response on the interest survey and the sport having participating schools in at least three basketball regions.
- b) The presence of this amount of schools does not in and of itself guarantee the continuation of championship play in that sport or sport-activity.
- c) The Board of Control must review all factors surrounding the possible addition including a fiscal analysis and the possible impact of the addition on member school compliance with Title IX.

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## DEADLINE FOR ENTERING CHAMPIONSHIPS

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Sec. 1) DEADLINE FOR ENTRY INTO KHSAA COMPETITION

- a) The deadline to enter a team in sports or sport-activity competition is:

- (1) September 1 for fall sports;
- (2) October 1 for competitive cheer and dance;
- (3) December 1 for winter sports, bowling and archery; and
- (4) April 1 for spring sports and bass fishing.

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## **SPORTS AND SPORT-ACTIVITY POLICY**

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### Sec. 1) CURRENTLY SANCTIONED SPORTS AND SPORT-ACTIVITIES

- a) The sports sanctioned by the KHSAA are baseball, basketball, cross country, field hockey, football, golf, soccer, softball (fastpitch), swimming and diving, tennis, track and field, volleyball and wrestling.
- b) The sport-activities sanctioned by the KHSAA are archery, bass fishing, bowling, competitive cheer, and dance.

### Sec. 2) BYLAWS APPLYING TO BOTH SPORTS AND SPORT-ACTIVITIES

- a) The following KHSAA Bylaws apply to all sports, and sport-activities to include archery, bass fishing, bowling and competitive cheer: Bylaw 1 (Responsibility for Eligibility); Bylaw 2 (Age); Bylaw 4 (Enrollment Requirements); Bylaw 5 (Minimum Academic Requirement); Bylaw 12 (Physical Examination, Parental Consent and Insurance); Bylaw 14 (Other Eligibility Requirements and Regulations); Bylaw 15 (Practice of sportsmanship); Bylaw 16 (Recruitment); Bylaw 17 (Certification of Eligibility); Bylaw 18 (Supplying Information And Reports); Bylaw 21 (Protests); Bylaw 23 (Limitation of Seasons); Bylaw 24 (Summer sports and sports/Activities); Bylaw 25 (Requirement for Coaches and Others Working With High School Teams); Bylaw 26 (Rulings, Reporting of Violations); Bylaw 27 (Imposition of Penalties).

### Sec. 3) BYLAWS APPLYING ONLY TO SPORTS AND NOT APPLICABLE TO SPORT-ACTIVITIES

- a) The following bylaws ARE applicable to all sports but ARE NOT applicable to sport-activities: Bylaw 3 (Maximum Number of Years); Bylaw 6 (Transfer Rule- Citizens of the U.S. or U.S. Territories); Bylaw 7 (Transfer Rule- Foreign Exchange Students); Bylaw 8 (Transfer Rule- Non-Exchange Foreign Students); Bylaw 9 (Basketball/Football Contestant On Other Teams, Post Season and All-Star Games); Bylaw 10 (Amateur/Awards); Bylaw 11 (Financial Aid); Bylaw 13 (Agreement Regarding Professional Baseball Contracts); Bylaw 19 (Comparable Opportunities); Bylaw 20 (Officials Division of the Association); and Bylaw 22 (Contests, Sanctions, Rules, Forfeitures, Faculty to Accompany).

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## **REGIONAL INVESTIGATIVE COMMITTEES POLICY**

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### Sec. 1) REGIONAL INVESTIGATIVE COMMITTEES

An investigative option of this Association may be the use of Regional Investigative Committees (RIC), seated within each basketball region.

### Sec. 2) REFERRAL OF MATTERS

- a) When a matter is under review by the Association, the Commissioner's office may request a meeting of the RIC.
- b) The RIC to be convened shall be the RIC of the region into which the student is now enrolled (i.e. receiving school). Members of, or the entirety of, the RIC of the region from which the student was formerly enrolled (i.e. sending school) may also be consulted if necessary to research the matter at hand.
- c) The Commissioner's office shall develop, and have approved by the Board of Control, a schedule and deadlines for submission of materials to the RIC.
- d) Requests received after the deadline date will not be considered at that RIC meeting, but will be placed on the agenda for the next regularly scheduled meeting. No exceptions will be made.

### Sec. 3) COMPOSITION OF REGIONAL INVESTIGATIVE COMMITTEES

- a) Each RIC shall be composed of five (5) persons unless meeting the criteria in (c) below. The members shall be composed of:
  - (1) One member school representative elected by the member school representatives within each basketball district; and
  - (2) One school system (central office) employee shall be elected by the member school designated representatives of all districts within the region.
- (3) In the case that the region has one or more non-public schools (R1, J1 or M1 classification), an additional representative shall be elected from those schools to serve on the RIC,
- b) A member of the Board of Control may not serve on a RIC. In the event that any matter considered by an RIC becomes a review issue for the Board of Control, the Board of Control member shall recuse himself/herself while the matter is being considered.
- c) If approved by the Board of Control through a recommendation of the Commissioner, an individual may serve as the RIC in those areas where such has been an accepted practice and is agreed by the represented member schools. In such cases, the provisions of these policies relative to the establishment of quorum, selection of a chair, term, and election shall not be relevant.

### Sec. 4) CHAIR

- a) Each RIC shall elect from among its members a chairperson and vice chairperson. The chairperson shall preside over all meetings of the committee. The vice chairperson shall serve in the capacity of chairperson in the absence of the chairperson.
- b) If a vacancy occurs in the Office of Chairperson or Vice Chairperson of a RIC, the respective Committee shall elect a successor from among its members to serve the remainder of the unexpired term.

### Sec. 5) QUORUM

- a) A quorum of the RIC shall consist of three (3) members in the event of a five (5) person RIC, and four (4) members in the event of a six (6) person RIC.
- b) In the absence of a quorum, the Commissioner may appoint a replacement to serve for that meeting only. The replacement shall be a person who is qualified to serve in that position.
- c) When a vote is taken on any matter pending before the RIC, a quorum being present, a majority of the votes of the members of the RIC voting on the matter shall determine the outcome and recommendation thereof.

### Sec. 6) CONFLICT

- a) In the event that a school of which a member of a RIC is associated makes an appeal or becomes a party to a situation under consideration, that member shall be disqualified.

b) The same procedure shall be followed if, for any reason, one or more members of the committee cannot serve.

Sec. 7) DUTIES

a) The duties of the RIC shall be to consider requests by the Commissioner's office for additional investigation and research regarding a matter under consideration within the Bylaws and regulations of this Association.

b) The RIC shall have no authority to waive any provision of Kentucky Revised Statutes or Administrative regulations, or to issue final rulings regarding the Bylaws and regulations of the KHSAA.

Sec. 8) TRAINING

a) The Commissioner's office shall, on an annual basis, facilitate training for members of the RICs.

b) Such training shall be mandatory for each member to continue to serve.

Sec. 9) MEETINGS

Each RIC shall meet as needed to carry out its duties and shall, unless professional investigative assistance is required, complete its work on a matter within ten (10) working days of receipt by the chair.

Sec. 10) TERM

a) Members of a RIC shall serve terms of three years and are eligible to succeed themselves only once.

b) A member of the RIC may serve a maximum of six consecutive years.

Sec. 11) RIC ELECTION PROCESS

a) The election of member school representatives to the RIC shall be according to the following procedure:

b) No later than February 1 each year, the Commissioner shall electronically notify the representative of each member school in each region in which a member of the RIC is to be elected of the positions for which elections are to be held and shall provide online a form requesting candidates for the position(s) available.

c) A member school representative who wishes to declare his/her candidacy for one or more of the available positions shall make such declaration for candidacy electronically using the online form by February 10.

d) On or before April 1, the Commissioner shall provide online to the representative of each member school in each region an official ballot, which shall contain for each position available the names of all announced candidates. The representative of each member school shall vote electronically for one candidate in each position on the official online ballot by April 10. The candidate receiving a majority of the votes cast shall be declared by the Commissioner to have been elected.

e) If no candidate receives a majority of the votes cast on the first official ballot, a second official ballot shall be provided online, bearing the names of the two candidates who received the highest number of votes on the first official ballot and a second vote shall be taken on them only. Ten days shall be allowed for member school representatives to vote electronically on the second official online ballot. The candidate receiving the majority of the votes cast on the second ballot shall be declared by the Commissioner to have been elected. However, in the event of a tie vote on the second ballot, the Board of Control, by majority vote, shall determine the winner.

Sec. 12) INELIGIBILITY TO SERVE

The representative of a member school that is in the two-year probationary period and has not been elected to full membership is not eligible for election or to vote in elections.

Sec. 13) VACANCIES

In the event a vacancy occurs in any seat on the RIC, the Board of Control shall appoint a qualified individual from the representative group to fill the vacant seat for the duration of the unexpired term.

Sec. 14) THRESHOLD FOR RECOMMENDATION

a) For the purpose of determining whether to recommend or not to recommend eligibility, the RIC shall be guided by the following criteria, other criteria contained in the KHSAA Bylaws and KHSAA Policies, and their respective experience related to high school athletics:

(1) The fact that a student is retained in a lower grade because he/she fails to pass the required number of courses, is voluntarily withdrawn from school or repeats a lower grade shall not be sufficient grounds for recommending a waiver;

(2) Likewise the fact that a student, who is otherwise eligible for promotion to the next grade, repeats a grade because he/she is among the smaller students in the class and/or to gain social and/or emotional maturity shall not be sufficient grounds for recommending a waiver;

(3) The fact that a student misses school for a prolonged period of time because of events that are/were beyond the control of the student and/or his/her parent or guardian, which events cause him/her to repeat a grade may be grounds for recommending a waiver provided the requisite documentation is present; and

(4) A waiver request based on time missed from school because of a serious injury or prolonged illness shall not be granted unless supported by a physician's record which establishes that the absence from school was directly and solely related to such injury or illness.

Sec. 15) REPORT

a) Following consideration and review of documentation, the RIC Chair shall report to the Commissioner's office regarding the findings of the RIC, including the vote of the members of the RIC if one is taken.

b) This report shall be considered a recommendation, but is not binding within the Due Process Procedure of the KHSAA.

Sec. 16) COOPERATION WITH RECOMMENDATIONS

a) The administrative recommendations of the RIC shall be accepted in good faith by all member schools.

b) This provision shall not be construed as preventing the principal of a member school from exercising his/her school's right, or a defined aggrieved party from exercising the same right, to due process by appealing decisions through the Due Process Procedure.

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## INFRACTIONS/PENALTY COMMITTEE STRUCTURE POLICY

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Sec. 1) PROCEDURES FOR HANDLING PENALTIES

a) The Commissioner and Board of Control ("Board") each have a role in administering penalties for KHSAA Constitution or Bylaws violations.

b) Unless specifically covered in any bylaw, the standard penalties are listed in Bylaw 27.

c) All matters considered by the Commissioner or the Board shall be conducted in compliance with the Due Process Procedure and any findings are appealable by aggrieved parties as defined in that procedure.

Sec. 2) MATTERS TO BE DETERMINED BY THE COMMISSIONER

- a) Reports of violations should be submitted to the Commissioner. If such evidence is presented in compliance with the Bylaws of the KHSAA as to warrant an investigation, the Commissioner shall direct an appropriate investigation of the allegation(s).
- b) At the conclusion of an investigation penalties in accordance with Bylaw 27 may be levied by the Commissioner at his/her discretion.
- c) The Commissioner, per the Constitution, shall enforce all penalties provided for, and fix penalties for violations for which no penalties are prescribed.
- d) Penalties levied by the Commissioner shall be summarized for a report at a subsequent Board of Control meeting.
- e) Penalties levied by the Commissioner may be appealed to the Board of Control per the KHSAA Due Process Procedure.

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### **BASKETBALL PRIME DATE SCHEDULING POLICY**

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Sec. 1) BACKGROUND

- a) In fairness to the participants in girls' basketball, and those within the programs including parents, students and fans, the Board of Control has developed this policy to ensure that there are opportunities for girls' basketball to be played on "prime dates".
- b) These dates are Friday night, any time on Saturday, and any time on Sunday. Compliance with this policy neither expresses nor implies that a member school is in (or not in) compliance with Title 20, U.S.C. Titles, 1681-1688, et. Seq. (Title IX).

Sec. 2) BASIC REQUIREMENT (40% rule)

- a) Each basketball season, a member school shall meet one of two alternative methods of prime date compliance with respect to the scheduling of basketball games. The member school shall:
  - (1) Play at least forty (40) percent of its girls' home basketball games on a prime date, defined as Friday night, Saturday or Sunday, wherein tournaments shall only count as one game played toward this requirement; or
  - (2) Play at least forty (40) percent of its girls' basketball schedule (all games) on a prime date, defined as Friday night, Saturday or Sunday. Schools using this method must play at least one prime date game in each of the months of December, January and February.

Sec. 3) ANNUAL PRIME DATE COMPLIANCE PROCESS

- a) Each year, the KHSAA shall review all girls' regular season basketball schedules (hereinafter "current schedule"). These annual reviews shall begin with the first legal regular season playing date. Schools that are not in compliance with the forty (40) percent requirement on the first playing date shall be contacted on or before December 31 of the playing season in an effort to allow for schedule corrections or revisions to comply with the forty (40) percent requirement. The school shall be notified of the potential penalties for non compliance with this correspondence.
- b) Schedules shall be posted on the KHSAA website in the manner as instructed by the Commissioner's office prior to the published deadline. Schedules shall be accurate and include entry and designation of games that are involved in tournaments, classics, festivals and other multi-game events.
- c) At the end of the regular playing season, the schedules shall be reviewed for final compliance with the requirement.

Sec. 4) PENALTY FOR NONCOMPLIANCE WITH THE PRIME DATE REQUIREMENT

- a) Based on the final review at the end of the regular season, if a member school's total home games for girls' basketball do not comply with the forty (40) percent requirement, and this is the first such violation by the member school, this violation shall result in a penalty in compliance with Bylaw 27.
- b) Based on the final review at the end of the regular season, if a member school's total home games for girls' basketball do not comply with the forty (40) percent requirement, and this is not the first such violation by the member school, additional penalties shall be applied in compliance with Bylaw 27 including the cancellation of contests in sports other than girls' basketball.

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### **RECOMMENDED OPTIONAL BASKETBALL PRIME TIME SCHEDULING**

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Sec. 1) PRIME TIME SCHEDULING

- a) Prime time scheduling is a means to ensure fairness to the students, particularly girls, who perhaps have had disadvantaged scheduling in the past.
- b) More scheduling equity can be accomplished by scheduling boys' and girls' basketball games on separate nights with prime time starts for both or by scheduling doubleheaders and alternating boys' and girls' start times.
- c) The following schedule is recommended in the event that double headers are used to meet the Prime Date Requirement.
  - 1) One doubleheader (Optional As To Which Team Plays Second)
  - 2) Two doubleheaders (Girls Game Recommended to Be Second At Least Once)
  - 3) Three doubleheaders (Girls Game Recommended to Be Second At Least Once)
  - 4) Four doubleheaders (Girls Game Recommended to Be Second At Least Twice)
  - 5) Five doubleheaders (Girls Game Recommended to Be Second At Least Twice)
  - 6) Six doubleheaders (Girls Game Recommended to Be Second At Least Three Times)
  - 7) Seven doubleheaders (Girls Game Recommended to Be Second At Least Three Times)
  - 8) Eight doubleheaders (Girls Game Recommended to Be Second At Least Four Times)
  - 9) Nine doubleheaders (Girls Game Recommended to Be Second At Least Four Times)
  - 10) Ten doubleheaders (Girls Game Recommended to Be Second At Least Five Times)

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### **AMENDED FEDERAL CONSENT DECREE OF 1971 (AMENDED 1987, 2008)**

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- 1. As provided by KRS 156.070, the State Board of Education, under its general powers and duties, has the management and control of the common schools. It is the judgment of the court that this responsibility and control cannot be avoided or relegated to the Kentucky High School Athletic Association and that the Board is accountable to see that the Kentucky High School Athletic Association, acting in a ministerial

- capacity, shall observe the requirements of the law in fostering and encouraging the participation in athletics of all who desire to so participate irrespective of race or color. Such participants shall be given a full and equal opportunity to have positions and places of responsibility in the high school athletic program in all its phases.
2. The Kentucky High School Athletic Association shall continue under its rules and by-laws in the structure of its present organization subject to the directives of this decree.
  3. The constitution and by-laws of the Kentucky High School Athletic Association shall be changed and modified as follows:
    - (a) The members of the Board of Control shall be elected for a period of four years as now provided. There shall be 12 members of the Board of Control, at least two of which shall be black, and at least two of which shall be female.
    - (b) The Commission shall be composed of a Commissioner and four or five Assistant Commissioners, at least one of which shall be black and at least one of which shall be female.
    - (c) The Delegate Assembly shall not be limited in its membership to Principals of accredited secondary schools of good standing in the Kentucky High School Athletic Association, but shall be composed of Administrators, Principals or faculty members of schools or school systems. While it is not practical to require a percentage of blacks to be named as Delegates, the districts shall bear in mind that a reasonable proportion of the Delegate Assembly shall be blacks.
    - (d) Where the use of registered officials is required, the officials for each athletic contest in football, basketball and baseball shall be selected and shall be given to the schools involved at a reasonable time before the contest. Any head coach who objects to the selection of a certain official or officials to serve in contests in which his team will participate shall have a right to file a written protest with the Commission if filed a reasonable time before the contest. What is a reasonable time to be determined by the Commission. The Commission shall have a right to make any changes as it deems appropriate and in the best interest of the sport. It is recognized that to make out such a schedule is a very involved and tedious task and the Commission shall have authority to employ any assistance as it feels proper, subject, of course, to its supervision.
  4. The Association shall establish a list of qualified officials to officiate in all high school sports and shall require that only such officials may officiate in interscholastic athletic competition. It may classify officials as Level 1, Level 2 and Level 3 and in so doing may adopt the standards now employed by the Association.
  5. Pursuant to paragraph 5 of the original Decree herein filed Kentucky High School Athletic Association was required to take affirmative action to recruit black officials in the sports of basketball, football and baseball and was further required to report to the court within 60 days from the date of the original Decree concerning such action. An affidavit has been filed in the record herein on November 15, 1971, showing the results of such action. Kentucky High School Athletic Association shall make available to all persons so recruited academic and practical training, including participation as officials in practice, junior varsity and similar contests. The objective shall be to cause such persons to become fully qualified to participate as officials in varsity contests as soon as practicable.
  6. The Second Amended Answer of defendants, Kentucky High School Athletic Association and Ted Sanford, pleading the amendment of Article IV, Section 2(a) of the Constitution of Kentucky High School Athletic Association be and it is hereby ordered filed and entered of record herein.
  7. Plaintiffs herein represent of record all blacks constituting a class so numerous to make it impracticable to bring them before this court. The questions of law and fact affecting the rights of those plaintiffs raised herein by pleadings and proof are common to all blacks and are typical of the claim of the class of blacks. Therefore plaintiffs herein represent of record as a class all blacks as a whole.  
The Court is fully aware that at this date the high school football season is well advanced and it is not practicable to undertake to invoke the changes directed by this decree to apply to the high school football contests. The football season may proceed to its ultimate conclusion under the plan now in effect as provided by the Kentucky High School Athletic Association Constitution, Bylaws and tournament Rules 1970-71. In all other respects this decree is effective as of the date it is signed by the Court.

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## KHSAA OFFICIALS GUIDEBOOK POLICIES

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### Sec. 1) BACKGROUND

- a) In compliance with the Federal Court Decree of 1971 as amended, the KHSAA has guidelines to govern the licensing and assignment of high school (grades 9-12) sports officials. These policies exist to ensure adherence to that decree.
- b) The KHSAA Board of Control, through the Commissioner's office, provides for the licensing and regulation of contest officials in Baseball, Basketball, Competitive Cheer, Field Hockey, Football, Softball, Soccer, Swimming, Track & Field and Cross Country, Volleyball and Wrestling, for the cancellation of such registration for just cause, for the setting of licensing fees, and for the establishment of classification levels and requirements for those levels. Licensing to officiate/judge other activities may be expanded as offerings are added to the KHSAA.
- c) Officiating is not easy, and certainly not suited for every individual. An effective official needs to possess certain characteristics such as quick reaction time, confidence, calmness, consistency, good judgment, cooperation, knowledge of the rules, duties and mechanics, professional appearance, top conditioning, courtesy, a sense of humor, and courage.
- d) The KHSAA believes that officiating is an important part of the athletic program. For this reason, considerable time, effort and money is spent on clinics, tapes and materials designed to increase the knowledge and improve the techniques of officials. The KHSAA Board of Control, through the Commissioner, has allowed for the designation of a member of the KHSAA staff to serve as the Supervisor of Officials for all KHSAA sports. This allows even more emphasis to be placed on recruitment and training of officials.
- e) In athletics, the official represents the integrity of the contest. By his or her action, on and off the field, court or pool, the official must earn through unquestioned honesty, demonstrated ability, obvious devotion and full understanding of the contest, its players, coaches, fans and newscasters, the confidence and respect which are the all important attributes for fine officiating.
- f) To achieve officiating excellence, an official must combine his or her knowledge of the playing rules with proper officiating mechanics. The official must be responsible for participants in the contest receiving his or her best effort to the end that he/she will "reach into the rule", refuse to be intimidated by players, coaches or fans, give the play the full flexibility of his/her judgment, and implement his/her knowledge and ability with intelligent, understanding courage.
- g) An official must possess an inner desire to continually improve and strive to be one of the best. Certainly, all officials will not succeed in becoming top officials, but most certainly, the official who lacks this desire to improve has stopped growing as an official.



h) The Commissioner shall ensure through the Supervisor of Officials, that the Officials Division Policies (Officials Guidebook) are reviewed on a not less than annual basis, and that the Board of Control has final authority on all policy related matters to ensure compliance with the Federal Court Decree of 1971.

i) It is hoped that this Guidebook will be a value to all concerned.

#### Sec. 2) ASSIGNMENT OF OFFICIALS FOR CONTESTS IN GRADES 9-12

a) Pursuant to the Amended Decree entered December 22, 1971 in E. Deedom Alston v. Kentucky High School Athletic Association, United States District Court, Eastern District of Kentucky, Lexington Division, No. 2274, where the use of licensed officials is required for an athletic contest in the sports of football, basketball and baseball, said officials shall be selected by a Commission, composed of the KHSAA Commissioner and the Assistant Commissioners.

b) The Commission has the authority, however, to contract with individuals to assist with this selection. Pursuant to this authority, the Commission may enter into agreements with persons to directly assign regular season contests, known as "Assigning Secretaries". The Commission shall directly assign all officials for all KHSAA sponsored (district, region, semi-state, section and state) championship competition.

#### Sec. 3) KHSAA OFFICIALS DIVISION

a) Under the provisions of the KHSAA Bylaws and in accordance with the provisions of the Federal Court Decree of 1971, as amended, the KHSAA shall define the roles to be played and duties to be performed by persons within the Officials' Division.

b) All terms of contract positions within the Officials Division shall be set by the Board of Control, including length of appointment and salary.

c) The Office of the Officials Division shall be at 2280 Executive Drive, Lexington, Kentucky. The Officials Division shall be responsible for the recruitment, supervision, training and assignment of officials to events where the use of licensed officials is required and where the KHSAA provides licenses. The KHSAA Commission has the authority, however, to contract with individuals to assist with the assignment of officials.

d) Current specifications for the Officials Division are found in the KHSAA Bylaws, KHSAA Board of Control Policies and Procedures, and in the KHSAA Officials Guidebook. KHSAA administration of each sports program shall remain with the KHSAA Commissioner, Associate Commissioner and the KHSAA Assistant Commissioner with responsibilities for that sport. Programs involving recruiting, training, developing, retaining and assigning officials in all sports shall be coordinated through the Officials Division.

#### Sec. 4) DEFINITIONS

a) Commission - The Commissioner and Assistant Commissioners comprise the Commission.

b) Assigning Secretary - This refers to the person engaged by the Association to assign the scheduled contests for grade 9-12 competition (freshmen, junior varsity and varsity) to the members of a local association, as well as provide/coordinate local training and development efforts.

c) Basketball Region - This term is used generically to define the boundaries of the current basketball regions. These shall serve as reference points throughout the state and are not intended to imply only basketball. All KHSAA schools are within a basketball region, whether they play basketball or not.

d) Licensing - the process handled by the KHSAA to license an official. It is the prospective official's responsibility to fulfill any and all requirements of the process. This process may be outsourced at the discretion of the Commissioner and Board of Control.

e) Board - this refers to the Board of Control of the Kentucky High School Athletic Association.

f) Local Association - the group of officials formed under the auspices of a regional policy board and approved by the KHSAA to officiate contests for grade 9-12 competition (freshmen, junior varsity and varsity).

#### Sec. 5) WORKING CLASSIFICATION OF LICENSED OFFICIALS

##### (INDEPENDENT CONTRACTOR)

a) Officials licensed by the KHSAA are independent contractors. Officials licensed by the KHSAA are not employees of the KHSAA or a member school when serving as an official and have no insurance, tax withholdings, or other benefits consistent with employment.

b) The KHSAA automatically submits the proper membership fee to the National Federation Officials Association (NFOA) for membership from the license fee as requested by the official or applicant.

c) Each official shall sign authorization to the following statement:

I hereby agree to join a local officials' association if required in my sport(s) to officiate contests for grade 9-12 competition (freshmen, junior varsity and varsity) and to work, as an independent contractor, a schedule of contests assigned by a local assigning secretary. I shall work for the fee set by the KHSAA and shall cooperate with the KHSAA as requested and required by KHSAA Bylaws and Officials Division Regulations. As an independent contractor, I am not an employee of the KHSAA or member school when serving as an official and understand that the KHSAA shall not provide insurance or other benefits consistent with employment. I request that the proper fee be taken from my registration amount and made payable for membership in the NFOA as detailed in KHSAA regulations and to properly submit to a criminal background check. I agree by licensing that I shall provide my Social Security number and birth date to the KHSAA solely for the purpose of complying with the elements of a criminal records check.

#### Sec. 6) REQUIREMENT FOR LICENSING BY OFFICIALS

a) Any person who officiates contests for grade 9-12 competition (freshmen, junior varsity and varsity) in baseball, basketball, field hockey, football, soccer, softball, swimming and diving, volleyball and wrestling between member schools of the KHSAA shall be licensed with the KHSAA.

b) Any person who officiates contests for grade 6-8 competition as detailed in 702 KAR 7:065 shall be licensed with the KHSAA.

c) Any person who officiates in Cross Country or Track & Field in a meet involving more than four (4) schools shall be licensed as a track and field official with the KHSAA. Schools are recommended to use only those officials in cross country and track and field who are licensed in track and field by the KHSAA regardless of the number of teams.

d) Each official will be issued an identification number. The use of this and memorization of this number will assist the process of any inquiry.

#### Sec. 7) RECRUITMENT OF OFFICIALS

a) The KHSAA is bound by Federal Court decree as well as by the very nature of its existence to recruit new officials. This is done by many methods, most at the local level. The Officials Division has adopted the following standard procedures for recruitment of officials:

(1) The KHSAA shall ensure that local associations, through the Assigning Secretary, utilize any medium and format possible to recruit new officials;

- (2) The regional colleges and universities in this state, as well as other institutions of higher learning, are encouraged to offer officiating classes as part of the curriculum. In addition, the KHSAA staff is ready to assist as guest lecturers, clinicians and instructors for these classes;
- (3) The KHSAA staff and Board of Control are strong advocates of advanced camps to improve the skill of officials and is ready to assist as guest lecturers, clinicians and instructors for these camp, however such camps cannot be used as a required revenue generator for assigning secretaries beyond nominal cost for time and expenses to conduct the activities; and
- (4) The KHSAA offers a discounted license for full-time college students (12 hours) with verification of a full-time class schedule in an effort to get them involved at an early age.

Sec. 8) QUALIFICATIONS FOR LICENSING

- a) The minimum standards for licensing of all officials shall be:
  - (1) Applicant for licensing to officiate high school age (grades 9-12, freshmen, JV, varsity) competition and competition for students in grades 6-8 shall be a graduate of an accredited high school or be at least eighteen years of age. The Commissioner, with approval of the Board of Control, may authorize a program to allow for the licensing of high school seniors, who may or not be eighteen (18) years of age and who are otherwise in compliance with licensing requirements and agree to be eligible to officiate only contests involving students below grade nine (9);
  - (2) Licensing fee shall accompany application form. The fee shall be returned if applicant does not meet requirements or if the proper amount is not enclosed;
  - (3) Able to physically perform the duties of being a sports official including having an annual physical prior to officiating grade nine through twelve competition;
  - (4) Annually document completion of the KHSAA rules clinic. If not, schedule is subject to immediate cancellation following the end of the clinic time period and the official is subject to other penalty such as lack of postseason assignment;
  - (5) Licensed with KHSAA and in good financial standing; and
  - (6) Submit to a Criminal Records and Background check upon request by KHSAA in accordance with adopted policy.

Sec. 9) FEES FOR LICENSING AS AN OFFICIAL

- a) Each official or prospective official desiring a license shall pay a fee. The licensing fees are also intended to cover costs of rules publications, postage and shipping, printed forms, membership in the National Federation Officials Association, rules interpretation meetings, fees for Arbitersports.com usage, and other costs of administering the officials program.
- b) The fee, which shall be published each year as part of the adoption of the standard minimum officiating fees, in order to license as an official with specific components as listed below:
  - (1) Administrative Fee - Each official or prospective official shall pay an administrative fee to license with the KHSAA. From this fee, the official will receive the membership dues into the National Federation Officials Association (NFOA) and insurance coverage fees shall be paid for each official as described in this brochure, notification regarding the Athlete Magazine when it is published online, and the other relevant news and mailings general to all officials. This administrative fee will also fund the Officials Trust Fund (\$5 per official for recognition programs and an annual banquet), and the required Criminal Background Checks as well as other items designed to promote, recruit and retain licensed officials in all sports;
  - (2) Per Sport Fee for Each Sport Licensed - Each official or prospective official shall pay a fee for each sport in which he/she desires to license. For example, a person desiring to license in one sport would pay the Administrative Fee and the fee for the sport. If a currently licensed official desires to add a new sport or renew in a previous sport after the deadline, the fee shall be the same as the re-licensing additional sport fee, and process would be as described for all new officials;
  - (3) College, University, or approved High School Mentor Fees - Each full-time student (12 hours) at a college or university (with verification of schedule submitted to the KHSAA) will have the Administrative Fee and the Per Sport Fee reduced. For example, a college student desiring to license in one sport would therefore pay the administrative fee, and an additional per sport fee;
  - (4) Twenty-Five Year Officials - The fees for officials licensing in a single sport into their 26th year or beyond shall be waived waived for all sports, but each may be assessed the administrative fee. The licensing process shall be completed through the KHSAA prior to the acceptance of any assignments;
  - (5) Late Licensure Fee - Each re-licensing official shall be charged a late fee for failing to license by the June 30 deadline in all sports. A late fine shall not be assessed for a re-licensing official who desires to add a first-time sport or for a new (prospective) official. Officials licensing after more than one year of lapse in licensing are not subject to the late fee;
  - (6) Late Clinic Fee - A fee may be assessed to officials who fail to verify competition of the required rules clinics in specific sports during the no-charge period. This fine shall be waived in a sport for first time licensing officials; and
  - (7) NSF (Insufficient Funds) Check or Declined Credit Card - A fee will be assessed to any official whose check fails to clear the bank and the transaction results in the KHSAA having a fee assessed by its financial institution. Licensing will be suspended for these officials or prospective officials until this fee is paid.

Sec. 10) LOCAL OFFICIALS' ASSOCIATIONS

- a) The KHSAA's officiating program for recruiting, training and retaining officials depends upon the involvement of local officials' associations.
- b) It is the ultimate goal of the KHSAA to have every official as an active and participating member of a local officials' association.
- c) Local associations have many roles, including:
  - (1) The recruitment and encouragement of new officials;
  - (2) Assist the Assigning Secretary in administering training and rules discussion meetings for officials; and
  - (3) Monitoring the local association to ensure compliance to all KHSAA regulations and standards by member officials
- d) A local officials' association may be sanctioned by the KHSAA upon following the procedure outlined below:
  - (1) The Association is recognized and sanctioned by the Local Regional Policy Board. There shall only be one such association for each sport within each local Regional Policy Board;
  - (2) Only duly licensed KHSAA officials are eligible for membership in a sanctioned local officials organization unless participating in a program approved by the Commission;

- (3) The local group may adopt its own name and its proposed Constitution and Bylaws. However, the proposed Constitution and Bylaws shall be submitted to the KHSAA for review and approval. The Bylaws of the local association may not set aside the rules of the KHSAA nor may these documents conflict with the assigned duties of the Regional Policy Board and Assigning Secretary;
- (4) A list of the current officers of the local regional organization shall be kept on file with the Commission;
- (5) The local association may not make rules or policies that supersede KHSAA requirements for officials in terms of qualification of varsity roster status or placement in crews or groups. That is the specific discretion of the Assigning Secretary; and
- (6) The local association may not make rules or policies that supersede KHSAA requirements for officials in terms of postseason qualification. That is the specific discretion of the Association as all assignments are made by the Commission.

Sec. 11) REQUIREMENT FOR CRIMINAL BACKGROUND CHECK AND STANDARDS FOR REVIEW

- a) A background check shall be done by the Kentucky High School Athletic Association ("KHSAA") on all licensed officials every five (5) years. The background checks will be done using the database of the Kentucky Administrative Office of the Courts ("courtnet") or other comparable firm.
- b) All new officials (prospective officials) shall have a background check done upon initial licensure. This first licensure shall be considered provisional in nature pending the successful completion of the background check. If the report is deemed inaccurate or does not provide sufficient detail of offenses, KHSAA may, at its discretion, request the applicant to provide a background check from the Department of Kentucky State Police. The cost of the Kentucky State Police Background check shall be borne by the appellant.
- c) Once completed, the background checks will be reviewed within the following items leading to PERMANENT SUSPENSION OR DENIAL OF LICENSURE. Permanent Suspension or Denial of Licensure - Licensure shall be denied any official or prospective official when the results confirm that the person has been:
  - (1) Convicted of a sex crime or criminal offense against a minor as defined in KRS 17.500;
  - (2) Listed as a violent offender as defined in KRS 17.165;
  - (3) Convicted for an unlawful transaction with a minor as set forth in KRS 530.064 or KRS 530.065; or
  - (4) Listed as a convicted felon who has not had his or her civil rights restored.
- d) Once completed, the background checks will be reviewed within the following items leading to TEMPORARY SUSPENSION OF LICENSURE. The Commission may, upon presentation of competent evidence, suspend the ability of a licensed official to be offered game assignments by local assigning secretaries for the following reasons:
  - (1) Being formally charged with a violation of KRS 17.500 (sex crime or criminal offense against a minor), KRS 17.165 (violent offender), KRS 530.064 or KRS 530.065 (unlawful transactions with a minor);
  - (2) Being indicted for any felony criminal act;
  - (3) Being indicted for a misdemeanor act involving the use or distribution of mind or body altering drugs, theft or an act involving moral turpitude;
  - (4) Any acts or omissions detrimental to the best interests of the KHSAA and interscholastic athletics, which could include but is not limited to:
    - a. Making derogatory remarks about officials, contestants, coaches or fans;
    - b. Instigating, inciting or being involved in disruptive incidents at contest sites or local association meetings or functions;
    - c. Making derogatory remarks or displaying critical actions or gestures against contest officials;
    - d. Sexual or other unlawful harassment;
    - e. Use of abusive or inappropriate language during the performance of the officials' duties or at any time from arrival at a contest venue to departure;
    - f. Providing unwarranted or derogatory news media releases, interviews or comments;
    - g. Improper or excessive communication with school representatives, media or general public regarding the evaluation or assignment of contest officials;
    - h. Terminating a contest before the normal end of regulation play without trying to secure the assistance of game management and security to rectify the problem; or
    - i. Improper or inappropriate comments using traditional or social media, or conduct unbecoming an official;
  - (5) Using mood altering substances on the date of contest;
  - (6) Being convicted of an offense for which the imposed punishment includes the suspension of driving privileges;
  - (7) Failure to timely (within 24 hours) and properly report the ejection of a player, administrator, coach, or spectator, or any other incident for which reporting is required by the Commission;
  - (8) Requesting or soliciting the opportunity to officiate a contest from any coach, league or official thereof in the sports of Baseball, Basketball, Football, Soccer, Softball, Wrestling, or Volleyball, if those schools are in an area that employs an assigning secretary;
  - (9) Requesting or soliciting the opportunity to officiate a non-varsity contest from any coach, league or official thereof in a sport or policy board area that mandates the assignment of that level by an assigning secretary;
  - (10) Becoming a member of more than one local association unless approved by the Commissioner;
  - (11) Failing to properly notify school authorities or assigning secretary when unable to work a contest or failure to properly appear for a contest;
  - (12) Knowingly officiating a contest in violation of KHSAA rules or mechanics or deviating from enforcement of National Federation Playing Rules other than those allowed by KHSAA adopted policies and experimental rules;
  - (13) Failure to wear the proper officiating uniform;
  - (14) Dishonesty in administration or completion of the Part 1 or Part 2 examination(s) or failure to complete the Part 2 exam if required; or
  - (15) Lack of clinic attendance.

Sec. 12) APPEAL RIGHTS

- a) The Supervisor of Officials shall notify, in writing, any official or prospective official that their license is being denied or temporarily/permanently suspended.
  - (1) Any official or prospective official suspended or denied licensure may appeal the decision of the Supervisor of Officials to the

Commissioner. In support of their appeal, the official or prospective official shall procure and submit a background check from the Department of the Kentucky State Police. The cost of this check shall be borne by the appellant. As a part of any appeal, the following shall be considered:

- a. If suspension or denial of license is based upon the conviction of a felony, the Commissioner may restore or issue a license if more than five (5) years have passed since the completion of the sentence, parole, or probation that was issued in the matter (provided the offense was not classified as: a sex crime or criminal offense against a minor as defined in KRS 17.500; unlawful transaction with a minor as set forth in KRS 530.064 or KRS 530.065; or a crime that results in the individuals being listed as a violent offender as defined by KRS 17.165);
  - b. If suspension or denial of license is based upon any conviction of: sex crime or criminal offense against a minor as defined in KRS 17.500; unlawful transaction with a minor as set forth in KRS 530.064 or KRS 530.065; or a crime that results in the individuals being listed as a violent offender as defined by KRS 17.165, licensure will not be issued or reinstated unless such offense has been reversed by proper authority having jurisdiction over the matter; and
- (2) Any official or prospective official may appeal the Commissioner's decision to the Board of Control no earlier than the next regularly scheduled meeting.

Sec. 13) LICENSING PROCESS FOR NEW (PROSPECTIVE) OFFICIALS IN ALL SPORTS

- a) An applicant shall complete an application online using the KHSAA website, [www.khsaa.org](http://www.khsaa.org). All requested information must be on the form with specific emphasis on the following required items:
  - (1) Social Security Number;
  - (2) Birth date;
  - (3) Phone Numbers;
  - (4) The applicant must indicate if already currently licensed in another sport; and
  - (5) Applicant must indicate if currently or previously licensed in another state and must provide verification of those records from another state(s) to receive the reciprocal service credit.
- b) The application for a new (prospective) official license shall be received by April 15 of the licensing school year.
- c) All licensing fees paid between May 1 and April 15 shall only apply to that year's officiating record.
- d) All requirements, including completion of the Part 1 Exam, shall be completed no later than the last Friday in April.
- e) If licensing is canceled due to lack of fulfilling these requirements, the year shall not be credited, no refund shall be issued and the amount paid shall not be carried to a future year and the applicant must start the process anew if desiring to license in the future.
- f) After a properly completed application and fee are received by the KHSAA, prospective applicants shall be mailed the rule books for that sport, and shall be sent instructions for the Part 1 Examination based on the following anticipated schedule:
  - (1) Applicants for fall sports (football, soccer, volleyball) receive the new materials late summer (approximately mid-July);
  - (2) Applicants for winter sports (basketball, wrestling, swimming) shall not receive these new materials until mid-fall; and
  - (3) Spring sports applicants (baseball, softball, and track) shall not receive new materials until approximately February 1.
- g) Applicants must then complete the Part 1 Examination using the online system. This is an open book examination which shall be completed by published deadlines.
- h) Licensing is not complete and the applicant is not eligible to officiate grades 9-12 contests until this examination has been completed and a score of at least 70 percent is attained.
  - i) The Part 1 exam can be taken twice to obtain the required score, but cannot be repeated once a score of 70 is achieved.
  - j) Examinations are processed immediately and posted to the record of the official.
  - k) Applicants failing to complete the required testing are not refunded fees and the prospective official will not be given credit for the year of service and would have to start the process anew if desired in a later year.

Sec. 14) REQUIREMENTS/DEADLINES FOR RE-LICENSING OFFICIALS IN ALL SPORTS

- a) During May/June of each year, all currently licensed officials shall be sent re-licensing information. Included in this information shall be an explanation of any licensing procedure changes, officiating and licensing fees, and the proper application for re-licensing.
- b) Prior to the published deadline of the upcoming licensing year - Licensing and fee payment is required of ALL Previously Licensed Officials in ALL sports for the coming school year. For sports and tournament levels requiring a Level 2 or Level 3 official, those sports officials shall have licensed no later than the published deadline of the licensing year.
- c) Final Deadline (postmark) for licensing application and fee including applicable late fee is April 15 of the licensing school year. Items received after deadline dates will be returned and will not be processed and the prospective official will have to begin the new licensing process again during the following year's annual licensing period.
- d) Each completed re-licensing form processed after the published deadline shall be assessed a late fee. It is the official's responsibility to maintain address and contact information online if any has changed as the official will be liable for late fees without waiver.
- e) Officials shall license in all sports with the same renewal form.
- f) Late licensing shall be allowed only with the payment of any applicable late licensing fees.
- g) Upon completion of the re-licensing requirements, each official may print his/her license from the KHSAA website. This license shall be available for examination at any KHSAA contest event.
- h) Rule books for each re-licensed sport as well as other required publications shall also be mailed, according to availability and based primarily on the printing and shipping schedule of the National Federation.

Sec. 15) METHODS OF FEE PAYMENTS

- a) Personal checks will be accepted for renewal of licensing along with an additional fee for processing. It is therefore strongly recommended that online payments be made for licensing.
- b) There will be no extra fee for licensing via credit card (Visa, Mastercard, American Express or Discover).
- c) A handling charge shall be assessed on any check returned by the bank or declined credit card for any reason. An official shall be considered not licensed until all fees are paid.

Sec. 16) REQUIREMENT TO JOIN LOCAL OFFICIALS ASSOCIATION

- a) Each licensed official must join a local association to officiate contests for grade 9-12 competition (freshmen, junior varsity and varsity) if

one is formed in sport and abide by the rules of that association. In wrestling, the only recognized association for the purpose of meeting this requirement is the Kentucky Wrestling Officials Association. Associations are not currently formed in Field Hockey, Swimming and Track and Field (Cross Country), and as such, membership is not required.

b) It is recommended that an official be an active member of only one local association per sport to assist in the assignment of postseason competition.

#### Sec. 17) CLASSIFICATION OF OFFICIALS

a) Officials licensed with the Kentucky High School Athletic Association shall be classified as Level 1, Level 2, or Level 3. Requirements for these classifications are:

(1) Level 1. An official shall be classified as Level 1 as soon as he or she has paid the annual licensing fee, and has attained a grade of at least 70 percent on the Part 1 Examination in that sport.

(2) Level 2. An official shall be classified as Level 2 if he or she has been Level 1 with the KHSAA for at least one school year, has attended the required KHSAA rules clinic, has paid the annual licensing fee, and at such point in time as he or she attains a grade of at least eighty (80) percent on the Part 2 Examination in that sport for the school year in which the Level 2 rating is requested. After having received the Level 2 rating, the official shall continue to receive the rating each school year upon payment of the licensing fee and until all requirements for the Level 3 rating are met.

(3) Level 3. When an official licenses for the sixth school year with the Association, he or she shall be classified as Level 3 if he or she has been previously classified as Level 2, has attended the KHSAA sponsored rules clinic, has paid the annual licensing fee for that sport, and at the point in time when he or she receives a grade of at least ninety (90) percent on the Part 2 Examination during the school year the Level 3 rating is requested. After having received the Level 3 rating, the official shall continue to receive the rating each school year upon payment of the annual licensing fee.

#### Sec. 18) RECIPROCITY OF OFFICIALS/MOVEMENT BETWEEN STATES

a) Officials may desire to officiate in more than one state or may transfer into Kentucky after licensing in another state. If concurrent licensing is desired, it would be necessary to license in each state and fulfill all of the requirements of each state. Many states accept such items as test scores, clinic attendance, etc. from an officials' tenure in a previous state. Kentucky does not honor rules clinic attendance or transfer of licensing levels from other states.

b) The KHSAA shall recognize years of officiating experience in other states while not licensed in Kentucky and Part 1 examination scores of an official transferring into Kentucky after having been licensed as a contest official in another state that conforms to the playing rules of the NFHS.

#### Sec. 19) RENEWAL OF LICENSE AFTER LAPSE

a) An official who does not re-license at least one year may re-license by completing the necessary forms and submitting the required fees.

b) Officials shall always maintain credit for prior years of licensing.

c) An official attempting to license after at least one year without licensing shall not be subject to the late fee.

#### Sec. 20) NFOA MEMBERSHIP AND INSURANCE COVERAGE

a) A portion of the annual KHSAA licensing fee for each official with the KHSAA shall be submitted to the National Federation for membership in the NFOA per the official's request.

b) A benefit of membership is the representation of the organization on the rules committees in the various sports, giving officials a voice in those changes. Various awards are given by the NFOA as well as other amenities.

c) This membership also provides access to the Arbiter Central hub for the NFHS which allows for searchable rules books, case books, and other study material.

d) As part of the administrative fee paid each year, each official also has catastrophic medical insurance coverage. For questions regarding insurance coverage under the program, please contact the NFHS in Indianapolis or see the program description on the KHSAA website.

#### Sec. 21) CONFLICT OF INTEREST - GENERAL STATEMENT AND REQUIREMENTS TO AVOID CONFLICT

a) An official shall not knowingly accept an assignment of a contest if any of the following conditions exist:

(1) If there is a KHSAA Officials Association that makes assignments through an assigning secretary and the assignment did not come through any authorized local association assignments procedure;

(2) If any official assigned to the contest is not a member in good standing with the KHSAA;

(3) If the official or the official's spouse is employed by any school fielding a team involved in a contest;

(4) If the official is related by blood or marriage to a person (parent, grandparent, child, grandchild, brother, sister, brother-in-law, son-in-law, etc.) affiliated with a team or school involved in a contest;

(5) If the official and any person affiliated with a team involved in a contest are former or present teammates, roommates, classmates, business associates or close personal friends; or

(6) If the official or the official's spouse attended or worked at either school within the last 10 years.

b) An official may accept assignment of a regular season contest or tournament only by fully informed written consent of the head coaches if any of the following condition exists:

(1) If the official has a child in school in one of the involved schools, or a child who graduated from one of the schools within the previous five years;

(2) If any official assigned to the contest is not a member in good standing with the KHSAA;

(3) If the official or the official's spouse is employed by any school fielding a team involved in a contest;

(4) If the official is related by blood or marriage to a person (parent, grandparent, child, grandchild, brother, sister, brother-in-law, son-in-law, etc.) affiliated with a team involved in a contest;

(5) If the official or any person affiliated with a team involved in a contest are former or present teammates, roommates, classmates, business associates or close personal friends; or

(6) If the official or the official's spouse attended or worked at either school within the last 10 years.

c) An official shall advise the assigning secretary of all known conflicts of interest with schools normally served by the local association prior to any assignments for a season.

d) In the event an Assigning Secretary does not know of a conflict in accordance with a) and b) above, an individual official shall notify the

assigning secretary of all known conflicts upon receipt of the schedule and the official shall not accept an assignment without first insuring compliance with the provisions of this section.

- e) In the event a conflict with these provisions becomes known after acceptance of the contest, the official shall make such conflict known to the assigning secretary or assigning authority as soon as practical:
  - (1) If the conflict is under a) above, the official shall be removed from the contest or tournament without penalty; and
  - (2) If the conflict is under b) above, the assigning secretary, in accordance with local association policy, shall inform both coaches of the possible conflict and reassign the official in the absence of consent from both head coaches, without penalty to the official.
- f) It shall be a violation of the Officials' Code of Ethics for an official to work a contest in violation of these provisions and an official violating these provisions may be reprimanded, placed on probation, fined, suspended or terminated from membership.

Sec. 22) MEMBERSHIP APPROVAL OF ASSIGNMENTS (SCRATCHES)

- a) By virtue of the Federal decree, a member school shall have the privilege of scratching/red-lining a limited number of officials (scratches) that it does not desire to have as contractors on the athletic contests of that school.
- b) The amount of scratches per school/coach is determined by the Regional Policy Board.
- c) Scratches shall be made in the preseason, and may not be changed during the playing season or prior to postseason.
- d) Scratches are valid for one-year and must be renewed annually to be honored.
- e) Scratches properly submitted in a timely fashion shall be honored by the Assigning Secretary and the officials shall not be assigned to a home, away or neutral site contest in the sport during that season.
- f) A member school of the KHSAA shall not take any action to prevent an athletic official from officiating a contest, including the use of a scratch because of the official's race, sex, religion or national origin, nor may a member school participate in a contest where such preventative action has been taken.

Sec. 23) ADVANCE CAMPS/CLINICS/TRAINING

- a) In many sports, local associations or other entities including the KHSAA may conduct advance specialized training efforts for the purpose of allowing officials to voluntarily receive concentrated instructions and training. Such camps cannot be used as a required revenue generator for assigning secretaries beyond nominal cost for time and expenses to conduct the activities.
- b) The sports specific contact within the Association can advise the officials in a specific sport as to the availability, and any relevant requirements for attendance.

Sec. 24) RULES CLINICS

- a) Each year, the staff conducts or supervises the conducting of rules clinics in KHSAA sports, which deal primarily with rules changes.
- b) The planned clinic dates for the upcoming school year are in various publications and available at [www.khsaa.org](http://www.khsaa.org).
- c) These dates may be superseded due to conflicts, and the most current list shall be posted on the KHSAA website.

Sec. 25) PART 2 EXAMINATION

- a) The Part 2 Examination is administered online by the Association in most sports, can only be taken online, and can be taken a maximum of two times.
- b) Officials choosing to take the exam may only do so during the scheduled dates.
- c) The test may not be given in advance or after the testing date. After this testing window, there will be no other opportunities to take the test.
- d) Officials shall receive a passing grade of at least 80% to be eligible for postseason if the Part 2 exam is required. Exceptions may be approved by the Commission.
- e) The test is not administered in the KHSAA offices.
- f) It is recommended that active officials take the Part 2 exam each year.

Sec. 26) ACCEPTANCE OF ASSIGNMENTS

- a) An official shall accept assignments in the time and manner prescribed by the appropriate Assigning Secretary.
- b) Failure to work an accepted contest, unless providentially prevented from doing so, may be cause for a one-year suspension in that sport.

Sec. 27) PAYMENT OF CONTEST OFFICIALS

- a) Where permissible, the officials for a given KHSAA athletic contest are to be paid upon arrival at the venue. This is preferred to paying in a public setting such as at the playing site itself (on the field or court).
- b) It is recognized that many schools are not allowed to pay independent contractors for service until after the service has been performed. In those cases, the preferred payment method is postgame, but NOT physically delivered by a member of the coaching staff or other non-administrative individuals.
- c) If schools are in a situation where payment cannot be made at the game site, it shall be made within seven (7) days to the contest official.
- d) In many cases, schools require the submission of the social security number by game officials prior to payment. If such is required, the request shall be made to the game officials on approved Board of Education supplied forms, and the handling of this information shall only be by adult school representatives who are employees at the school who shall be liable for the inadvertent release of information.
- e) The KHSAA does not release social security numbers on its contest officials.
- f) If a crew of officials works more than one contest at the same site, on the same day, the home school is not obligated to pay above the state standard fee for the second or subsequent contest(s).

Sec. 28) ARBITERSPORTS.COM USAGE

- a) The KHSAA contracts with the Advanced Business Technology (ABT) to allow the usage of the website [www.arbitersports.com](http://www.arbitersports.com) for the assignment of all contests.
- b) It shall be a criterion for being a licensed official that the official shall release information from the KHSAA to this company and that as directed by the Assigning Secretary, the official shall fully utilize the services of this company.
- c) All assigning secretaries shall utilize the features of the arbitersports.com system to record scratches and ensure that a scratched official is not assigned.
- d) All assigning secretaries shall utilize the "bill to" features of the arbitersports.com system to ensure proper notification of the fee due to officials and enable the use of the ArbiterPay system.

Sec. 29) OFFICIALS FAIL TO ARRIVE

- a) In the event a contracted official fails to arrive for a scheduled contest, the Assigning Secretary may have those officials who are present:
  - (1) officiate the contest with less than the usual number of officials. However, the crew size shall be compliant with NFHS playing rules. (For example, a nonvarsity football game shall have not less than 3 officials as that is the minimum acceptable crew size). In this case, the contest official(s) who officiate the contest are entitled only to the compensation for themselves, no additional fee is due;
  - (2) replace the absent official with a properly and currently licensed local official; or
  - (3) cooperate with the involved teams to postpone/reschedule the contest.

**Sec. 30) FACILITY/GROUNDS FIT TO PLAY**

- a) The Referee (Lead Official/Crew Chief) is to judge, in accordance with the sport's playing rules, as to whether or not the contest site is fit for play. He or she has the authority to cancel a contest due to unfit grounds/facilities, within the scope of those playing rules, or direct corrective action be taken prior to the event.
- b) If contests are canceled for this reason, the Association shall be notified through the assigning secretary.

**Sec. 31) CANCELLATION OR POSTPONEMENT OF CONTESTS**

- a) It is the duty of the home school to properly notify the contest officials in case of cancellation or postponement of the athletic contest.
- b) If a contest is canceled and the officials were notified prior to departure, there is no financial liability on the part of the school.
- c) If a contest is canceled prior to the officials leaving home and the officials are not notified, the home school is responsible for the payment of a full game fee plus the local policy board travel allowance.
- d) If a contest is canceled due to circumstances that occur after the officials have left home but prior to the start of the contest, the home school is responsible for the payment of the local policy board travel allowance fee to the crew of officials, plus a fee of 1/5 of the KHSAA established contest fee to each official.
- e) If a contest is postponed after the start of the contest due to inclement weather, the officials originally assigned to the contest shall make every effort to be available for the completion date. The member schools shall not be liable for an additional contest fee for the officials to work the re-scheduled contest, but shall be liable for an additional travel expense (mileage) fee. Each local officials' association is to implement a policy for the remuneration of officials working a re-scheduled contest who were not originally assigned to the contest.

**Sec. 32) IDENTIFICATION REQUIREMENT**

- a) It is important that prior to each contest, the Assigning Secretary and member schools verify that officials are licensed by the KHSAA. The official's responsibility in this situation is to present the current identification card to the host school Athletic Director before the contest.
- b) Under no condition shall an Assigning Secretary assign an official who is not properly licensed by the KHSAA to a freshmen, junior varsity or varsity high school athletic contest.
- c) It is the responsibility of the member school to verify that each official is licensed.
- d) It is also possible to verify licensing via the KHSAA website, [www.khsaa.org](http://www.khsaa.org).

**Sec. 33) MAINTAINING RECORDS**

- a) Each official and prospective official is required to establish a secure login to the KHSAA website. The portal for this login is located at [www.khsaa.org](http://www.khsaa.org).
- b) The login is done by entering the email address of the official, and a password established by the official.
- c) The KHSAA does not maintain password lists and cannot offer assistance in retrieving passwords. However the password may be reset by the official at any time.
- d) Much of the information needed by officials (test scores, re-licensing forms, clinic attendance registry, detailed address and phone listings for officials, etc.) is only available through the secure login site.
- e) It is each official's responsibility to notify the KHSAA office immediately with any address changes. There will be no waiver of any late fees or obligations of an official due to mail or parcels not arriving due to incorrect address information (electronic or regular mail).

**Sec. 34) DISQUALIFICATIONS - REPORTS (ONLINE ONLY)**

- a) It is the responsibility of the ejecting contest official to report immediately (within 24 hours) in writing any unsportsmanlike conduct or other action on the part of the coaches, players, school administrators, spectators or any other type of incident that results in the ejection of a coach, player, administrator, or spectator from a contest.
- b) Disqualifications are to be reported online via the KHSAA website, [www.khsaa.org](http://www.khsaa.org). Submission in this manner automatically forwards the report to both schools and to the Association.
- c) Incidents such as the ejection of a spectator or other nonplayer or coach shall be reported to the KHSAA immediately using the online system.
- d) The official must report the name and school of the offender with the report, and will be penalized for failure to properly complete this important administrative step.
- e) Officials reporting disqualifications should keep the reports direct and to the point, reporting the facts as they occurred. Officials are to refrain from opinions and editorial comments about prospective penalties.
- f) It is also the duty of each official to report any unusual incident which may or may not have led to unsportsmanlike ejection, but of which the knowledge is critical to the KHSAA in administering the sports program.

**Sec. 35) EVALUATION OF OFFICIALS**

- a) Coaches' ratings shall be gathered and compiled by assigning secretaries in all sports.
- b) A ratings system shall be developed by each assigning secretary and approved by the Regional Policy Board. The system should include both coach evaluations and the utilization of trained observers. The ratings system shall provide a minimum of 50% of the final rating to the Assigning Secretary.
- c) The final results of any system shall be a guideline but not the final determinant in postseason assignments by the Commission.
- d) Assigning Secretaries in each sport shall annually evaluate all varsity officials in contest type environments within their local officials association.

**Sec. 36) PROVIDENCE**

Officials are to take action according to the playing rules in cases of "Acts of God", or Providence.

**Sec. 37) SEVERE WEATHER/HEAT ILLNESS POLICY FOR ACTIONS BY OFFICIALS AT OUTDOOR SPORTS**

- a) The Referee (Lead Official/Crew Chief) shall stop play in a contest or scrimmage at the first sound of lightning or thunder at the site and

ensure adherence to the KHSAA Sports Medicine Policy on Lightning and Thunder as published in the Board of Control Policies and on the KHSAA website.

- b) If severe weather is of great length or intensity, the Referee (Lead Official/Crew Chief) shall work cooperatively with home contest administration on decisions related to the resumption of play. Contest officials are encouraged to learn the weather forecast prior to contest time and to work cooperatively with home contest administration prior to making weather-related decisions. Safety of the public and participants is the most important factor in any decision of this type.
- c) The Referee (Lead Official/Crew Chief) shall work cooperatively with home contest administration on decisions related to the KMA Heat Illness Plan. Final authority for this decision rests within home contest administration.

Sec. 38) KHSAA PUBLICATIONS/SUPPLIES

- a) Each official is entitled to the rule book for each sport in which they are currently licensed.
- b) If a separate Case Book or Officials Manual is printed for a sport, it may be provided to each official at the discretion of the Commission.
- c) Additional materials for each sport, at the discretion of the Commission, may also be distributed.
- d) Additional copies of all publications are available for purchase via the KHSAA website. Go to that site for a complete listing and the current pricing structure.

Sec. 39) PLAYING RULES AND QUESTIONNAIRES

- a) The playing rules that govern interscholastic competition in Kentucky are formulated by the National Federation of State High School Associations (NFHS) for the sports of baseball, basketball, competitive cheer (including dance), field hockey, football, soccer, softball, swimming and diving, track and field (cross country), volleyball and wrestling. In these sports, the member schools and KHSAA officials are required to utilize only this set of rules.
- b) The playing rules that govern interscholastic competition in Kentucky are formulated by the NFHS for the sport-activity for competitive cheer. The member schools and KHSAA officials are required to utilize only this set of rules.
- c) The playing rules that govern interscholastic competition in Kentucky are formulated by other approved outside entities for the sports of golf and tennis, and the sport-activities of Archery, Bass Fishing and Bowling. In these sports and sport-activities, the member schools and KHSAA officials are required to utilize only this adopted set of rules.
- d) Persons who are involved at the interscholastic level and are directly responsible to secondary schools write NFHS rules for the high school level of competition. According to NFHS policy, the KHSAA would forfeit its opportunity to appoint representatives to the NFHS rules committees if this Association were to make exceptions to, or modifications of, NFHS rules for varsity competition on a statewide basis.
- e) While members of the Association, the Association staff and the contest officials may not agree with every decision made by the rules committees, it is the NFHS policy to acknowledge and utilize our persons who have committee input, and respond to questionnaires when asked. Officials who wish to voice a concern or offer a recommendation to a specific sport committee may do so by writing to the appropriate member of the KHSAA Staff. Playing rules questions are more appropriately addressed to the rules interpreter for that particular sport.

Sec. 40) SOCIAL MEDIA, OFFICIATING AND ELECTRONIC CODE OF ETHICS

- a) With the increased use of social networking through the internet and via mobile phone and devices, it is important for officials to understand the importance of maintaining an ethical approach while participating in various forums, chat rooms, and all forms of social media.
- b) Violations of this policy will be considered conduct "unbecoming" an official and subject a licensed official to licensure suspension.
- c) To malign or openly criticize another official in any form of electronic communication is considered not only unprofessional, but also undermines sports officiating in general.
- d) It is also unprofessional for officials to offer rules clarifications or interpretations through this medium without the expressed directive from the appropriate state high school association.
- e) Licensed officials have to be very careful with the use of social media. In many cases, closed discussion and understanding is important to consistency and rules enforcement. The line is crossed when an official states, "The call should have been", or "The rule should have been interpreted as", for those are statements that should only be made by those officially designated by the NFHS or state offices to make such interpretations. Internal discussion is likely a very good thing if the audience can be securely limited. A moderator or discussion leader can then say "we have had enough debate, we will get a clarification and post it online when we get it." That's the advantage of closed forums.
- f) The KHSAA advocates and applies the recommended policy statement of the National Association of Sports Officials that states- Social networking sites can be wonderful communications tools. But there can be unintended consequences if they are used improperly. Because of their unique standing, officials need to be particularly careful when using those sites. Here are some reminders and guidelines:
  - (1) DO be aware that posts on social media are visible to the general public. Even if you limit access of your page to friends, it is likely that your post will be viewed by someone beyond the circle of people you intended to see it.
  - (2) DO find out your association or league expectations regarding social media. Your association may not have hard and fast rules, but find out if it has an unofficial policy.
  - (3) DO think twice before you post. If there is anything in your post that could be construed as a criticism of officials, of officials' decisions, or of schools, coaches or athletes...it's better left unsent.
  - (4) DO assume that your post will be seen by the two teams you will see in your next game and the teams you worked in your previous game as well as your partner(s) in those games.
  - (5) DON'T post anything relating to the schools you have worked or will work. It calls your objectivity into question.
  - (6) DON'T include anything in a post that makes reference to an upcoming assignment. If teams want to find out who is going to be working their game, they should do so through official channels, not your tweet.
  - (7) DON'T post details about other people's assignments, to playoff games for instance, until that information has been officially released. Don't use your page as a news service.
  - (8) DON'T use social media to criticize state or local association policies, assigning practices, etc.
  - (9) DON'T make posts regarding calls made by officials in other games, whatever the level. You and your buddies might debate the call you saw on TV, but debating the call on Facebook, Twitter or other forums and social media is a no-no.



- g) Accountability and integrity should always be our guiding principles. Jeopardizing your impartiality or professionalism should never be a part of your actions or posts. Let this information guide your decisions and involvement with social media.
- h) It is important for sports officials to realize that it is considered very unprofessional to carry a cell phone on the field or court, regardless of the reason. Officials are encouraged to refrain from the use of these types of electronic devices for any communication while the official has NFHS rule book jurisdiction, including texting or other forms of messaging or communication except in the case of an emergency.

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## REGIONAL POLICY BOARD POLICIES

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### Sec. 1) FORMATION OF POLICY BOARDS

- a) Each region (as defined by the boundaries of the basketball alignment) shall have an organization, which will hereinafter be referred to as a Regional Policy Board ("RPB") for the governance of policies related to grade 9-12 competition (freshmen, junior varsity and varsity).
- b) With the permission of the Commissioner, adjoining regions may form a joint Regional Policy Board.
- c) These RPB entities are formed in compliance with, and to ensure adherence to, the Federal Court Decree of 1971, as amended in 1987 and 2007.
- d) Questions and clarifications should be sought from the Supervisor of Officials. In these policies, varsity shall mean the highest level of school competition and those eligible to compete for KHSAA state championship play.

### Sec. 2) COMPOSITION, DUES AND OFFICERS:

#### a) Composition

- (1) Each RPB shall consist of equal representation from each basketball district within the region unless the decision is made to have one member per school. It is recommended that there be one member per member school. By 2/3 majority vote of all schools in the region, the membership composition may be changed to include one representative from each school.
- (2) Voting representatives shall be certified employees of an accredited member school, or member school system, within his/her district.
- (3) Members shall be elected by the Principal or Designated Representative from each member school within the District, or if one member is to be selected per school, shall be so designated by the Principal or Designated Representative of the school.
- (4) Members shall serve for a term of four (4) years on a staggered basis—first such terms selected by lot.
- (5) All employed Assigning Secretaries shall be able to attend meetings of the Regional Policy Boards with voice but without voting privileges.
- (6) The annual term for RPB Members shall run from July 1st to June 30th each year.

#### b) Dues and Fees

- (1) Each RPB shall set an amount of dues payable by each member school to help defray expenses for RPB operations. This amount is recommended to be a minimum of \$50.00 annually and is payable to the RPB Treasurer to help defray expenses.
- (2) These fees shall be paid by May 1st each year.
- (3) RPB Member's travel fees may be reimbursed at a rate determined by a majority vote of the RPB.

#### c) Officers

- (1) The RPB shall elect a Chairman and Vice-Chairman.
- (2) The officers shall hold the position for two (2) years per term and eligible to be re-elected.
- (3) Necessary elections shall take place during the month of May and all schools shall be notified no later than April 15th of the candidates seeking office.
- (4) Ballots must be returned to the RPB Chairman who shall coordinate the election process.
- (5) Votes shall be counted at the regularly scheduled May meeting.
- (6) The members may elect or appoint an individual to serve as Executive Director, Secretary, Treasurer or Secretary-Treasurer to help ensure the maintenance of records and accurate accounting of finances. These positions may be held by members or non-members at the discretion of the voting members, but shall have no vote in any matters if not currently serving as a voting member.

### Sec. 3) MEETINGS

#### a) Meeting Frequency and Notice

- (1) Each RPB shall meet at least once during the month of September (or in August or October as the school calendar permits).
- (2) Each RPB shall meet at least once during the month of January (or in December or February as the school calendar permits).
- (3) Each RPB shall meet at least once during the month of May (or in April or June as the school calendar permits)
- (4) The Chairman shall ensure that the KHSAA Commissioner is notified no later than the first day of the month in which any meeting is held as to the time and place of the meeting.
- (5) The Chairman may also call as many other meetings as deemed necessary to fulfill duties and the KHSAA shall be notified of the time and place.

#### b) General Provisions

- (1) All meetings are conducted in compliance with the Kentucky Open Meetings Act, including any interviews for the selection of new assigning secretaries. Business conducted and communication done electronically must be done in a manner that maintains the integrity of the Open Meetings Act.
- (2) A majority of the RPB Members must be present to conduct official business.
- (3) Minutes of the RPB Meetings, with a record of RPB Members and others in attendance, shall be forwarded to the KHSAA within thirty (30) days of the meeting.
- (4) Notification of scheduled RPB Meetings shall be sent to each Principal and Athletic Director of each KHSAA member school within the Regional Policy Board's respective region at the same time it is sent to KHSAA.
- (5) All meetings shall be conducted in accordance with Robert's Rules of Order, unless otherwise stipulated by the KHSAA.

### Sec. 4) RESPONSIBILITIES

#### a) Local Officials Associations

- (1) The RPB shall consider for approval the establishment of regional officials' association for the purpose of ensuring for the assignment of independent contractors to serve as officials to officiate grade 9-12 competition (freshmen, junior varsity and varsity) in baseball,

basketball, field hockey, football, soccer, softball (fastpitch), volleyball and wrestling.

(2) Only one regional officials' association may be approved for each sport by the RPB.

b) Officiating Fees

(1) The RPB shall review the travel needs of the officials within the region(s) and recommend any additional fee (regional "add-on") to be added to the state approved compensation scale for varsity officials to adequately compensate the officials. Such additional fee may not be based on perceived merit or ability of the officials, but solely on the geographic travel concerns.

(2) The RPB shall ensure that when the state approved compensation scale is adjusted for a specific sport that no downward adjustment is made to the regional "add-on" for that sport, unless made in conjunction with a comprehensive review in all sports.

(3) The RPB shall assist the KHSAA with monitoring of payment to officials to ensure that no official is compensated for a varsity contest at a rate below the state approved compensation scale.

(4) The RPB shall establish contest fees for nonvarsity contests in grades 9-12.

(5) Except for the travel needs (add-on) as detailed above, the RPB shall reject any request for varsity fee compensation increase unless it is in conjunction with the one every four-year review by the KHSAA Board of Control.

c) Varsity Assigning Secretary Selection comprises the following steps:

(1) Assigning Secretary selection shall annually be completed and executed by the KHSAA Commissioner or Supervisor of Officials after receiving a recommendation(s) from the RPB. The recommendation of the RPB shall not be considered binding on any party;

(2) When an Assigning Secretary is being selected, the RPB shall publicly solicit applicants for the position through the use of a variety of communication avenues such as internal discussion and recommendations of the RPB, media advertisement (including the KHSAA website), communication with the local officials' association, or communication with the member schools. The position announcement shall also be published by the KHSAA if requested by the RPB;

(3) It is recommended that local officials' organization's opinions be sought as to the person recommended for selection;

(4) It is recommended that the member schools of the region be consulted as to the person recommended for selection;

(5) The RPB, with assistance from the KHSAA, shall screen, including a background and criminal records check, all applicants desired to be recommended for hiring;

(6) The RPB shall interview prospective candidates and shall submit the names and background information for a minimum of two and maximum of three finalists to the Commissioner's office. Notification of the dates and times of the interviews shall be noticed to the KHSAA, and the KHSAA sport contact or Supervisor of Officials may attend and participate in the interviews;

(7) The Commissioner's office will then return to the RPB the names of the finalists not eligible for consideration for the position;

(8) The RPB shall make a decision as to a recommendation for the hiring of the assigning secretary and shall submit that recommended candidate's name to the KHSAA;

(9) The final hiring is subject to the sole discretion of the Commissioner and Supervisor of Officials;

(10) The KHSAA will then enter into a written agreement with the Assigning Secretary not to exceed one year;

(11) The RPB shall not hire any person for the position of assigning secretary whose name is not on the original list of candidates sent to the Commissioner's office;

(12) The KHSAA through its Commissioner or Supervisor of Officials may, of its own volition, terminate the contract of any assigner per these policies and the contract stipulations; and

(13) The RPB may request through the Commissioner or Supervisor of Officials, the termination of the contract of any assigner per these policies and the contract stipulations

d) Non-Varsity Assigning Secretary and Other Assigning Assistance Selection comprises the following steps:

(1) The RPB shall adopt a policy as to the assignment of non-varsity contests for grades 9-12. The RPB shall determine if those contests are to be assigned by the Varsity Assigning Secretary, assigned by an additional individual, or acquired locally by the member schools provided that the officials are properly licensed;

(2) In the event that an additional individual is to be used for non-varsity assigning, the procedures outlined in Section (c) above shall prevail; and

(3) All individuals compensated in any manner to assist with the assignment, evaluation or training of contest officials shall be subject to approval by the RPB, including any relevant compensation.

e) Varsity Assigning Secretary Compensation

(1) The RPB shall establish annual payment fees for Kentucky High School Athletic Association member schools to have officials assigned by regional varsity assigning secretaries. Such factors as supplies, materials, administrative needs, travel, etc. should be considered in establishing this salary.

(2) The RPB chairperson shall work in conjunction with adjacent region's RPB chairperson(s) in the event that an assigning secretary is assigning contests outside of the home region of the assigning secretary. The fee for the assignment of contests outside the region shall not be the jurisdiction of the home region, but this collaboration will ensure that the games are assigned, and the assigning secretary is fairly compensated. In the event that an RPB has determined a "flat rate" for the assignment of games rather than a per-school rate, this would include the payment of fees for the assignment of contests for schools outside regional service areas.

(3) The RPB shall consider for approval, the amount of annual payment fees for licensed officials in local associations to be paid to the Assigning secretary for game assignment and evaluation duties.

(4) The RPB shall ensure that all state and federal income tax and other regulatory laws are fully complied with in the event of the hiring of a non-varsity assigning secretary.

(5) All compensation for all persons involved in assigning as designated by the RPB shall be distributed by the KHSAA. It is the strong recommendation of the Commissioner that the RPB designate a person to collect the fees from the schools and officials, and remit that amount to the KHSAA for distribution to the Assigning Secretary to ensure documentation and compliance with all applicable tax regulations.

f) Non-Varsity Assigning Secretary Compensation

(1) The RPB shall establish annual payment fees for Kentucky High School Athletic Association member schools to have officials assigned by regional non-varsity assigning secretaries for grades 9-12. Such factors as supplies, materials, administrative needs, travel, etc. should

be considered in establishing this salary.

- (2) The RPB shall ensure that all state and federal income tax and other regulatory laws are fully complied with in the event of the hiring of a non-varsity assigning secretary for grades 9-12.
  - (3) All compensation for all persons involved in assigning as designated by the RPB shall be distributed by the KHSAA. It is the strong recommendation of the Commissioner that the RPB designate a person to collect the fees from the schools and officials, and remit that amount to the KHSAA for distribution to the Assigning Secretary to ensure documentation and compliance with all applicable tax regulations.
- g) Deadlines and Policies for Member Schools
- (1) The RPB shall adopt policies that ensure the timely submission of game schedules to regional assigning secretaries.
  - (2) The RPB shall adopt penalties or standards that address noncompliance with schedule deadlines. The RPB shall ensure that each member school's schedule of games is forwarded to the respective assigning secretary by the deadlines listed in the Assigning Secretary policies. Member schools failing to meet these deadlines shall pay a fine which is payable to the Assigning Secretary due to the workload adjustments. Exceptions to these deadlines may not be granted unless approved by a majority of the RPB. Member schools failing to adhere to the provisions of this regulation may suffer disciplinary action, including probation, suspension or additional fines imposed by the Commissioner's Office or Board of Control.
  - (3) If any fee or other penalty is assessed for other compliance issues by the member schools (game changes, additions, deletions, differing number of officials, etc.), then such policies shall be considered for approval or declination of approval by the RPB.
  - (4) Assist member schools in developing policies for the assignment of officials for athletic contests in which there are no regional assigning secretaries.
- h) Deadlines and Policies for Local Officials Association
- (1) The RPB shall consider for approval (or declination), any portion of the membership fee paid by the local officials association to an individual.
  - (2) The RPB shall consider for approval (or declination), any person assisting with recruitment, training and development within a local officials association. If any such individual is compensated, the RPB shall ensure that all state and federal income tax and other regulatory laws are fully complied with in the event of the hiring of an individual for such purpose.
- i) Recruitment, Training, Development
- (1) The RPB shall recommend policies to the KHSAA which will facilitate the recruiting, training and retention of sports officials as well as foster the relationship among assigning secretaries, officials and high school athletics.
  - (2) The RPB shall assist the KHSAA in identifying other areas where recruitment, training and retention of sports officials can be improved.
- j) Evaluation and Retention of Assigning Secretaries
- (1) The RPB shall annually review the job performance of each assigning secretary under the Regional Policy Board's jurisdiction and recommend retention or posting of the position to the KHSAA. Assigning secretaries shall be presented this review. Criteria for this evaluation may be developed by each RPB and other requirements may be found in the KHSAA listing of assigning secretary responsibilities. Copies of the evaluations shall be submitted to the KHSAA.
  - (2) The RPB shall request approval from the KHSAA for the hiring or re-hiring of any assigning secretary in time for contract preparation and scheduling obligations.
- k) Miscellaneous Officiating Regulations
- (1) The RPB shall ensure that no fee is approved for softball umpires that creates a difference of greater than \$10 when compared to the fee paid to baseball umpires.
  - (2) The RPB shall ensure that any limitation on the length of softball contests also applies to baseball.
  - (3) When considering the fee for softball and baseball contests to be paid by member schools to umpires, the RPB may adopt a per-inning fee (i.e. all 7 inning games will be paid one rate, all 5 inning games one rate) provided the total 7-inning fee is not less than the state adopted fee schedule amount.
  - (4) The RPB shall ensure that all schools in the region are afforded the privilege of scratching selected officials as detailed in this manual. Such shall be done on an annual basis. The RPB shall determine the number of allowed scratches per school, subject to approval of the Commissioner. No RPB may deny scratch privileges to a school for any reason.
- l) Other Actions:
- (1) The KHSAA shall have a right to penalize a RPB for noncompliance of KHSAA regulations and requirements.
  - (2) Such penalties may include fines levied against the Regional Policy Board, the decertification of regional officials associations and limiting or eliminating the use of officials from the affected regions for a defined period of time.

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**SPORTS MEDICINE POLICY-**  
**PROTOCOL RELATED TO CONCUSSIONS AND CONCUSSED STUDENT-ATHLETES FOR ALL**  
**INTERSCHOLASTIC ATHLETICS IN THE COMMONWEALTH OF KENTUCKY**

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(Released: June, 2010, Commissioner Julian Tackett, Updated per General Assembly Action, April, 2012, Updated per General Assembly Action, April, 2017)

Sec. 1) INTRODUCTION

- a) In various sports playing rule codes, the National Federation of High Schools (NFHS) has implemented standard language dealing with concussions in student-athletes. The basic rule in all sports (which may be worded slightly differently in each rule book due to the nature of breaks in time intervals at contests in different sports) states:
  - (1) Any athlete who exhibits signs, symptoms, or behaviors consistent with a concussion (such as loss of consciousness, headache, dizziness, confusion, or balance problems) shall be immediately removed from the contest and shall not return to play until cleared by an appropriate health-care professional. (Please see NFHS Suggested Guidelines for Management of Concussion in the Appendix in the back of each NFHS Rules Book).
  - (2) The NFHS also has recommended concussion guidelines through its sports Medicine Advisory Committee (SMAC). These

recommendations include:

- a. No student-athlete should return to play (RTP) or practice on the same day of a concussion.
  - b. Any student-athlete suspected of having a concussion should be evaluated by an appropriate health-care professional that day.
  - c. Any student-athlete with a concussion should be medically cleared by an appropriate health-care professional prior to resuming participation in any practice or competition.
  - d. After medical clearance, return to play should follow a step-wise protocol with provisions for delayed return to play based upon the return of any signs or symptoms.
- (3) To implement these rules, and based on KRS 160.445 and 156.070(2) as amended by the Kentucky General Assembly in 2012 and 2017, the KHSAA has defined this policy and parameters to guide all interscholastic school athletic representatives and all KHSAA licensed sports officials. References to signs and symptoms of concussion are detailed by the NFHS through its SMAC upon consultation with the Centers for Disease Control and Prevention (CDC).

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## **POLICY ON CONCUSSIONS DURING INTERSCHOLASTIC PLAY IN THE COMMONWEALTH OF KENTUCKY**

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### Sec. 1) FOUNDATIONAL RECOMMENDATIONS

- a) The treatment of concussions and suspected concussions should be conducted within the recommended protocols and procedures of the Consensus Statement on Concussion in Sport: The 3rd International Conference on Concussion in Sport Held in Zurich, November 2008.

### Sec. 2) SUSPECTED CONCUSSION

- a) A student-athlete suspected by an interscholastic coach, school athletic personnel or contest official of sustaining a concussion (displaying signs/symptoms of a concussion) during an athletic practice or contest shall be removed from practice or play immediately. The student-athlete shall not return to play prior to the ending of practice or competition until the student-athlete is evaluated to determine if a concussion has occurred.
- b) A physician or licensed health care provider whose scope of practice and training includes the evaluation and management of concussions and other brain injuries is empowered to make the on-site determination that a student-athlete has or has not been concussed. This includes an MD (Medical Doctor), DO (Doctor of Osteopathy), PA (Physician Assistant), ARNP (Advanced Registered Nurse Practitioner), ATC (Certified Athletic Trainer); or LAT (Licensed Athletic Trainer). This may also include other licensed health care providers with the proper scope of practice and training whose qualifying credentials have been made known to member school personnel in advance and who have completed approved training.
- c) The player should be medically evaluated on-site using standard emergency management principles, and particular attention should be given to excluding a cervical spine injury. The appropriate disposition of the player must be determined by the treating health care provider in a timely manner. Once the first aid issues are addressed, then an assessment of the concussive injury should be made using the SCAT2 or other similar tool. The player should not be left alone following the injury, and serial monitoring for deterioration is essential over the initial few hours following injury.
- d) If any one of these individuals listed in (b) answers that "yes", there has been a concussion that decision is final and is not appealable.
- e) If medical coverage by a person empowered to make the concussion assessment is not on-site, and signs/symptoms of concussion have been observed, a concussion is presumed until such evaluation can be performed. If no health care provider is available, the player should be safely removed from practice or play and urgent referral to a physician arranged.
- f) No student-athlete may return to practice or play in interscholastic athletics that day in the event that a concussion is diagnosed or presumed.
- g) A student-athlete may return to play at the time of a suspected concussion if it is determined by appropriate medical personnel that no concussion has occurred.

### Sec. 3) ROLE OF COACHES IN ADMINISTERING THE POLICY

- a) Coaches are to be current in their certification regarding the KMA/KHSAA sports Safety Course, including the specific segment(s) related to identifying the signs and symptoms of concussions.
- b) Coaches must review and know the signs and symptoms of concussion and direct immediate removal of any student-athlete who displays these signs or symptoms for evaluation by appropriate medical personnel.
- c) Coaches have no other role in the process with respect to diagnosis of concussion or medical treatment.
- d) It remains the ultimate responsibility of the coaching staff in all sports to ensure that players are only put into practice or contests if they are physically capable of performing.
  1. Upon completion of the required evaluation, a coach may return a student athlete to play if the physician or licensed health care provider determines that no concussion has occurred; or shall not return a student athlete to play if the physician or licensed health care provider determines that a concussion has occurred.
  2. If no physician or licensed health care provider described in paragraph 2(b) of this policy is present at the practice or competition to perform the required evaluation, a coach shall not return a student athlete to play who is suspected of sustaining a concussion. The student athlete shall not be allowed to participate in any subsequent practice or athletic competition unless written clearance from a physician is provided.

### Sec. 4) ROLE OF CONTEST OFFICIALS IN ADMINISTERING THE POLICY

- a) Officials are to review and know the signs and symptoms of concussion and direct immediate removal of any student-athlete who displays these signs or symptoms.
- b) Officials have no other role in the process with respect to diagnosis of concussion or medical treatment.

### Sec. 5) RETURN TO PLAY POLICY FOR A STUDENT-ATHLETE RECEIVING A CONCUSSION, AFTER THE MANDATORY REMOVAL THAT DAY

- a) Once a concussion has been diagnosed (or presumed by lack of examination by an appropriate health care provider), only an MD or DO can authorize return to play on a subsequent day, and such shall be in writing to the administration of the school after the completion of all concussion protocols.
- b) Such approval should not be given unless a stepwise protocol has been observed by all practitioners with separate periods for
  - (1) No activity;

- (2) Light aerobic exercise;
  - (3) Sport-specific exercise;
  - (4) Non-contact training drills;
  - (5) Full-contact/competition practice; and
  - (6) Return to normal game play.
- c) It is highly recommended that each of these protocol steps be no less than twenty-four hours in length.
- d) It is highly recommended that no student-athlete return to play unless he/she has been properly recommended to also return to school.
- e) School administration shall then notify the coach as to the permission to return to practice or play.
- f) If an event continues over multiple days, then the designated event physician has ultimate authority over return to play decisions and such return to play may not be prior to the third day following the initial diagnosis, and until all steps of the protocol in Section (b) have been followed.

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## **SPORTS MEDICINE POLICY**

### **KMA/KHSAA PROCEDURE FOR AVOIDING HEAT INJURY/ILLNESS THROUGH ANALYSIS OF HEAT INDEX AND RESTRUCTURING OF ACTIVITIES AND RECOMMENDATIONS FOR COOLING METHODS DUE TO HEAT RELATED ILLNESS.**

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Original procedure developed by the Kentucky Medical Association Committee on Physical Education and Medical Aspects of sports to and for the Kentucky High School Athletic Association and adopted by the KHSAA Board of Control as recommendation for all schools, May 2002, On-site procedures revised by KHSAA Board of Control, February 13, 2003, On-site procedures further revised and made mandatory for all schools by the KHSAA Board of Control, May 2005, On-site procedures further revised with respect to testing instruments, March 2007, Cooling Procedures modified as recommended by Kentucky Medical Association, June 2009, Heat Index expanded to spring sports, August 2010, Amended to Require Cooling Procedures, 2012

#### Sec. 1) INTRODUCTION

- a) Following months of study, after one year of implementation and in an effort to help protect the health and safety of student-athletes participating in high school sports, the Kentucky Medical Association Committee on Physical Education and Medical Aspects of sports issued a recommended procedure to the Kentucky High School Athletic Association for immediate implementation in 2002. This procedure called for the determination of the Heat Index (using on-site devices to measure Temperature and Relative Humidity), and a guideline for activity to be conducted at that time based on the Heat Index reading. Though other procedures and measurements were considered, the application of the Heat Index appeared to be most readily implementable on a statewide basis, and appeared to be reliably tested in other areas.
- b) Through the first five years of use of the procedure, minor adjustments were made in the reporting requirements, and the on-site devices to be used. In May 2005, the Board of Control through its policies directed that all member schools comply with the testing and reporting requirements. In October 2006, the member schools of the Association overwhelming approved at their Annual Meeting, a proposal to make such reporting not simply a Board of Control policy, but a school supported and approved Bylaw as it approved Proposal 9 to amend the KHSAA bylaws. In March 2007, the Kentucky Medical Association Committee on Physical Education and Medical Aspects of sports recommended the elimination of all devices with the exception of the Digital Sling Psychrometer as a means of measuring at the competition/practice site. In June 2009, the Kentucky Medical Association Committee on Physical Education and Medical Aspects of sports recommended that specific cooling procedures, including the practicing in the event of an emergency, be implemented at the local school level. In August 2010, the Kentucky Medical Association Committee on Physical Education and Medical Aspects of sports recommended that the heat index monitoring procedures apply to the sports played in the spring in Kentucky's high schools.
- c) Each of these recommendations were adopted by the KHSAA Board of Control.

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## **GENERAL HEAT INDEX PROCEDURE**

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#### Sec. 1) ON-SITE DATA

- a) The procedure calls for the determination of the Temperature and Relative Humidity at the practice/contest site using a Digital Sling Psychrometer at the specific site of activity and the following provisions:
  - (1) It is important to note that media-related temperature readings (such as the Weather Channel, local radio, etc.) or even other readings in the general proximity are not permitted as they may not yield accurate results when considering the recommended scale, and there is no website, phone app or other computer programs that can substitute and allow a school to remain in compliance;
  - (2) The readings must be made at the site and readings from alternative locations (Weather Channel, other schools) are not valid; and
  - (3) It is noted that the WBGT (Wet Bulb Globe Temperature) is the "gold standard" for heat determination. However due to lack of funding for WBGT devices, the heat index is the selected alternative.
- b) Neither the KHSAA nor KMA has endorsed any particular brand of psychrometer and receives no endorsement fee or other consideration for any device sold. There are several models on the market that will properly perform the functions. The KHSAA or your local certified/licensed athletic trainer has easy access to catalogs with this type of equipment.

#### Sec. 2) INDOOR AND OUTDOOR VENUES

- a) While much of the original discussion concerning this package centered on outdoor sports, indoor sports, particularly in times of year or facilities where air conditioning may not be available, should be included in the testing. Such has been approved by the Board of Control as policy requirement.
- b) The recommendations contained in this package clearly cover both indoor and outdoor activity, as well as contact and non-contact sports.

#### Sec. 3) SUMMARY OF HEAT INDEX MONITORING

- a) Though much more scientific information and other alternative methods for determining Heat Index and participation restrictions are being studied, these initial steps should help ensure the health and safety of the participants in high school sports.
- b) Adherence to these guidelines represents a conscious effort by the interscholastic community to emphasize health and safety on a much

higher level than any loss of competitive preparation. Any further revisions or enhancements will be distributed to the members of the KHSAA.

Sec. 4) PROCEDURE FOR TESTING

- a) Thirty (30) minutes prior to the start of activity, temperature and humidity readings should be taken at the practice/competition-site.
- b) The readings are to be taken at the exact location of practice as the first and only accurate place to take the reading is the specific competition/practice area where the activity will occur.
- b) The information should be recorded on KHSAA Form GE20 and these records shall be available for inspection upon request. All schools will be required to submit this form in either a paper or electronic form.
- c) The temperature and humidity should be factored into the Heat Index Calculation and Chart and a determination made as to the Heat Index. If schools are utilizing a Digital Sling Psychrometer that calculates the Heat Index, that number may be used to apply to the regulation table.
- d) If a reading is determined whereby activity is to be decreased (above 95 degrees Heat Index), then re-readings would be required every thirty (30) minutes to determine if further activity should be eliminated or preventative steps taken, or if an increased level of activity can resume.

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## HEAT INDEX AND ACTIVITY ALTERATION TABLE

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Using the following scale, activity must be altered and/or eliminated based on this Heat Index as determined:

Sec. 1) Under 95 degrees Heat Index

- a) All sports
  - (1) Water should always be available and athletes be able to take in as much water as they desire;
  - (2) Optional water breaks every 30 minutes for 10 minutes in duration to allow hydration as a group;
  - (3) Have towels with ice for cooling of athletes as needed;
  - (4) Watch/monitor athletes carefully for necessary action; and
  - (5) Re-check temperature and humidity every 30 minutes if temperature rises in order to monitor for increased Heat Index.

Sec. 2) 95 degrees to 99 degrees Heat Index

- a) All sports
  - (1) Water should always be available and athletes should be able to take in as much water as they desire;
  - (2) Mandatory water breaks every 30 minutes for 10 minutes in duration to allow for hydration as a group. In sports or sport-activities with multiple simultaneous contests or practices, the required monitoring and rest breaks shall be taken at the same time for all contests or practices;
  - (3) All breaks shall be taken in areas outside of direct sunlight;
  - (4) Have towels with ice for cooling of athletes as needed; and
  - (5) Watch/monitor athletes carefully for necessary action.
- b) Additional Steps for Contact sports and activities with additional required protective equipment:
  - (1) Helmets and other required equipment (by rule) should be removed when athlete not directly involved with competition, drill or practice, and it is not otherwise required by rule;
  - (2) Reduce time of outside activity. Consider postponing practice to later in the day; and
  - (3) Re-check temperature and humidity every 30 minutes to monitor for increased Heat Index.

Sec. 3) 100 degrees (above 99 degrees) to 104 degrees Heat Index

- a) All sports
  - (1) Water should always be available and athletes should be able to take in as much water as they desire;
  - (2) Mandatory water breaks every 30 minutes for 10 minutes in duration to allow for hydration as a group. In sports or sport-activities with multiple simultaneous contests or practices, the required monitoring and rest breaks shall be taken at the same time for all contests or practices;
  - (3) All breaks shall be taken in areas outside of direct sunlight;
  - (4) Have towels with ice for cooling of athletes as needed;
  - (5) Watch/monitor athletes carefully for necessary action;
  - (6) Alter uniform by removing items if possible and permissible by rules;
  - (7) Allow for changes to dry T-shirts and shorts by athletes at defined intervals;
  - (8) Reduce time of outside activity as well as indoor activity if air conditioning is unavailable; and
  - (9) Postpone practice to later in day.
- b) Additional Steps for Contact sports and activities with additional required protective equipment:
  - (1) If helmets or other protective equipment are required to be worn by rule or normal practice, suspend practice or competition immediately and resumption may not occur until the index is 99 degrees or below;
  - (2) For sports that do not have mandatory protective equipment, reduce time of outside activity and consider postponing practice to later in the day; and
  - (3) Re-check temperature and humidity every 30 minutes to monitor for changes in Heat Index.

Sec. 4) Above 104 degrees Heat Index

- a) All sports
  - (1) Stop all outside activity in practice and/or play, and stop all inside activity if air conditioning is unavailable.

Sec. 5) Continual Usage of Procedure

- a) This procedure is to be used until such time as the temperature is below 84 degrees as no combination of heat and humidity at that level will result in a need to curtail activity.
- b) The KHSAA will use September 15 as the standard date for the recording of the Heat Index forms in the fall, and April 15 as the start date in the spring.

- c) Member schools should remember that the monitoring shall continue any time that a combination of heat and humidity at that level could result in a need to curtail activity (an ambient temperature of 83 degrees or higher).

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## **COOLING METHODS DUE TO HEAT RELATED ILLNESS**

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### Sec. 1) EXERTIONAL HEAT STROKE

- a) Exertional heat stroke (EHS) is relatively uncommon among exercise associated medical conditions, but is a frequent cause of exercise related death.
- b) The majority of medical evidence shows that early implementation of body cooling is the most effective method of decreasing mortality in EHS.
- c) Recommendations regarding the methods of body cooling, including tubs, ice bags, iced towels (towels with water that have been frozen) water, fans, and shade have been considered.
- d) The recommendations are classified as essential (foundational to the implementation of treatment, should have resources and personnel directed towards implementation), and desirable (important in maximal implementation, should have resources and personnel directed towards implementation as budget and resources allow).
- e) The recommendations are only guidelines, are not intended as a standard of care, and should not be considered as such. These guidelines should be considered in the care of athletes who can be expected to be at risk of EHS due to the sport or the environmental situation of the activity.
- f) Sports especially at risk include football, with and without equipment, soccer, and long distance track. Other sports and activities, such as cycling, golf, baseball, tennis, track and field, and band, may also be at risk due to long duration exposure to extreme environmental conditions.
- g) It is essential and required that the school and school officials:
  - (1) Establish a written plan for emergency treatment of EHS, and conduct drills in the implementation of the plan;
  - (2) Know how to assess environmental conditions and determine when extreme conditions exist;
  - (3) Identify a specific spot at the athletic facility that has shade;
  - (4) Have immediate access to ice and bags to contain ice;
  - (5) Have access to water, and provide water breaks; and
  - (6) Know the most effective sites for application of ice to the body.
- h) It is required that the school and school officials:
  - (1) Obtain and use, when environmental conditions are determined to be extreme, a tub or pool;
  - (2) That the tub be filled with water and ice is available before practice or game, to be used in body immersion for maximal cooling, and have personnel trained in this technique;
  - (3) That this tub be large enough to place an athlete into the cold, ice and water filled tub and cool the athlete ensuring that both the groin and armpits are in the cooling ice and water;
  - (4) That the athlete must be monitored at all times when in the cooling tub, with individuals designated to control the head and neck at all times in case the athlete becomes unconscious;
  - (5) That the emergency plan ensures that cooling of an athlete that is showing signs and symptoms of exertional heat illness is begun immediately including the availability of cold, iced towels, etc.; and
  - (6) That the emergency plan including the re-stating and practicing of the fundamental principle that the objective is to cool first, transport second and that the potentially impacted athlete should be monitored continuously until appropriate emergency personnel arrive on the scene.
- i) It is highly desirable that schools and school officials:
  - (1) Have a certified/licensed athletic trainer on staff to develop and implement these guidelines;
  - (2) Have immediate access to water and ice at all times;
  - (3) Provide shade breaks;
  - (4) Provide fans when environmental conditions are determined to be extreme;
  - (5) Have close access to an air conditioned room; and
  - (6) Have access to and use iced towels that can be rotated to appropriate areas of the body, including the axilla, groin, and back of the neck.
- j) It is desirable that schools and school officials:
  - (1) Have trained and authorized medical personnel in place to be able to monitor the rectal temperature of an athlete in an appropriate contained environment in the event of a heat emergency where an athlete is placed in a cooling tub or a suitable and accepted alternative to monitoring the temperature to ensure the effectiveness and timeliness of treatment until appropriate emergency personnel arrive on the scene;
  - (2) Have trained and authorized medical personnel routinely review and update the school's emergency action plan for athletic emergencies.

### Sec. 2) REFERENCES

- a) Binkley HM et al. NATA Position statement: Exertional heat illness. J Ath Training 2002; 37: 329- 343.
- b) Casa DJ et al. Survival strategy: Acute treatment of exertional heat stroke. J Strength Conditioning Res 2006; 20: 462.
- c) Armstrong LE et al. ACSM position stand: Exertional heat illness during training and competition. Med Sci sports Exerc 2007; 41: 556- 572

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## **SPORTS MEDICINE POLICY EMERGENCY ACTION PLANS**

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### Sec. 1) INTRODUCTION AND REQUIREMENTS

- a) Per KRS 160.445, each member school shall develop a venue specific, Emergency Action plan to deal with serious injuries and acute

medical conditions in which the condition of the patient may deteriorate rapidly.

b) Each plan shall:

- (1) Include a written delineation of the role (including chain of command), methods of communication, available emergency equipment, and access to and plan for emergency transport;
- (2) Be developed and coordinated with local EMS, school public safety officials, on site medical personnel or school medical staff and school administrators;
- (3) Be in writing;
- (4) Be distributed to all appropriate personnel including all staff members;
- (5) Be posted conspicuously in all venues;
- (6) Be rehearsed annually by all licensed/certified athletic trainers, first responders, coaches, school nurses, athletic directors and volunteers for interscholastic athletics;
- (7) Be specific to each venue and include maps and/or specific directions to that venue;
- (8) Include all current information for EMS;
- (9) Identify specific current facility address logistics including GPS coordinates;
- (10) Specify post emergency event documentation;
- (11) Be reviewed and rehearsed annually by all parties involved; and
- (12) Include healthcare professionals who will provide medical coverage during games, practices or other events.

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## **SPORTS MEDICINE POLICY RECOMMENDED USE OF AUTOMATED EXTERNAL DEFIBRILLATORS (AED)**

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Sec. 1) INTRODUCTION AND RECOMMENDATIONS

- a) School AED programs should be implemented under the supervision of an authorized health care provider (to include include an MD (Medical Doctor), DO (Doctor of Osteopathy), PA (Physician Assistant), ARNP (Advanced Registered Nurse Practitioner), ATC (Certified Athletic Trainer); or LAT (Licensed Athletic Trainer ), and select school staff personnel provided with proper training and certification;
- b) AEDs should be placed in easily accessible/public locations with adequate signage;
- c) All athletic trainers, coaches, administrators, school nurses and physical education teachers should have access to an AED on school property and at all school sanctioned athletic events/activities;
- d) Schools sponsoring athletic events should have an AED on site or access to one within 3 minutes at each athletic venue for practices, games and other athletic events;
- e) All coaches and other selected staff members should be provided with training and certification in cardiopulmonary resuscitation (CPR) and AED use;
- f) The location of AEDs should be in well-marked, publicized places, and known among all staff;
- g) An AED should be retrieved and applied to any collapsed and unresponsive athlete or necessary individual while EMS is called and CPR started; and
- h) AEDs should be inspected frequently (according to manufacturer recommendations) to ensure proper working order, making sure batteries are charged, and wires and pads are in good condition.

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## **SPORTS MEDICINE POLICY WRESTLING MINIMUM WEIGHT CERTIFICATION PROGRAM**

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Sec. 1) INTRODUCTION

- a) The health hazards associated with excessive weight reduction through food deprivation and/or dehydration are well documented. These hazards include, but are not limited to, reduced regulation of body temperature, kidney failure, and acute and/or chronic fatigue. The KHSAA urges all to consider the information contained herein in making decisions regarding competitive weight of wrestlers.
- b) The purpose of this plan is to develop and determine an athlete's minimum weight, not necessarily best weight, as those are individual decisions. This only forms a limitation for competition, not an artificial standard for normal practice. In order to participate in wrestling, all participants must have a minimum weight determination made by a designated assessor. The establishment of a minimum weight class is based on a body fat measurement of 7% for male wrestlers and 12% for female wrestlers. This determination must be made through a four-part process:
  - (1) The determination and validation of the proper hydration level of the athlete;
  - (2) The determination of the athlete's body fat percentage;
  - (3) The determination of the athlete's minimum weight were his/her body fat percentage reduced to the minimum level; and
  - (4) The projection and stipulation for the point in time when a wrestler could actually wrestle at that minimum weight.
- c) Questions about the program or its implementation should be directed to Assistant Commissioner Michael Barren at the KHSAA offices in Lexington.

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## **WRESTLING WEIGHT MANAGEMENT REGULATION AND POLICY**

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Sec. 1) BACKGROUND AND FUNDAMENTALS

- a) An accepted method of determining this weight shall be used and the Commissioner shall approve the method. Any one of the four approved methods may be used in accordance with the weight management procedures, including skin-fold caliper testing; BOD POD® air displacement; Bioelectrical Impedance Analysis or Hydrostatic (under water) determination.
- b) The NWCA (National Wrestling Coaches Association) online system shall be used to record and track the procedures.

Sec. 2) PROCEDURES



- a) The KHSAA remains concerned for the safety of the young people who participate in our wrestling program. The establishment of minimum weight classes along with a maximum weight loss per week limit allows high school wrestlers to participate in a most healthy manner.
- b) Each school is required to certify minimum weights of all wrestlers and turn in the forms to the KHSAA, either in the form of paper forms or the electronic spreadsheet.
- c) The establishment of a minimum wrestling weight based on 7% body fat for males and 12% for females is required for all high school wrestlers. The KHSAA does not advocate that a wrestler's established minimum weight is the athlete's best weight but simply the minimum weight at which the athlete will be allowed to compete.
- d) No wrestler representing a KHSAA member school may compete in a match against an outside team without a weigh-in and without adhering to NFHS weight class rules.
- e) To be entered in a specific weight class in the post season tournament series, each wrestler is required to make weight prior to a specified date in the desired postseason weight class.
- f) All weigh-ins must be recorded using the approved recording system or they do not count toward postseason eligibility.

#### Sec. 3) WEIGHT LOSS PER WEEK

- a) A weight loss of 1.5% of a wrestler's total body weight per week (or 3.5 pounds per week whichever is greater) has been established and therefore that is divided to get the allowable loss per day (.015 of Alpha weight/7) for the first week or .5 pound per day.
- b) A wrestler will not be allowed to wrestle at any time in a weight class that would require him/her to lose more than this amount.

#### Sec. 4) DESIGNATION/SELECTION/QUALIFICATIONS OF AN ASSESSOR

- a) When an individual is listed with the KHSAA as an "Assessor", there is always a concern about professional responsibility. There is an expectation of the highest professional and ethical conduct relative to performing assessments on the young wrestlers. These young wrestlers MUST be treated with the highest regard for their right to privacy, and for the confidentiality of all data collected with the program.
- b) Assessors are required to conduct themselves in a manner such that there will be no questions about the positive contribution to the program and to the sport of wrestling. There will be times when professional judgment will be involved to clarify and validate the assessment process; the greater the depth of understanding the program, the more likely you are to represent the best interests of both the KHSAA and the student-athlete.
- c) Each school may select an individual to serve as the Assessor for this program within their wrestling program. Multiple schools may utilize the same assessors.
- d) Schools must submit the name of the assessor to be used to the KHSAA using the appropriate KHSAA form IN ADVANCE of the testing.
- e) Assessors may not be members of school coaching faculty in any sport.
- f) Assessors must have medical background – by definition, employed in the healing and health care profession – Registered Nurse, Licensed Practical Nurse, Advanced Registered Nurse Practitioner, Doctor, Physical Therapist, Physician Assistant, Doctor of Osteopathy, Certified or Licensed Athletic Trainer, nutritionist, health educator or an exercise physiologist.
- g) To be eligible to become a KHSAA approved assessor an individual must have demonstrated training and experience in skin-fold measurement or other approved assessment method.
- h) Schools may utilize any individuals for the compilation of the data, but must use a designated assessor to perform the actual measurements.
- i) Schools may use someone not in one of the categories in Item 1(c) with permission of the Association by requesting such permission in advance.

#### Sec. 5) ESTABLISHING MINIMUM WEIGHTS

- a) The lowest weight class a wrestler may compete at will be determined as follows:
  - (1) If the predicted weight, at 7% male/12% female is exactly that of one of the weight classes, that weight class shall be the wrestler's minimum weight class.
  - (2) If the weight class falls between two weight classes, he/she must wrestle at the higher weight class.
  - (3) Any male or female wrestler whose body fat percentage at the time of measurement falls below 7%/12% must obtain, in writing on the prescribed KHSAA form, a licensed physician's clearance stating that the athlete is naturally at this sub 7%/12% body fat level. A physician's clearance is valid for one season and expires April 1 of each year. A copy of this form shall be submitted to the KHSAA with the minimum weight spreadsheet for each applicable wrestler.
  - (4) Any wrestler who first reports to a team after the posted deadline date shall have his minimum weight determined by his first scale weigh-in and he/she may not wrestle at a lower class the remainder of the season.
- b) There are four methods of determining the body fat percentage of a wrestler.
  - (1) Bioelectrical Impedance Analysis using electronic methods to determine the body fat percentage. The end result of the body fat calculation is entered into the minimum body weight calculations in order to determine the minimum body weight. This is programmed to calculate lean body mass and percent body fat;
  - (2) Traditional skin-fold testing, using specific measurements. In this case, skin-fold measurements will be utilized to determine each wrestler's body fat percentage. Only measurements taken by KHSAA approved assessors will be accepted. This method is based on the relationship between subcutaneous fat and total body fat and its inverse relationship to body density. The regression equations have been derived for the specific population of the wrestling community and these formulas calculate body density. The KHSAA will accept measurements using these different methods with the Lange or Harpenden calipers. These are medium priced calipers and can be obtained for around \$200.00 from most health care product suppliers;
  - (3) BODPOD air displacement system of measurement. This advanced method uses air displacement technology and is as accurate as underwater weighing; yet, it is very safe and fast for the wrestlers. It also provides the wrestler and coach with an immediate calculation for any allowable weight loss and the allowable schedule for the loss. This device (named the BODPOD) is available for testing at several sites. If you wish to learn more about the BODPOD in the meantime, go to [www.bodpod.com](http://www.bodpod.com) on the web. The end result of the body fat calculation is entered into the minimum body weight calculations in order to determine the minimum body weight; and
  - (4) Underwater or Hydrostatic body fat analysis in a supervised clinical setting. The end result of the body fat calculation is entered into the minimum body weight calculations in order to determine the minimum body weight. This method involves the submersion of an

individual to determine the subject's underwater weight that is used along with the weight on land to calculate the body density. It utilizes the concept that muscle mass and fat have specific known densities relative to water. This method can be fairly accurate, although it is usually quite expensive. There is no appeal from the body fat percentage determination used in this method.

- (5) Competitors wishing to appeal the determination of body fat level as determined in (1), (2) or (3) above may do so by using method (4) within two weeks of the original test administration. The costs of such appeal shall be borne by the athlete.

Sec. 6) DEFINITIONS

a) SKIN-FOLD ASSESSMENT TERMINOLOGY - The use of skin-fold assessment in the process of determination of body composition requires some standardization of terminology used in this field. The following is an attempt to accomplish this standardization:

- (1) Total Body Weight (TBW): weight of the body on a certified, calibrated scale.
- (2) Body Density (BD): the mass of the body per unit of volume. (The fat free component is assumed to have a density of 1.100 gm/cm<sup>3</sup>, the mass of fat is considered to be about .90 gm/cm<sup>3</sup>)
- (3) Percent Body Fat (%BF): the proportion of total body weight that is fat weight and expressed as a percentage.  $\%BF = (TBW - LBM) / (TBW) \times 100$
- (4) Lean Body Mass (LBM): the weight of the lean tissue of the body such as muscle, bone, and blood. The weight of the body without the fat weight.  $LBM = TBW - FW$ .
- (5) Fat Weight (FW): the weight of the fat tissue of the body. Includes both essential and stored fat tissue.  $FW = TBW \times \%BF$
- (6) Minimum Wrestling Weight (MWW): the lowest weight at which a wrestler may compete, determined to be 7 % body fat for the Kentucky Wrestling Minimum Weight Program less a 3% margin of error.
- (7) Ideal Body Weight: a body weight selected for a specific individual or group based on both empirical and scientific evidence that provides an optimum level of performance.
- (8) Minimum Weight: a body weight selected for a specific individual or group based on a specific percent body fat. A minimal, but not necessarily ideal or optimum body weight
- (9) Regression Equations: equations that express the relationship (based on correlation) between the criterion measure (GOLD STANDARD) and the prediction measure. In skin-fold assessment these are determined for specific combinations of sites, and specific populations.
- (10) Population Specificity: the attempt to make prediction calculation (equations) on representative subjects from specific groups of individuals, the results of which are intended to be applied to a similar, larger population. In skin-fold assessment for body composition the important specific factors are sex, age, national origin, maturation and hydration.
- (11) Biological Variability: variation that will contribute to error due to such factors as hydration and deposition-sites.
- (12) Technical Variability: variability that will contribute to error due to such factors as lack of standardization of procedures among assessors.
- (13) Reliability: reproducibility, the consistency and dependability of a measure.  $>.9$  with experienced assessors. Increases with fewer sites and monitored practice.
- (14) Validity: degree to which an assessor obtains an accurate measure. How well the group being assessed matches the group from which the regression equation was obtained and is dependent upon: age, activity level, population specific, and body composition status.

Sec. 7) PHYSICIAN'S CLEARANCE FOR EXCESSIVELY LEAN WRESTLERS

- a) Any wrestler who naturally is below the 7%/12% minimum measurement must have a documented physician's clearance.

Sec. 8) NUTRITION EDUCATION

- a) While it is not required, it is highly recommended that each school participate in a nutrition education program with their student-athletes and incur all costs of the program. Many times, wrestlers are making decisions regarding weight management and weight reduction that would be different if they were better educated as to the long-term consequences.
- b) The National Wrestling Coaches Association has an excellent nutritional model available for a nominal cost per school.

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## WRESTLING WEIGHT MANAGEMENT COMPETITION RULES

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Sec. 1) WEIGHT MANAGEMENT, CERTIFICATION AND CONTROL

- a) All athletes shall meet eligibility requirements including the development of a set minimum body weight. Only competitors whose minimum weight has been determined using the NWCA online weight management system (including all recording and tracking) are eligible to compete. Athletes without a minimum weight determination may not wrestle in competition or in practice after the first date for competition during the regular season.
- b) The minimum weight class for each wrestler shall be determined using the National Wrestling Coaches Association (NWCA) online system between October 15 and the first regular season match.
- c) The Head Coach of the team is responsible for maintaining a signed copy of the Alpha Report as the wrestling permission form. Each athlete subject to the sub-7% (sub-12% for females) physician permission shall have a properly completed form processed, and the Head Coach shall send a copy of that form to the KHSAA prior to the first competition. Once properly received, the KHSAA will authorize the wrestler to compete using the online system and until such is done, the wrestler may not compete.
- d) A wrestler may not compete on the day of the minimum body weight testing.
- e) A wrestler desiring to appeal the original minimum weight certification results shall complete the hydrostatic weight appeal within two (2) weeks of the original test.
- f) A wrestler may never be certified into a class which is below the minimum body weight determined by the wrestler, his/her parents, his/her coach and his/her doctor and verified by using the proper calculation forms.

Sec. 2) WEIGHT CLASSES, WEIGH-INS AND RECORDS

- a) Competition shall be divided into weight classes for regular and postseason competition as defined in the NFHS Wrestling Rules Book.
- b) The two-pound growth allowance will be added to each class boundary on December 25, thereby shifting the bounds of the class. The individual wrestler does not "receive" two pounds, the class weight limit is simply increased by two pounds.
- c) For each round of the KHSAA postseason tournament, the two pound weight/growth allowance provisions from the National Federation Rules shall be used and such shall be added on December 25.

- d) A certified scale shall be used to verify weight at all matches (regular and postseason). Scales which do not display current certification or for which current documentation is not available shall not count toward the wrestler's weigh-in requirements but shall count as though the wrestler weighed 288.
- e) If an athlete competes in a match where no certified scale was available, the weigh-in counts as a "miss" in all fourteen weight classes with respect to achieving a desired weight class weigh-in.
- f) A member school representative may not compete in any match where a weigh-in is not conducted and recorded.
- g) The Head Coach of each team shall be responsible for entering the complete competition schedule of the team prior to the first competition date, using the NWCA online system and shall maintain the accuracy of that schedule throughout the season.
- h) The Head Coach of each team shall provide, for each competition weigh-in, a match weigh-in form produced by the NWCA online system showing the lowest applicable weight for each competing wrestler on the actual date of competition.
- i) Local event managers should have in place an option to produce such listing in the event that the competing coach does not produce this report at weigh-ins.
- j) Any coach failing to produce this report shall have the weigh-ins recorded on an alternate form, and such shall be provided, by an opposing coach, to the KHSAA for review.
- k) Repeated failure to produce these required weigh-in reports shall be cause for suspension of the Head Coach from regular or post season competition or other penalty contained in KHSAA Bylaw 27 and may subject the team to ineligibility to enter the regional wrestling tournament.
- l) Following each match, and prior to the next competition for the squad, each coach shall enter the actual scale weights of each wrestler who weighed in for the competition, using the NWCA online system. For multiple day competition within the same event, only the first day weigh-in is to be recorded.
- m) Repeated failure to enter scale weights prior to the next competition shall be cause for suspension of the Head Coach from regular or post season competition or other penalty contained in KHSAA Bylaw 27 and may subject the team to ineligibility to enter the regional wrestling tournament.

**Sec. 3) CERTIFICATION INTO A WEIGHT CLASS**

- a) A wrestler is certified into a particular weight class at any regularly scheduled match or tournament any time on, or prior to, the Saturday of NFHS week 30 by making base weight (including growth allowance after December 25) at an official weigh-in on a certified scale, as long as that certified weight is not below the documented minimum weight and is at a time allowed by the minimum weight calculations. A wrestler weighing in on, or prior to, the Saturday of NFHS week 30 is continually certifying at the weigh-in weight.
- b) Once a wrestler is certified into a particular weight class as of the Saturday of NFHS week 30, he/she may weigh-in only in that class and one class above. He/she is automatically re-certified into a higher weight class after the Saturday of NFHS week 30 by weighing in and qualifying for that class, but never into a lower weight class. After the Saturday of NFHS week 30, making base weight (including growth) two classes above any previously certified weight class automatically renders that wrestler ineligible for the remainder of the year to wrestle in the lower weight class (previous certified weight).
- c) Please note that this rule is for weigh-ins, not matches. It is possible that a wrestler weighed in at a certain class weight for a match, but wrestled up during that match. That weigh-in would count for the lower weigh-in class.

**Sec. 4) WEIGHT CONTROL**

- a) All coaches are cautioned that rapid reduction in weight can endanger the health of the student-athlete and coaches are encouraged to keep current with all research in this area.
- b) The manager of each meet is to have each participant weighed and it is highly recommended that a physician examine each participant.

**Sec. 5) FUTURE CONSIDERATIONS**

- a) The KHSAA Wrestling Weight Control Program will continue to develop over the next few years with several enhancements planned.
- b) Education continues to be a key element in the success and understanding of the weight control program. It is hoped that through continued education and dedicated efforts among our wrestling community, we work toward creating a safer sport and healthier environment.

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**SPORTS MEDICINE POLICY**

**SEVERE WEATHER/HEAT ILLNESS POLICY FOR ACTIONS BY OFFICIALS AT OUTDOOR SPORTS**

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**Sec. 1) LIGHTNING POLICY**

- a) The Referee (Lead Official/Crew Chief) shall stop play in a contest or scrimmage at the first sound of lightning or thunder at the site and ensure adherence to the KHSAA Sports Medicine Policy on Lightning and Thunder as published in the Board of Control Policies and on the KHSAA website.
- b) If severe weather is of great length or intensity, the Referee (Lead Official/Crew Chief) shall work cooperatively with home contest administration on decisions related to the resumption of play. Contest officials are encouraged to learn the weather forecast prior to contest time and to work cooperatively with home contest administration prior to making weather-related decisions. Safety of the public and participants is the most important factor in any decision of this type.
- c) The Referee (Lead Official/Crew Chief) shall work cooperatively with home contest administration on decisions related to the KMA Heat Illness Plan. Final authority for this decision rests within home contest administration.

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**KHSAA MEDIA POLICIES**

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**Sec. 1) BACKGROUND**

- a) The KHSAA strives to assist the media in a professional and timely manner to help facilitate coverage of high school athletics. The KHSAA Media Policies and Guidelines were established with that in mind, and were put in place as a helpful reference for media outlets across the state.

**Sec. 2) OBTAINING PRESS CREDENTIALS**

- a) Credentials to each KHSAA state championship event are issued by the KHSAA to working members of the media that regularly cover high school athletics, for the purpose of covering the events.
- b) Credentials grant the bearer, and only the bearer, access to KHSAA championship events, and are non-transferrable.
- c) Credentials must be requested prior to the established deadline for each sport through the online credentialing system (credentials.khsaa.org).
- d) Credentials should be requested by the sports editor/director for all members of his/her organization needing to attend an event.
- e) Credentials for freelance photographers and stringers must be requested by the sports editor/director at the outlet the freelancer will be representing.
- f) No credentials will be issued outside of the online credentialing system.
- g) The KHSAA reserves the right to limit the quantity of credentials issued to media outlets when space is at a premium.
- h) Failure to adhere to the KHSAA policies and guidelines, as well as unauthorized use of the media credential, will result in the revocation of the credential and non-issuance of future passes.

#### Sec. 3) COMMERCIAL MEDIA

- a) KHSAA media credentials will be issued to the state's recognized media outlets under the following conditions:
  - (1) Credentials will be provided to established and recognized media outlets that cover high school athletics on a regular basis (including, but not limited to, timely reports of regular season competitions);
  - (2) No athletic recruiting organizations or media outlets that can be construed as recruiting publications will be credentialed;
  - (3) Media passes will not be issued to persons under 18 years of age (with the exception of a School Media Pass);
  - (4) Passes may be revoked at any time for behavior deemed inappropriate for the intended use of the pass, including transferring a credential to someone other than its intended recipient, or using the pass to help others gain access to an event. A credential grants the bearer, and only the bearer, access to KHSAA events, and does not include admission for children and family members;
  - (5) Media members are expected to act professionally and objectively at all times. Cheering or cheerleading during an event is strictly prohibited and can result in removal from the press area;
  - (6) At any time, the KHSAA may request proof of a media outlets' legitimacy. To help the KHSAA protect access to its events, media members applying for credentials may be asked to provide samples of their work as well as information such as circulation numbers (newspapers/magazines), Aribtron Ratings numbers or similar information (broadcast), page views/hits (online entities);
  - (7) Freelance photographers not working for a media outlet will be treated as Commercial Photographers, and will only gain access to an event by paying the media rights fee for the event in question;

#### Sec. 4) SCHOOL MEDIA

- a) Each team advancing to a state championship event can be represented by one (1) radio broadcast team. The station originating the broadcast will be subject to a Radio Rights Fee, which is paid online as part of the online credentialing system and must be paid prior to the event. The school advancing to the state championship event will designate to the KHSAA which radio station is permitted to broadcast its game. Radio stations not permitted to originate a broadcast at the football, basketball or baseball championships can make arrangements with the Association to carry the KHSAA Network feed. A radio station not chosen to broadcast by the participating school may apply for an auxiliary credential. Radio stations not permitted to originate a broadcast at the football, basketball or baseball championships can make arrangements with the Association to carry the KHSAA Network feed.
- b) Schools are permitted one (1) School Media Pass at each championship event, which is limited to students or full-time staff members at the participating school.
- c) Each school that is represented by a team or individual at a state championship event is permitted one School Media Pass. The use of the School Media Pass is restricted to students or full-time staff members at the participating school. The School Media Pass can be used for non-commercial photography, student journalism and/or video production. Professional photographers are not permitted to gain access to a state championship event by using a school pass. The School Media Pass must be requested in advance of the start of the championship using form SI103, which can be downloaded off the KHSAA website.

#### Sec. 5) COMMERCIAL PHOTOGRAPHERS

- a) Photographers not covering a state championship event for an established media outlet (either as full-time staff members or as freelancers) will be subject to a Media Rights Fee to gain access to the event.
- b) The Media Rights Fee must be paid online by the applicant in advance of the event. A link to the online payment system is automatically generated by the online credential system, and sent to each applicant by the system via email.

#### Sec. 6) TEAM RADIO BROADCASTS

- a) Each team advancing to a state championship event can be represented by one (1) radio broadcast team.
- b) The station originating the broadcast will be subject to a Radio Rights Fee, which is paid online as part of the online credentialing system and must be paid prior to the event.
- c) As part of the Radio Rights Fee, a phone line will be provided for each radio broadcast team at the championship venue.

#### Sec. 7) PHONE/DATA SERVICE AT CHAMPIONSHIPS

- a) The KHSAA provides wireless internet access for working media members at each of its state championship events.
- b) Arrangements for phone lines for radio broadcast teams are made by the KHSAA and provided as part of the Radio Rights Fee.
- c) Any media member needing phone or data service above and beyond what is normally provided, should contact the KHSAA well in advance of the championship event.

#### Sec. 8) FACILITY MANAGEMENT

- a) The KHSAA is responsible for all media accommodations and operations at state championship events.
- b) These services include arrangements for press seating, designated interview areas, statistical data and internet service, among others.

#### Sec. 9) LOCKER ROOM ACCESS

- a) The KHSAA has a closed door policy in respect to locker room access at its championship events.
- b) No media members are permitted inside a team's locker room to conduct interviews.
- c) This includes media members who obtain a team/participant pass at a state championship event.

#### Sec. 10) KHSAA RADIO NETWORK/KHSAA.TV/NFHS NETWORK

- a) The KHSAA has developed a network for both radio broadcasts and video webcasts.
- b) The KHSAA holds the rights to all broadcasts at the state level, but permits participating teams to have one (1) radio station originate its own broadcast as detailed in Section 4.
- c) The KHSAA Radio Network originates broadcasts at the football, basketball and baseball championships.
- d) Video webcasts produced by the KHSAA may be delayed at the discretion of the KHSAA.
- e) The KHSAA is a contributing partner to the NFHS Network.
- f) If video is produced by the KHSAA, following the conclusion of a championship event, videos will be available for on-demand viewing, and DVD copies will be made available for consumer purchases.

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## **KHSAA MEDIA, INTELLECTUAL PROPERTY AND VIDEOTAPE POLICY**

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### Sec. 1) OWNERSHIP OF RIGHTS

- a) The intellectual property, corporate, broadcasting and media rights to all State championship rounds of the KHSAA postseason championships belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school may approve or sign an agreement that includes rights to state contests (delayed or live). The KHSAA will be the sole provider of any webstreaming of KHSAA state championship play. The Commissioner is the manager of all State Championship play.
- b) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school can sign an exclusive agreement for a postseason round (district, region, sub-section, section) without agreement between the schools and approval of the KHSAA Office including full compliance with the restrictions of the NFHS Network and the KHSAA participation in the network. The Commissioner is the manager of all rounds of other championship play, but in accordance with adopted Competition Rules, may designate a manager to assist at the local level. No streaming may be approved for any outlet without the payment of the requisite fee as mandated by the KHSAA / NFHS Network agreement, with the sole exception of a waiver for those schools participating in the School Broadcast Program of the NFHS Network. The fee schedule will be published annually by the Commissioner's office.
- c) The rights to regular season competition involving schools of the KHSAA belong to the KHSAA. Such rights shall, in the normal course of business, be assigned to the Principal of the member school for management. No member school shall sign an exclusive regular season agreement that would "Shut out" its opponent from any audio, video streaming or having the opportunity to do so.
- d) At all levels of competition during postseason play, control of media access and location at those contests is with the KHSAA. In general, the management of those rights may be assigned to the manager at the host KHSAA member school for the district, regional and sectional (semi-state) competition.
- e) At all levels of competition, including district, regional, sectional, and state competition in KHSAA sponsored tournaments and meets, managers are encouraged to make allowances for members of the television media to tape the contests at no charge to the media outlet, as long as the taping is for the sole purpose of newscast highlights.
- f) Entities desiring to tape the contest for delayed rebroadcast shall receive the permission of the event manager.
- g) At the state level, all negotiations for rights to a delayed broadcast, as well as any live telecast, shall be initiated with the Communications Director and Assistant Commissioner, and shall be approved by the Commissioner of the KHSAA.

### Sec. 2) PARTICIPATING TEAMS VIDEOTAPING AT KHSAA EVENTS

- a) At the discretion of the event manager, and if space is available and if such taping does not violate existing, acknowledged, written copyright protection or intellectual property agreements, participating teams in KHSAA events shall be allowed space, for the purpose of recording the contest.
- b) If space is deemed to be available, the tournament manager has the right to designate a specific area for the purpose of taping, and allow taping only in that area.
- c) It shall be solely the determination of the event manager as to space availability.
- d) The manager of all state final championships is the Association Commissioner.
- e) If the tournament manager deems that space is available, each participating school choosing to tape or film is required to sign a waiver indicating that the Association shall be held blameless for any and all liability to those parties involved in the taping, and that the school shall make no copies of the tape or film.

### Sec. 3) FANS AND THE GENERAL PUBLIC VIDEOTAPING AT STATE CHAMPIONSHIP EVENTS

- a) The Association shall allow for the taping of KHSAA sponsored competition by persons whose equipment is totally self-contained, is for personal use, shall not be duplicated and the equipment can be operated from the confines of the seat that the person occupies.
- b) However, at the discretion of the event manager, taping may be prohibited if attendance figures or ticket sales indicate that the allowance of taping would impose on the comfort and view of other paying patrons.
- c) No support equipment, i.e., electric power supplies, tripods, etc. shall be allowed.
- d) The determination as to space availability and other technical requests shall be solely to the discretion of the event manager.
- e) If space is deemed to be available, the tournament manager has the right to designate a specific area for the purpose of taping, and allow taping only in that area.
- f) All requests for tape and film space at levels below the state championships shall be directed to the event manager, and his or her decision as to space availability shall be final.

### Sec. 4) ASSOCIATION TAPING PRIVILEGES

- a) The Kentucky High School Athletic Association reserves the right to tape any of its state championship rounds, and to make the tapes available in a reasonable amount of time and at a reasonable rate.
- b) The Association list of events to tape includes all championship events sponsored by the KHSAA.

### Sec. 5) REGULAR SEASON VIDEOTAPING PRIVILEGES

- a) During the regular season, when granted authority by the KHSAA, the local contest manager (member school Principal) must approve any videotaping or other recording of the contest.
- b) Parties taping without permission of the member school will be in violation of KHSAA Bylaw 15, and shall be subject to penalty under

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## **KHSAA POLICY ON TITLE IX COMPLAINTS**

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### Sec. 1) BACKGROUND

- a) The Kentucky Department of Education complies with all Federal laws and regulations prohibiting discrimination, and with all requirements of the U.S. Department of Education and U.S. Department of Agriculture
- b) Any person alleging sexual discrimination by the Kentucky High School Athletic Association (KHSAA) or its staff may file a complaint with the KHSAA administrative offices in Lexington.
- c) Any complaints alleging discrimination by local school districts, schools or their staff should be directed to the local level and handled according to local board policy.
- d) Complaints alleging discrimination by local school districts, schools or their staff that are submitted to the KHSAA will be referred to the Principal and Superintendent of the member school per the policies of the KHSAA Board of Control.
- e) The KHSAA is in compliance with Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. 1681 et seq. (Title IX), and its implementing regulation, at 34 C.F.R. Part 106, which prohibits discrimination based on sex. The KHSAA, while not a recipient of federal financial assistance from the United States Department of Education (USDOE) or other entity, voluntarily subjects itself subject to the provisions of Title IX. KHSAA does not discriminate based on gender in employment or in any program or activity that it operates.

### Sec 2) FILING OF COMPLAINTS

- a) Complaints alleging discrimination under Title IX of the Education Amendments of 1972 by the KHSAA or its staff may be filed with:  
Compliance Coordinator  
Darren Bilberry, Assistant Commissioner  
Kentucky High School Athletic Association  
2280 Executive Drive  
Lexington, KY 40505  
Phone: (859) 299-5472
- b) All complaints must be in writing. Complaints must be filed within one hundred eighty (180) days of the alleged discrimination and should contain the following information:
  - (1) Name, address, and telephone number of the complainant if known;
  - (2) The location and name of the entity or person delivering the service;
  - (3) The nature of the incident that led the complainant to feel discrimination was a factor;
  - (4) The basis of the complaint;
  - (5) Names, addresses and phone numbers of people who may have knowledge of the event; and
  - (6) The date or dates on which the alleged discriminatory event or events occurred.

### Sec. 3) COMPLAINT PROCESS

- a) Upon receipt of the complaint by an individual or at the time of the compliance coordinator becomes independently aware of the actions that may constitute sexual discrimination, the compliance coordinator shall send an acknowledgment of the complaint and shall direct and investigation of the claim.
- b) The compliance coordinator will render a determination and recommend specific actions to resolve the complaint within ninety (90) days of receipt.
- c) The compliance coordinator shall file a report to the KHSAA Commissioner.
- d) Any complaints received by the compliance coordinator will be maintained in a log including date of receipt, determination, and action taken. The complaint log will be retained for a period of the current school year plus four (4) years.
- e) The complainant shall be notified in writing within thirty (30) days of completion of the investigation the results of the investigation and any actions taken. The KHSAA shall maintain the confidentiality of the complaint and the name of the complainant as allowed by law.
- f) Any corrective actions shall be implemented within thirty (30) days of receipt and acceptance of a final report by the compliance coordinator.

### Sec. 4) WITHDRAWAL OF COMPLAINT

- a) The complainant may withdraw his/her complaint at any time during the process by notifying the compliance coordinator in writing.

### Sec. 5) APPEALS

- a) The complainant may file a written appeal of the compliance coordinator's resolution of the complaint within thirty (30) days of receipt of the written notice of resolution.
- b) Appeals shall be filed with the KHSAA Commissioner, 2280 Executive Drive, Lexington, KY 40505.
- c) The complainant shall be notified of the final resolution of the appeal within sixty (60) days receipt of the appeal.
- d) This appeal opportunity constitutes the second and final level in the agency's complaint process.

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## **KHSAA POLICY ON TITLE IX EDUCATION (TITLE 20, U.S.C. TITLES, 1681-1688, ET. SEQ.)**

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### Sec. 1) BACKGROUND

- a) Title IX refers to a portion of the education amendments of 1972, a federal law that prohibits gender discrimination in education programs that receive federal funds and includes athletic programs. The Title IX statute as amended became law June 23, 1972 with the implementation of the regulation for high schools effective in July of 1978.
- b) The KHSAA Board of Control fully supports facilitating the requirements of Title IX for all KHSAA member schools.
- c) The KHSAA began monitoring and auditing equity within KHSAA member school athletic programs (boys and girls) at the beginning of the 1999-2000 school year.
- e) The annual internal Title IX school audits are conducted by KHSAA staff members as well as outside contractors.

### Sec. 2) KHSAA TITLE IX MONITORING PER 702 KAR 7:065

- a) Duties of the KHSAA in managing high school athletics are set forth in 702 KAR 7:065. The regulation includes the following: "As a condition present to membership, require each member school and superintendent to annually submit a written certification of compliance with 20 USD Section 1681-83 (Title IX).
- b) Initially, during the 1999-2000 school year, each school received a publication entitled, Title IX Guidelines for Compliance at the High School Level as well as the KHSAA Title IX Audit Document. This document contained forms and instructional material to allow personnel to evaluate the athletics program within the guidelines of Title IX, and submit this document to the KHSAA for review. As of 2011-2012, the process for submitting the reports is totally automated, with roster and participation data based on the rosters maintained by the membership throughout the school year.

**Sec. 3) MAJOR COMPONENTS OF TITLE IX**

In its simplest form, Title IX is about providing participation opportunities for students as well as the evaluation of the benefits they receive while participating in sports, and measures these items in two basic areas, Opportunities and Benefits.

- a) Opportunities. To assess participation opportunities a school may examine or determine if they comply with Title IX under one of three tests. To be compliant, a school shall pass one of the three tests:
  - (1) Athletic participation at a school is substantially proportionate to enrollment;
  - (2) School personnel shall demonstrate a history and continuing practice of program expansion that is responsive to the developing interests and abilities of the underrepresented sex; or
  - (3) School personnel shall fully and effectively accommodate the interest and abilities of the underrepresented sex.
- b) Benefits. Once it is determined that equivalent opportunities are provided, an examination of the 12 major athletics program components is made to determine if equivalent/comparable benefits are provided to all athletes. There are twelve major areas of benefits to be analyzed:
  - (1) Equipment and Supplies (i.e. uniforms, shoes, bats, balls, replacement schedules, etc.);
  - (2) Scheduling of Games and Practice Times (i.e. prime date basketball playing opportunities for girls, comparable prime practice times and length, equivalent number of contest for comparable sports, etc.);
  - (3) Travel and Per Diem Allowances (i.e. comparable modes of transportation, meal monies, room accommodations, etc.);
  - (4) Coaching (i.e. comparably skilled and experienced coaches, comparable coaching salaries, comparable coaching staff size, etc.);
  - (5) Locker Rooms, Practice and Competitive Facilities (i.e. comparable size locker rooms and amenities, lockers, practice facilities, etc.);
  - (6) Medical and Training Facilities and Services (i.e. comparable numbers of trainers, medical doctors [home and away], equal access to training facilities and weight rooms, etc.);
  - (7) Publicity (i.e. schedule cards, game programs, media guides, cheerleading quality, pep bands, mascots, trophy cases, recognition banners, dance teams [home and away], etc.);
  - (8) Support Services (i.e. support for coaches administrative needs, booster clubs, etc.);
  - (9) Tutoring;
  - (10) Athletic Scholarships (\*);
  - (11) Housing and Dining Facilities and Services (\*); and
  - (12) Recruitment of Student-Athletes (\*).

(\* NOTE: Items j, k, l do not normally apply at the high school level.

**Sec. 4) ESTABLISHING AND MONITORING A LOCAL TITLE IX PLAN**

- a) As part of the KHSAA compliance model, each member school/school district shall be required to form a Gender Equity Review Committee (GERC) for the purpose of evaluating the total athletics program and handling issues at the local level.
- b) Each school or school district shall create a Title IX plan and a timetable for improvements at the local level. The plan shall include an evaluation of the entire athletic program and improvements if necessary on items such as practice times, facilities, coaching stipends and athletic budgets.

**Sec. 5) ANNUAL REPORTING**

- a) The KHSAA requires its member schools to annually submit Annual Report forms on or before April 30 for review by the KHSAA.
- b) Member school personnel shall establish a Title IX plan for regular review and for necessary improvements along with a timetable for completion.
- c) If a school is found to have serious Title IX compliance issues, the local school GERC shall be given the initial opportunity to correct the inequities and submit a timetable for such action.
- d) The recommended time period for such implementation shall be determined by the Commissioner and may vary depending upon the nature of the noncompliance issues and financial implications.

**Sec. 6) REQUIREMENT TO MAINTAIN FILE**

- a) In an on-going effort to assist in Title IX compliance, Kentucky public school districts are required to maintain a permanent Title IX File that is subject to review by the Kentucky High School Athletic Association (KHSAA), the Kentucky Board of Education's designated agent to manage inter-scholastic athletics in Kentucky.
- b) As part of that file, each year, all public school districts must submit an annual Title IX report to the KHSAA for review.
- c) In addition, the KHSAA conducts systematic on-site reviews for items related to Title IX, and submits a compiled report of those visits to the Kentucky Board of Education on an annual basis.

**Sec. 7) LOCAL TITLE IX COORDINATORS**

- a) The Kentucky Board of Education requires that each school district designate two coordinators, a School District Title IX Coordinator and a High School Title IX Coordinator.
- b) The District Title IX Coordinator's main responsibility is oversight and monitoring of each high school within their district so that the district complies with Title IX.
- c) The primary responsibility of the School Title IX Coordinator is to coordinate the activities at the school level that are designed to promote gender equality in athletics.
- d) Together these individuals are responsible for ensuring that their schools meet the standards for Title IX compliance.

**Sec. 8) GRIEVANCES**

- a) To file a Title IX complaint for a failure to provide equal opportunity in athletics, the complaining party has the option of filing the complaint

with either the local district that sponsors or manages the athletic program in which the alleged violation has occurred and/or with the U. S. Department of Education, Office of Civil Rights (USED, OCR).

b) When filing a complaint with a local school district, the complaining party must review local board policies to determine the proper grievance procedure for that district.

**Sec. 9) COMPLAINTS RECEIVED BY KHSAA**

a) The Kentucky Department of Education has a Title IX Compliance Officer, but that Title IX Compliance officer is responsible for complaints that relate specifically to programs that it sponsors or operates.

b) If the Kentucky Department of Education or the KHSAA receives a complaint that relates to failure to provide equal opportunity in athletics at the school district level, the KDE Title IX Compliance Officer of the KHSAA will refer the complaint to the local district.

c) The KHSAA reserves the right to directly report any situation discovered through its education and reporting process directly to the Office of Civil Rights in Philadelphia.

**Sec. 10) SUMMARY**

a) Title IX of the Education Amendments of 1972 prohibits discrimination based on sex in education programs and activities that receive federal financial assistance.

b) The U.S. Department of Education gives grants of financial assistance to schools and colleges, therefore, Kentucky public schools are mandated by law to ensure Title IX compliance.

c) The Title IX regulation (34 CFR Part 106) includes not only the failure to provide equal opportunity in athletics, but also sexual harassment and discrimination based on pregnancy, and employment discrimination.

d) The KHSAA staff continues to report regularly to the Kentucky Board of Education regarding this project, and in particular, with areas that may be identified as potential compliance issues. This report routinely includes an overall review of the Association's progress in assisting the member schools in achieving equity within the sports programs of the under represented gender.

e) The KHSAA shall publish on its website, resource information related to the proper application of Title IX, and procedures to be followed in the event that individuals desire to seek remedy to alleged violations or noncompliance.

f) The KHSAA, KBE, Kentucky Department of Education and Kentucky School Boards Association continue to work together to provide continuing education to the member school administrators to assist the schools in achieving gender equity in interscholastic athletics.

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## **KHSAA POLICIES ON TRANSGENDER AND CROSS-GENDER PARTICIPATION**

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### **KHSAA TRANSGENDER PARTICIPATION POLICY**

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The Kentucky High School Activities Association recognizes and promotes the ability of transgender student-athletes to participate in the privilege of interscholastic sports and sport-activities free from unlawful discrimination based on sexual orientation. This policy is designed to guide member school personnel as they create an environment free from discrimination and provide an equal opportunity for participation in athletics in accordance with applicable state and federal laws, rules and regulations. For the purposes of eligibility to participate in interscholastic athletics:

Sec. 1) Each student-athlete shall participate according to the gender as listed on their birth certificate unless they were legally re-assigned. This may be demonstrated through the use of a birth certificate, driver's license, passport or other certified medical record. Each member school is responsible for making this initial determination for its student-athlete.

Sec. 2) A student-athlete who has undergone sex reassignment is eligible to compete in the reassigned gender when:

a) The student-athlete has undergone sex reassignment before puberty, or

b) The student-athlete has undergone sex reassignment after puberty under all of the following conditions:

(1) Surgical anatomical changes have been completed, including external genitalia changes and gonadectomy; and

(2) Hormonal therapy appropriate for the assigned sex has been administered in a verifiable manner and for a sufficient length of time to minimize gender-related advantages in sports competition. If a student-athlete stops taking hormonal treatment, they will be required to participate in the sport consistent with their birth gender.

Sec. 3) Per the KHSAA Due Process Procedure, any appeals of this specific policy shall be considered as "Board matters".

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### **KHSAA CROSS-GENDER PARTICIPATION POLICY**

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**Sec. 1) BACKGROUND**

a) For the purposes of athletics, each properly enrolled and otherwise eligible student-athlete has sport and sport-activity-specific opportunities with respect to the privilege of participation.

b) Gender determination defaults to the gender at birth unless applicable legal re-assignment has been demonstrated as listed in the transgender policy.

**Sec. 2) BASEBALL AND SOFTBALL**

a) These sports are not "like" in terms of participation opportunities. If a girl desires to play on a baseball team, it is the decision of the local school as to that option.

b) A boy may not play on a girls' softball team.

**Sec. 3) BASKETBALL**

a) If a school sponsors both a boys' and girls' team in basketball, students may only play for the gender specific team (girls may only play for the girls' team, boys may only play for the boys' team).

b) If a school sponsors only a boys' team and does not sponsor a girls' team, female students may play for the boys' team.

c) A boy may not play on a girls' basketball team.

**Sec. 4) FOOTBALL/WRESTLING**

a) If a girl desires to participate on a football or wrestling team, such participation opportunity shall be allowed.

b) If a school develops a wrestling team for girls, a boy may not compete on a girls' wrestling team.



Sec. 5) SOCCER

- a) If a school sponsors both a boys' and girls' team in soccer, students may only play for the gender specific team (girls may only play for the girls' team, boys may only play for the boys' team).
- b) If a school sponsors only a boys' team and does not sponsor a girls' team, female students may play for the boys' team.
- c) A boy may not play on a girls' soccer team.

Sec. 6) VOLLEYBALL AND FIELD HOCKEY

- a) The Association sponsors volleyball and field hockey only for girls.
- b) A boy may not play on a girls' volleyball or field hockey team.

Sec. 7) CROSS COUNTRY/GOLF/SWIMMING/TENNIS/TRACK AND FIELD

- a) A student may only compete in the gender-specific competition when participating in these individual sports.

Sec. 8) ARCHERY

- a) There is no gender specific competition in this sport-activity.
- b) A boy may not accept awards specifically designated for girls.

Sec. 9) BASS FISHING AND DANCE

- a) There is no gender specific competition in these sport-activities

Sec. 10) BOWLING

- a) If both genders compete on the same team, they are considered to be a boys' team for the purpose of competition.
- b) A boy may not compete in the girls' competition.

Sec. 11) COMPETITIVE CHEER

- a) A boy may not compete in the All girls' competition.

Sec. 12) APPEALS

- Per the KHSAA Due Process Procedure, any appeals of this specific policy shall be considered as "Board matters".

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### **KHSAA POLICY ON DISQUALIFIED STUDENT-ATHLETES AND NON-PLAYERS (COACHES, ETC.)**

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KHSAA Bylaw 15 addresses sportsmanship. In order to ensure consistency in terms of suspensions and penalties, the Board of Control has adopted the following policies relative to the ejection of student-athletes and non-players (coaches).

Sec. 1) PROTESTS/APPEALS

- a) Per Bylaw 21, there are no appeals of judgment calls by game officials, including the judgment call making the determination that unsportsmanlike conduct should result in ejection. At the discretion of the Commission, review may be conducted in the event that the consequences of a rule are misadministered or in the case of ejection, the ejection party has been numerically misidentified.
- b) Human error is a part of coaching, playing and officiating, and the decision of the official, right or wrong, shall prevail.

Sec. 2) REPORTING EJECTIONS

- a) The KHSAA shall develop and maintain an online system for managing ejections.
- b) The administrators at a member school shall be sent a report via email regarding the ejection with directions in the email to login to the secure KHSAA online system and request reinstatement.
- c) The game official(s) shall complete the ejection report via the KHSAA website within twenty-four hours of the ejection.
- d) The member school principal or designated representative of the school with whom the player or coach is affiliated shall complete the required information on the KHSAA website requesting reinstatement.
- e) The player or coach is disqualified from further competition at that level until reinstated by a member of the Commission.
- f) An official or school may be fined, suspended or otherwise penalized in accordance with Bylaw 27 for failing to properly and timely report a disqualification or request reinstatement.
- g) KHSAA member school representatives are obligated to impose the minimum suspension penalty for the ejection per these published guidelines, even in those rare cases when an ejection is not reported in a timely manner, including ejections which occur during out of state contests or those during school breaks. School administrators should contact the Association office with any questions.

Sec. 3) PENALTIES FOR EJECTION OF ADULT NON-PLAYERS AND COACHES

- a) The suspension is considered immediate and indefinite when the ejection occurs.
- b) The suspension is in effect for any further interscholastic competition on that day (i.e., the freshmen coach ejected during the first game may not be in the gym for the subsequent varsity game, baseball/softball coach ejected in first game of multiple game tournament may not be at the field during any subsequent games that day).
- c) The suspended coach or non-player may not participate in another contest at any level (JV, Freshmen, Varsity, etc.) until being reinstated by a member of the Commission.
- d) Following review, the Commission may extend the suspension.
- e) When a coach is ejected from a scrimmage or contest, the coach is to leave the vicinity of the playing area and remove himself/herself completely from the area of the playing facility. In football and soccer for example, this means to leave the field and stadium area; in basketball, this implies leaving the building altogether (not simply relocating to another room); in other sports, completely leaving the venue.
- f) An ejected coach may not have any further communication with the game officials following the game.
- g) During the subsequent suspension period of any non-player (coach, administrator, etc.) the coach may not be on school property where the contests are being played and may not be at the venue if contests are played at a non-school site. The non-player may not communicate with the team at any point from the beginning of the contest until the end of the contest.
- h) Following the date of ejection, there shall be a minimum three additional games/meets/contests suspension for any coach or non-player ejected from a contest and shall be served in consecutive games/meets/contests that count against the limit of games/meets/contests contained in Bylaw 23.
- i) For all coaches or non-players sitting out in an individual sport such as swimming, track or wrestling due to suspension, the penalty shall be for the entire meet schedule, not for a single event.

- j) If the ejection was during one of the two preseason scrimmages, the penalty shall be served during the first regular season contest.
  - k) If the ejection was for the final game/contest of the season and was a coach or non-player, the person ejected shall serve the required suspension in the next varsity level contest at the member school for the suspended individual.
  - l) For the second ejection during a sports season, the suspension shall be a minimum four-game/contest suspension and may be cause for additional penalties that may include suspension for the remainder of the season.
  - m) For the third ejection during a sports season, the suspension shall be cause for additional penalties including suspension for the remainder of the season.
  - n) Persons ejected from any scrimmage are penalized in the first regular season varsity contest.
- Sec 4) PENALTIES FOR EJECTION OF STUDENT-ATHLETES AND OTHER STUDENT MEMBERS OF THE SQUAD
- a) The suspension is considered immediate and indefinite when the ejection occurred.
  - b) The suspension is in effect for any further interscholastic competition on that day (i.e., a freshmen ejected during the first game may not be in the gym for the subsequent varsity game, baseball/softball participant ejected in first game of multiple game tournament may not be at field during any subsequent games that day).
  - c) The ejected participant may not participate in another contest at that level (JV, Freshmen, Varsity, etc.) until being reinstated by a member of the Commission.
  - d) Following review, the Commission may extend the suspension.
  - e) When a participant is ejected from a scrimmage or contest, the participant is to leave the vicinity of the playing area and remove himself/herself completely from the area of the playing facility unless the specific playing rules of the sport call for a different penalty option or the student would be placed in a position of not being supervised. In those cases, the administration of the player's team is responsible for ensuring that the student participates in no other unsportsmanlike activity or the school itself may face additional penalty under Bylaw 27.
  - f) An ejected participant may not have any further communication with the game officials following the game.
  - g) During the subsequent suspension period, suspended players may attend contest(s), but may not be in the game uniform or other school identifiable clothing and may not participate in any sport-related activity (warm-up activity) prior to or during the game, and will be classified as non-player personnel during that contest as it relates to playing rules enforcement. It is a local school determination as to whether or not this student may be in the vicinity of the bench or team area.
  - h) Following the day of ejection, there shall be a minimum two additional games/meets/contests suspension for any participant ejected from a contest and shall be served in consecutive games/meets/contests that count against the limit of games/meets/contests contained in Bylaw 23..
  - i) For participants sitting out in an individual sport such as swimming, track or wrestling due to suspension, this penalty shall include the entire meet schedule not for a single event.
  - j) If the ejection was during one of the two preseason scrimmages, the penalty shall be served during the first regular season contest.
  - k) If this was the final game/contest of the season, the ejection was for a student, and the ejected participant is a senior, the full penalty shall carry forward to the next varsity interscholastic contest(s) in any KHSAA sanctioned sport.
  - l) If this was the final game/contest of the season, the ejection was for a participant, and this was in varsity (first team) competition and the ejected student is not a senior, the full penalty shall carry over to the next varsity season in the sport in which the participant was participating when ejected.
  - m) If this was the final game/contest of the season, the ejection was for a student, and this was NOT a varsity (first team) contest, the full penalty shall carry forward to the next interscholastic varsity level contest(s) in any KHSAA sanctioned sport.
  - n) For the second ejection during a sports season, the suspension shall be a minimum three-game/contest suspension and may be cause for additional penalties that may include suspension for the remainder of the season.
  - o) For the third ejection during a sports season, the suspension shall be cause for additional penalties including suspension for the remainder of the season.
  - p) Persons ejected from any scrimmage are penalized in the first regular season varsity contest.

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## **POLICIES ON USE/REVIEW OF VIDEO OF SCRIMMAGES AND CONTESTS**

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### **POLICY ON STAFF REVIEW OF VIDEO**

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#### Sec. 1) REVIEW OF CONTEST VIDEO

Upon request of a member school or contest official, a member of the Commission will review selected play situations and provide interpretations as to the proper application of the playing rules.

- a) If requested, the results of such a review will be shared with the member school or requesting official and the appropriate Assigning Secretary. The video may also be retained for future training purposes, not to indict any school or official, but to ensure consistent rules application and serve as a resource for training material;
- b) Video SHALL NOT BE USED (per KHSAA Bylaw 21) to appeal/protest the judgment call of an official, and will not be used to revise or adjust that judgment, or the subsequent penalties that may have arisen or shall arise from that judgment;
- c) Video SHALL NOT BE USED during any contest for the purpose of assisting officials with making a game decision;
- d) Video MAY be used at the discretion of the Commission to appropriately and properly identify the offenders in a situation where it is possible that misidentification (officials unable to get number, transposition of number, etc.) and where either the member school or game official has requested such review or the Commission has been made aware of the possibility from another source. Video may also be used, at the discretion of the Commission, to review the possible misadministration/misapplication of the consequences of a rule or in the case of ejection, the ejection party has been numerically misidentified.;
- e) Video MAY be used at the discretion of the Commission and in compliance with NFHS playing rules, in a situation involving a fight, physical confrontation or ejection where the circumstances are potentially unable to be accurately observed and reported by the game officials.) and where either the member school or game official has requested such review or the Commission has been made aware of the possibility

from another source. This could include identifying individuals that were not previously identified, correcting the numbers/names of incorrectly identified individuals, identifying individuals, including non-players or substitutes, who enter the playing area during an altercation, or assessing different levels of involvement in the incident for the purpose of administrative action.

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## **POLICY ON VENUE VIDEO BOARDS/REPLAY BOARDS**

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### **Sec. 1) RESTRICTIONS AND ALLOWANCES ON VIDEO BOARDS**

It is recognized that as technology advances, more facilities are adding video capability to the assets utilized at contests. To ensure the proper conduct of contests, and to ensure that such video is not used to the detriment of any school, coach, participant or contest official, the following specific restrictions shall be in place:

- a) Any live action being shown during the contest may only be the simulcasting of a media broadcast of the event, and no audio from the simulcast can be projected via the venue sound system;
- b) In the event of officials holding any type of conference to properly interpret or apply a game situation, video replays may NOT be shown during that conference and may not be shown until later in the contest or during a charged conference;
- c) Any replay of game action that is shown on the video board, shall be shown only once immediately following the play, only at full game speed, and without announcing commentary from any source;
- d) Replays of specific scoring plays and contest highlights may be shown during charged conferences or during breaks between periods, but should reflect a balance between participating teams and may not include announcer commentary;
- e) Game administration is continually expected to exercise prudent judgment and ensure that nothing shown on the video boards can serve to incite the crowd against either team or the contest officials. Failure to perform this expected discretion may result in penalties against the school for a violation of Bylaw 15, Practice of Sportsmanship.

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## **POLICY ON PUBLIC ADDRESS ANNOUNCERS**

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### **Sec. 1) ROLE OF PUBLIC ADDRESS ANNOUNCERS – REGULAR SEASON**

- a) The public address announcer shall be considered a bench official for all events.
- b) He/she shall maintain complete neutrality at all times and, as such, shall not be a "cheerleader" for any team.
- c) Schools are highly encouraged to follow the protocol for postseason public address announcers, and to prompt the announcer to complete professional development available through a wide variety of athletic related services such as NASPAA and NIAAA

### **Sec. 2) ROLE OF PUBLIC ADDRESS ANNOUNCERS – POSTSEASON**

- a) The public address announcer shall be considered a bench official for all state series events. He/ she shall maintain complete neutrality at all times and, as such, shall not be a "cheerleader" for any team.
- b) The announcer will follow the provided script for promotional announcements, which are available from this association, player introductions and awards ceremonies.
- c) Other announcements are limited to:
  - (1) Those of an emergency nature (e.g., paging a doctor, lost child or parent, etc.);
  - (2) Those of a "practical" nature (e.g., announcing that a driver has left his/her vehicle lights on);
  - (3) Starting lineups or entire lineups of both participating teams (what is announced for the home team shall be announced for the visiting team); and
  - (4) Messages provided by host school management; and
  - (5) Announcements that assist fans such as the fact that souvenir merchandise, souvenir programs and concessions are on sale in the facility.
- d) During the contest, the announcer:
  - (1) Should recognize players about to attempt a play (e.g., coming up to bat in baseball, punting, kicking or receiving a punt or kick in football, serving in volleyball, etc.);
  - (2) Should recognize player(s) making a play (e.g., "Basket by Jones" in basketball, "Smith on the kill" in volleyball, etc.) unless specifically precluded by playing rules;
  - (3) Should report a penalty as signaled by the referee including the proper identification of the offender;
  - (4) Should report substitutions and timeouts;
  - (5) Shall not call the "play-by-play" or provide "color commentary" as if he/she were announcing for a radio or television broadcast;
  - (6) Shall not make any comment that would offer either competing team an unfair advantage in the contest; and
  - (7) Shall not make announcements unnecessary to the conduct of the contest such as birthdays and other commemorations;
  - (8) Shall not make any comment critical of any school, team, player, coach or official; or any other comment that has the potential to incite unsporting conduct on the part of any individual.
  - (9) Shall be certain of the accuracy of his/her statements before making them. When in doubt, the announcer should remain silent.

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## **POLICY ON CATASTROPHIC INSURANCE COVERAGE**

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### **Sec. 1) CATASTROPHIC INSURANCE**

- a) The Kentucky High School Athletic Association shall maintain, at no additional cost to the member schools, catastrophic insurance coverage for all participants in interscholastic athletics while traveling to or from, practicing for, or participating in, an athletic contest sanctioned by the KHSAA during the period governed and defined by Bylaw 23.
- b) The policy is to be administered by a Kentucky based broker. The policy is only in effect during the defined Limitation of Seasons (Bylaw 23) and only during permissible activity.
- c) The policy carries a \$25,000 deductible for regular season contests, and is considered "excess" insurance, which does not take force until all other existing sources of insurance by the participants' family and school have been exhausted.

- d) This coverage specifies that student-athletes are insured while representing a member school during the prescribed Limitation of Seasons and in compliance with all adopted KHSAA policies in the sports of baseball, basketball, cross country, football, golf, soccer, softball (fastpitch), swimming, tennis, track and field (including Decathlon/Heptathlon/Pentathlon), volleyball and wrestling and the sport-activities of archery, bass fishing, bowling and competitive cheer.
  - e) The specifics about policy limitations and allowances shall be posted on the KHSAA website.
- Sec. 2) SPORTS AND SPORT-ACTIVITIES COVERED AND NOT COVERED
- a) At the present time, this policy shall cover -
    - (1) Those students participating in all sports during the time defined by Bylaw 23 for which the KHSAA sponsors a state high school championship,
    - (2) Those students participating in all sports-activity programs for which the KHSAA sponsors a championship during the time as defined by Bylaw 23,
- Sec. 3) INSURANCE COVERAGE BELOW THE CATASTROPHE DEDUCTIBLE
- a) According to Bylaw 12, a school shall ensure that all of its student-athletes are insured up to the deductible amount of the KHSAA Catastrophe policy before participation.
  - b) While there is no obligation on the school or school system to purchase insurance, it is required that the member school ensure that each athlete has appropriate coverage per Bylaw 12.

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## **CORPORATE PARTNERSHIPS/SPONSORSHIPS POLICY**

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Sec. 1) CORPORATE SALES

- a) The Association actively seeks Corporate Partnerships/Sponsorships for its activities to help with the funding of the Association's operations.
- b) All new contracts for Corporate Partners are to be approved by the Board of Control.
- c) The Board shall receive sales and status reports not less than three times annually from the staff and any retained outside sales consultants.

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## **RESTRICTIONS ON ADVERTISING/SPONSORSHIP SALES**

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Sec. 1) ADVERTISING OR SPONSORSHIP PARTNERS/VENDORS RESTRICTIONS

- a) Advertising that shall be false, misleading, deceptive, offensive or in poor taste shall be subject to rejection and/or a requirement that it be edited.
- b) All advertisements must comply with the applicable laws, rules and regulations of the countries or territories covered by the applicable transmission/broadcast.

Sec. 2) PROHIBITED ADVERTISING OR SPONSORSHIP PARTNERS/VENDORS

- a) Without limiting the generality of the provisions, certain categories of advertisements will not be accepted without the prior consent of the Board of Control.
- b) These prohibited categories include the following:
  - (1) Advocacy Advertisements. An advocacy advertisement is any advertisement that advocates a political, religious or controversial public position;
  - (2) Cigarettes or Tobacco Advertisements, or advertising for entities whose primary sales focus is on cigarettes;
  - (3) Betting or Gambling Advertisements;
  - (4) Firearms Advertisements;
  - (5) 900 Phone Number Advertisements;
  - (6) Contraceptive Advertisements;
  - (7) Tattoo Parlor and Body Piercing Advertisements;
  - (8) "NC-17" Rated Movie Advertisements;
  - (9) Adult Entertainment Advertisements;
  - (10) "R" Rated Movie Advertisements;
  - (11) "M" Rated Electronic (computer or video) Games Advertisements;
  - (12) Hard Liquor Advertisements, or advertising for entities whose primary sales focus is on alcohol products;
  - (13) Beer, Wine, or other Alcoholic Beverage Advertisements, or advertising for entities whose primary sales focus is on alcohol products;
  - (14) "High Risk" Investments (e.g., commodities, options, foreign exchange) Advertisements;
  - (15) "High Risk" Business Opportunities (e.g., "get rich quick" schemes and business opportunities) Advertisements; and
  - (16) "High Risk" Health Offerings.
- c) The Kentucky Lottery Corporation shall not be considered a gambling entity as in (3) above, or high risk as defined in (14), (15) or (16) above.

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## **OTHER FUND RAISING**

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Sec. 1) FUND RAISING PROJECTS

- a) The Association, through the Commissioner and with knowledge of the Board of Control, may approve fund raising projects not included in the operating budget of the Association.
- b) Any new fund raising project shall be projected to receive no revenue for the first year to eliminate any budgetary dependence on the item.

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## **POLICY ON DISTRIBUTION OF TICKETS TO KHSAA EVENTS**

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### Sec. 1) TICKETS - BASKETBALL (BOYS AND GIRLS)

- a) Board of Control/Staff. Each current Board of Control member and currently employed KHSAA staff member shall receive two (2) complimentary tickets to each tournament. Each person shall sign a statement upon receipt of the tickets that they are for personal use and will not be sold. The Board of Control and staff also have the option of purchasing two seats adjacent to the complimentary tickets, and additional seats in a more desirable location in the arena. Any tickets other than the complimentary tickets shall be purchased for full face value.
- b) Complimentary Tickets - Boys' Basketball. The Board of Control authorizes the Commissioner to issue a maximum of 425 complimentary sets of tickets to the Boys' Tournament, to be distributed in a manner decided by the Commissioner. Included in this allotment would be the tickets listed above for the Board of Control and staff and other complimentary tickets deemed in the best interest of the Association. Specifically approved are a maximum of forty-eight (48) tickets to be used in exchange sponsorships with automobile dealers in exchange for furnishing the association automobiles (eight (8) per automobile), and two (2) tickets upon request for any former member of the Board of Control or staff member who retires following service to the Association office as a full-time employee. The Commissioner shall designate a section of seating to accommodate these seats.
- b) Complimentary Tickets - Girls' Basketball. The Board of Control authorizes the Commissioner to issue a maximum of 425 complimentary sets of tickets to the Girls' Tournament, to be distributed in a manner decided by the Commissioner. Included in this allotment would be the tickets listed above for the Board of Control and staff and other complimentary tickets deemed in the best interest of the Association. Specifically approved are a maximum of forty-eight (48) tickets to be used in exchange sponsorships with automobile dealers in exchange for furnishing the association automobiles (eight (8) per automobile), and two (2) tickets upon request for any staff member who retires following service to the Association office as a full-time employee. In addition, the Commissioner may issue up to two (2) complimentary tickets upon request of any living former member of the Board of Control who purchases at least (2) additional tickets at full price (or one(1) seat if only one additional seat is purchased). The Commissioner shall designate a section of seating to accommodate these seats.
- c) Priority Sales for Schools. Priority location tickets shall be sold to member school principals (limit 4), and member school superintendents (limit 2), for full face value for the entire tournament. These seats shall be located in the most desirable sections of the lower/side arena if requested by the published deadline. All requests for priority seats received after the published deadline shall lose seating priority.

### Sec. 2) TICKETS – FOOTBALL AND BASEBALL

- a) Board of Control/Staff. Each current Board of Control member and currently employed KHSAA staff member shall receive two (2) complimentary tickets to each tournament. Each person shall sign a statement upon receipt of the tickets that they are for personal use and will not be sold. The Board of Control and staff also have the option of purchasing two seats adjacent to the complimentary tickets, and additional seats in a more desirable location in the stadium. Any tickets other than the complimentary tickets shall be purchased for full face value.
- b) Other Complimentary Tickets. The Board of Control authorizes the Commissioner to issue a maximum of 400 passes to all championship games to be distributed in a manner decided by the Commissioner in the best interests of the Association. Included in this allotment would be Board of Control members and staff members and any corporate exchange agreements. In addition, the Commissioner may issue up to two (2) complimentary tickets upon request of any living former member of the Board of Control who purchases at least (2) additional tickets at full price (or one(1) seat if only one additional seat is purchased).
- c) The Commissioner shall designate a section of seating to accommodate these seats.

### Sec. 3) TICKET PRICES AND POLICIES ON OTHER SPORTS

- a) Ticket prices in all sports are reviewed annually by staff and reported to the Board of Control.
- b) The Board of Control authorizes the Commissioner to enter into special agreements to sell reduced price admission in an effort to promote the tournament or to promote future attendance with no cost programs for younger students.
- c) The Commissioner may implement additional programs to charge a sponsorship fee for specific location privileges at KHSAA championships, and such shall be reviewed annually by staff and reported to the Board of Control.
- d) All other tickets shall be sold at the full face value based on the published prices.

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## **POLICY ON TOBACCO USE**

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### Sec. 1) POLICY AT KHSAA OFFICES

The KHSAA maintains a smoke- and tobacco-free office. No smoking or other use of tobacco products (including, but not limited to, cigarettes, pipes, cigars, snuff, or chewing tobacco) is permitted in any part of the building or in vehicles owned, leased, or rented by the KHSAA. Employees may smoke outside of the building in designated areas during breaks and only in compliance with local regulations.

### Sec. 2) POLICY AT KHSAA STATE CHAMPIONSHIP EVENTS

KHSAA events are smoke- and tobacco-free. No smoking or other use of tobacco products (including, but not limited to, cigarettes, pipes, cigars, snuff, or chewing tobacco) is permitted in any part of the facility inside the admission area. Patrons may smoke or use tobacco products outside of the admission area only in compliance with local regulations and venue and policies.

### POLICY ON ALCOHOL AND TOBACCO

#### Sec. 1) RESTRICTIONS ON SALES/DISTRIBUTION

- a) No alcohol is to be sold at any KHSAA state tournament venue (including district, region, section, subsection and state) that is leased or provided to the KHSAA for the purpose of conducting a state championship while such championship is being conducted.
- b) The Board of Control recommends that member schools make all school property, vehicles used by participants, and all contest and practice sites "alcohol-free zones."
- c) The Association, and any of its agents acting on behalf of the Association, shall not accept free or paid advertising in any form from any manufacturer of tobacco or alcoholic beverages, or entity whose primary sales focus is on the sale of these products.
- d) All KHSAA events shall observe relevant and applicable laws and regulations regarding smoking on the facility grounds.

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## POLICY ON LEGAL ASSISTANCE AND CONTINUATION OF LITIGATION

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### Sec. 1) RETENTION OF COUNSEL

- a) The Board of Control may authorize the employment of a staff attorney and establish the salary, benefits and other details of the position.
- b) If a staff attorney is authorized by the Board, the person shall be employed by the Commissioner pursuant to 702 KAR 7:065, Sections 8 and 9 and the KHSAA Constitution.
- c) The Commissioner, with approval of the Board of Control shall have the authority to engage an outside legal firm(s) in defense of Association rules and for consultation.

### Sec. 2) CONTINUANCE OF LITIGATION

- a) Any case proceeding past the Kentucky Court of Appeals would require additional Board of Control approval to proceed.

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## POLICY ON ALIGNMENTS AND REALIGNMENTS

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### Sec. 1) ONGOING ALIGNMENT OF TEAMS

- a) On a perpetual basis, the Commissioner shall ensure that staff maintains a current alignment of teams in each sport with the following review points considered:
  - (1) If a single school adds a team (or individuals) or deletes a team (or all individuals), the alignment may be revised on an ongoing basis by the Commissioner;
  - (2) The Board of Control may, at its own discretion, choose to realign all teams participation in a sport or sport activity based on input from the Commissioner;
  - (3) A realignment may also be caused by a previously adopted alignment having an expiration of its valid period. This is especially important in the enrollment based sports (classified sports). Cross Country/Track and Field and Football are aligned on a four-year alignment period;
  - (4) Cross Country and Track and Field shall have the same alignment;
  - (5) Football shall be aligned based on the average four-year enrollment of boys in the school, including the year in which the realignment project is completed, and the final alignment shall attempt to result in a final product being adopted at least twelve (12) months notice to the member schools for scheduling;
  - (6) Cross Country/Track and Field shall be aligned on a not less than every four year basis, using the average four-year enrollment of total students in the school, including the year in which the realignment project is completed; and
  - (7) Specific parameters about each sport alignment shall be contained in the Competition rules for the Sport or Sport Activity.

### Sec. 2) REALIGNMENT EFFECTION MULTIPLE TEAMS

- a) Whenever a sport or sport activity is to be realigned, the Board shall direct the Commissioner to produce the necessary information as to seed discussion by the Board of Control. The criteria and factors to be used in developing a draft alignment shall adhere to the following steps:
  - (1) Identification as to whether or not enrollment classifications will be used;
  - (2) Plot/Diagram the location of all competing schools;
  - (3) Begin by looking at geographic clusters of schools. Geography (including specific travel route information) is an important criteria for placement in groups (regions, districts, etc.), but is not the sole determinant for placement;
  - (4) Travel routes must be reviewed as a potential factor, as well as the identification of the existence of multiple travel routes;
  - (5) In the non-classified team sports (baseball, basketball, soccer, softball, volleyball), the basketball alignment should be an initial framework, specifically the region boundaries;
  - (6) If possible in the non-classified team sports, the district boundaries should be important element, but the level of participation of the schools in the district must be factor;
  - (7) The unique factors of each sport, particularly the individual sports, must be reviewed including facilities; and
  - (8) The participation and alignment history, both individual and teams, is a factor in consideration, but not the final determinant;
- b) When reviewing alternative suggestions for alignments, the Board shall prioritize the requests from the membership that effect the requesting school over those suggestions that result from suggestions about other schools without effecting the suggesting school.
- c) The following factors are NOT to be considered:
  - (1) Past success or failure;
  - (2) Enrollment (in a non-classified sport);
  - (3) Socio-economic status and student body composition;
  - (4) Type of school (A1, D1, F1, J1, M1, R1); and
  - (5) Specific desires of coaches (input must be from administrative level).

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## POLICY ON THE CONDUCT OF OFFICIAL RULES INTERPRETATION CLINICS

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### Sec. 1) BACKGROUND AND POLICY

- a) The online rules clinics are administered in all sports and sport-activities as a tremendous cost savings to the membership compared with traveling to central sites.
- b) The online meetings also allow for consistent messaging and provide an additional layer of liability protection for the Association.

### Sec. 2) REVISED POLICY

- a) Beginning July, 2016 ~~2014~~, the rules clinic requirements contained in regulation for both officials and coaches may ~~only~~ be satisfied with the online clinic production.
- b) Staff may conduct, at the discretion of the staff member based on the timing of the request and available rules information, one clinic at a statewide coaches association meeting in each sport, which shall count toward the clinic requirement.
- c) Staff will continue to be available upon request to conduct update sessions and rules discussions at various other meetings, but that attendance at such meetings will not count for the rules clinic requirement

## **POLICY ON THE USE OF DRONES AT KHSAA MEMBER SCHOOL SPORT AND SPORT-ACTIVITY EVENTS**

### Sec. 1) BACKGROUND AND POLICY

- a) After considering the factors detailed in NOTAM 91.145 (b) and NOTAM 9/5151 (10/2010) as updated by NOTAM 4/3621 (10/2014), and until additional prescriptive regulations are adopted by the FAA, the KHSAA shall operate congruently with the FAA regulations and NOTAMS on model aircraft irrespective of the seating capacity of the facility (TFR 14 CFR 91.145 and Special Notes FDC NOTAM 9/5151 and NOTAM 4/3621). This means that at KHSAA sanctioned events (scrimmage, regular and postseason) there may be no use of drones for any reason, whether or not the facility has a capacity of 30,000 individuals.
- b) The published unofficial plain language interpretation is as follows:
  - (1) The FAA prohibits flight at and below 3,000 feet above ground level within a 3 nautical mile radius of all sporting event stadiums having a seating capacity greater than 30,000 people.
  - (2) This temporary flight restriction includes all Major League Baseball stadiums, National Football League stadiums, NCAA division one football stadiums, NASCAR Sprint Cup races, and INDY Car races.
  - (3) The temporary flight restrictions begin one hour prior to the start of the sporting event and end one hour after the sporting event has concluded.
  - (4) The KHSAA policy removes the restriction on seating capacity, and as a result includes all KHSAA sanctioned events (scrimmages, regular and postseason contests).
- c) Until further amendment by FAA regulations, if these devices are detected at a KHSAA sanctioned event (scrimmage, regular and postseason), contest officials are to stop play/activity and notify game/contest management. It is the responsibility of the contest management to ensure that, unless the device is a permitted exception under FAA rules (a broadcast rights holder with permission who should have documentation to verify this fact, Department of Defense device with applicable permits, law enforcement or air ambulance flight operations, it is not operating at the facility prior to the resumption of play.
- d) The specific FAA information is detailed on the FAA website at [www.faa.gov](http://www.faa.gov).

## **POLICIES AND OPERATING PROCEDURES - DAWAHARES/KHSAA HALL OF FAME**

The Kentucky High School Athletic Association entered into an agreement with the Dawahares clothing stores for that company to sponsor the Dawahares/Kentucky High School Athletic Association Hall of Fame. Since that time, more than 400 individuals have been inducted into this special group of athletes, coaches, officials and contributors to interscholastic athletics in the Commonwealth.

### Sec. 1) NAME

Until such time as a new title sponsor is obtained requiring a change, the name of this program shall be the Dawahares/KHSAA High School sports Hall of Fame (hereinafter "Hall of Fame"), a program of the KHSAA under the jurisdiction of the Board of Control, and shall be located in Lexington, Kentucky.

### Sec. 2) TITLE SPONSORSHIP

- a) For the period covering the induction classes of 1988 through 2012, such title sponsorship was contracted with Dawahares, Inc.
- b) The Association continues to seek a new title sponsor for this project.

### Sec. 3) BASIC PURPOSE

- a) The Hall of Fame is organized as a means of recognizing, preserving and promoting the heritage of interscholastic sports in Kentucky.
- b) Many individuals have made extraordinary contributions and have had superb accomplishments in high school sports.
- c) The Hall of Fame honors the contributions and accomplishments of these individuals who are worthy of statewide recognition as examples for others to emulate.

### Sec. 4) GOVERNANCE

- a) The KHSAA in compliance with signed sponsorship agreements shall govern the Hall of Fame.
- b) The two-level selection process shall be governed and under the control of the Hall of Fame Screening Committee and the Hall of Fame Selection Committee.

### Sec. 5) CATEGORIES FOR NOMINATION

- a) Individuals may be nominated representing four categories. The categories of Athlete, Coach, Official and Contributor are included on the nomination form for expediency in understanding the primary area in which an individual is to be considered for one's achievements in interscholastic athletics.
- b) The grouping is for screening purposes to assist those making the nominations in understanding the type of information required as detailed below:
  - (1) Athlete: A former high school athlete whose achievements as a high school athlete were extraordinary and merit statewide recognition. Such athlete shall have completed high school eligibility in all sports at least ten years prior to being eligible for induction. Athletes who are being nominated are to be considered solely for their achievements in interscholastic athletics in this state.
  - (2) Coach: A current (age 65 or over) coach whose accomplishments as a high school coach merit state recognition or former high school coach who has been inactive as a coach for a minimum of three school years whose accomplishments as a high school coach merit statewide recognition. Coaches would be considered on the merits of their high school coaching achievements alone.
  - (3) Contest Official: A former high school contest official, or current official who qualifies for fee-free licensing (more than 25 years in a single sport), whose contributions as a high school contest official merit statewide recognition. Contest officials are to be considered solely on the basis of their service as a high school official.
  - (4) Contributor: An individual who has made outstanding contributions to interscholastic athletics on a statewide scale in some capacity other than Athlete, Coach or Official, including such areas as athletic administration, state association administration, sports medicine and sports media.

### Sec. 6) DESIRED BALANCE OF DEMOGRAPHICS IN SELECTION CLASS

- a) In addition to the nomination criteria and classes listed in Section 1, the Board of Control has as a stated objective, other criterion to fulfill the desire of proper and adequate representation.

- (1) Senior: An individual who is deceased, or at the time of consideration, has reached the age of 65.
- (2) Sections: For purpose of the selection process, the sections of the state shall be bounded by the regional tournament boundaries in basketball. Section 1 shall be regions 1-4, Section 2 shall be regions 5-8, Section 3 shall be regions 9-12, and Section 4 shall be regions 13-16.
- (3) Underrepresented Populations: It shall be the objective of this project to seek to identify, nominate and select a balance of individuals including those from previously underrepresented populations. Such populations shall include female participants in all categories in Section (a) of this Article, minority (African American and others) participants in all categories in Section 5, subsection (b).

Sec. 7) DESIRED SIZE AND DEMOGRAPHICS OF INDUCTION CLASS

- a) The Selection Committee shall select the induction class for the following year. Each group of inductees shall include at least:
  - (1) A selection class consisting of a minimum of eight (8) inductees that shall be the pre-determined desired number each year;
  - (2) A minimum of one representative from each section as defined in subsection (2) of Section 6; and
  - (3) An appropriate demographic balance of all populations including those defined in subsection (3) of Section 6 with a minimum of one from each category.

Sec. 8) REQUIREMENTS FOR NOMINATION

- a) In order to maintain the integrity of the program, the following criteria are established for nominations.
  - (1) Nominees shall exemplify the highest standards of sportsmanship, ethical conduct and moral character. All candidates shall be judged on their significant or long-term contributions to interscholastic athletics.
  - (2) Candidates whose careers ended 50 or more years ago and who are deceased shall be considered against the accomplishments of their contemporaries, as much as is possible. It is suggested that additional support material be included, such as letters from individuals who had some involvement with the nominee.
  - (3) All applications shall clearly indicate the status of each candidate as it relates to the defined categories in subsection (b) of Section 5 to allow for accurate comparison and consideration.

Sec. 9) NOMINATIONS PROCEDURES

- a) The following procedures shall be utilized for nominations to the Hall of Fame.
  - (1) The Official Nomination Form shall be completed and submitted to and received by the KHSAA along with appropriate support materials by November 1 of each school year for a candidate to be considered for the following year's class. Any nomination received by the Association that does not contain active and current address and contact information for the nominee (or family if deceased) shall not be considered.
  - (2) In addition to the Official Nomination Form, the following materials may be submitted for an individual to be considered for induction.
    - a. Letters of Recommendation. A completed nomination form may be accompanied by letters of recommendation from the person making the nomination, and other letters of support from appropriate individuals from the nominee's related high school career;
    - b. In cases where other support material is difficult to obtain, additional letters may be in order to substantiate the individual's accomplishments or contributions. Letters of recommendation for other awards of honors may not be used as substitute for this requirement;
    - c. A limited number of newspaper clippings, magazine articles, copies of scorebooks and statistics may be included to help substantiate the accomplishments and contributions of the nominee. These materials shall focus on high school accomplishments and contributions and be listed in chronological order; and
    - d. A 5x7 photograph, preferably black and white, is to be submitted with the nomination form.
  - (3) Additional information and support documentation may be submitted with this form or may be requested by Association staff in order to process the nomination;
  - (4) All support material is to be submitted to and received by the KHSAA on or before December 15 in order to be considered at the next screening session.
  - (5) The completed nomination form and support materials may be submitted online or completed and returned to:  
Dawahares/KHSAA Hall of Fame  
2280 Executive Drive  
Lexington, Kentucky 40505
  - (6) Once the information forms are received by the KHSAA, all actions necessary to the selection process shall remain confidential.
  - (7) The Hall of Fame shall retain all information submitted. It is advisable to include a limited number of newspaper articles, magazine articles and other supportive materials that would be helpful to the Hall of Fame Screening Committee.

Sec. 9) NOMINATION ELIGIBILITY

- a) Nomination of the Hall of Fame may be made by
  - (1) Representatives of Kentucky High School Athletic Association member schools
  - (2) Appropriate Coaches, Officials, Administrators and Athletic Director Associations or members
  - (3) Anyone else with connection or relation to the high school community
  - (4) A representative of the KHSAA staff or Board of Control.
- b) Nomination of Board of Control/Staff Members
  - (1) A currently seated member of the KHSAA Board of Control shall not be eligible until such time as their term in office has expired.
  - (2) A currently employed member of the KHSAA staff shall not be eligible for induction until such time as they are no longer employed.
  - (3) Any such member of the Board of Control or staff that has been nominated for the Hall of Fame shall have the materials retained by the KHSAA, and the processing of the nomination, including all deadlines, shall be held in abeyance until the member is eligible to be considered. This period of abeyance shall not be included in any time periods that would result in the expiration of a nomination.
- c) Term of Nomination
  - (1) Following initial nomination, a candidate shall remain eligible for induction for the following five years.
  - (2) After this time has expired, the candidate's name shall be required to be re-submitted on a new nomination form in order for that individual to be considered.

Sec. 10) AMENDING THE INDUCTION CRITERIA



The Board of Control may amend or otherwise revise the induction criteria at any time.

Sec. 11) HALL OF FAME SCREENING COMMITTEE

- a) The Hall of Fame Screening Committee shall meet annually to screen candidates based on the criteria approved by the Board of Control to be submitted to the Hall of Fame Selection Committee.
- b) The Hall of Fame Screening Committee shall have voting members as follows:
  - (1) Up to nine (9) members of the KHSAA Board of Control, appointed annually by the President. It shall be the normal practice that Board of Control members in their first year, and rising to the third year of their term on the Board, serve on the Screening Committee;
  - (2) The Commissioner shall serve on the Screening Committee;
  - (3) At least three (3) additional members of the KHSAA executive staff appointed by the Commissioner shall serve on the Screening Committee;
  - (4) At least three (3) members of the media, one representing print outlets, one representing radio outlets and one representing television outlets shall serve on the Screening Committee. The KHSAA Media Advisory Committee shall select these members on an annual basis. In the absence of such committee action, these positions may be solicited from the statewide organizations (Kentucky Press Association, Kentucky Broadcasters Association, etc.) that represent the desired groups;
  - (5) One member appointed by the representatives of the program title sponsor; and
  - (6) The President of the Board of Control shall designate a member of the staff to serve as chairman of the Screening Committee. The Commissioner shall replace any vacancies that occur on the Screening Committee prior to the conclusion of terms, or resulting from any termination of Screening Committee membership.
- c) The duties and responsibilities of the members of the Hall of Fame Screening Committee shall be as follows:
  - (1) Attend the annual meeting of the Screening Committee;
  - (2) Annually evaluate nominations of individuals submitted to the KHSAA by the prescribed deadline date;
  - (3) Approve only those individuals who had significant and or long-term contributions or accomplishments in high school sports and who meet the defined criteria;
  - (4) Evaluate and recommend changes to the induction criteria and guidelines on an annual basis. After approving all worthy nominees, the Screening Committee shall determine the number of candidates in each category that shall be inducted. These numbers shall be used to select the induction class after voting by the Selection Committee; and
  - (5) Keep all action of the Screening Committee confidential. Violation of this provision shall result in removal from the committee.
- d) The voting procedures of the Hall of Fame Screening Committee shall be as follows:
  - (1) KHSAA staff shall provide to the Screening Committee members a compilation of all nominees eligible for consideration and all support material at the upcoming Screening Committee meeting;
  - (2) Such listing of nominees shall be listed in a format where categorical representation is easily recognized;
  - (3) These listings shall be cross-referenced to ensure that each person eligible is listed in all applicable categories;
  - (4) This listing shall be provided to the members of the Screening Committee not less than thirty (30) days prior to the Screening Committee meeting to allow for ample time to consider all candidates;
  - (5) Discussion shall be held by each category, ensuring that all eligible candidates receive due consideration;
  - (6) The Screening Committee shall review candidates based on information available at the time of the Screening Committee meeting;
  - (7) The Screening Committee shall meet at a time and place designated in conjunction with a spring Board of Control meeting to conduct the screening process;
  - (8) The Screening Committee, provided adequate numbers of non-inducted nominees exist, shall forward not less than twenty-four (24) names (including those that remain eligible having been screened before) to the Hall of Fame Selection Committee for final consideration. It shall be the objective of this committee to keep the total number of screened individuals at or near the desired twenty-four (24) in order to offer continual consideration given the turnover in screening committee membership;
  - (9) The Screening Committee, by majority vote or consensus, may forward groups of individuals en masse in an effort to meet the requirements of subsection 3 of Section 6. If this step is taken in order to ensure a balanced pool of nominees to be considered by the selection committee, then the Screening Committee shall by majority vote or consensus, determine how this group screening impacts the policy of having twenty-four (24) forwarded to the Selection Committee;
  - (10) All individual nominees approved by the Screening Committee and forwarded to the Selection Committee who do not receive the necessary votes from the Selection Committee for induction shall remain "screened" for three (3) years, provided the original nomination remains within the five-year nomination period; and
  - (11) No candidate shall be considered who is not part of the material distributed as detailed in item 1 above.

Sec. 12) HALL OF FAME SELECTION COMMITTEE

- a) The Hall of Fame Selection Committee shall conduct balloting annually to screen candidates based on the list of candidates forwarded by the Screening Committee. This balloting shall be held near the time of the spring KHSAA Board of Control meeting.
- b) The Hall of Fame Selection Committee shall have voting members as follows:
  - (1) Up to nine (9) members of the KHSAA Board of Control, appointed annually by the President. It shall be the normal practice that Board of Control members rising to the second and fourth year of their term on the Board, serve on the Selection Committee;
  - (2) At least three (3) additional members of the KHSAA executive staff appointed by the Commissioner shall serve on the Selection Committee;
  - (3) At least three (3) members of the media, one representing print outlets, one representing radio outlets and one representing television outlets shall serve on the Selection Committee. The KHSAA Media Advisory Committee shall select these members on an annual basis. In the absence of such committee action, these positions may be solicited from the statewide organizations (Kentucky Press Association, Kentucky Broadcasters Association) that represent the desired groups;
  - (4) One member appointed by the representatives of the program title sponsor;
  - (5) Other members may be added as necessary to ensure the integrity of the process; and
  - (6) The Commissioner shall ensure that the balloting is conducted in an anonymous fashion.
- c) The selection Committee shall utilize the following voting procedures:

- (1) At the discretion of the Commissioner and President of the Board of Control, the Hall of Fame Selection Committee may meet as a group or may choose to do its balloting by proxy ranking;
- (2) Hall of Fame Selection Committee members complete rating sheets which shall be viewed as preliminary notes to the process and return these to the KHSAA for tabulation or such may be done by any other form of electronic balloting;
- (3) No candidate failing to be forwarded by the Screening Committee shall be considered;
- (4) Selection Committee members shall rank candidates in order of desired induction preference, with the top listed person receiving the higher number of points;
- (5) After the initial tabulation of results, additional candidates beyond the desired class maximum size may be selected to ensure that each of the desired populations is represented;
- (6) Totaling the votes for each candidate and applying the ratios established by the Screening Committee determines the induction class;
- (7) The annual voting results of the Selection Committee shall be confidential;
- (8) Inductees shall be announced at a pre-determined time following the final selection process and after the individuals have been contacted and indicated that they will be present or represented at the induction ceremony; and
- (9) Prior publicity concerning nominees is not appropriate and may result in elimination from future involvement in any phase of the selection process.

Sec. 13) APPOINTMENT AND PROCEDURES FOR VETERANS COMMITTEE

- a) At the discretion of the Commissioner and President of the Board of Control, a Veterans Committee shall be appointed to review the list of those candidates selected for induction.
- b) This committee shall review the list of persons selected for induction, as well as those screened and eligible for nomination.
- c) The Veterans Committee shall ensure that at least two (2) individuals are selected to the induction class whose career as a participant (as a coach, player, official or contributor) includes the time period of fifty (50) or more years prior to the induction ceremony.
- d) The Veterans Committee, from the list of screened and eligible nominations, has the authority to select these individuals and add them to the selection class prior to the formal announcement of the induction class.

Sec. 14) AMENDING THE SELECTION PROCEDURES

The Board of Control may amend or otherwise revise the selection procedures at any time.

Sec. 15) INDUCTION CEREMONY

- a) The Hall of Fame Induction Ceremony is held each year at a pre-determined date.
- b) Inductees shall be notified in writing if selected for the following year's class.

Sec. 16) PAST INDUCTEES

Information about the past induction ceremonies of the Dawahares/KHSAA Hall of Fame can be found on the KHSAA website, [www.khsaa.org](http://www.khsaa.org).

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## **BOARD OF CONTROL ADOPTED POLICIES STATEMENTS**

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Many decisions are made that are only recorded in the minutes of the Board of Control meetings although they establish precedent to guide the Commissioner in execution of the duties of that office. The Board of Control has also made several position statements to clarify the official stance of the Association on a specific matter, and how those provisions are to be applied including which awards shall be officially sponsored by the Association.

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### **POLICY STATEMENT ON PARTICIPATION IN BOYS AND GIRLS BASKETBALL AND TEAM SPONSORSHIP**

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Any secondary school which maintains a basketball team for boys for other than intramural purposes, shall maintain the same program for girls. (KRS 157.350 (5))

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### **POLICY STATEMENT ON ALL-STAR GAME PARTICIPATION BY SENIORS**

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Seniors shall be considered to be under the jurisdiction of the appropriate collegiate governing body (NCAA, NAIA or NJCAA) following the completion of athletic eligibility in football and basketball as it pertains to All Star Games in those sports, and not subject to penalty for participation during school year. (Bylaw 9 interpretation, October 1985 meeting)

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### **POLICY STATEMENT ON APPLICABILITY OF REGULATIONS TO NINTH GRADE STUDENTS**

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Student eligibility rules apply to all students enrolled in grades nine through twelve no matter where enrolled (in the event of being enrolled at a feeder school under the same Board of Education). (November 1983 meeting)

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### **POLICY STATEMENT ON ANONYMOUS CALLS AND LETTERS**

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Bylaw 21 requires all material submitted regarding the KHSAA for protests and reporting of violations to be in writing. If those reports are anonymous, the letters shall be forwarded by the Commissioner's office to the school administrator of the school in question, with no further action taken by the KHSAA unless that administrator reports a violation or further substantiated and signed information is received. Anonymous callers shall be informed that the KHSAA staff has no authority to act on anonymous calls.

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### **POLICY STATEMENT ON ACCEPTING CALLS FROM MEMBER SCHOOLS AND THE GENERAL PUBLIC**

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The KHSAA staff prioritizes calls from the member school representatives. The KHSAA staff receives hundreds of phone calls and electronic mail messages each week from member schools. Because the KHSAA exists to serve the member schools, responding to their inquiries is the top priority. The large volume of calls from parents and students has dramatically affected our ability to serve the member schools. School

administrators shall remain the first and preferred contact resource for parents and students. The KHSAA shall refer calls from parents or students to the appropriate school personnel, and ask that the administrator contact the KHSAA if there is a need for a specific answer or rules clarification.

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### **POLICY STATEMENT ON SCHOOL NICKNAMES**

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(Adopted by Kentucky Board of Education, 2007)

The Board of Control has no prohibition on specific nicknames or mascots, but has adopted the Kentucky Board of Education resolution stating a formal position as approved February 8, 2007.

WHEREAS, the Kentucky Board of Education believes in the worth and dignity of all peoples,

WHEREAS, schools and school districts should set an example and provide leadership in their communicates in supporting and furthering human rights,

WHEREAS, schools should be places where all students feel welcomed,

WHEREAS, mascots, nicknames and other school symbols should represent the highest ideals of human dignity and help all students feel welcome and included in the life of the school,

NOW, THEREFORE, be it resolved that the Kentucky Board of Education urges all schools to review the appropriateness of their symbols and mascots and recommends that all schools and school districts abandon the use of symbols and mascots that are offensive to any group of people.

REAFFIRMED AT THE KENTUCKY BOARD OF EDUCATION MEETING, in the city of Frankfort, this eighth day of February, in the year Two Thousand and Seven.

Keith Travis, Chair, Kentucky Board of Education

Kevin M. Noland, Interim Commissioner, Kentucky Department of Education (Feb. 8, 2007)

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### **POLICY STATEMENT ON COACHING EDUCATION COURSE**

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The NFHS online Coaching Education course shall be the unit of study approved for Bylaw 25 compliance. The KHSAA will recognize and give credit for the Coaching Education requirement to those individuals holding credentials issued through the American ~~Sport sports~~ Education Coaching Principles Course prior to the adoption of the NFHS online course, and to those who seek a coaching position with these credentials already completed. The KHSAA will recognize and give credit for the Coaching Education requirement to those individuals holding credentials issued using the blended version of the NFHS Course (part in-person, part online).

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### **POLICY STATEMENT ON CERTIFIED/LICENSED ATHLETIC TRAINERS AT PRACTICES/CONTESTS**

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The Kentucky High School Athletic Association has long recommended and continues to recommend, that medical coverage be present at all athletic practices and contests. Because of the unique skill set of Certified/Licensed Athletic Trainers it is recommended that one of these individuals be present at all practices and contests. It is also strongly recommended that the member schools utilize only Certified/Licensed Trainers per the Kentucky Board of Medical Licensure, and not members of any other vocation making this claim but without this legal designation. While acknowledging the expense of provided a certified/licensed trainer, it is nonetheless noted that this shall be an integral part of your athletic planning process.

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### **POLICY STATEMENT ON SPORTS MEDICINE POLICIES OF THE NFHS**

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Unless separate policies or policy statements are adopted by the Board of Control, any position or policy statement of the NFHS Sports Medicine Advisory Committee shall be considered to be the position or policy statement of the KHSAA.

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### **POLICY STATEMENT ON SECURITY PRACTICES FOR CONTESTS**

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While many schools have measures in place regarding safety and security at athletic contests, all members are reminded of the importance of this area. The National Federation and the Kentucky High School Athletic Association have made a strong effort in reminding all state associations and member schools of the importance of member schools understanding the necessity for adequate security. Crowd control has become a major emphasis across the nation and though many schools have provisions in place, it is important to take every precaution to protect the athletes, fans and general public at contests. While acknowledging the expense of security, it is noted that this shall be an integral part of your athletic planning process.

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### **POLICY STATEMENT ON ETHNIC AND CULTURAL DIVERSITY & SEXUAL HARASSMENT**

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The KHSAA recognizes the cultural diversity of its member school athletes, coaches, fans and spectators. The Board of Control encourages each of its member schools to educate its participants and all parties involved in the sports program as to the need for a united approach to solving problems. Prejudice or discrimination within the athletic program on the basis of race, color, religion, ancestry, age, national origin, disability, sex, sexual orientation, gender identity, or United States military service cannot be tolerated. The KHSAA encourages each of its schools to unite in this effort. The KHSAA has no tolerance for sexual harassment or discrimination based on race, color, religion, ancestry, age, national origin, disability, sex, sexual orientation, gender identity, or United States military service. If those acts are discovered during the course of normal operations, all information shall be forwarded to the appropriate authorities.

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### **POLICY STATEMENT - RECOMMENDED CODE OF ETHICS FOR COACHING PERSONNEL**

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Sec. 1) BACKGROUND

The function of a coach is to educate students through participation in interscholastic competition. An interscholastic program should be designed to enhance academic achievement and should never interfere with opportunities for academic success. Accordingly, the following recommended guidelines for head and assistant coaches, whether or not they are paid, have been adopted for the voluntary use by member schools and districts:

- a) The coach shall treat each student with the utmost respect, and his or her welfare should be considered in decisions by the coach at all times.
- b) The coach shall be aware that he or she has a tremendous influence, for either good or ill, on the education of the student and, thus, shall never place the value of winning above the value of instilling the highest ideals of character.
- c) The coach shall uphold the honor and dignity of the profession. In all personal contact with students, officials, athletic directors, school administrators, contest officials, other coaches, the state high school athletic association, the media, and the public, the coach shall strive to set an example of the highest ethical and moral conduct.
- d) The coach shall take an active role in the prevention of drug, alcohol and tobacco abuse.
- e) The coach shall avoid the use of alcohol and tobacco products when in contact with players.
- f) The coach shall promote the entire interscholastic program, being cognizant of multi-sport athletes and those involved in other school activities and direct his or her program in harmony with the total school program.
- g) The coach shall master the contest rules and shall teach them to his or her team members. The coach shall not seek an advantage by circumvention of the spirit or letter of the rules.
- h) The coach shall exert his or her influence to enhance sportsmanship by spectators, both directly and by working closely with cheerleaders, pep club sponsors, booster clubs, opposing team members and coaches and administrators and contest officials.
- i) The coach shall respect and support contest officials. The coach shall not indulge in conduct which would incite players or spectators against the officials. Public criticism, including through the traditional media or through the use of social media of officials or players is unethical and not permitted.
- j) The coach shall use social media in accordance with any adopted district policy.
- k) The coach shall not exert pressure on faculty members to give student-athletes special consideration or exert pressure on athletic or school administrators to cause undue delay in providing records of student-athletes no longer at the school.
- l) The coach shall not scout opponents by any means other than those adopted by the Association and shall adhere to the restrictions of Bylaw 15 (Sportsmanship) related to use of illegal equipment.
- m) The coach shall adhere to all KHSAA Bylaws, including policies and procedures. The coach shall be aware of and adhere to the restrictions of Bylaw 6 (Transfer Rule), Section 3 wherein the coach's actions shall not create an athletic advantage for a transferring student (including students transferring after participating with a coach in outside competition) and Bylaw 16, Recruitment (Undue Influence) and restrictions on impermissible contacts and impermissible benefits.
- n) The coach shall be current in all coaching qualifications adopted by the Association as well as certifications required.

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## **AWARDS GIVEN BY OR ON BEHALF OF THE ASSOCIATION**

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### **BOARD OF CONTROL RECOGNITION**

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Individual awards of appreciation are presented to each member of the Board of Control as they complete their term on the Board of Control and upon the completion of a term as President of the Board of Control.

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### **KHSAA CITATION AWARD**

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This award may be presented to individuals selected for distinguished service and dedication to high school athletics. A listing of past winners shall be maintained at the KHSAA offices and on the KHSAA website.

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### **GAME GUY/GAL AWARD**

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Since 1949, the KHSAA has presented an award (trophy) to the individual athlete (boy or girl) who has overcome a handicap to participate in interscholastic athletics. Nominations are to be received in the KHSAA office by April 1 and the Commissioner and the Association staff make the selection.

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### **TED SANFORD AWARD**

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This award is sponsored by the Louisville Courier-Journal, and is selected by a committee at the Boys' State Basketball Tournament. The award is given to the participant in a Boys' State Basketball Tournament who excels in four areas: basketball ability, sportsmanship, academic accomplishments, and citizenship.

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### **J. B. MANSFIELD AWARD**

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This award is sponsored by the Louisville Courier-Journal, and is selected by a committee at the Girls' State Basketball Tournament. The award is given to a participant in the Girls' State Basketball Tournament who excels in four areas: basketball ability, sportsmanship, academic accomplishments, and citizenship.

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### **SWEET SIXTEEN® ACADEMIC SCHOLARSHIP AWARDS (BOYS AND GIRLS)**

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The first academic scholarship awards were given at the 1985 tournament. That year, one scholarship in the amount of \$1,000.00 was presented at both the boys' and girls' tournament. Since that time scholarships have been given to worthy participants from a variety of sources and KHSAA Corporate Partners. Each participating school is requested to submit the grade point average, list of activities and community involvement on each of its nominated participants. The Commissioner and members of the staff select the recipients.

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### **ACADEMIC ALL-STATE PROGRAM**

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Initiated in 1985, the KHSAA annually awards to students in the state of Kentucky "Academic All-State" recognition in conjunction with a corporate partner. The awards, in the form of certificates, are presented to students who have maintained a minimum cumulative grade point

average of 3.25 on a four-point scale. Those students are awarded "Honorable Mention" recognition. Students maintaining a 3.75 cumulative average on a four-point scale are designated "First Team." The awards are based on cumulative grade point averages from the time of enrollment in ninth grade, are given only to varsity participants, and only to participants who are enrolled in grades nine through twelve.