
BOARD OF CONTROL ADOPTED POLICIES

The Board of Control has established many regulations and policies during its regular meetings. The following are the primary policies that affect daily operations at the Association.

HIGH SCHOOL MEMBERSHIP IN THE ASSOCIATION AND COMPLIANCE EXPECTATIONS

Articles III and VI of the KHSAA Constitution detail the Board of Control's duty to develop standards for membership in the KHSAA and authorize the denial of membership applications for sufficient cause. The following policies detail that duty.

Sec. 1) OPERATIONAL PROCEDURES

- a) Membership Forms shall be distributed to current members on or about May 1 for the following year along with the bill for membership dues.
- b) Membership applications shall also be distributed as requested from other prospective KHSAA member schools.
- c) Membership applications shall be due to the KHSAA by July 1. Previous member schools are generally given until their opening day of school to join without penalty.
- d) At the July Board of Control meeting, the Commissioner reports to the Board of Control on recommendations for approval of the membership list for the coming school year. The Board shall take action on those recommendations.
- e) The deadline for the payment of membership dues is September 1.

Sec. 2) BASIC MEMBERSHIP CRITERIA

- a) Pursuant to 702 KAR 7:065, Section 1, the KHSAA has been designated as the Kentucky Board of Education's agent to manage interscholastic athletics at the high school level in the common schools, including a private school desiring to associate with the KHSAA and compete with a common school.
- b) Any school desiring to associate with the KHSAA shall complete, sign and submit this application to the KHSAA together with the applicable dues, which shall be considered by the KHSAA Board of Control.
- c) The following are the basic criteria for membership in the KHSAA:
 - (1) The school shall have the 12th grade as the terminating grade, and not enroll students in only grades K-5;
 - (2) The school shall be:
 - a. A public school under the auspices of the Kentucky Department of Education fitting into one of the following membership categories: A1 (District operated general or multi-program school), D1 (State Department of Education operated school), F1 (Federal Dependent school); Public Charter School as defined by KRS 160; or
 - b. A non-public school (private, parochial or church affiliated school fitting into one of the following membership categories: J1 - Roman Catholic School, M1 - Other religious school or R1 - Private, non-church school) that has been certified by the Kentucky Board of Education consistent with KRS 156.160(3) (see KDE website for Certification Process for Non-Public Schools), is a current member of the Kentucky Non-Public School Commission, and is accredited by one of the listed approved accrediting agencies;
 - (3) The school shall agree, through this application and other monitoring methods, to adhere to and abide by the KHSAA Constitution, Bylaws, Competition Rules and all other policies and directives of the KHSAA Commissioner or Board of Control;
 - (4) The school shall pay the membership dues and any and all assessed fines by the imposed deadline;
 - (5) The Principal or Designated Representative shall be required to attend annual in-person update (not including the KHSAA Annual Meeting of the Membership) concerning the rules, regulations and policies of the Association, to provide feedback on Association ongoing activities, and to clarify ongoing issues or concerns related to athletics.

Sec. 3) ELIGIBILITY FOR CHAMPIONSHIP PLAY

- a) All full members of the Association shall be eligible to enter championship play sponsored by the KHSAA provided there is no other restricting reasons such as sanction under the provisions of Bylaw 27;
- b) A new school formed by the local board of education from existing KHSAA member schools:
 - (1) Shall be immediately eligible for championship participation upon acceptance of the application for new membership upon approval of the Board of Control;
 - (2) Shall be assigned a member of the staff for a period of not less two four years to serve as a mentor to guide the school in the rules and regulations of the Association and to report to the Board of Control prior to a decision to renew membership;
- c) ~~whereas, a~~ Any other school applying for new membership:
 - (1) shall be ineligible for championship play during a probationary period from the date of acceptance of the application through the end of the second approved year of membership;
 - (2) If approved by the Commissioner, the school on probationary status is allowed to exhaust any contest contracts that were signed prior to joining the KHSAA. The candidacy school may therefore, if approved, compete against those non-member schools in contests during this period provided there was prior written agreement. However, once those contracts are exhausted, the school in candidacy status will not be able to compete against non-member schools located in Kentucky;
 - (3) The playing season in all sports will end for schools in candidacy status at the end of the regular season in each sport, and the school on candidacy status will not be eligible to compete in postseason play against other KHSAA schools until the end of the two-year period;
 - (4) Shall be assigned a member of the staff for a period of not less than four years to serve as a mentor to guide the school in the rules and regulations of the Association and to report to the Board of Control prior to a decision to renew membership;

~~and~~

Sec. 3) REASONS TO DENY OR REVOKE MEMBERSHIP

- a) The following is a list of reasons that the KHSAA Board of Control may either deny an application, suspend or revoke membership in the KHSAA:
 - (1) Failure to satisfy any of the Basic Membership Criteria enumerated above;
 - (2) Failure to adhere to and abide by any of the Agreements enumerated below; or
 - (3) A documented pattern of continual violations and a general lack of institutional control as defined in KHSAA Bylaw 27.

Sec. 4) SCHOOL MEMBERSHIP DUES

- a) Schools desiring to become a member of the Association shall pay a fee in accordance with their enrollment on the following schedule:
- (1) Schools enrolling 1-400 students in grades 9-12 shall pay \$800;
 - (2) Schools enrolling 401-610 students in grades 9-12 shall pay \$1000;
 - (3) Schools enrolling 611-899 students in grades 9-12 shall pay \$1200; and
 - (4) Schools enrolling more than 899 students in grades 9-12 shall pay \$1400.

Sec. 5) COMPLIANCE AND EXPECTATIONS FOR MEMBERSHIP

- a) Each school desiring to join the KHSAA shall agree to compliance with certain specific requirements of the Board of Control. By signing this application on behalf of this school, the Principal or Designated Representative acknowledges that:
- (1) The local Board of Education or School Based Decision Making Body has given the undersigned Principal authorization to apply for renewal of membership in the KHSAA for the above referenced Academic School Year;
 - (2) The school is a voluntary member of the KHSAA and is in compliance with the KHSAA Constitution, Bylaws, Competition Rules and all other policies and directives of the KHSAA Commissioner or Board of Control;
 - (3) In accordance with the KHSAA Constitution, the Board of Control is the final authority in determining Competition Rules (including assignment of schools to district, region, and/or class);
 - (4) The Principal/Designated Representative has read, understood and agree to abide by the KHSAA Constitution, Bylaws, Competition Rules, Due Process Procedure and all other policies of the KHSAA Commissioner or Board of Control as now enacted or later amended;
 - (5) The school will abide by any and all of the rulings and directives of the KHSAA Commissioner, Assistant Commissioners, Hearing Officer and Board of Control, and at all times act in the best interests of the KHSAA;
 - (6) The school will self-report any and all violations of the KHSAA Constitution, Bylaws, Competition Rules, Due Process Procedure or all other policies and directives of the KHSAA Commissioner or Board of Control, and any ruling by the KHSAA and its Commissioner, Assistant Commissioners, Hearing Officer or Board of Control;
 - (7) The management of the athletic program is not in contradiction to state or Federal law, including the submission of the proper materials in a timely manner as requested by the Commissioner to fully comply with 702 KAR 7:065, Section 2(13) as it relates to monitoring compliance with 20 USC Section 1681 (Title IX);
 - (8) The KHSAA may impose penalties as detailed in the Bylaws of the KHSAA against this school for violation of the KHSAA Constitution, Bylaws, Competition Rules, Due Process Procedure or all other policies and directives of the KHSAA Commissioner or Board of Control, and agree to timely adhere to and abide by any and all penalties assessed against this school under the Bylaws of the KHSAA or any other rule, regulation or policy;
 - (9) The school will comply with the principles of institutional control as defined within the Bylaws of the KHSAA and the KHSAA's published interpretations thereof;
 - (10) The school will comply in a timely manner with any and all requests by the KHSAA and its officials for information, records and reports;
 - (11) Any information submitted via the KHSAA online system using the secure login of an authorized school administrator shall be deemed to have been approved and signed off by the Principal;
 - (12) All records of the school including financial, scholastic and attendance, are open and available for inspection by the KHSAA and its officials;
 - (13) The school's records are available for inspection and that this school has the financial aid need analysis for its student-athletes performed by an approved agency;
 - (14) The school releases to the KHSAA and its official representatives permission to release the demographic information related to the athletic program (including motion picture and still photography) and all participation statistics (including height, weight and year in school, participation history and other performance based statistics) and other information as may be requested, and agrees that the students from this school may be photographed or otherwise digitally or electronically captured during KHSAA sponsored school-based competition and such image or other report may be used without further permission or compensation;
 - (15) The school has distributed KHSAA Form GE04 in its entirety, including the applicable student eligibility rules and the Physician consent and Parental permission forms to each prospective student-athlete, and that this school properly maintains on file the written permission of the parents of each student-athlete and the required physical examination form until the student graduates from the school;
 - (16) The school has ensured that each student-athlete has insurance coverage up to the KHSAA Catastrophic deductible prior to allowing them to practice (including try out) or play; and
 - (17) The school has maintained compliance with the Limitation of Seasons as published in the Bylaws of the KHSAA as it relates to the loss of school time for regular season athletic contests as well as properly monitoring the playing of scrimmage and regular season contests, and has not violated these provisions in any KHSAA sport, and will self-report any violations of these limitations.

PENALTY POLICY - BOARD OF CONTROL FINE SCHEDULE

In accordance with 702 KAR 7:065 and Bylaw 27, a monetary fine may be assessed against a member school or licensed official. In addition, other penalties such as suspension of an individual or athletic program may be imposed for the same or similar violations of the particular bylaw, rule or tournament regulation.

Sec. 1) A MAXIMUM FINE OF \$50 PER OCCURRENCE FOR:

- a) Failure to notify game officials of change in game site or time in a timely manner; or
- b) Late licensing by a contest official desiring a license.

Sec. 2) A MAXIMUM FINE OF \$100 PER OCCURRENCE FOR:

- a) Failure to provide proper game administration personnel at contest site;
- b) Use of non-licensed officials in a contest;
- c) Late submission of tournament results, financial report or remittance of tournament proceeds by tournament manager;
- e) Failure of a tournament/contest manager to report a tournament bracket in a timely manner or failing to report results as requested;
- f) Ejection of a player or coach for unsportsmanlike conduct;

- g) Late membership dues payment by school; or
 - h) Late submission from schools of game statistics in specific sports.
- Sec. 3) A MAXIMUM OF \$300 PER OCCURRENCE FOR:
- a) Filming or videotaping of a scrimmage or contest by representatives of nonparticipating schools without approval of competing teams or tournament manager;
 - b) Removal of team from field or court prior to completion of game;
 - c) Failure to complete the required KHSAA rules clinic for coaches or officials during the prescribed schedule. Payment of this fine does not prevent further penalties already prescribed for failure to complete the clinic from being imposed;
 - d) Playing non-sanctioned contest against out of state schools; playing a non-member school or a school not permitted by the bylaws in a contest;
 - e) Late submission of tournament information from schools including season statistics, team pictures and entry of required rosters; or
 - f) Late submission of any requested reports, or other required documents or late submission of any required form or report not otherwise detailed within this schedule.
- Sec. 4) A MAXIMUM FINE OF \$500 PER OCCURRENCE FOR
- a) Use of athlete without proper physical examination or parental permission;
 - b) Failure of a member school to adhere to Bylaw 22 with respect to school representatives accompanying athletes to competition;
 - c) Withdrawal from championship play in any sport after the draw for bracket positions, entry deadline or deadline for seeded district games has passed;
 - d) Withdrawal from championship competition in competitive cheer after the deadline for declaration of divisions and after the results of the declarations have been made public;
 - e) Ejection of player for fight/unsportsmanlike conduct in games when athlete's eligibility in that sport has been exhausted;
 - f) A coach or an administrator publicly criticizing a game official or coaches criticizing specific contest officials by name, position or local association to media representatives (including social media);
 - g) Late reporting of participation list or Annual Title IX report;
 - h) A member of a school coaching or athletic staff making public media comments (including by social media) that criticize officials by name, position or contest assignment;
 - i) A licensed official making public media comments (including by social media) that criticize a member of a school coaching or athletic staff by name, position or assignment; or
 - j) Failure of the sending school to return to the receiving school the necessary forms to effect a ruling for a transferring student within fifteen (15) days.
- Sec. 5) A MAXIMUM FINE OF \$1000 PER OCCURRENCE FOR:
- a) Use of an ineligible player when the facts were present to prevent such usage;
 - b) Participation in practice or contests by coaches not currently certified in the required KMA/KHSAA Sports Safety Course. Payment of this fine does not prevent further penalties already prescribed for failure to complete the course from being imposed;
 - c) Failure to properly certify athletes with respect to age;
 - d) Violation of the required provisions regarding prime date scheduling of girls' basketball games;
 - e) Team members leaving bench, sideline or dugout and coming into playing area during an altercation/fight or for any other reason related to unsporting conduct that are not specifically allowable by playing rule;
 - f) Team involved in an altercation/fight before, during or after scrimmage or contest (from time of arrival to time of departure);
 - g) Violation of sports season (Bylaw 23) by any sport or sport-activity team or squad;
 - h) Excessive celebration or unsportsmanlike conduct by fans identifiable to a specific team entering the playing area following a contest; or
 - i) Each violation of KHSAA rules when a specific fine is not explicitly addressed by this schedule;
- Sec. 6) ADDITIONAL FINES AND PENALTIES
- a) A fine may be levied against specific documented receipts or reimbursed expenses when violations are discovered.
 - b) In the case of restitution, the full amount of document fees may be assessed as a fine.
 - c) A fine may be levied against a member school either directly or by assessing tournament receipts, for the use of coaches at any level in grades 9-12 competition that do not meet the requirements of Bylaw 25.

SPORTS SANCTIONING/SPONSORSHIP POLICY

- Sec. 1) REQUIREMENTS FOR SANCTIONING A HIGH SCHOOL SPORT OR SPORT-ACTIVITY
- a) The KHSAA Board of Control sanctions (approved for practice and play and provides the Catastrophe Insurance for) all sports and sport-activities for which the KHSAA sponsors a state high school championship. Under this definition, the sports of baseball, basketball, cross country, football, golf, soccer, softball (fastpitch), swimming, tennis, track and field, volleyball and wrestling are insured.
 - b) The sport-activities of archery, bass fishing, bowling and competitive cheer are insured as sport-activities for those events conducted by the KHSAA. The insurance shall only cover competitive cheer competitions during which the competition is conducted within the rules of the National Federation Spirit Guide.
 - c) This sanctioning shall allow students to participate in these sports and sport-activities as long as they are compliant with all applicable KHSAA bylaws regarding student eligibility.
- Sec. 2) METHOD OF DETERMINING INTEREST IN NEW HIGH SCHOOL SPORTS OR SPORT-ACTIVITIES
- a) The KHSAA shall survey its membership every three (3) years thereafter to measure desire for a new offering or elimination of an existing offering.
 - b) The KHSAA Limitation of Seasons shall not address a specific sport or sport-activity unless and until it meets the desired participation threshold to allow for the maximum opportunity to expose the sport to growth.
- Sec. 3) SCHOOLS NECESSARY TO START NEW HIGH SCHOOL SPORTS OR SPORT-ACTIVITIES
- a) Unless otherwise approved by the Board of Control, in order for the KHSAA to begin discussions concerning the possible sponsoring of a

state championship in a new sport or sport-activity, there shall be at least fifty(50) member schools desiring to participate by showing a favorable response on the interest survey and the sport having currently participating schools in at least three basketball regions. One of the key components for consideration is the presence of an already existing organized championship sponsored by another group.

- b) The presence of this amount of schools does not, in and of itself, guarantee the addition of championship play in that sport or sport-activity.
- c) The Board of Control must review all factors surrounding the possible addition including a fiscal analysis and the possible impact of the addition on member school compliance with Title IX.

Sec. 4) SCHOOLS NECESSARY TO CONTINUE EXISTING HIGH SCHOOL SPORT OR SPORT-ACTIVITIES

- a) In order for the KHSAA to continue a playoff system for a sport or sport-activity, and unless there is otherwise prevailing action by the Board of Control, there shall be at least twenty-five (25) member schools desiring to participate by showing a favorable response on the interest survey and the sport having participating schools in at least three basketball regions.
- b) The presence of this amount of schools does not in and of itself guarantee the continuation of championship play in that sport or sport-activity.
- c) The Board of Control must review all factors surrounding the possible addition including a fiscal analysis and the possible impact of the addition on member school compliance with Title IX.

DEADLINE FOR ENTERING CHAMPIONSHIPS

Sec. 1) DEADLINE FOR ENTRY INTO KHSAA COMPETITION

- a) The deadline to enter a team in sports or sport-activity competition is:
 - (1) September 1 for fall sports;
 - (2) October 1 for competitive cheer and dance;
 - (3) December 1 for winter sports, bowling and archery; and
 - (4) April 1 for spring sports and bass fishing.

SPORTS AND SPORT-ACTIVITY POLICY

Sec. 1) CURRENTLY SANCTIONED SPORTS AND SPORT-ACTIVITIES

- a) The sports sanctioned by the KHSAA are baseball, basketball, cross country, field hockey, football, golf, soccer, softball (fastpitch), swimming and diving, tennis, track and field, volleyball and wrestling.
- b) The sport-activities sanctioned by the KHSAA are archery, bass fishing, bowling and competitive cheer. Dance will be sanctioned in 2017-2018.

Sec. 2) BYLAWS APPLYING TO BOTH SPORTS AND SPORT-ACTIVITIES

- a) The following KHSAA Bylaws apply to all sports, and sport-activities to include archery, bass fishing, bowling and competitive cheer: Bylaw 1 (Responsibility for Eligibility); Bylaw 2 (Age); Bylaw 4 (Enrollment Requirements); Bylaw 5 (Minimum Academic Requirement); Bylaw 12 (Physical Examination, Parental Consent and Insurance); Bylaw 14 (Other Eligibility Requirements and Regulations); Bylaw 15 (Practice of sportsmanship); Bylaw 16 (Recruitment); Bylaw 17 (Certification of Eligibility); Bylaw 18 (Supplying Information And Reports); Bylaw 21 (Protests); Bylaw 23 (Limitation of Seasons); Bylaw 24 (Summer sports and sports/Activities); Bylaw 25 (Requirement for Coaches and Others Working With High School Teams); Bylaw 26 (Rulings, Reporting of Violations); Bylaw 27 (Imposition of Penalties).

Sec. 3) BYLAWS APPLYING ONLY TO SPORTS AND NOT APPLICABLE TO SPORT--ACTIVITIES

- a) The following bylaws ARE applicable to all sports but ARE NOT applicable to sport-activities: Bylaw 3 (Maximum Number of Years); Bylaw 6 (Transfer Rule- Citizens of the U.S. or U.S. Territories); Bylaw 7 (Transfer Rule- Foreign Exchange Students); Bylaw 8 (Transfer Rule- Non-Exchange Foreign Students); Bylaw 9 (Basketball/Football Contestant On Other Teams, Post Season and All-Star Games); Bylaw 10 (Amateur/Awards); Bylaw 11 (Financial Aid); Bylaw 13 (Agreement Regarding Professional Baseball Contracts); Bylaw 19 (Comparable Opportunities); Bylaw 20 (Officials Division of the Association); and Bylaw 22 (Contests, Sanctions, Rules, Forfeitures, Faculty to Accompany).

INFRACTIONS/PENALTY COMMITTEE STRUCTURE POLICY

Sec. 1) PROCEDURES FOR HANDLING PENALTIES

- a) The Commissioner and Board of Control ("Board") each have a role in administering penalties for KHSAA Constitution or Bylaws violations.
- b) Unless specifically covered in any bylaw, the standard penalties are listed in Bylaw 27.
- c) All matters considered by the Commissioner or the Board shall be conducted in compliance with the Due Process Procedure and any findings are appealable by aggrieved parties as defined in that procedure.

Sec. 2) MATTERS TO BE DETERMINED BY THE COMMISSIONER

- a) Reports of violations should be submitted to the Commissioner. If such evidence is presented in compliance with the Bylaws of the KHSAA as to warrant an investigation, the Commissioner shall direct an appropriate investigation of the allegation(s).
- b) At the conclusion of an investigation penalties in accordance with Bylaw 27 may be levied by the Commissioner at his/her discretion.
- c) The Commissioner, per the Constitution, shall enforce all penalties provided for, and fix penalties for violations for which no penalties are prescribed.
- d) Penalties levied by the Commissioner shall be summarized for a report at a subsequent Board of Control meeting.
- e) Penalties levied by the Commissioner may be appealed to the Board of Control per the KHSAA Due Process Procedure.

BASKETBALL PRIME DATE SCHEDULING POLICY

Sec. 1) BACKGROUND

- a) In fairness to the participants in girls' basketball, and those within the programs including parents, students and fans, the Board of Control has developed this policy to ensure that there are opportunities for girls' basketball to be played on "prime dates".
- b) These dates are Friday night, any time on Saturday, and any time on Sunday. Compliance with this policy neither expresses nor implies that a member school is in (or not in) compliance with Title 20, U.S.C. Titles, 1681-1688, et. Seq. (Title IX).

Sec. 2) BASIC REQUIREMENT (40% rule)

- a) Each basketball season, a member school shall meet one of two alternative methods of prime date compliance with respect to the scheduling of basketball games. The member school shall:
 - (1) Play at least forty (40) percent of its girls' home basketball games on a prime date, defined as Friday night, Saturday or Sunday, wherein tournaments shall only count as one game played toward this requirement; or
 - (2) Play at least forty (40) percent of its girls' basketball schedule (all games) on a prime date, defined as Friday night, Saturday or Sunday. Schools using this method must play at least one prime date game in each of the months of December, January and February.

Sec. 3) ANNUAL PRIME DATE COMPLIANCE PROCESS

- a) Each year, the KHSAA shall review all girls' regular season basketball schedules (hereinafter "current schedule"). These annual reviews shall begin with the first legal regular season playing date. Schools that are not in compliance with the forty (40) percent requirement on the first playing date shall be contacted on or before December 31 of the playing season in an effort to allow for schedule corrections or revisions to comply with the forty (40) percent requirement. The school shall be notified of the potential penalties for non compliance with this correspondence.
- b) Schedules shall be posted on the KHSAA website in the manner as instructed by the Commissioner's office prior to the published deadline. Schedules shall be accurate and include entry and designation of games that are involved in tournaments, classics, festivals and other multi-game events.
- c) At the end of the regular playing season, the schedules shall be reviewed for final compliance with the requirement.

Sec. 4) PENALTY FOR NONCOMPLIANCE WITH THE PRIME DATE REQUIREMENT

- a) Based on the final review at the end of the regular season, if a member school's total home games for girls' basketball do not comply with the forty (40) percent requirement, and this is the first such violation by the member school, this violation shall result in a penalty in compliance with Bylaw 27.
- b) Based on the final review at the end of the regular season, if a member school's total home games for girls' basketball do not comply with the forty (40) percent requirement, and this is not the first such violation by the member school, additional penalties shall be applied in compliance with Bylaw 27 including the cancellation of contests in sports other than girls' basketball.

RECOMMENDED OPTIONAL BASKETBALL PRIME TIME SCHEDULING

Sec. 1) PRIME TIME SCHEDULING

- a) Prime time scheduling is a means to ensure fairness to the students, particularly girls, who perhaps have had disadvantaged scheduling in the past.
- b) More scheduling equity can be accomplished by scheduling boys' and girls' basketball games on separate nights with prime time starts for both or by scheduling doubleheaders and alternating boys' and girls' start times.
- c) The following schedule is recommended in the event that double headers are used to meet the Prime Date Requirement.
 - 1) One doubleheader (Optional As To Which Team Plays Second)
 - 2) Two doubleheaders (Girls Game Recommended to Be Second At Least Once)
 - 3) Three doubleheaders (Girls Game Recommended to Be Second At Least Once)
 - 4) Four doubleheaders (Girls Game Recommended to Be Second At Least Twice)
 - 5) Five doubleheaders (Girls Game Recommended to Second At Least Twice)
 - 6) Six doubleheaders (Girls Game Recommended to Be Second At Least Three Times)
 - 7) Seven doubleheaders (Girls Game Recommended to Be Second At Least Three Times)
 - 8) Eight doubleheaders (Girls Game Recommended to Be Second At Least Four Times)
 - 9) Nine doubleheaders (Girls Game Recommended to Be Second At Least Four Times)
 - 10) Ten doubleheaders (Girls Game Recommended to Be Second At Least Five Times)