

EXHIBIT VI

MISO MINIMUM PARTICIPATION CRITERIA

ANNUAL CERTIFICATION FORM

I, _____, a duly authorized officer of

_____ (“Tariff Customer”), understanding that
the Midcontinent Independent System Operator, Inc. (“MISO”) is relying on this
certification as evidence supporting MISO determination that Tariff Customer meets the
minimum participation requirements as set forth in Attachment L to MISO’s Open Access
Transmission, Energy and Operating Reserve Markets Tariff (“Tariff”), hereby certify that
I have full authority to certify and represent on behalf of Participant and further certify and
represent as follows:

1. Training. Employees or agents transacting, or planning to transact, in markets or
services provided pursuant to the MISO Tariff on behalf of the Tariff Customer or
Applicant have received or will receive applicable training¹ with regards to their
participation under the MISO Tariff as a condition of being authorized to transact
on behalf of Tariff Customer.
2. Risk Management. Tariff Customer, Applicant or their agents maintain current
written risk management policies and procedures that address those risks that could

¹ As used in this representation, training is deemed ‘applicable’ where it is commensurate and proportional in
sophistication, scope and frequency to the volume of transactions and the nature and extent of the risk taken by
the participant.

materially affect Tariff Customer's ability to pay its MISO invoices when due, including, but not limited to credit risks, liquidity risks and market risks.

3. Operational Capabilities. Applicant or Tariff Customer has available appropriate personnel resources, operating procedures and technical abilities to promptly and effectively respond to MISO communications and directions related to, but not limited to, Settlements, billing, credit requirements, and other financial matters.
4. Capitalization. Applicant or Tariff Customer will maintain the minimum capitalization or alternative capitalization requirements applicable to the level of service Applicant or Tariff Customer transacts or plans to transact, as detailed in Section III of Attachment L to the Tariff. Minimum capitalization may be satisfied by either: (a) submitting audited financial statements for the most recent fiscal year that demonstrate a minimum tangible net worth or minimum total assets relative to the services transacting; or (b) providing alternative capitalization in the form of Financial Security.
5. Appropriate Person. Applicant or Tariff Customer is now and in good faith will seek to remain an "appropriate person" per Section 4(c)(3) of the Commodity Exchange Act² ("CEA"). Under 4(c)(3)(K) this "appropriate person" definition includes "Eligible Contract Participants" as defined in Section 1a(18)³ of the CEA, and persons in the business of: (1) generating, transmitting, or distributing electric energy, or (2) providing electric energy services that are necessary to support the reliable operation of the

² 7 USC § 6(c)(3)

³ 7 USC § 1a(18)

transmission system. If, at any time, a Tariff Customer cannot meet the eligibility requirements set forth above, it shall immediately notify Transmission Provider and immediately cease conducting transactions in MISO markets.

If the minimum participation requirements detailed in Section III of the Credit Policy are not met, Applicant or Tariff Customer certification may be denied or suspended, as appropriate.

Date: _____

(Signature)

Print Name: _____

Title: _____