ENERGY SOLUTIONS

# LETTER OF TRANSMITTAL

**CMTA Energy Solutions**
**10411 Meeting Street**
**Prospect, KY 40059**

| | | | |
|---|---|---|---|
| TO: | Marion Co. Board of Education<br>755 East Main St.<br>Lebanon, KY 40033 | DATE: Dec. 2, 2015 | JOB NO. ZMC15 |
| | | ATTENTION: **Supt. Taylora Schlosser** | |
| | | RE: GESC Project | |

**WE ARE SENDING YOU** ☒ ATTACHED ☐ UNDER SEPARATE COVER VIA ____________ THE FOLLOWING ITEMS:

☐ SHOP DRAWINGS ☐ PRINTS ☐ COPY OF LETTER ☐ SAMPLES ☐ SPECIFICATIONS

☐ CHANGE ORDER ☐ PLANS ☐ ELECTRONIC FILES ON DISK

| COPIES | DATE | NO. | DESCRIPTION |
|---|---|---|---|
| 1 | | | Pay Application #6 |
| 1 | | | Purchase Order Reconciliation Summary |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

**REASON FOR TRANSMITTAL CHECKED BELOW:**

☒ FOR APPROVAL ☐ APPROVED AS SUBMITTED ☐ RESUBMIT _______ COPIES FOR APPROVAL

☐ FOR YOUR USE ☐ RETURNED FOR CORRECTIONS ☐ SUBMIT _______ COPIES FOR DISTRIBUTION

☐ AS REQUESTED ☐ FOR REVIEW AND COMMENT ☐ RETURN _______ CORRECTED PRINTS

☐ APPROVED AS NOTED ☐ OTHER:

☐ FOR BIDS ☐ **PRINTS RETURNED AFTER LOAN TO US**

REMARKS:

**COPY TO:** ZMC15-Admin **SIGNED:** Adam Pierce

***PLEASE NOTIFY US AT ONCE IF ENCLOSURES ARE NOT AS NOTED.***

***Phone (502) 326-3085*** ***Fax (502) 326-2691***

# AIA® Document G702™ – 1992

## Application and Certificate for Payment

**TO OWNER:** Marion County Public Schools
755 East Main Street
Lebanon, KY 40033

**PROJECT:** Marion County Public Schools
Guaranteed Energy Savings Contract
Marion County, Kentucky

**APPLICATION NO:** 006
**PERIOD TO:** November 30, 2015
**CONTRACT FOR:** General Construction
**CONTRACT DATE:** May 14, 2015
**PROJECT NOS:** / ZMC15 /

**Distribution to:**
OWNER: X
ARCHITECT:
CONTRACTOR:
FIELD:
OTHER:

**FROM CONTRACTOR:** CMTA Energy Solutions
10411 Meeting Street
Prospect, KY 40059

**VIA ARCHITECT:**

## CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet, AIA Document G703, is attached.

1. **ORIGINAL CONTRACT SUM** ........ $ 2,940,415.40
2. **NET CHANGE BY CHANGE ORDERS** ........ $ -16,861.04
3. **CONTRACT SUM TO DATE** (Line 1 ± 2) ........ $ 2,923,554.36
4. **TOTAL COMPLETED & STORED TO DATE** (Column G on G703) ........ $ 2,923,554.36
5. **RETAINAGE:**
   a. 0 % of Completed Work (Column D + E on G703) $ 0.00
   b. 0 % of Stored Material (Column F on G703) $ 0.00

   Total Retainage (Lines 5a + 5b or Total in Column I of G703) ........ $ 0.00
6. **TOTAL EARNED LESS RETAINAGE** ........ $ 2,923,554.36
   (Line 4 Less Line 5 Total)
7. **LESS PREVIOUS CERTIFICATES FOR PAYMENT** ........ $ 2,881,177.49
   (Line 6 from prior Certificate)
8. **CURRENT PAYMENT DUE** ........ $ 42,376.87
9. **BALANCE TO FINISH, INCLUDING RETAINAGE**
   (Line 3 less Line 6) $ 0.00

| CHANGE ORDER SUMMARY | ADDITIONS | DEDUCTIONS |
|---|---|---|
| Total changes approved in previous months by Owner | $ 0.00 | $ 16,861.04 |
| Total approved this Month | $ 0.00 | $ 0.00 |
| TOTALS | $ 0.00 | $ 16,861.04 |
| NET CHANGES by Change Order | $ | -16,861.04 |

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

**CONTRACTOR:**
By: Adam Paris Date: 12/2/2015
State of:
County of:
Subscribed and sworn to before me this day of

Notary Public:
My Commission expires:

## ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

**AMOUNT CERTIFIED** ........ $ 42,376.87

*(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)*

**ARCHITECT:**
By: Adam Paris Date: 12/2/2015

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

# AIA® Document G703™ – 1992

## *Continuation Sheet*

AIA Document, G702™–1992, Application and Certification for Payment, or G736™–2009, Project Application and Project Certificate for Payment, Construction Manager as Adviser Edition, containing Contractor's signed certification is attached.
In tabulations below, amounts are in US dollars.
Use Column I on Contracts where variable retainage for line items may apply.

**APPLICATION NO:** 006
**APPLICATION DATE:** 12/02/2015
**PERIOD TO:** 11/30/2015
**ARCHITECT'S PROJECT NO:** ZMC15

| A | B | C | D | E | F | G | | H | I |
|---|---|---|---|---|---|---|---|---|---|
| | | | WORK COMPLETED | | | | | | |
| ITEM NO. | DESCRIPTION OF WORK | SCHEDULED VALUE | FROM PREVIOUS APPLICATION (D + E) | THIS PERIOD | MATERIALS PRESENTLY STORED (NOT IN D OR E) | TOTAL COMPLETED AND STORED TO DATE (D+E+F) | % (G ÷ C) | BALANCE TO FINISH (C - G) | RETAINAGE (IF VARIABLE RATE) |
| | Energy Audits | 205,829.08 | 205,829.08 | 0.00 | 0.00 | 205,829.08 | 100.00 % | 0.00 | 0.00 |
| | A/E Design | 167,603.68 | 167,603.68 | 0.00 | 0.00 | 167,603.68 | 100.00 % | 0.00 | 0.00 |
| | General Conditions | 70,569.97 | 70,569.97 | 0.00 | 0.00 | 70,569.97 | 100.00 % | 0.00 | 3,528.50 |
| | LMS HVAC | 1,833,643.04 | 1,833,643.04 | 0.00 | 0.00 | 1,833,643.04 | 100.00 % | 0.00 | 0.00 |
| | LMS Lighting | 117,616.62 | 115,147.16 | 2,469.45 | 0.00 | 117,616.61 | 100.00 % | 0.01 | 0.00 |
| | LMS Interiors | 199,948.25 | 199,948.25 | 0.00 | 0.00 | 199,948.25 | 100.00 % | 0.00 | 0.00 |
| | MCHS Lighting | 29,404.15 | 29,404.15 | 0.00 | 0.00 | 29,404.15 | 100.00 % | 0.00 | 1,470.21 |
| | SCMS Lighting | 58,119.55 | 46,700.00 | 11,419.55 | 0.00 | 58,119.55 | 100.00 % | 0.00 | 2,335.00 |
| | GES Lighting | 64,689.14 | 63,591.00 | 1,098.14 | 0.00 | 64,689.14 | 100.00 % | 0.00 | 3,179.55 |
| | LES Lighting | 14,702.08 | 9,500.00 | 5,202.08 | 0.00 | 14,702.08 | 100.00 % | 0.00 | 475.00 |
| | CES Lighting | 11,761.66 | 8,500.00 | 3,261.66 | 0.00 | 11,761.66 | 100.00 % | 0.00 | 425.00 |
| | WMES Lighting | 8,821.25 | 7,700.00 | 1,121.25 | 0.00 | 8,821.25 | 100.00 % | 0.00 | 385.00 |
| | CO, BG, FC Lighting | 44,106.23 | 40,105.00 | 4,001.23 | 0.00 | 44,106.23 | 100.00 % | 0.00 | 2,005.25 |
| | LES, CES Envelope | 17,642.49 | 17,642.49 | 0.00 | 0.00 | 17,642.49 | 100.00 % | 0.00 | 0.00 |
| | FC HVAC Controls | 17,348.45 | 17,348.45 | 0.00 | 0.00 | 17,348.45 | 100.00 % | 0.00 | 0.00 |
| | CO HVAC | 7,351.04 | 7,351.04 | 0.00 | 0.00 | 7,351.04 | 100.00 % | 0.00 | 0.00 |
| | BG Roofing | 10,291.45 | 10,291.45 | 0.00 | 0.00 | 10,291.45 | 100.00 % | 0.00 | 0.00 |
| | MCHS Water Htrs | 38,225.40 | 38,225.40 | 0.00 | 0.00 | 38,225.40 | 100.00 % | 0.00 | 0.00 |
| | Sewer Credits | 5,880.83 | 5,880.83 | 0.00 | 0.00 | 5,880.83 | 100.00 % | 0.00 | 0.00 |
| | Commissioning | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 % | 0.00 | 0.00 |
| | **GRAND TOTAL** | $2,923,554.36 | $2,894,981.00 | $28,573.36 | $0.00 | $2,923,554.36 | 100.00 % | $0.00 | $13,803.51 |

**Marion County Public Schools Guaranteed Energy Savings Contract**
**Direct Purchase Order Tracking**

Period: 11/30/2015

| PO# | Material Description | Vendor | Original PO Amount | Change Orders | Adjusted PO Amount | Previous Payment | Current Invoice(s) | Remaining Balance |
|---|---|---|---|---|---|---|---|---|
| 10993 | Geothermal Materials | Geothermal Supply Co. | $ 63,286.60 | $ - | $ 63,286.60 | $ 63,286.60 | $ - | $ - |
| 14051 | Water Source Heat Pumps | The Trane Company | $ 158,432.00 | $ (2,154.01) | $ 156,277.99 | $ 156,277.99 | $ - | $ - |
| 10994 | HVAC Equipment | Harshaw Trane | $ 124,743.00 | $ - | $ 124,743.00 | $ 101,716.00 | $ 23,027.00 | $ - |
| 3095 | Pumps | Bluegrass Hydronics | $ 7,177.96 | $ - | $ 7,177.96 | $ 7,177.96 | $ - | $ - |
| 10992 | Variable Frequency Drives | Air Equipment Co. | $ 4,022.00 | $ - | $ 4,022.00 | $ 4,022.00 | $ - | $ - |
| 10999 | Lighting Materials | Richards Electric | $ 233,060.04 | $ - | $ 233,060.04 | $ 233,060.04 | $ - | $ - |
| 10991 | Flooring Materials | Mannington Carpets, Inc. | $ 14,400.00 | $ - | $ 14,400.00 | $ 14,400.00 | $ - | $ - |
| | | **Totals** | $ 605,121.60 | $ (2,154.01) | $ 602,967.59 | $ 579,940.59 | $ 23,027.00 | $ - |

The undersigned Qualified Provider certifies that to the best of the Qualified Provider's knowledge, information and belief the materials listed in this Direct Purchase Order Tracking summary conform fully to the requirements of the contract documents. These materials have been delivered to the project site in good condition and have been inspected to verify product suitability, quantity and quality.

Signature: Adam Pierce

Name: Adam Pierce

Company: CMTA Energy Solutions

Amount Certified: $ 23,027.00

Subscribed and sworn to before me this ________ day of ____________________, 20_____.

Notary Public: ______________________ My Commission Expires: ______________________

**Harshaw Trane**

Remit To: 12700 Plantside Drive
Louisville, Kentucky 40299-6387
(502) 499-7000

| | |
|---|---|
| **Invoice Number** | JC10003867 R1 |
| **Invoice Date** | 11/20/2015 |

# Invoice

Bill To: MARION CO. BD. OF ED
JAN
755 E MAIN ST

LEBANON, KY 40033-1701

Job Address: 755 E MAIN ST

LEBANON, KY 40033-1701

Net Terms: Net 10

Job Description

| | | | |
|---|---|---|---|
| **Job Nbr** | N-P3-33864H | **Purchase Order Number** | 10994 |
| **Job Description** | Lebanon Middle School | **Customer Number** | MAR125 |

**Description**
Hot Water Heater
Startup
Labor Warranty

| | |
|---|---|
| Billing Amount | 23,027.00 |
| Retention Withheld | 0.00 |
| Retention Due | 0.00 |
| Subtotal | $23,027.00 |
| Tax | $0.00 |
| **TOTAL AMOUNT DUE** | **$23,027.00** |

AMP
12/2/2015

**FOR YOUR CONVENIENCE WE ACCEPT VISA, MATERCARD, DISCOVER, AND AMERICAN EXPRESS**
Service Charge of 1 1/2% per month will be charged on all overdue amounts.
Special orders are non-refundable. Materials returned in good condition subject to 15% material handling charge.
Attorney fees may be collected should collection or legal proceeding necessary to secure payment.