



## LETTER OF TRANSMITTAL

**CMTA Energy Solutions**  
10411 Meeting Street  
Prospect, KY 40059

|            |                                                                         |                                           |                      |
|------------|-------------------------------------------------------------------------|-------------------------------------------|----------------------|
| <b>TO:</b> | Marion Co. Board of Education<br>755 East Main St.<br>Lebanon, KY 40033 | <b>DATE:</b> August 4, 2015               | <b>JOB NO.</b> ZMC15 |
|            |                                                                         | <b>ATTENTION:</b> Supt. Taylora Schlosser |                      |
|            |                                                                         | <b>RE:</b> GESC Project                   |                      |

**WE ARE SENDING YOU**    ☒ ATTACHED    ☐ UNDER SEPARATE COVER VIA \_\_\_\_\_ THE FOLLOWING ITEMS:

☐ SHOP DRAWINGS    ☐ PRINTS    ☐ COPY OF LETTER    ☐ SAMPLES    ☐ SPECIFICATIONS

☐ CHANGE ORDER    ☐ PLANS    ☐ ELECTRONIC FILES ON DISK

| COPIES | DATE | NO. | DESCRIPTION        |
|--------|------|-----|--------------------|
| 1      |      |     | Pay Application #3 |
| 1      |      |     | Change Order #2    |
|        |      |     |                    |
|        |      |     |                    |
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|        |      |     |                    |
|        |      |     |                    |
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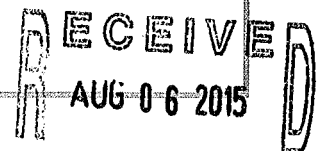
**REASON FOR TRANSMITTAL CHECKED BELOW:**

- ☒ FOR APPROVAL    ☐ APPROVED AS SUBMITTED    ☐ RESUBMIT \_\_\_\_\_ COPIES FOR APPROVAL
- ☐ FOR YOUR USE    ☐ RETURNED FOR CORRECTIONS    ☐ SUBMIT \_\_\_\_\_ COPIES FOR DISTRIBUTION
- ☐ AS REQUESTED    ☐ FOR REVIEW AND COMMENT    ☐ RETURN \_\_\_\_\_ CORRECTED PRINTS
- ☐ APPROVED AS NOTED    ☐ OTHER:
- ☐ FOR BIDS    ☐ PRINTS RETURNED AFTER LOAN TO US

REMARKS:

**COPY TO:** ZMC15-Admin

**SIGNED:** Adam Pierce



MCPS

PLEASE NOTIFY US AT ONCE IF ENCLOSURES ARE NOT AS NOTED.

## Application and Certificate for Payment

**TO OWNER:** Marion County Board of Education  
755 East Main Street  
Lebanon, KY 40033

**PROJECT:** Marion County Public Schools  
Guaranteed Energy Savings Contract  
Marion County, Kentucky

**APPLICATION NO:** 003  
**PERIOD TO:** July 31, 2015  
**CONTRACT FOR:** General Construction  
**CONTRACT DATE:** May 14, 2015  
**PROJECT NOS:** / ZMC15 /

**FROM** CMTA Energy Solutions  
**CONTRACTOR:** 10411 Meeting Street  
Prospect, KY 40059

**VIA**  
**ARCHITECT:**

**Distribution to:**  
OWNER: X  
ARCHITECT:  
CONTRACTOR:  
FIELD:  
OTHER:

### CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM ..... \$ 2,940,415.40  
2. NET CHANGE BY CHANGE ORDERS ..... \$ -15,611.04  
3. CONTRACT SUM TO DATE (Line 1 + 2) ..... \$ 2,924,804.36  
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) ..... \$ 2,755,611.80

#### 5. RETAINAGE:

a. 5 % of Completed Work  
(Column D + E on G703) \$ 137,780.59  
b. 0 % of Stored Material  
(Column F on G703) \$ 0.00  
Total Retainage (Lines 5a + 5b or Total in Column I of G703) ..... \$ 137,780.59

6. TOTAL EARNED LESS RETAINAGE ..... \$ 2,617,831.21  
(Line 4 Less Line 5 Total)

7. LESS PREVIOUS CERTIFICATES FOR PAYMENT ..... \$ 1,527,755.81  
(Line 6 from prior Certificate)

8. CURRENT PAYMENT DUE ..... \$ 1,090,075.40

#### 9. BALANCE TO FINISH, INCLUDING RETAINAGE

(Line 3 less Line 6) \$ 306,973.15

| CHANGE ORDER SUMMARY                               | ADDITIONS      | DEDUCTIONS          |
|----------------------------------------------------|----------------|---------------------|
| Total changes approved in previous months by Owner | \$ 0.00        | \$ 15,611.04        |
| Total approved this Month                          | \$ 0.00        | \$ 0.00             |
| <b>TOTALS</b>                                      | <b>\$ 0.00</b> | <b>\$ 15,611.04</b> |
| NET CHANGES by Change Order                        |                | -15,611.04          |

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

**CONTRACTOR:** Adam Pire  
By: Adam Pire Date: 8/4/2015  
State of: Kentucky  
County of: Jefferson

Subscribed and sworn to before me this 4<sup>th</sup> day of August, 2015.

Notary Public: Claudia Buckman  
My Commission expires: August 17, 2018

### ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... \$ 1,090,075.40  
(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

**ARCHITECT:** Adam Pire  
By: Adam Pire Date: 8/4/2015

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

# AIA<sup>®</sup> Document G703<sup>™</sup> - 1992

## Continuation Sheet

AIA Document, G702<sup>™</sup>-1992, Application and Certification for Payment, or G736<sup>™</sup>-2009, Project Application and Project Certificate for Payment, Construction Manager as Adviser Edition, containing Contractor's signed certification is attached.  
In tabulations below, amounts are in US dollars.

Use Column I on Contracts where variable retainage for line items may apply.

APPLICATION NO: 003  
APPLICATION DATE: 08/04/2015  
PERIOD TO: 07/31/2015  
ARCHITECT'S PROJECT NO: ZMC15

| A        | B                   | C               | D                                 | E              | F                                          | G                                          |           | H                         | I                            |
|----------|---------------------|-----------------|-----------------------------------|----------------|--------------------------------------------|--------------------------------------------|-----------|---------------------------|------------------------------|
| ITEM NO. | DESCRIPTION OF WORK | SCHEDULED VALUE | WORK COMPLETED                    |                | MATERIALS PRESENTLY STORED (NOT IN D OR E) | TOTAL COMPLETED AND STORED TO DATE (D+E+F) | % (G ÷ C) | BALANCE TO FINISH (C - G) | RETAINAGE (IF VARIABLE RATE) |
|          |                     |                 | FROM PREVIOUS APPLICATION (D + E) | THIS PERIOD    |                                            |                                            |           |                           |                              |
|          | Energy Audits       | 205,829.08      | 205,829.08                        | 0.00           | 0.00                                       | 205,829.08                                 | 100.00 %  | 0.00                      | 10,291.45                    |
|          | A/E Design          | 167,603.68      | 142,463.12                        | 25,140.56      | 0.00                                       | 167,603.68                                 | 100.00 %  | 0.00                      | 8,380.18                     |
|          | General Conditions  | 70,569.97       | 63,512.97                         | 5,000.00       | 0.00                                       | 68,512.97                                  | 97.09 %   | 2,057.00                  | 3,425.65                     |
|          | LMS HVAC            | 1,833,643.04    | 949,200.73                        | 851,133.00     | 0.00                                       | 1,800,333.73                               | 98.18 %   | 33,309.31                 | 90,016.69                    |
|          | LMS Lighting        | 117,616.62      | 53,233.16                         | 57,414.00      | 0.00                                       | 110,647.16                                 | 94.07 %   | 6,969.45                  | 5,532.36                     |
|          | LMS Interiors       | 199,948.25      | 111,000.00                        | 84,321.00      | 0.00                                       | 195,321.00                                 | 97.69 %   | 4,627.25                  | 9,766.05                     |
|          | MCHS Lighting       | 29,404.15       | 0.00                              | 13,102.00      | 0.00                                       | 13,102.00                                  | 44.56 %   | 16,302.15                 | 655.10                       |
|          | SCMS Lighting       | 58,808.31       | 0.00                              | 31,700.00      | 0.00                                       | 31,700.00                                  | 53.90 %   | 27,108.31                 | 1,585.00                     |
|          | GES Lighting        | 64,689.14       | 19,991.00                         | 34,600.00      | 0.00                                       | 54,591.00                                  | 84.39 %   | 10,098.14                 | 2,729.55                     |
|          | LES Lighting        | 14,702.08       | 0.00                              | 3,500.00       | 0.00                                       | 3,500.00                                   | 23.81 %   | 11,202.08                 | 175.00                       |
|          | CES Lighting        | 11,761.66       | 0.00                              | 3,500.00       | 0.00                                       | 3,500.00                                   | 29.76 %   | 8,261.66                  | 175.00                       |
|          | WMES Lighting       | 8,821.25        | 0.00                              | 3,200.00       | 0.00                                       | 3,200.00                                   | 36.28 %   | 5,621.25                  | 160.00                       |
|          | CO, BG, FC Lighting | 44,106.23       | 0.00                              | 20,105.00      | 0.00                                       | 20,105.00                                  | 45.58 %   | 24,001.23                 | 1,005.25                     |
|          | LES, CES Envelope   | 17,642.49       | 17,642.49                         | 0.00           | 0.00                                       | 17,642.49                                  | 100.00 %  | 0.00                      | 882.12                       |
|          | FC HVAC Controls    | 17,348.45       | 0.00                              | 0.00           | 0.00                                       | 0.00                                       | 0.00 %    | 17,348.45                 | 0.00                         |
|          | CO HVAC             | 7,351.04        | 0.00                              | 5,626.00       | 0.00                                       | 5,626.00                                   | 76.53 %   | 1,725.04                  | 281.30                       |
|          | BG Roofing          | 10,291.45       | 10,291.45                         | 0.00           | 0.00                                       | 10,291.45                                  | 100.00 %  | 0.00                      | 514.57                       |
|          | MCHS Water Hhrs     | 38,225.40       | 35,000.00                         | 3,225.40       | 0.00                                       | 38,225.40                                  | 100.00 %  | 0.00                      | 1,911.27                     |
|          | Sewer Credits       | 5,880.83        | 0.00                              | 5,880.83       | 0.00                                       | 5,880.83                                   | 100.00 %  | 0.00                      | 294.04                       |
|          | Commissioning       | 16,172.28       | 0.00                              | 0.00           | 0.00                                       | 0.00                                       | 0.00 %    | 16,172.28                 | 0.00                         |
|          | GRAND TOTAL         | \$2,940,415.40  | \$1,608,164.01                    | \$1,147,447.79 | \$0.00                                     | \$2,755,611.80                             | 93.72 %   | \$184,803.60              | \$137,780.59                 |

# **AIA**® Document G701™ – 2001

## Change Order

|                                                                                                                                     |                                                                                                                                     |                                                                                                                                                                                                                                                    |
|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>PROJECT</b> (Name and address):<br>Marion County Public Schools<br>Guaranteed Energy Savings Contract<br>Marion County, Kentucky | <b>CHANGE ORDER NUMBER:</b> 002<br><br><b>DATE:</b> August 4, 2015                                                                  | <b>OWNER:</b> <input checked="" type="checkbox"/><br><br><b>ARCHITECT:</b> <input type="checkbox"/><br><br><b>CONTRACTOR:</b> <input type="checkbox"/><br><br><b>FIELD:</b> <input type="checkbox"/><br><br><b>OTHER:</b> <input type="checkbox"/> |
| <b>TO CONTRACTOR</b> (Name and address):<br>CMTA Energy Solutions<br>10411 Meeting Street<br>Prospect, KY 40059                     | <b>ARCHITECT'S PROJECT NUMBER:</b> ZMC15<br><br><b>CONTRACT DATE:</b> May 14, 2015<br><br><b>CONTRACT FOR:</b> General Construction |                                                                                                                                                                                                                                                    |

### THE CONTRACT IS CHANGED AS FOLLOWS:

(Include, where applicable, any undisputed amount attributable to previously executed Construction Change Directives)

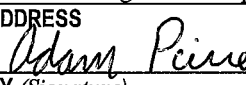
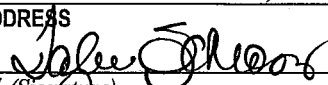
|                                                                          |    |              |
|--------------------------------------------------------------------------|----|--------------|
| The original Contract Sum was                                            | \$ | 2,940,415.40 |
| The net change by previously authorized Change Orders                    | \$ | -15,611.04   |
| The Contract Sum prior to this Change Order was                          | \$ | 2,924,804.36 |
| The Contract Sum will be decreased by this Change Order in the amount of | \$ | 1,250.00     |
| The new Contract Sum including this Change Order will be                 | \$ | 2,923,554.36 |

The Contract Time will be increased by Zero (0) days.

The date of Substantial Completion as of the date of this Change Order therefore is unchanged.

**NOTE:** This Change Order does not include changes in the Contract Sum, Contract Time or Guaranteed Maximum Price which have been authorized by Construction Change Directive until the cost and time have been agreed upon by both the Owner and Contractor, in which case a Change Order is executed to supersede the Construction Change Directive.

### NOT VALID UNTIL SIGNED BY THE ARCHITECT, CONTRACTOR AND OWNER.

|                                                |                                                                                                              |                                                                                                                |
|------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| Not Applicable<br><b>ARCHITECT</b> (Firm name) | CMTA Energy Solutions<br><b>CONTRACTOR</b> (Firm name)                                                       | Marion County Board of Education<br><b>OWNER</b> (Firm name)                                                   |
| <b>ADDRESS</b>                                 | 10411 Meeting Street Prospect, KY 40059<br><b>ADDRESS</b>                                                    | 755 East Main Street Lebanon, KY 40033<br><b>ADDRESS</b>                                                       |
| <b>BY</b> (Signature)                          | <br><b>BY</b> (Signature) | <br><b>BY</b> (Signature) |
| (Typed name)                                   | Adam Pierce<br>(Typed name)                                                                                  | Taylora Schlosser<br>(Typed name)                                                                              |
| <b>DATE</b>                                    | August 4, 2015<br><b>DATE</b>                                                                                | <b>DATE</b>                                                                                                    |