



Notice of Award

Issue Date: 09/22/2014

NITT-AWARE-LEA  
Department of Health and Human Services  
Substance Abuse and Mental Health Services Administration  
Center for Mental Health Services

**Grant Number:** 1H79SM062068-01  
**FAIN:** SM062068

**Program Director:**  
Theresa Whitlow

**Project Title:** Jefferson County Public Schools Project AWARE

Grantee Address	Business Address
JEFFERSON COUNTY BOARD OF EDUCATION Jefferson County Public Schools VanHoose Education Center 3332 Newburg Road Louisville, KY 402324020	Jefferson County Board of Education VanHoose Education Center 3332 Newburg Road Louisville, KY 402324020

**Budget Period:** 09/30/2014 – 09/29/2016

**Project Period:** 09/30/2014 – 09/29/2016

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$100,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to JEFFERSON COUNTY BOARD OF EDUCATION in support of the above referenced project. This award is pursuant to the authority of 520A of the PHS Act, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at [www.samhsa.gov](http://www.samhsa.gov) (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Gwendolyn Simpson  
Grants Management Officer  
Division of Grants Management

See additional information below

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**SECTION I – AWARD DATA – 1H79SM062068-01****Award Calculation (U.S. Dollars)**

Other	\$100,000
Direct Cost	\$100,000
<b>Approved Budget</b>	<b>\$100,000</b>
Federal Share	\$100,000
Cumulative Prior Awards for this Budget Period	\$0
<b>AMOUNT OF THIS ACTION (FEDERAL SHARE)</b>	<b>\$100,000</b>

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$100,000

\*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

**Fiscal Information:**

CFDA Number:	93.243
EIN:	1616001316A4
Document Number:	14SM62068A
Fiscal Year:	2014

IC	CAN	Amount
SM	C96C806	\$100,000

IC	CAN	2014
SM	C96C806	\$100,000

**SM Administrative Data:**

PCC: AWARE-L / OC: 4145

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**SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79SM062068-01**

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

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**SECTION III – TERMS AND CONDITIONS – 1H79SM062068-01**

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

**Treatment of Program Income:**  
Additional Costs

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**SECTION IV – SM Special Terms and Conditions – 1H79SM062068-01**

**REMARKS:**

This award conditionally approves the budget submitted on June 14, 2014 -as part of the application.

\$100,000 placed in the "Other" Budget Category is restricted and may not be used for any purpose until a revised budget is received and approved by SAMHSA.

PLEASE NOTE: Funds from the Department of Education's School Climate Transformation-LEA grant program cannot be used for the Project AWARE-LEA grant program. Conversely, Project AWARE-LEA grant program funds cannot be used for the Department of Education's School Climate Transformation grant program.

**SPECIAL CONDITIONS OF AWARD:**

**PROGRAMMATIC:**

By November 30, 2014, you must submit to your Government Project Officer the following in writing:

- A description detailing the activities, timing, and personnel involved in implementing the AWARE-LEA and ED LEA School Climate Transformation grant programs in an aligned and complementary manner.

**PARTICIPANT PROTECTION:**

By October 30, 2014 you must submit to your assigned Grants Management Specialist, with a copy to your Government Project Officer:

- Your written response regarding the participant protection concerns raised by the Initial Review Group (IRG). Your assigned Government Project Officer will provide you with the IRG review summary statement. All grant funds are available for this project except for those funds directly related to Participant Protection issues as outlined in the RFA. Currently, only activities that do not directly involve Participant Protection issues (i.e., are clearly severable and independent from those activities that do involve Participant Protection issues) may be conducted under this award. This restriction of funds will only be lifted if the Participant Protection issues are appropriately addressed by the grantee and resolved to the satisfaction of your designated Government Project Officer and SAMHSA's Participant Protection Officer.

**REVISED BUDGET:**

By October 30, 2014, you must submit to your Grants Management Specialist, with a copy to your Government Project Officer:

- Revised budgets for each 12 month incremental period, based on the approved amounts reflected under the Special Terms of Award (Multi-Year)
- A revised SF-424 to include in section 18 (Estimated Funding) the requested funding amount for the first 12 month incremental period only:  
<http://beta.samhsa.gov/grants/continuation-grants>
- If applicable, copy of a federally approved Indirect Cost Rate Agreement or Cost Allocation Plan to support all requested indirect costs.
- The Committee reviewed the budget and noted that the applicant organization does not specifically identify the costs in the budget or the percentage of funds to be spent on data collection, performance measurement and performance assessment.

**OTHER:**

By October 30, 2014, you must submit to your Grants Management Specialist:

- An Assurance of compliance with SAMHSA Charitable Choice Statutes & Regulations form SMA 170: [http://beta.samhsa.gov/sites/default/files/charchoice\\_assurance.pdf](http://beta.samhsa.gov/sites/default/files/charchoice_assurance.pdf)

**MULTI-YEAR:**

You must submit by May 1, 2015 for the second 12 month period of 9/30/2015 through 09/29/2016 the following:

1. SF-424-Face Page-Include your grant number (SM#) as reflected on your last Notice of Award (NoA)
2. SF-424A - budget page (add total amount for each budgeted cost category)
3. In addition, submit an attestation from the Authorized Representative on your organization's letterhead signed and dated which states that the detailed budget and narrative justification has not changed above 25% of the total budget from the current budget period. If not, only submit a budget and explanation/justification including supporting documentation for any changes over 25% for this current budget period. Grantee should identify Federal and Non-Federal dollars separately by funding source and dollar amount(s).
4. Key staff changes (NEW or ANTICIPATED) must be requested in advance as stated in the terms/conditions of award. Describe the change and submit resumes and job descriptions, level of effort and annual salary for each position.
5. The Project/Program Narrative which is limited to five (5) pages only must outline any changes, progress and accomplishments resulting from the past 12 months of support and progress or milestones anticipated with this 12 months of funding request and must use the Supplementary Instructions as follows:
  - a. Description and explanation of changes, if any, made during this 12 month period affecting the following:
    1. Goals and objectives
    2. Projected time line for project implementation
    3. Approach and strategies proposed in the initially approved and funded application
    4. Report on progress relative to approved objectives, including progress on evaluation activities.
    5. Summary of key program accomplishments to date and list progress
    6. Description of difficulties/problems encountered in achieving planned goals and objectives including:

- a. Barriers to accomplishment and
- b. Actions to overcome difficulties
- c. Report on milestones anticipated with the new funding request

In addition, the Government Project Officer will provide recommendations for the subsequent 12 months of funding related expectations.

\*Specific programmatic instructions may be provided by the Government Project Officer.

#### **SPECIAL TERMS OF AWARD:**

##### **MULTI-YEAR:**

This award reflects multi-year funding for a total of two 12 month incremental periods within a two year/24 month project period in the amount of \$100,000. The first 12 month period, the grantee organization may expend \$50,000.

Funding for each of the subsequent 12 month period(s) are restricted and the grantee organization may not expend more than \$50,000 during that time as follows:

9/30/2014 - 9/29/2015: \$50,000  
9/30/2015 - 9/29/2016: \$50,000

##### **FCR:**

The Office of Financial Advisory Services (OFAS), SAMHSA is currently conducting a review of your organization's financial management system. If the review discloses material weaknesses or other financial management concerns, grant funding may be restricted in accordance with 45 CFR 74.14 or 45 CFR 92.12, as applicable. The restriction will affect the draw-down of funds from your organization's Payment Management Services account; subject to the review of (OFAS) and the approval of the applicable Grants Management Specialist and Government Project Officer.

##### **DOMA:**

On June 26, 2013, in *United States v. Windsor*, the Supreme Court held that section 3 of the Defense of Marriage Act (DOMA), which prohibited federal recognition of same-sex spouses/marriages, was unconstitutional. As a result of that decision, SAMHSA is no longer prohibited from recognizing same-sex marriages. Consistent with HHS policy and the purposes of SAMHSA programs, same-sex spouses/marriages are to be recognized in the "Now is the Time" **Project AWARE Local Educational Agency grant program (NITT-AWARE-LEA)**. This means that, as a recipient of SAMHSA funding for **NITT-AWARE-LEA**, you are required to treat as valid the marriages of same-sex couples whose marriage is legal when entered into. This applies regardless of whether the couple now lives in a jurisdiction that recognizes same-sex marriage or a jurisdiction that does not recognize same-sex marriage. Any same-sex marriage legally entered into in one of the 50 states, the District of Columbia, a U.S. territory or a foreign country will be recognized. However this does not apply to registered domestic partnerships, civil unions, or similar formal relationships recognized under state law as something other than a marriage.

#### **STANDARD TERMS OF AWARD:**

Refer to the following SAMHSA website for Standard Terms of Award:

<http://beta.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>

Key staff positions are listed below:

TBD, Project Director @ level of effort TBD  
TBD, Project Evaluator @ level of effort TBD

#### **REPORTING REQUIREMENTS:**

1. A Federal Financial Report (SF-425) must be submitted semi-annually to the Division of Grants Management which reflects the federal, program income and match expenditures, if applicable.

2. Submission of a Programmatic Semi-Annual Report is due no later than the dates as follows:

1st Report – April 30th

2nd Report – October 30th

**Failure to comply with the above stated terms and conditions may result in suspension, classification as High Risk status, termination of this award or denial of funding in the future.**

All responses to special terms and conditions of award and post award requests may be electronically mailed to the Grants Management Specialist and to the Government Program Official as identified on your Notice of Award.

**It is essential that the Grant Number be included in the SUBJECT line of the email.**

#### **CONTACTS:**

Michelle Bechard, Program Official

**Phone:** (240) 276-1872 **Email:** michelle.bechard@samhsa.hhs.gov

Ernest Stevens, Grants Specialist

**Phone:** (240) 276-0631 **Email:** Ernest.Stevens@samhsa.hhs.gov **Fax:** (240) 276-1430