

APPLICATION AND CERTIFICATE FOR PAYMENT

TO OWNER: Southgate Independent School District Finance Corp William Blatt & Evergreen Ave., Southgate, KY 41071		PROJECT - Southgate Elementary School Addition William Blatt & Evergreen Ave., Southgate, KY 41071	APPLICATION NO.: 12 PERIOD TO: 07/31/12 PROJECT NO: CONTRACTOR CONTRACT DATE: 04/15/11	Distribution to: ☐ OWNER ☐ ARCHITECT ☐
FROM CONTRACTOR: Mark Spaulding Construction Company 5785 Constitution Drive Florence, KY 41042		VIA ARCHITECT: Robert Ehmet Hayes & Associates 2512 Dixie Highway Covington, KY 41017-3094		
CONTRACT FOR: - General Construction				

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract Continuation Sheet. G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 975,400.00
2. Net Change by Change Order \$ 18,865.00
3. CONTRACT SUM TO DATE (Line 1 +/- 2) \$ 994,265.00
4. TOTAL COMPLETED & STORED TO DATE \$ 994,265.00
(Column G on G703)
5. RETAINAGE:
a. % of Completed Work \$
(Columns D + E on G703)
b. % of Stored Material \$
(Column F on G703)
Total Retainage (Line 5a + 5b or
Total in Column I of G703) \$
Total EARNED LESS RETAINAGE \$ 994,265.00
(Line 4 less Line 5 Total)
6. LESS PREVIOUS CERTIFICATES FOR PAYMENT
(Line 6 from prior Certificate) \$ 990,165.00
7. CURRENT PAYMENT DUE \$ 4,100.00
8. BALANCE TO FINISH, INCLUDING RETAINAGE
(Line 3 less Line 6) \$
0.00

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$19,384.00	-519
Total approved this Month		
TOTALS	\$19,384.00	-519
NET CHANGES by Change Order	\$18,865.00	

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of

The Owner or Contractor under this Contract. The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief, the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Mark Spaulding Construction Company

By: Mark Spaulding, President
State of: Kentucky
County of: Kenton
Subscribed and sworn to before
me this 31st day of July, 2012.

Notary Public:
Robin J. Chambers
Notary Public, ID No. 4094
State of Large, Kentucky
My Commission Expires on Nov 12, 2012

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED 4,100.00 **
(Attach explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.)
ARCHITECT: Robert Ehmet Hayes & Associates, PLLC
By: Robert Ehmet Hayes Date: 8/17/12

** Architect's certification is contingent upon Owner's receipt of KDE's BG-4.

MARK SPAULDING CONSTRUCTION COMPANY

PAY APPLICATION : #12
APPLICATION DATE: 07/01/12
WORK COMPLETED THRU: 07/31/12

DESCRIPTION OF WORK	SCHEDULED VALUE	FROM PREVIOUS APPLICATION	THIS PERIOD	MATERIALS PRESENTLY STORED	TOTAL COMPLETED & STORED	%	BALANCE TO FINISH	RETAINAGE TO DATE
DIVISION #1 - GENERAL REQUIREMENTS	50,200.00	50,200.00	0.00		50,200.00	100%	0.00	
DIVISION #2 - DEMOLITION								
SELECTIVE STRUCTURE DEMO LABOR	2,000.00	2,000.00	0.00		2,000.00	100%	0.00	
DIVISION #3 - CONCRETE								
CONTINUOUS FOOTINGS	23,088.00	23,088.00	0.00		23,088.00	100%	0.00	
UNDERPINNING	4,262.00	4,262.00	0.00		4,262.00	100%	0.00	
FOUNDATION WALLS LABOR	17,060.00	17,060.00	0.00		17,060.00	100%	0.00	
FOUNDATION WALL MATERIAL	25,590.00	25,590.00	0.00		25,590.00	100%	0.00	
SLAB ON GRADE	25,959.00	25,959.00	0.00		25,959.00	100%	0.00	
SLAB ON METAL DECK	6,987.00	6,987.00	0.00		6,987.00	100%	0.00	
SITE SIDEWALKS	7,584.00	7,584.00	0.00		7,584.00	100%	0.00	
SITE STAIRS	5,481.00	5,481.00	0.00		5,481.00	100%	0.00	
DIVISION #4 - MASONRY								
MOBILIZATION & SUBMITTALS	7,500.00	7,500.00	0.00		7,500.00	100%	0.00	
BLOCK MATERIALS	46,000.00	46,000.00	0.00		46,000.00	100%	0.00	
BLOCK LABOR	60,000.00	60,000.00	0.00		60,000.00	100%	0.00	
BRICK MATERIAL	15,000.00	15,000.00	0.00		15,000.00	100%	0.00	
BRICK LABOR	21,000.00	21,000.00	0.00		21,000.00	100%	0.00	
DIVISION #5 - METALS								
SHOP DRAWING & SUBMITTALS	5,500.00	5,500.00	0.00		5,500.00	100%	0.00	
STEEL JOIST MATERIALS	10,000.00	10,000.00	0.00		10,000.00	100%	0.00	
STEEL JOIST LABOR	8,900.00	8,900.00	0.00		8,900.00	100%	0.00	
METAL DECKING MATERIAL	6,767.00	6,767.00	0.00		6,767.00	100%	0.00	
METAL DECKING LABOR	5,000.00	5,000.00	0.00		5,000.00	100%	0.00	
MISC METALS/STAIRS & RAILS MATERIAL	25,573.00	25,573.00	0.00		25,573.00	100%	0.00	
MISC METALS/STAIRS & RAILS LABOR	17,426.00	17,426.00	0.00		17,426.00	100%	0.00	
DIVISION #6 - WOOD, PLASTICS & COMPOSITES								
ROUGH CARPENTRY LABOR	3,770.00	3,770.00	0.00		3,770.00	100%	0.00	
WINDOW SILLS LABOR	300.00	300.00	0.00		300.00	100%	0.00	
WINDOW SILLS MATERIAL	750.00	750.00	0.00		750.00	100%	0.00	
DIVISION #7 - THERMAL & MOISTURE PROTECTION								
SELF ADHERING WATERPROOFING MATERIAL	2,000.00	2,000.00	0.00		2,000.00	100%	0.00	
SELF ADHERING WAGERPROOFING LABOR	1,575.00	1,575.00	0.00		1,575.00	100%	0.00	
WATER REPELLENTS MATERIAL	2,100.00	2,100.00	0.00		2,100.00	100%	0.00	
WATER REPELLENTS LABOR	500.00	500.00	0.00		500.00	100%	0.00	
SPRAY FOAM MATERIAL	2,060.00	2,060.00	0.00		2,060.00	100%	0.00	
SPRAY FOAM LABOR	3,050.00	3,050.00	0.00		3,050.00	100%	0.00	
FLUID APPLIED MEMBRANE AIR BARRIERS MAT	3,224.00	3,224.00	0.00		3,224.00	100%	0.00	
FLUID APPLIED MEMBRANE AIR BARRIERS LAB	1,000.00	1,000.00	0.00		1,000.00	100%	0.00	
SHINGLES MATERIAL	14,615.00	14,615.00	0.00		14,615.00	100%	0.00	
SHINGLES LABOR	31,165.00	31,165.00	0.00		31,165.00	100%	0.00	
EPDM MATERIALS	14,735.00	14,735.00	0.00		14,735.00	100%	0.00	
EPDM LABOR	13,831.00	13,831.00	0.00		13,831.00	100%	0.00	
SHEET METAL FLASHING & TRIM LABOR	18,754.00	18,754.00	0.00		18,754.00	100%	0.00	
SHEET METAL FLASHING & MATERIAL	9,065.00	9,065.00	0.00		9,065.00	100%	0.00	

MARK SPAULDING CONSTRUCTION COMPANY

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FIRE RESISTIVE JOINT SYSTEMS		1,000.00	1,000.00	0.00	0.00	100%	1,000.00	0.00
JOINT SEALANTS MATERIAL		1,000.00	1,000.00	0.00	0.00	100%	1,000.00	0.00
JOINT SEALANTS LABOR		2,300.00	2,300.00	0.00	0.00	100%	2,300.00	0.00
EXPANSION CONTROL MATERIAL		3,460.00	3,460.00	0.00	0.00	100%	3,460.00	0.00
EXPANSION CONTROL LABOR		2,000.00	2,000.00	0.00	0.00	100%	2,000.00	0.00
DIVISION #8 - OPENINGS								
HOLLOW METAL DOORS & FRAMES MATERIALS		24,740.00	24,740.00	0.00	0.00	100%	24,740.00	0.00
ACCESS DOORS AND FRAMES MATERIAL		500.00	500.00	0.00	0.00	100%	500.00	0.00
ALUMINUM WINDOWS		9,500.00	9,500.00	0.00	0.00	100%	9,500.00	0.00
GLAZING		3,340.00	3,340.00	0.00	0.00	100%	3,340.00	0.00
GLAZING LABOR		3,900.00	3,900.00	0.00	0.00	100%	3,900.00	0.00
CAULKING LABOR		1,000.00	1,000.00	0.00	0.00	100%	1,000.00	0.00
DOOR FRAME LABOR		75.00	75.00	0.00	0.00	100%	75.00	0.00
DOORS & HARDWARE LABOR		1,600.00	1,600.00	0.00	0.00	100%	1,600.00	0.00
LOUVERS AND VENTS MATERIAL		600.00	600.00	0.00	0.00	100%	600.00	0.00
DIVISION #9 - FINISHES								
METAL STUDS FRAMING MATERIAL		2,200.00	2,200.00	0.00	0.00	100%	2,200.00	0.00
METAL STUDS FRAMING LABOR		2,500.00	2,500.00	0.00	0.00	100%	2,500.00	0.00
DRYWALL SOUND BATTS MATERIAL		2,000.00	2,000.00	0.00	0.00	100%	2,000.00	0.00
DRYWALL SOUND BATTS LABOR		2,570.00	2,570.00	0.00	0.00	100%	2,570.00	0.00
ACOUSTICAL CEILINGS MATERIAL		4,900.00	4,900.00	0.00	0.00	100%	4,900.00	0.00
ACOUSTICAL CEILINGS LABOR		4,780.00	4,780.00	0.00	0.00	100%	4,780.00	0.00
SHAFT WALL CEILING MATERIAL		2,000.00	2,000.00	0.00	0.00	100%	2,000.00	0.00
SHAFT WALL CEILING LABOR		2,900.00	2,900.00	0.00	0.00	100%	2,900.00	0.00
FLOORING LABOR		5,500.00	5,500.00	0.00	0.00	100%	5,500.00	0.00
FLOORING MATERIAL		7,700.00	7,700.00	0.00	0.00	100%	7,700.00	0.00
PAINTING LABOR		5,900.00	5,900.00	0.00	0.00	100%	5,900.00	0.00
PAINTING MATERIAL		1,293.00	1,293.00	0.00	0.00	100%	1,293.00	0.00
DIVISION #10 - SPECIALTIES								
VISUAL DISPLAY SURFACES		2,159.00	2,159.00	0.00	0.00	100%	2,159.00	0.00
SIGNS		564.00	564.00	0.00	0.00	100%	564.00	0.00
TOILET COMPARTMENTS		6,149.00	6,149.00	0.00	0.00	100%	6,149.00	0.00
FIRE EXTINGUISHER CABINETS		308.00	308.00	0.00	0.00	100%	308.00	0.00
ALUMINUM CANOPIES MATERIAL		4,250.00	4,250.00	0.00	0.00	100%	4,250.00	0.00
ALUMINUM CANOPIES LABOR		1,200.00	1,200.00	0.00	0.00	100%	1,200.00	0.00
DIVISION #12 - FURNISHINGS								
INSTITUTIONAL CASEWORK MATERIAL		20,000.00	20,000.00	0.00	0.00	100%	20,000.00	0.00
INSTITUTIONAL CASEWORK LABOR		2,500.00	2,500.00	0.00	0.00	100%	2,500.00	0.00
DIVISION #21 - FIRE SUPPRESSION								
ENGINEERING		780.00	780.00	0.00	0.00	100%	780.00	0.00
MATERIAL		700.00	700.00	0.00	0.00	100%	700.00	0.00
LABOR		997.00	997.00	0.00	0.00	100%	997.00	0.00
DIVISION #22 - PLUMBING								
SAWCUT REMOVE CONC & DIG MATERIALS		1,600.00	1,600.00	0.00	0.00	100%	1,600.00	0.00
SAWCUT REMOVE CONC & DIG LABOR		4,500.00	4,500.00	0.00	0.00	100%	4,500.00	0.00
1ST ROUGH PVC MATERIALS		1,000.00	1,000.00	0.00	0.00	100%	1,000.00	0.00
1ST ROUGH PVC LABOR		1,700.00	1,700.00	0.00	0.00	100%	1,700.00	0.00
2ND ROUGH CAST MATERIAL		3,500.00	3,500.00	0.00	0.00	100%	3,500.00	0.00

MARK SPAULDING CONSTRUCTION COMPANY

2ND ROUGH CAST LABOR	3,500.00	3,500.00	0.00	3,500.00	100%	0.00
2ND ROUGH WATER MATERIALS	4,500.00	4,500.00	0.00	4,500.00	100%	0.00
2ND ROUGH WATER LABOR	4,400.00	4,400.00	0.00	4,400.00	100%	0.00
2ND ROUGH GAS MATERIALS	500.00	500.00	0.00	500.00	100%	0.00
2ND ROUGH GAS LABOR	2,000.00	2,000.00	0.00	2,000.00	100%	0.00
SET FIXTURES MATERIAL	9,997.00	9,997.00	0.00	9,997.00	100%	0.00
SET FIXTURES LABOR	6,264.00	6,264.00	0.00	6,264.00	100%	0.00
SET CLASSROOM SINKS MATERIAL	2,791.00	2,791.00	0.00	2,791.00	100%	0.00
SET CLASSROOM SINKS LABOR	823.00	823.00	0.00	823.00	100%	0.00
DIVISION #23 - HVAC						
GENERAL CONDITIONS	4,000.00	4,000.00	0.00	4,000.00	100%	0.00
SUBMITTALS	1,000.00	1,000.00	0.00	1,000.00	100%	0.00
DEMOLITION	2,000.00	2,000.00	0.00	2,000.00	100%	0.00
PVF LABOR	3,100.00	3,100.00	0.00	3,100.00	100%	0.00
PVF MATERIALS	680.00	680.00	0.00	680.00	100%	0.00
PTACS LABOR	2,240.00	2,240.00	0.00	2,240.00	100%	0.00
FINTUBE LABOR	2,240.00	2,240.00	0.00	2,240.00	100%	0.00
AC 1.2 LABOR	4,011.00	4,011.00	0.00	4,011.00	100%	0.00
AC 1.2 MATERIAL	8,834.00	8,834.00	0.00	8,834.00	100%	0.00
DUCTWORK LABOR	14,000.00	14,000.00	0.00	14,000.00	100%	0.00
DUCTWORK MATERIALS	9,340.00	9,340.00	0.00	9,340.00	100%	0.00
CONTROLS LABOR	1,764.00	1,764.00	0.00	1,764.00	100%	0.00
CONTROLS MATERIALS	1,176.00	1,176.00	0.00	1,176.00	100%	0.00
INSULATION LABOR	1,860.00	1,860.00	0.00	1,860.00	100%	0.00
INSULATION MATERIALS	1,240.00	1,240.00	0.00	1,240.00	100%	0.00
BALANCE	1,000.00	1,000.00	0.00	1,000.00	100%	0.00
DIVISION #26 - ELECTRICAL						
MOBILIZATION SUBMITTALS	2,500.00	2,500.00	0.00	2,500.00	100%	0.00
SUPERVISION	4,750.00	4,750.00	0.00	4,750.00	100%	0.00
SUBMITTALS	5,000.00	5,000.00	0.00	5,000.00	100%	0.00
CLOSEOUT ITEMS	3,000.00	3,000.00	0.00	3,000.00	100%	0.00
DAILY CLEANING	2,000.00	2,000.00	0.00	2,000.00	100%	0.00
TEMPORARY POWER	1,500.00	1,500.00	0.00	1,500.00	100%	0.00
DEMOLITION	1,000.00	1,000.00	0.00	1,000.00	100%	0.00
FEEDERS MATERIALS	8,000.00	8,000.00	0.00	8,000.00	100%	0.00
FEEDERS LABOR	5,550.00	5,550.00	0.00	5,550.00	100%	0.00
PANELS MATERIAL	4,400.00	4,400.00	0.00	4,400.00	100%	0.00
PANELS LABOR	2,000.00	2,000.00	0.00	2,000.00	100%	0.00
LIGHT FIXTURES MATERIALS	7,000.00	7,000.00	0.00	7,000.00	100%	0.00
LIGHT FIXTURES LABOR	3,100.00	3,100.00	0.00	3,100.00	100%	0.00
CABLE WIRE MATERIALS	8,200.00	8,200.00	0.00	8,200.00	100%	0.00
CABLE WIRE LABOR	2,560.00	2,560.00	0.00	2,560.00	100%	0.00
CONDUIT MATERIAL	9,625.00	9,625.00	0.00	9,625.00	100%	0.00
CONDUIT LABOR	5,697.00	5,697.00	0.00	5,697.00	100%	0.00
FIRE ALARM MATERIAL	5,300.00	5,300.00	0.00	5,300.00	100%	0.00
FIRE ALARM LABOR	4,468.00	4,468.00	0.00	4,468.00	100%	0.00
WIRING DEVICES MATERIALS	4,000.00	4,000.00	0.00	4,000.00	100%	0.00
WIRING DEVICES LABOR	1,450.00	1,450.00	0.00	1,450.00	100%	0.00
AREA OF REFUGE MATERIAL	2,100.00	2,100.00	0.00	2,100.00	100%	0.00
AREA OF REFUGE LABOR	1,477.00	1,477.00	0.00	1,477.00	100%	0.00
DIVISION #27 - COMMUNICATIONS						

PAY APPLICATION :	#12
APPLICATION DATE:	07/01/12
WORK COMPLETED THRU:	07/31/12

Page 4

FINAL WAIVER OF LIEN
MATERIAL AND OR LABOR

STATE OF: KENTUCKY
To all Whom It May Concern:

Whereas the undersigned Mark Spaulding Construction Company, 5785 Constitution Drive, Florence, KY 41042

has been contracted by Southgate Independent School District Finance Corporation,

To furnish labor and/or materials for Southgate Elementary School - Addition, located at William Blatt and Evergreen Avenue, Southgate, KY 41071.

Now, Therefore, Know Ye, That Mark Spaulding Construction Company the undersigned for an in consideration of the sum of FOUR THOUSAND ONE HUNDRED DOLLARS AND 00/100 CENTS (\$4,100.00)

and other good and valuable considerations, upon receipt, do hereby waive and release any and all lien, or claim or right to lien on said above described building and premises under the Statutes of the State of Kentucky relating to Mechanics' Liens, on account of labor or materials, or both, furnished up to this date by the undersigned to or on account of of said firm or individual therein named for said building or premises.

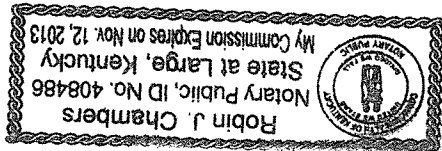
Given under my hand and sealed this 31st day of July, 2012.

Mark Spaulding Construction Company
By: Mark E. Spaulding
Title: President/Owner

Subscribed and sworn to before me this 31st day of July, 2012.

My Commission expires

Robin J. Chambers



KENTUCKY DEPARTMENT OF EDUCATION DISTRICT FACILITIES BRANCH

BG-4, SEPTEMBER 2010
PROJECT CLOSEOUT FORM
702 KAR 4:160

District: Southgate Facility: Southgate School Code: 537 Name: Southgate School
Project: Southgate School - Addition
Contractor: Mark Spaulding
Supplier: _____
Bid Package: _____
Total Package: _____

A. Project Construction Cost:

Gross Square Footage: _____
Original Contract or Purchase Order Sum: _____
Net Total Change by Change Orders: \$ 975,400
Total Cost of Construction (Include Change Orders): \$ 18,865
\$ 994,265

B. Verification of required approvals by other regulatory agencies:

1. Office of Housing, Buildings and Construction
 - a. Certificate of Occupancy DATE: 12/21/11
 - b. Plumbing Certificate DATE: 11/18/11
 - c. Electrical Certificate DATE: 12/21/11
 - d. Fire Alarm Certificate DATE: 11/11/11
2. Natural Resources and Environmental Protection Cabinet
 - a. Sewage Disposal Certificate DATE: _____
 - b. Other DATE: _____

C. Close Out:

1. Punch List Completed DATE: 12/1/11
2. Record Drawings Completed DATE: 12/1/11
3. Warranty & Guarantees Information Provided DATE: 12/1/11
4. Owner Training on Systems DATE: 1/2/11
5. Verification of Payment of Debts and Claims to Date DATE: 1/2/11

The work performed under this contract has been reviewed and found to be substantially complete, according to the plans and specifications approved by the Division of Facilities Management, in our professional opinion, and to the best of our information, knowledge, and belief.

Architect:	Robert Emmet Hayes & Associates, PLLC	DATE: 6/1/12
Engineer:	KLH Engineers, PSC	DATE: 7/13/12
Contractor/ Supplier/ Construction Manager:	Mark Spaulding Construction Company	DATE: 7/3/12
The _____ Board of Education accepts this project as being complete subject to the approval of the District Facilities Branch.		
Local Board of Education Designee:	_____	DATE: _____

LOCAL BOARD ORDER MUST BE ATTACHED
Submit one complete copy to the District Facilities Branch