

ROBERT EHMET HAYES & ASSOCIATES, PLLC

2512 DIXIE HIGHWAY, COVINGTON (Ft. Mitchell), KENTUCKY 41017-3094

ARCHITECTS

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ROBERT EHMET HAYES (1961-2009)

MICHAEL BRENT BISHOP

R. EHMET HAYES

JOSEPH AHRENS HAYES

RYAN THOMAS FICKE

January 6, 2012

VIA FAX AND USPS

To: Jim Palm, Superintendent
Southgate Independent Schools

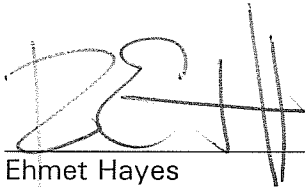
RE: SOUTHGATE ELEMENTARY SCHOOL
BG#11-004 / REH #350-910

Enclosures: Application and Certificate for Payment No. 9 submitted by Mark Spaulding
Construction for referenced Project.

Action

Required:

1. Review application
2. Obtain Board approval
3. Issue payment to Contractor



Ehmet Hayes

EH:mjs

c: Mark Spaulding Construction, with copy of same

APPLICATION AND CERTIFICATE FOR PAYMENT

TO OWNER: Southgate Independent School District Finance Corp William Blatt & Evergreen Ave., Southgate, KY 41071	PROJECT - Southgate Elementary School Addition William Blatt & Evergreen Ave., Southgate, KY 41071	APPLICATION NO.: 9 PERIOD TO: 12/31/11 PROJECT NO.: CONTRACTOR: CONTRACT DATE: 04/15/11	Distribution to: <input type="checkbox"/> OWNER <input type="checkbox"/> ARCHITECT
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FROM CONTRACTOR: Mark Spaulding Construction Company 5785 Constitution Drive Florence, KY 41042 CONTRACT FOR: - General Construction	VIA ARCHITECT: Robert Elmet Hayes & Associates 2512 Dixie Highway Covington, KY 41017-3094
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CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract Continuation Sheet: G703, is attached.

1. ORIGINAL CONTRACT SUM	\$ 975,400.00
2. Net Change by Change Order	\$ 15,381.00
3. CONTRACT SUM TO DATE (Line 1 +/- 2)	\$ 990,781.00
4. TOTAL COMPLETED & STORED TO DATE	\$ 968,909.00
5. RETAINAGE: a. <u>5</u> % of Completed Work \$ 49,539.05 b. <u> </u> % of Stored Material \$ <u> </u> (Column F on G703) Total Retainage (Line 5a + 5b or Total in Column I of G703) \$ 49,539.05	
6. TOTAL EARNED LESS RETAINAGE	\$ 919,369.95
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate)	\$ 911,167.95
8. CURRENT PAYMENT DUE	\$ 8,202.00
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6)	\$ 71,411.05

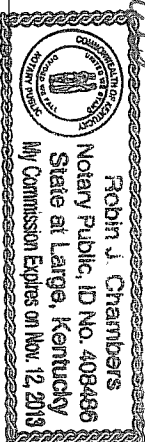
CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$15,381.00	
Total approved this Month		
TOTALS	\$15,381.00	
NET CHANGES by Change Order	\$15,381.00	

The Owner or Contractor under this Contract The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief, the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Mark Spaulding Construction Company

By: Mark Spaulding, President Date: 12/31/11
 State of: Kentucky
 County of: Kenton
 Subscribed and sworn to before
 me this 31st day of December, 2011.

Notary Public:
 My Commission Expires:



ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$8,202.00

(Attach explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.)

By: Robert Elmet Hayes & Associates, PLLC Date: January 6, 2012

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of

MARK SPAULDING CONSTRUCTION COMPANY

PAY APPLICATION : #9
APPLICATION DATE: 12/25/11
WORK COMPLETED THRU: 12/31/11

DESCRIPTION OF WORK	SCHEDULED VALUE	FROM PREVIOUS APPLICATION	THIS PERIOD	MATERIALS PRESENTLY STORED	TOTAL COMPLETED & STORED	% COMPLETE	BALANCE TO FINISH	RETAINAGE TO DATE
DIVISION #1 - GENERAL REQUIREMENTS	50,200.00	48,694.00	502.00		49,196.00	98%	1,004.00	
DIVISION #2 - DEMOLITION								
SELECTIVE STRUCTURE DEMO LABOR	2,000.00	2,000.00	0.00		2,000.00	100%	0.00	
DIVISION #3 - CONCRETE								
CONTINUOUS FOOTINGS	23,088.00	23,088.00	0.00		23,088.00	100%	0.00	
UNDERPINNING	4,262.00	4,262.00	0.00		4,262.00	100%	0.00	
FOUNDATION WALLS LABOR	17,060.00	17,060.00	0.00		17,060.00	100%	0.00	
FOUNDATION WALL MATERIAL	25,590.00	25,590.00	0.00		25,590.00	100%	0.00	
SLAB ON GRADE	25,959.00	25,959.00	0.00		25,959.00	100%	0.00	
SLAB ON METAL DECK	6,987.00	6,987.00	0.00		6,987.00	100%	0.00	
SITE SIDEWALKS	7,584.00	7,584.00	0.00		7,584.00	100%	0.00	
SITE STAIRS	5,481.00	5,481.00	0.00		5,481.00	100%	0.00	
DIVISION #4 - MASONRY								
MOBILIZATION & SUBMITTALS	7,500.00	7,500.00	0.00		7,500.00	100%	0.00	
BLOCK MATERIALS	46,000.00	46,000.00	0.00		46,000.00	100%	0.00	
BLOCK LABOR	60,000.00	60,000.00	0.00		60,000.00	100%	0.00	
BRICK MATERIAL	15,000.00	15,000.00	0.00		15,000.00	100%	0.00	
BRICK LABOR	21,000.00	19,950.00	1,050.00		21,000.00	100%	0.00	
DIVISION #5 - METALS								
SHOP DRAWING & SUBMITTALS	5,500.00	5,500.00	0.00		5,500.00	100%	0.00	
STEEL JOIST MATERIALS	10,000.00	10,000.00	0.00		10,000.00	100%	0.00	
STEEL JOIST LABOR	8,900.00	8,900.00	0.00		8,900.00	100%	0.00	
METAL DECKING MATERIAL	6,767.00	6,767.00	0.00		6,767.00	100%	0.00	
METAL DECKING LABOR	5,000.00	5,000.00	0.00		5,000.00	100%	0.00	
MISC METALS/STAIRS & RAILS MATERIAL	25,573.00	21,737.00	3,836.00		25,573.00	100%	0.00	
MISC METALS/STAIRS & RAILS LABOR	17,426.00	14,812.00	2,614.00		17,426.00	100%	0.00	
DIVISION #6 - WOOD, PLASTICS & COMPOSITES								
ROUGH CARPENTRY LABOR	3,770.00	3,770.00	0.00		3,770.00	100%	0.00	
WINDOW SILLS LABOR	300.00	300.00	0.00		300.00	100%	0.00	
WINDOW SILLS MATERIAL	750.00	750.00	0.00		750.00	100%	0.00	
DIVISION #7 - THERMAL & MOISTURE PROTECTION								
SELF ADHERING WATERPROOFING MATERIAL	2,000.00	2,000.00	0.00		2,000.00	100%	0.00	
SELF ADHERING WAGERPROOFING LABOR	1,575.00	1,575.00	0.00		1,575.00	100%	0.00	
WATER REPELLENTS MATERIAL	2,100.00	2,100.00	0.00		2,100.00	100%	0.00	
WATER REPELLENTS LABOR	500.00	500.00	0.00		500.00	100%	0.00	
SPRAY FOAM MATERIAL	2,060.00	2,060.00	0.00		2,060.00	100%	0.00	
SPRAY FOAM LABOR	3,050.00	3,050.00	0.00		3,050.00	100%	0.00	
FLUID APPLIED MEMBRANE AIR BARRIERS MATL	3,224.00	3,224.00	0.00		3,224.00	100%	0.00	
FLUID APPLIED MEMBRANE AIR BARRIERS LAB	1,000.00	1,000.00	0.00		1,000.00	100%	0.00	
SHINGLES MATERIAL	14,615.00	14,615.00	0.00		14,615.00	100%	0.00	
SHINGLES LABOR	31,165.00	31,165.00	0.00		31,165.00	100%	0.00	
EPDM MATERIALS	14,735.00	14,735.00	0.00		14,735.00	100%	0.00	
EPDM LABOR	13,831.00	13,831.00	0.00		13,831.00	100%	0.00	

MARK SPAULDING CONSTRUCTION COMPANY

SHEET METAL FLASHING & TRIM LABOR	18,754.00	18,754.00	0.00		18,754.00	100%	0.00	
SHEET METAL FLASHING & MATERIAL	9,065.00	9,065.00	0.00		9,065.00	100%	0.00	
FIRE RESISTIVE JOINT SYSTEMS	1,000.00	1,000.00	0.00		1,000.00	100%	0.00	
JOINT SEALANTS MATERIAL	1,000.00	1,000.00	0.00		1,000.00	100%	0.00	
JOINT SEALANTS LABOR	2,300.00	2,300.00	0.00		2,300.00	100%	0.00	
EXPANSION CONTROL MATERIAL	3,460.00	3,460.00	0.00		3,460.00	100%	0.00	
EXPANSION CONTROL LABOR	2,000.00	2,000.00	0.00		2,000.00	100%	0.00	
DIVISION #8 - OPENINGS								
HOLLOW METAL DOORS & FRAMES MATERIALS	24,740.00	24,740.00	0.00		24,740.00	100%	0.00	
ACCESS DOORS AND FRAMES MATERIAL	500.00	500.00	0.00		500.00	100%	0.00	
ALUMINUM WINDOWS	9,500.00	9,500.00	0.00		9,500.00	100%	0.00	
GLAZING	3,340.00	3,340.00	0.00		3,340.00	100%	0.00	
GLAZING LABOR	3,900.00	3,900.00	0.00		3,900.00	100%	0.00	
CAULKING LABOR	1,000.00	1,000.00	0.00		1,000.00	100%	0.00	
DOOR FRAME LABOR	75.00	75.00	0.00		75.00	100%	0.00	
DOORS & HARDWARE LABOR	1,600.00	1,600.00	0.00		1,600.00	100%	0.00	
LOUVERS AND VENTS MATERIAL	600.00	600.00	0.00		600.00	100%	0.00	
DIVISION #9 - FINISHES								
METAL STUDS FRAMING MATERIAL	2,200.00	2,200.00	0.00		2,200.00	100%	0.00	
METAL STUDS FRAMING LABOR	2,500.00	2,500.00	0.00		2,500.00	100%	0.00	
DRYWALL SOUND BATT'S MATERIAL	2,000.00	2,000.00	0.00		2,000.00	100%	0.00	
DRYWALL SOUND BATT'S LABOR	2,570.00	2,570.00	0.00		2,570.00	100%	0.00	
ACOUSTICAL CEILINGS MATERIAL	4,900.00	4,900.00	0.00		4,900.00	100%	0.00	
ACOUSTICAL CEILINGS LABOR	4,780.00	4,780.00	0.00		4,780.00	100%	0.00	
SHAFT WALL CEILING MATERIAL	2,000.00	2,000.00	0.00		2,000.00	100%	0.00	
SHAFT WALL CEILING LABOR	2,900.00	2,900.00	0.00		2,900.00	100%	0.00	
FLOORING LABOR	5,500.00	5,500.00	0.00		5,500.00	100%	0.00	
FLOORING MATERIAL	7,700.00	7,700.00	0.00		7,700.00	100%	0.00	
PAINTING LABOR	5,900.00	5,900.00	0.00		5,900.00	100%	0.00	
PAINTING MATERIAL	1,293.00	1,293.00	0.00		1,293.00	100%	0.00	
DIVISION #10 - SPECIALTIES								
VISUAL DISPLAY SURFACES	2,159.00	2,159.00	0.00		2,159.00	100%	0.00	
SIGNS	564.00	564.00	0.00		564.00	100%	0.00	
TOILET COMPARTMENTS	6,149.00	6,149.00	0.00		6,149.00	100%	0.00	
FIRE EXTINGUISHER CABINETS	308.00	308.00	0.00		308.00	100%	0.00	
ALUMINUM CANOPIES MATERIAL	4,250.00	4,250.00	0.00		4,250.00	100%	0.00	
ALUMINUM CANOPIES LABOR	1,200.00	1,200.00	0.00		1,200.00	100%	0.00	
DIVISION #12 - FURNISHINGS								
INSTITUTIONAL CASEWORK MATERIAL	20,000.00	20,000.00	0.00		20,000.00	100%	0.00	
INSTITUTIONAL CASEWORK LABOR	2,500.00	2,500.00	0.00		2,500.00	100%	0.00	
DIVISION #21 - FIRE SUPPRESSION								
ENGINEERING	780.00	780.00	0.00		780.00	100%	0.00	
MATERIAL	700.00	700.00	0.00		700.00	100%	0.00	
LABOR	997.00	997.00	0.00		997.00	100%	0.00	
DIVISION #22 - PLUMBING								

APPLICATION FOR PAYMENT:
SOUTHGATE ELEMENTARY SCHOOL

MARK SPAULDING CONSTRUCTION COMPANY

PAY APPLICATION :
APPLICATION DATE: 12/25/11
WORK COMPLETED THRU: 12/31/11

FIRE ALARM MATERIAL	5,300.00	5,300.00	0.00		5,300.00	100%	0.00	
FIRE ALARM LABOR	4,468.00	4,468.00	0.00		4,468.00	100%	0.00	
WIRING DEVICES MATERIALS	4,000.00	4,000.00	0.00		4,000.00	100%	0.00	
WIRING DEVICES LABOR	1,450.00	1,450.00	0.00		1,450.00	100%	0.00	
AREA OF REFUGE MATERIAL	2,100.00	2,100.00	0.00		2,100.00	100%	0.00	
AREA OF REFUGE LABOR	1,477.00	1,477.00	0.00		1,477.00	100%	0.00	
DIVISION #27 - COMMUNICATIONS								
COMMUNICATIONS CABLING MATERIAL	4,700.00	4,700.00	0.00		4,700.00	100%	0.00	
COMMUNICATIONS CABLING LABOR	4,700.00	4,700.00	0.00		4,700.00	100%	0.00	
AUDIO VIDEO SYS & COMMUNICATIONS MATERIA	22,400.00	22,400.00	0.00		22,400.00	100%	0.00	
AUDIO VIDEO SYS & COMMUNICATIONS LABOR	6,800.00	6,800.00	0.00		6,800.00	100%	0.00	
DIVISION #31 - EARTHWORK								
EARTH MOVING LABOR	8,000.00	6,500.00	0.00		6,500.00	81%	1,500.00	
EARTH MOVING MATERIALS	3,800.00	3,800.00	0.00		3,800.00	100%	0.00	
TERMITE CONTROL MATERIALS	150.00	150.00	0.00		150.00	100%	0.00	
TERMITE CONTROL LABOR	50.00	50.00	0.00		50.00	100%	0.00	
DIVISION #32 - EXTERIOR IMPRVMENTS								
CHAIN LINK FENCES AND GATES MATERIALS	5,400.00	0.00	0.00		0.00	0%	5,400.00	
CHAIN LINK FENCES AND GATES LABOR	5,400.00	0.00	0.00		0.00	0%	5,400.00	
PLANTS MATERIAL	6,168.00	0.00	0.00		0.00	0%	6,168.00	
PLANTS LABOR	2,000.00	0.00	0.00		0.00	0%	2,000.00	
DIVISION #33 - SITE UTILITIES								
STORM UTILITY DRAINAGE PIPING LABOR	3,200.00	3,200.00	0.00		3,200.00	100%	0.00	
STORM UTILITY DRAINAGE PIPING MATERIAL	3,000.00	3,000.00	0.00		3,000.00	100%	0.00	
FOOTING DRAINS	6,000.00	6,000.00	0.00		6,000.00	100%	0.00	
ALLOWANCES								
350 CUBIC YARDS PER UNIT PRICE #2	3,150.00	3,150.00	0.00		3,150.00	100%	0.00	Reduction in retainer from 10%
GENERAL LIABILITY INSURANCE	1,743.00	1,743.00	0.00		1,743.00	100%	0.00	to 5% at 50%
PAYMENT & PERFORMANCE BONDS	10,266.00	10,266.00	0.00		10,266.00	100%	0.00	completion of
OVERHEAD & PROFIT	20,000.00	19,400.00	200.00		19,600.00	98%	400.00	the project
OWNER'S CHANGE ORDER #1	7,697.00	7,697.00	0.00		7,697.00	100%	0.00	50% retainer
OWNER'S CHANGE ORDER #2	7,684.00	7,684.00	0.00		7,684.00	100%	0.00	thru balance of
								contract amount
TOTAL	990,781.00	960,707.00	8,202.00		0.00	98%	21,872.00	49,539.05

PARTIAL WAIVER OF LIEN MATERIAL AND OR LABOR

STATE OF: KENTUCKY

December 31st, 2011

To all Whom It May Concern:

Whereas the undersigned Mark Spaulding Construction Company, 5785 Constitution Drive, Florence, KY 41042

has been contracted by Southgate Independent School District Finance Corporation,

To furnish labor and/or materials for Southgate Elementary School – Addition, located at William Blatt and Evergreen Avenue, Southgate, KY 41071.

Now, Therefore, Know Ye, That Mark Spaulding Construction Company the undersigned for an in consideration of the sum of EIGHT THOUSAND TWO HUNDRED TWO DOLLARS AND 00/100 NO CENTS*****(\$8,202.00) and other good and valuable considerations, upon receipt, do hereby waive and release any and all lien, or claim or right to lien on said above described building and premises under the Statutes of the State of Kentucky relating to Mechanics' Liens, on account of labor or materials, or both, furnished up to this date by the undersigned to or on account of said firm or individual therein named for said building or premises.

Given under my hand and sealed this 31st day of December, 2011.

Mark Spaulding Construction Company

By:

Mark E. Spaulding

Title: President/Owner

Subscribed and sworn to before me this 31st day of December, 2011.

My Commission expires: _____

Notary Public

on expires. _____
Robert J. Chambers

