

APPLICATION AND CERTIFICATE FOR PAYMENT

TO OWNER: Southgate Independent School District Finance Corp
William Blatt & Evergreen Ave., Southgate, KY 41071

PROJECT - Southgate Elementary School
Addition
William Blatt & Evergreen Ave.,
Southgate, KY 41071

APPLICATION NO.: 5 Partial Retainer Release
PERIOD TO: 08/31/11
PROJECT NO:
CONTRACTOR NO:
CONTRACT DATE: 04/15/11

FROM CONTRACTOR:
Mark Spaulding Construction Company,
5785 Constitution Drive
Florence, KY 41042
CONTRACT FOR: - General Construction

VIA ARCHITECT:
Robert Elnet Hayes & Associates
2512 Dixie Highway
Covington, KY 41017-3094

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract Continuation Sheet. G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 975,400.00
2. Net Change by Change Order \$ 7,697.00
3. CONTRACT SUM TO DATE (Line 1 +/- 2) \$ 983,097.00
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ 504,873.00
5. RETAINAGE:
a. 5 % of Completed Work \$ 49,154.85
b. % of Stored Material \$
Total Retainage (Line 5a + 5b or Total in Column I of G703)
6. TOTAL EARNED LESS RETAINAGE (Line 4 less Line 5 Total) \$ 433,922.15
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate) \$ 454,385.70
8. CURRENT PAYMENT DUE \$ 1,332.45
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6)

CHANGE ORDER SUMMARY

CHANGES	ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner		\$7,697.00	
Total approved this Month			
TOTALS		\$7,697.00	
NET CHANGES by Change Order		\$7,697.00	

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of

The Owner or Contractor under this Contract. The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief, the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Mark Spaulding Construction Company

By: Mark Spaulding, President Date: 8/29/11

State of: Kentucky
County of: Kenton

Subscribed and sworn to before

me this 29th day of August, 2011.

Notary Public:
Robin J. Chambers
Notary Public, ID No. 408486
State of Large, Kentucky
My Commission Expires: 12/20/13

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$1,332.45

(Attach explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.)

By: Robert Elnet Hayes & Associates, PLLC Date: September 2, 2011

1. APPLICATION AND CERTIFICATE FOR PAYMENT

TO OWNER: Southgate Independent School District Finance Corp
William Blatt & Evergreen Ave., Southgate, KY 41071

PROJECT - Southgate Elementary School
Addition
William Blatt & Evergreen Ave.,
Southgate, KY 41071

APPLICATION NO.: 4
PERIOD TO: 08/31/11
PROJECT NO:
CONTRACTOR
CONTRACT DATE: 04/15/11

Distribution to:
☐ OWNER
☐ ARCHITECT

FROM CONTRACTOR:
Mark Spaulding Construction Company
5785 Constitution Drive
Florence, KY 41042

VIA ARCHITECT:
Robert Ehmet Hayes & Associates
2512 Dixie Highway
Covington, KY 41017-3094

CONTRACT FOR: - General Construction

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract Continuation Sheet, G703, is attached.

1. ORIGINAL CONTRACT SUM.....	\$	975,400.00
2. Net Change by Change Order	\$	7,697.00
3. CONTRACT SUM TO DATE (Line 1 +/- 2)	\$	983,097.00
4. TOTAL COMPLETED & STORED TO DATE	\$	504,873.00
(Column G on G703)		
5. RETAINAGE:		
a. <u>5</u> % of Completed Work	\$	50,487.30
(Columns D + E on G703)		
b. <u> </u> % of Stored Material	\$	
(Column F on G703)		
Total Retainage (Line 5a + 5b or		
Total in Column I of G703)	\$	50,487.30
6. TOTAL EARNED LESS RETAINAGE	\$	454,305.70
(Line 4 less Line 5 Total)		
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT		
(Line 6 from prior Certificate)	\$	241,246.80
8. CURRENT PAYMENT DUE	\$	213,138.90
9. BALANCE TO FINISH, INCLUDING RETAINAGE		
(Line 3 less Line 6)	\$	528,711.30
CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous		
months by Owner		
Total approved this Month	\$7,697.00	
TOTALS	\$7,697.00	
NET CHANGES by Change Order	\$7,697.00	

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of

the Owner or Contractor under this Contract. The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief, the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Mark Spaulding Construction Company

By: Mark Spaulding, President Date: 08/29/11
State of: Kentucky
County of: Kenton
Subscribed and sworn to before
me this 29th day of August, 2011.

Robert J. Chambers
Notary Public:
My Commission Expires: 08/29/11
Robert J. Chambers
Notary Public, ID No. 408486
State at Large, Kentucky
My Commission Expires on Nov. 12, 2013

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED: \$213,138.90
(Attaching explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.)
ARCHITECT: Robert Ehmet Hayes & Associates, PLLC
By: Robert Ehmet Hayes Date: September 2, 2011