

# APPLICATION AND CERTIFICATE FOR PAYMENT

<b>TO OWNER:</b> Southgate Independent School District Finance Corp William Blatt & Evergreen Ave., Southgate, KY 41071	<b>PROJECT -</b> Southgate Elementary School Addition William Blatt & Evergreen Ave., Southgate, KY 41071	<b>APPLICATION NO.:</b> 1 <b>PERIOD TO:</b> 05/31/11 <b>PROJECT NO.:</b> <b>CONTRACTOR:</b> <b>CONTRACT DATE:</b> 04/15/11	<b>Distribution to:</b> <input type="checkbox"/> OWNER <input type="checkbox"/> ARCHITECT
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<b>FROM CONTRACTOR:</b> Mark Spaulding Construction Company 5785 Constitution Drive Florence, KY 41042 CONTRACT FOR: - General Construction	<b>VIA ARCHITECT:</b> Robert Elmet Hayes & Associates 2512 Dixie Highway Covington, KY 41017-3094
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## CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract Continuation Sheet G703, is attached.

1. ORIGINAL CONTRACT SUM .....	\$ 975,400.00
2. Net Change by Change Order .....	\$ .00
3. CONTRACT SUM TO DATE (Line 1 +/- 2) .....	\$ 975,400.00
4. TOTAL COMPLETED & STORED TO DATE .....	\$ 36,027.00
(Column G on G703)	
5. RETAINAGE:	
a. 10 % of Completed Work .....	\$ 3,602.70
(Column D + E on G703)	
b. % of Stored Material .....	\$
(Column F on G703)	
Total Retainage (Line 5a + 5b or	\$ 3,602.70
Total in Column I of G703)	
6. TOTAL EARNED LESS RETAINAGE .....	\$ 32,424.30
(Line 4 less Line 5 Total)	
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT	\$ .00
(Line 6 from prior Certificate) .....	
8. CURRENT PAYMENT DUE .....	\$ 32,424.30
9. BALANCE TO FINISH, INCLUDING RETAINAGE	\$ 942,975.70
(Line 3 less Line 6)	

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner		
Total approved this Month		
TOTALS		
NET CHANGES by Change Order		

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of

The Owner or Contractor under this Contract. The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief, the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Mark Spaulding Construction Company

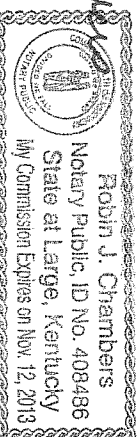
By: Mark Spaulding, President Date: 05/27/11

State of: Kentucky  
 County of: Kenton  
 Subscribed and sworn to before

me this 27th day of May, 2011.

Notary Public:

My Commission Expires:



## ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... \$32,424.30

(Attach explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.)

ARCHITECT:

By: Robert Elmet Hayes Date: June 2, 2011

Robert Elmet Hayes & Associates, PLLC

MARK SPAULDING CONSTRUCTION COMPANY

DESCRIPTION OF WORK	SCHEDULED VALUE	FROM PREVIOUS APPLICATION	THIS PERIOD	MATERIALS PRESENTLY STORED	TOTAL COMPLETED & STORED	%	BALANCE TO FINISH	RETAINAGE TO DATE
<b>DIVISION #1 - GENERAL REQUIREMENTS</b>	50,200.00		2,168.00		2,168.00	4%	48,032.00	216.80
<b>DIVISION #2 - DEMOLITION</b>								
SELECTIVE STRUCTURE DEMO LABOR	2,000.00		0.00		0.00	0%	2,000.00	0.00
<b>DIVISION #3 - CONCRETE</b>								
CONTINUOUS FOOTINGS	23,088.00		0.00		0.00	0%	23,088.00	0.00
UNDERPINNING	4,262.00		0.00		0.00	0%	4,262.00	0.00
FOUNDATION WALLS LABOR	17,060.00		2,000.00		2,000.00	12%	15,060.00	200.00
FOUNDATION WALL MATERIAL	25,590.00		6,000.00		6,000.00	23%	19,590.00	600.00
SLAB ON GRADE	25,959.00		0.00		0.00	0%	25,959.00	0.00
SLAB ON METAL DECK	6,987.00		0.00		0.00	0%	6,987.00	0.00
SITE SIDEWALKS	7,584.00		0.00		0.00	0%	7,584.00	0.00
SITE STAIRS	5,481.00		0.00		0.00	0%	5,481.00	0.00
<b>DIVISION #4 - MASONRY</b>								
MOBILIZATION & SUBMITTALS	7,500.00		0.00		0.00	0%	7,500.00	0.00
BLOCK MATERIALS	46,000.00		0.00		0.00	0%	46,000.00	0.00
BLOCK LABOR	60,000.00		0.00		0.00	0%	60,000.00	0.00
BRICK MATERIAL	15,000.00		0.00		0.00	0%	15,000.00	0.00
BRICK LABOR	21,000.00		0.00		0.00	0%	21,000.00	0.00
<b>DIVISION #5 - METALS</b>								
SHOP DRAWING & SUBMITTALS	5,500.00		2,750.00		2,750.00	50%	2,750.00	275.00
STEEL JOIST MATERIALS	10,000.00		0.00		0.00	0%	10,000.00	0.00
STEEL JOIST LABOR	8,900.00		0.00		0.00	0%	8,900.00	0.00
METAL DECKING MATERIAL	6,767.00		0.00		0.00	0%	6,767.00	0.00
METAL DECKING LABOR	5,000.00		0.00		0.00	0%	5,000.00	0.00
MISC METALS/STAIRS & RAILS MATERIAL	25,573.00		0.00		0.00	0%	25,573.00	0.00
MISC METALS/STAIRS & RAILS LABOR	17,426.00		0.00		0.00	0%	17,426.00	0.00
<b>DIVISION #6 - WOOD, PLASTICS &amp; COMPOSITES</b>								
ROUGH CARPENTRY LABOR	3,770.00		0.00		0.00	0%	3,770.00	0.00
WINDOW SILLS LABOR	300.00		0.00		0.00	0%	300.00	0.00
WINDOW SILLS MATERIAL	750.00		0.00		0.00	0%	750.00	0.00
<b>DIVISION #7 - THERMAL &amp; MOISTURE PROTECTION</b>								
SELF ADHERING WATERPROOFING MATERIAL	2,000.00		0.00		0.00	0%	2,000.00	0.00
SELF ADHERING WAGERPROOFING LABOR	1,575.00		0.00		0.00	0%	1,575.00	0.00
WATER REPELLENTS MATERIAL	2,100.00		0.00		0.00	0%	2,100.00	0.00
WATER REPELLENTS LABOR	500.00		0.00		0.00	0%	500.00	0.00
SPRAY FOAM MATERIAL	2,060.00		0.00		0.00	0%	2,060.00	0.00
SPRAY FOAM LABOR	3,050.00		0.00		0.00	0%	3,050.00	0.00
FLUID APPLIED MEMBRANE AIR BARRIERS MATL	3,224.00		0.00		0.00	0%	3,224.00	0.00
FLUID APPLIED MEMBRANE AIR BARRIERS LAB	1,000.00		0.00		0.00	0%	1,000.00	0.00
SHINGLES MATERIAL	14,615.00		0.00		0.00	0%	14,615.00	0.00
SHINGLES LABOR	31,165.00		0.00		0.00	0%	31,165.00	0.00
EPDM MATERIALS	14,735.00		0.00		0.00	0%	14,735.00	0.00
EPDM LABOR	13,831.00		0.00		0.00	0%	13,831.00	0.00

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SHEET METAL FLASHING & TRIM LABOR	18,754.00	0.00	0.00	0%	18,754.00	0.00
SHEET METAL FLASHING & MATERIAL	9,065.00	0.00	0.00	0%	9,065.00	0.00
FIRE RESISTIVE JOINT SYSTEMS	1,000.00	0.00	0.00	0%	1,000.00	0.00
JOINT SEALANT'S MATERIAL	1,000.00	0.00	0.00	0%	1,000.00	0.00
JOINT SEALANT'S LABOR	2,300.00	0.00	0.00	0%	2,300.00	0.00
EXPANSION CONTROL MATERIAL	3,460.00	0.00	0.00	0%	3,460.00	0.00
EXPANSION CONTROL LABOR	2,000.00	0.00	0.00	0%	2,000.00	0.00
<b>DIVISION #8 - OPENINGS</b>						
HOLLOW METAL DOORS & FRAMES MATERIALS	24,740.00	0.00	0.00	0%	24,740.00	0.00
ACCESS DOORS AND FRAMES MATERIAL	500.00	0.00	0.00	0%	500.00	0.00
ALUMINUM WINDOWS	9,500.00	0.00	0.00	0%	9,500.00	0.00
GLAZING	3,340.00	0.00	0.00	0%	3,340.00	0.00
GLAZING LABOR	3,900.00	0.00	0.00	0%	3,900.00	0.00
CAULKING LABOR	1,000.00	0.00	0.00	0%	1,000.00	0.00
DOOR FRAME LABOR	75.00	0.00	0.00	0%	75.00	0.00
DOORS & HARDWARE LABOR	1,600.00	0.00	0.00	0%	1,600.00	0.00
LOUVERS AND VENTS MATERIAL	600.00	0.00	0.00	0%	600.00	0.00
<b>DIVISION #9 - FINISHES</b>						
METAL STUDS FRAMING MATERIAL	2,200.00	0.00	0.00	0%	2,200.00	0.00
METAL STUDS FRAMING LABOR	2,500.00	0.00	0.00	0%	2,500.00	0.00
DRYWALL SOUND BATTS MATERIAL	2,000.00	0.00	0.00	0%	2,000.00	0.00
DRYWALL SOUND BATTS LABOR	2,570.00	0.00	0.00	0%	2,570.00	0.00
ACOUSTICAL CEILINGS MATERIAL	4,900.00	0.00	0.00	0%	4,900.00	0.00
ACOUSTICAL CEILINGS LABOR	4,780.00	0.00	0.00	0%	4,780.00	0.00
SHAFT WALL CEILING MATERIAL	2,000.00	0.00	0.00	0%	2,000.00	0.00
SHAFT WALL CEILING LABOR	2,900.00	0.00	0.00	0%	2,900.00	0.00
FLOORING LABOR	5,500.00	0.00	0.00	0%	5,500.00	0.00
FLOORING MATERIAL	7,700.00	0.00	0.00	0%	7,700.00	0.00
PAINTING LABOR	5,900.00	0.00	0.00	0%	5,900.00	0.00
PAINTING MATERIAL	1,293.00	0.00	0.00	0%	1,293.00	0.00
<b>DIVISION #10 - SPECIALTIES</b>						
VISUAL DISPLAY SURFACES	2,159.00	0.00	0.00	0%	2,159.00	0.00
SIGNS	564.00	0.00	0.00	0%	564.00	0.00
TOILET COMPARTMENTS	6,149.00	0.00	0.00	0%	6,149.00	0.00
FIRE EXTINGUISHER CABINETS	308.00	0.00	0.00	0%	308.00	0.00
ALUMINUM CANOPIES MATERIAL	4,250.00	0.00	0.00	0%	4,250.00	0.00
ALUMINUM CANOPIES LABOR	1,200.00	0.00	0.00	0%	1,200.00	0.00
<b>DIVISION #12 - FURNISHINGS</b>						
INSTITUTIONAL CASEWORK MATERIAL	20,000.00	0.00	0.00	0%	20,000.00	0.00
INSTITUTIONAL CASEWORK LABOR	2,500.00	0.00	0.00	0%	2,500.00	0.00
<b>DIVISION #21 - FIRE SUPPRESSION</b>						
ENGINEERING	780.00	0.00	0.00	0%	780.00	0.00
MATERIAL	700.00	0.00	0.00	0%	700.00	0.00
LABOR	997.00	0.00	0.00	0%	997.00	0.00
<b>DIVISION #22 - PLUMBING</b>						

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SAWCUT REMOVE CONC & DIG MATERIALS	1,600.00	0.00	0.00	0.00	0.00	1,600.00	0.00
SAWCUT REMOVE CONC & DIG LABOR	4,500.00	0.00	0.00	0.00	0.00	4,500.00	0.00
1ST ROUGH PVC MATERIALS	1,000.00	0.00	0.00	0.00	0.00	1,000.00	0.00
1ST ROUGH PVC LABOR	1,700.00	0.00	0.00	0.00	0.00	1,700.00	0.00
2ND ROUGH CAST MATERIAL	3,500.00	0.00	0.00	0.00	0.00	3,500.00	0.00
2ND ROUGH CAST LABOR	3,500.00	0.00	0.00	0.00	0.00	3,500.00	0.00
2ND ROUGH WATER MATERIALS	4,500.00	0.00	0.00	0.00	0.00	4,500.00	0.00
2ND ROUGH WATER LABOR	4,400.00	0.00	0.00	0.00	0.00	4,400.00	0.00
2ND ROUGH GAS MATERIALS	500.00	0.00	0.00	0.00	0.00	500.00	0.00
2ND ROUGH GAS LABOR	2,000.00	0.00	0.00	0.00	0.00	2,000.00	0.00
SET FIXTURES MATERIAL	9,997.00	0.00	0.00	0.00	0.00	9,997.00	0.00
SET CLASSROOM SINKS MATERIAL	6,264.00	0.00	0.00	0.00	0.00	6,264.00	0.00
SET CLASSROOM SINKS LABOR	2,791.00	0.00	0.00	0.00	0.00	2,791.00	0.00
	823.00	0.00	0.00	0.00	0.00	823.00	0.00
<b>DIVISION #23 - HVAC</b>							
GENERAL CONDITIONS	4,000.00	0.00	0.00	0.00	0.00	4,000.00	0.00
SUBMITTALS	1,000.00	0.00	0.00	0.00	0.00	1,000.00	0.00
DEMOLITION	2,000.00	0.00	0.00	0.00	0.00	2,000.00	0.00
PV/F LABOR	3,100.00	0.00	0.00	0.00	0.00	3,100.00	0.00
PV/F MATERIALS	680.00	0.00	0.00	0.00	0.00	680.00	0.00
PTACS LABOR	2,240.00	0.00	0.00	0.00	0.00	2,240.00	0.00
FINTUBE LABOR	2,240.00	0.00	0.00	0.00	0.00	2,240.00	0.00
AC 1.2 LABOR	4,011.00	0.00	0.00	0.00	0.00	4,011.00	0.00
AC 1.2 MATERIAL	8,834.00	0.00	0.00	0.00	0.00	8,834.00	0.00
DUCTWORK LABOR	14,000.00	0.00	0.00	0.00	0.00	14,000.00	0.00
DUCTWORK MATERIALS	9,340.00	0.00	0.00	0.00	0.00	9,340.00	0.00
CONTROL S LABOR	1,764.00	0.00	0.00	0.00	0.00	1,764.00	0.00
CONTROL S MATERIALS	1,176.00	0.00	0.00	0.00	0.00	1,176.00	0.00
INSULATION LABOR	1,860.00	0.00	0.00	0.00	0.00	1,860.00	0.00
INSULATION MATERIALS	1,240.00	0.00	0.00	0.00	0.00	1,240.00	0.00
BALANCE	1,000.00	0.00	0.00	0.00	0.00	1,000.00	0.00
<b>DIVISION #26 - ELECTRICAL</b>							
MOBILIZATION SUBMITTALS	2,500.00	0.00	0.00	0.00	0.00	2,500.00	0.00
SUPERVISION	4,750.00	0.00	0.00	0.00	0.00	4,750.00	0.00
SUBMITTALS	5,000.00	0.00	0.00	0.00	0.00	5,000.00	0.00
CLOSEOUT ITEMS	3,000.00	0.00	0.00	0.00	0.00	3,000.00	0.00
DAILY CLEANING	2,000.00	0.00	0.00	0.00	0.00	2,000.00	0.00
TEMPORARY POWER	1,500.00	0.00	0.00	0.00	0.00	1,500.00	0.00
DEMOLITION	1,000.00	0.00	0.00	0.00	0.00	1,000.00	0.00
FEEDERS MATERIALS	8,000.00	0.00	0.00	0.00	0.00	8,000.00	0.00
FEEDERS LABOR	5,550.00	0.00	0.00	0.00	0.00	5,550.00	0.00
PANELS MATERIAL	4,400.00	0.00	0.00	0.00	0.00	4,400.00	0.00
PANELS LABOR	2,000.00	0.00	0.00	0.00	0.00	2,000.00	0.00
LIGHT FIXTURES MATERIALS	7,000.00	0.00	0.00	0.00	0.00	7,000.00	0.00
LIGHT FIXTURES LABOR	3,100.00	0.00	0.00	0.00	0.00	3,100.00	0.00
CABLE WIRE MATERIALS	8,200.00	0.00	0.00	0.00	0.00	8,200.00	0.00
CABLE WIRE LABOR	2,560.00	0.00	0.00	0.00	0.00	2,560.00	0.00
CONDUIT MATERIAL	9,625.00	0.00	0.00	0.00	0.00	9,625.00	0.00
CONDUIT LABOR	5,697.00	0.00	0.00	0.00	0.00	5,697.00	0.00

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FIRE ALARM MATERIAL	5,300.00	0.00	0.00	0%	5,300.00	0.00
FIRE ALARM LABOR	4,468.00	0.00	0.00	0%	4,468.00	0.00
WIRING DEVICES MATERIALS	4,000.00	0.00	0.00	0%	4,000.00	0.00
WIRING DEVICES LABOR	1,450.00	0.00	0.00	0%	1,450.00	0.00
AREA OF REFUGE MATERIAL	2,100.00	0.00	0.00	0%	2,100.00	0.00
AREA OF REFUGE LABOR	1,477.00	0.00	0.00	0%	1,477.00	0.00
<b>DIVISION #27 - COMMUNICATIONS</b>						
COMMUNICATIONS CABLING MATERIAL	4,700.00	0.00	0.00	0%	4,700.00	0.00
COMMUNICATIONS CABLING LABOR	4,700.00	0.00	0.00	0%	4,700.00	0.00
AUDIO VIDEO SYS & COMMUNICATIONS MATERIAL	22,400.00	0.00	0.00	0%	22,400.00	0.00
AUDIO VIDEO SYS & COMMUNICATIONS LABOR	6,800.00	0.00	0.00	0%	6,800.00	0.00
<b>DIVISION #31 - EARTHWORK</b>						
EARTH MOVING LABOR	8,000.00	6,500.00	6,500.00	81%	1,500.00	650.00
EARTH MOVING MATERIALS	3,800.00	3,800.00	3,800.00	100%	0.00	380.00
TERMITE CONTROL MATERIALS	150.00	0.00	0.00	0%	150.00	0.00
TERMITE CONTROL LABOR	50.00	0.00	0.00	0%	50.00	0.00
<b>DIVISION #32 - EXTERIOR IMPRVMENTS</b>						
CHAIN LINK FENCES AND GATES MATERIALS	5,400.00	0.00	0.00	0%	5,400.00	0.00
CHAIN LINK FENCES AND GATES LABOR	5,400.00	0.00	0.00	0%	5,400.00	0.00
PLANTS MATERIAL	6,168.00	0.00	0.00	0%	6,168.00	0.00
PLANTS LABOR	2,000.00	0.00	0.00	0%	2,000.00	0.00
<b>DIVISION #33 - SITE UTILITIES</b>						
STORM UTILITY DRAINAGE PIPING LABOR	3,200.00	0.00	0.00	0%	3,200.00	0.00
STORM UTILITY DRAINAGE PIPING MATERIAL	3,000.00	0.00	0.00	0%	3,000.00	0.00
FOOTING DRAINS	6,000.00	0.00	0.00	0%	6,000.00	0.00
<b>ALLOWANCES</b>						
350 CUBIC YARDS PER UNIT PRICE #2	3,150.00	0.00	0.00	0%	3,150.00	0.00
GENERAL LIABILITY INSURANCE	1,743.00	1,743.00	1,743.00	100%	0.00	174.30
PAYMENT & PERFORMANCE BONDS	10,266.00	10,266.00	10,266.00	100%	0.00	1,026.60
OVERHEAD & PROFIT	20,000.00	800.00	800.00	4%	19,200.00	80.00
<b>TOTAL</b>	<b>975,400.00</b>	<b>0.00</b>	<b>36,027.00</b>	<b>4%</b>	<b>939,373.00</b>	<b>3,602.70</b>

PARTIAL WAIVER OF LIEN  
MATERIAL AND OR LABOR

STATE OF: KENTUCKY  
To all Whom It May Concern:

May 27th, 2011

Whereas the undersigned Mark Spaulding Construction Company, 5785 Constitution Drive, Florence, KY 41042

has been contracted by Southgate Independent School District Finance Corporation,

To furnish labor and/or materials for Southgate Elementary School – Addition, located at William Blatt and Evergreen Avenue, Southgate, KY 41071.

Now, Therefore, Know Ye, That Mark Spaulding Construction Company the undersigned for an in consideration of the sum of THIRTY TWO THOUSAND FOUR HUNDRED TWENTY FOUR DOLLARS AND 30/100 CENTS\*\*\*\*\*(\$32,424.30) and other good and valuable considerations, upon receipt, do hereby waive and release any and all lien, or claim or right to lien on said above described building and premises under the Statutes of the State of Kentucky relating to Mechanics' Liens, on account of labor or materials, or both, furnished up to this date by the undersigned to or on account of said firm or individual therein named for said building or premises.

Given under my hand and sealed this 27th day of May, 2011.

Mark Spaulding Construction Company

By: \_\_\_\_\_

Mark E. Spaulding

Title: President/Owner

Subscribed and sworn to before me this 27th day of May, 2011.

My Commission expires: \_\_\_\_\_

Notary Public \_\_\_\_\_

Robin J. Chambers

