



Tri-County Kentucky United Way

2019 APPLICATION FOR FUNDING Tri-County Kentucky United Way

Thank you for your interest in applying for funding from the Tri-County Kentucky United Way!

To be eligible for funding, your organization must be:

- A valid 501(c)3 organization or local school district program.
- Serve individuals in Marion, Nelson and/or Washington Counties.
- Be a health and human service organization providing service in at least one of the following United Way priority areas:
 - Education
 - Financial Stability
 - Health

The deadline for submission of this application is **12:00 noon on November 15th, 2018**.

Please **submit two (2) hard copies** of your full application **AND email a full application packet to director@tricountykuuw.org**.

The following **must be submitted WITH your completed application packet**:

- Program budget for the program for which you are seeking funding (please use attached form).
- Signed copy of Agency Agreement (please use attached form).
- Anti-terrorism Compliance (please use attached form).
- Most recent agency Financial Statement/Audit/990 (as applicable).
- IRS letter confirming your exempt status under the 501(c)3 status or as a school.
- Current Board roster with designation of officers and affiliations.
- A copy of your Non-Discrimination Policy.
- A success story from program for which you are seeking funding. Please do not use real names.
- Program/Agency brochure.
- Most recent annual report and/or newsletter, if applicable.
- Copy of your registration with Kentucky Secretary of State (available through <https://app.sos.ky.gov/ftsearch/>).

Your full application and attachments will be reviewed by the Allocations Committee. Someone will be contacting you at the end of January 2019 to schedule a meeting to discuss your application and program.

Thank you for your cooperation!
2019 Application for Funding
Tri-County Kentucky United Way

AGENCY INFORMATION	
Full Name of the Organization	Northeast Schools Family Resource and Youth Services Center(NESTT FRYSC)
Mailing Address	96 Arnold Lane
City, State and Zip Code	Bloomfield, KY. 40008
Agency Phone Number	(502) 349-7201 ext. 2185(502) 349-7211, or (270)766/8309
Executive Director/CEO Name	Wes Bradley, Nelson County Superintendent
Email Address	Wes.bradley@nelson.kyschools.us
Contact Person for this Application	Stephanie Harrison
E-Mail Address	Stephanie.harrison@nelson.kyschools.us
Phone Number (Local)	(502)349-7201, (502) 349-7211 or (270) 766-8309
Agency Website	Nelson County Schools
Agency's Mission Statement	The mission of the NESTT FRYSC is to enhance students' abilities to succeed in school by developing and sustaining partnerships that promote early learning and successful transition to school, academic achievement and well-being in school, graduation, and transition to adult life.
EIN number	61-6001240
What is your IRS designation (ie. 501(c)3, etc.)?	School
What internal controls are in place to ensure that finances are securely handled (ie. dual signatures, board oversight, etc.)?	The NESTT FRYSC has an advisory council in place that approves allocation of funds. There are bylaws in place that ensure that finances are secured handled. In addition, there are policies in place though our district school board that oversees the use of finances.
# of people served by your organization overall	The center serves 322 students and families at Bloomfield Elementary and 422 students and families at Bloomfield Middle School.
Total # of paid agency staff	The center currently employs one full time director.
Total # of agency volunteers	NA
What volunteer opportunities are available at your organization?	The center welcomes community members and parents to volunteer to share gifts and talents with students in both

	schools. Volunteer opportunities are available for back to school events, mentoring programs, and other school functions.
Are you anticipating any volunteer or staff leadership changes at your agency/program in 2019? If so, please explain.	Our school district has a new leadership. As FRYSC Director, I am participating in some of the new initiatives in the district.

2019 FUNDING REQUEST INFORMATION	
Program Name for which you are seeking funding	Be the One Program.
Total Program Budget	\$2,200.00
Total Amount Requested for 2019	\$2,200.00
Total Amount Received in 2018 for this program	\$2,200.00
Reason for any increase/decrease from prior year	
2019 Request is ____100__% of Total 2019 Program Budget	The request is about 100% of the total program budget for 2019.
What specifically will TCKY UW funds be used for? Please be specific – ie. supplies, staff, materials, etc.	The funds, if awarded by TCKY UW will purchase researched based curriculum related supplies for the mentoring programs such as materials for small group activities in school and out of school activities.
Will any requested TCKY UW dollars be used for matching funds for another grant?	NA
If only partial funding can be awarded, how will program be adjusted? Would you be able to implement full proposal by using other funding sources?	The center can adjust the activities if awarded partial funds. We would try to find other funding sources, however we still plan to provide the social and emotional supports for our students through this program.

PROGRAM INFORMATION

Please be concise, but informative, in your application responses.

Please provide a brief description of the program for which you are seeking funding.

The Be the One Program address education, etiquette, self-esteem, positive role models, and empowerment. The program assembles students in small groups. The middle school mentoring groups are S.I.S.T.E.R Empowerment and Boys to Mentors. The elementary small group, Bloomfield Buddies pair older male students with younger students at Bloomfield Elementary. Girls in Real Life Situations include 3-5th grade female students. Each group focuses on the building positive self-esteem, the importance of education, attendance, being a positive role model, and empowerment.

Each mentoring group provides unique experiences. The middle school programs provide opportunities for community guest speakers, volunteer adult male mentor involvement, and community outreach by the students. The elementary and middle groups model the importance of being kind and responsible. Furthermore, each group addresses bullying and the importance of making good choices.

Which United Way priority area is this program addressing (you can pick more than one)?

☒ Education

☐ Financial Stability

☒ Health

Describe the community problem that this program will address. Please include at least one current statistic (with citation) that most profoundly demonstrates the need for your program.

Research shows that children who belong to nurturing families and supportive communities have stronger personal connections and academic achievement. Students who live in single parent households have fewer resources available to them. According to the Anne Casey Kids Count Data (2016), 35% of children live in single parent household nationwide. In Kentucky, 36% of children live in single parent households. Bloomfield Middle and Bloomfield Elementary students are not any different from the rest of the county or the state. Thirty-one percent of the children in Nelson County live in a single parent household. Many single parent households experience the absence of positive male role models. In addition to the absence of positive role models, these households lack resources.

The mentoring programs at both schools provide opportunities for students to develop personal connections to their communities. The ability to connect with positive male and female role models and the provision of resources assist in building stronger personal connections and academic achievement.

How does this program address the United Way priority area(s) and the community problem?

The priority areas of Tri County United Way address education and health. The Be the One Program supports the building blocks that provide a good quality of life and stronger communities identified by Tri County United Way. The program strives to cultivate strong community connections that assist at risk students. Furthermore, building those healthy connections foster academic achievement.

What counties does this program serve?

☐ Marion

☒ Nelson

☐ Washington

Please describe the target population/eligibility requirements for this program (ie. age, income level, county of residency, gender, etc.)? What is the client profile of individuals served?

The target population are students in 1st-8th grade. The students attend Bloomfield Middle School and Bloomfield Elementary.

Please estimate, if possible, the number of clients in this program that are (this is not mandatory):

Output and Outcome Information

It is important for us to know the impact of your program's services so that we can more appropriately tell your story and that of the United Way network (all of our Community Partners). Therefore, please fill in the information below so that we can better understand your impact.

Outputs:

	2017	2018	2019 (projected)
# served in this program in Marion County			
# served in this program in Nelson County	40	45	50
# served in this program in Washington Cty			
Total # served in this program			

Please explain any significant changes in number of individuals served/projected to be served.

The structure of the program has changed over the last two years to better accommodate student needs. The male mentoring group at the middle school incorporates male volunteers from the community to come in the school and work with these young men.

Please list your current (2018) objectives/outcomes and results from 2017 or 2018 YTD (ie. 75% of your clients will improve their job readiness skills. 2017 or 2018 YTD outcome result = 78%):

1. 75% of girls involved in SISTER Empowerment participated in one outreach opportunity in our community in 2017.
2. 90% of students participating in the mentoring programs will report a change in how they view themselves as evidenced by pre and post surveys.(expected outcome 2018)
- 3.
- 4.
- 5.

Please provide any additional results, demographics and/or statistics on your program's successes and accomplishments.

In SY 2016/2017, 29 students completed mentoring programs at both schools. In SY 2017/2018, 45 students participated in the mentoring activities.

- Employed (any number of hours)
- Employed part-time
- Employed full-time
- Unemployed
- Low-income
- Grandparent/extended family raising children
- Single parent households
- Veteran, active-duty military, or military spouse
- Senior on fixed income
- Disabled adults and seniors
- Substance User
- Recovering Substance User
- Homeless
- Immigrant/non-English speaking
- Children under the age of 18
- At-risk youth

49

39

Total Number of clients served:

49

Is there a waiting list for the program, and if so, how many are on the waiting list?

There is no waiting list for the program.

Do you charge a fee for services?

The program and the rest of the services at the center are free to students and their families.

How do you collaborate with other local organizations to improve client successes/outcomes?

The center routinely collaborates with other agencies to provide resources such as guest speakers, activities, and student outreach.

How is your program different from other programs offering similar services in your community?

Our program is unique to our area in the county. Our students live in rural communities such as Chaplin, Bloomfield, and Fairfield. Living in a rural area, at times, does not allow our students to experience opportunities that other students in the county may experience due to transportation issues. Our program brings in community members and provide the opportunities for students that foster connections outside of their communities.

How does/will your program identify itself as a United Way Community Partner?

Our center proudly displays the Tri-County United Way logo on center correspondence.

What are the barriers/obstacles that you have experienced in terms of service delivery and/or to your client's success?

The barriers that we often experience is lack of transportation for our students to participate in after school activities. We hope to eliminate this barrier by offering more activities when school is in session.

How are services/outcomes for this program currently measured (how do you know if you have achieved your desired results – ie. client surveys, monthly reports, client case notes, follow-up, etc.)?

Our center uses pre/post surveys and school documentation. Service delivery outcomes are documented in state required report.

What are your projected objectives/outcomes for 2019 as they relate to United Way's priority area(s) that you chose (Education, Financial Stability and/or Health). Please provide at least three outcomes. Here are some suggestions; feel free to use/add your own projected outcomes:

Education (help children/youth to be successful in school)

1. Improve school Readiness.

- ____ % of children will achieve developmental milestones.
- ____ % of children will be proficient on school readiness assessments.
- ____ % of children/youth will _____.

2. Improve academic performance.

- __75__ % of students will improve grades.
- __75__ % of students will improve school attendance.
- ____ % of students will _____.
- _____.

3. Increase involvement in positive activities.

- ____ % of students will be engaged in structured after-school activity.
- ____ % of students will increase involvement in extracurricular activities.
- 80% of children will participate in at least one outreach opportunity.

- _____.

4. _____.

- ____ % of children will _____.
- _____.

Financial Stability (create pathways to financial stability through employment and education)

1. Improve financial knowledge and management.

- ____ % of participants will gain financial knowledge.
- ____ % of participants will improve financial position.
- ____ % of participants will _____.
- _____.

2. Improve access to employment and career growth.

- ____ % of participants will improve their employment readiness skills.

- ____% of participants will gain employment/maintain employment.
- ____% of participants will _____.
- _____.

3. Decrease barriers to education and employment.

- ____% of participants will secure a reliable transportation source.
- ____% of participants will _____.
- _____.

4. Increase access to assistance in time of crisis.

- ____% of clients will no longer be in jeopardy of utility shut-off, eviction, or homelessness.
- ____% of clients will receive legal representation that addresses their issue.

5. _____.

- ____% of participants will _____.
- _____.

Health (promoting health, independence and safety)

1. Improve physical and/or mental health.

- ____% of participants will improve their quality of life due to mental health services or medical assistance.
- ____% of clients will become newly enrolled in health insurance, services or benefits.
- ____% of clients will be successfully working towards sobriety.
- ____% of participants will _____.
- _____.

2. Increase access to safe housing and independent living.

- ____% of clients will be provided with temporary, safe shelter due to a crisis situation.
- ____% of clients will be transitioned into safe, healthy, affordable housing.
- ____% of older adults or individuals with disabilities will improve quality of life due to services that allow them to live more independently.
- ____% of clients will improve their lives following a disaster.
- ____% of participants will _____.
- _____.

3. Improve access to food stability/basic needs.

- ____% of clients will maintain a consistent food supply for themselves/their children.
- ____% of children will be happier/have improved grades due to consistent food supply.
- ____% of clients will receive clothing, hygiene supplies or household goods to improve quality of life.
- ____% of participants will _____.
- _____.

4. _____.

- ____% of participants will _____.

2019 Program Budget

Please complete the following budget (Income and Expenses) for the program for which you are requesting funding.

2019 Program Budget - Income			
Funding Source	Secured or Anticipated	Dollar Amount	Year of Funding
Tri County United Way	Anticipated	\$2, 200.00	2019
Total Funding			

2019 Program Budget - Expenses			
Line item	Budget Justification	TCKY United Way Requested Funds	Total Program Budget
Curriculum for programs	Research based materials used for programs. Includes manuals and student workbooks	\$800.00	\$800.00
Materials for group activities(4 groups)	Materials needed to complete hands on activities with the groups	\$500.00	\$500.00
Summer Programming	Day camp during the summer for group activities	\$400.00	\$400.00
Student Incentives	Snacks for students, fees for field trips, certificates, awards	\$500.00	\$500.00
Total Expenses		\$2200.00	\$2200.00

Please provide 2-3 examples of dollar values for your services (ie. \$120 provides food for 1 student on the weekends for a school year). Please use increments of \$5, \$10, \$25, \$50, \$100, \$120, or \$150.

1. \$105.00 provides one curriculum manual. Three needed for new groups.
2. \$250.00 provides workbooks for 10 students.

By participating in the Tri-County Kentucky United Way funding process, the undersigned has carefully read the application and reviewed the information contained in this proposal for accuracy and completeness. You further understand that an incomplete application or failure to provide the information requested, as well as late submission, will render the submission as non-qualifying and ineligible for funding or further consideration in this funding cycle.

The undersigned also understands that this grant submission is for the 2019 calendar year and will have to re-apply through the grant process for future year funding. Furthermore, if TCKYUW funds are granted to you, you will be asked to submit a mid-year report, which tracks your progress towards the outcomes that you have provided. These outcomes also allow TCKYUW to share successes with the public and United Way donors. This report template will be provided to your agency upon receipt of funding and will be due to TCKYUW by July 15th, 2019.

The undersigned also understands that if this proposal is funded, failure to meet the proposed objectives or deliver the level of services, or loss of your non-profit status may disqualify the organization from receiving the full award or could disqualify the agency from participating in the following year's funding process. An Agency Agreement (attached) must be signed by authorized personnel within your organization and returned with this application for it to be passed on to the Allocations' Committee for review.


Board Chairperson/Chief Volunteer

10/19/2018
Date

Executive Director/CEO

Date



Tri-County Kentucky United Way

**2019 Agency Agreement
For**

Northeast Schools Family Resource and Youth Services Center

In order to share in the funds raised by the Tri-County Kentucky United Way, our program hereby agrees to:

- A. Cooperate and collaborate with other Agencies to prevent service duplication and promote effective services.
- B. Comply with all conditions and provisions governing supplemental fundraising activities as determined by the Tri-County Kentucky United Way Board of Directors in order to be eligible for **any** United Way funding:
 - a. Agency will make every effort to not conduct solicitations during the TCKY UW Campaign Drive, which is from September 1 through October 30. Agency events are acceptable if this is the best time of the year for your event.
 - b. There is to be no solicitation of a company's employees where payroll deduction campaigns are conducted and no payroll deduction campaigns on behalf of your agency.
- C. Keep complete and accurate records of accounts, which can be open to inspection by a representative of the Tri-County Kentucky United Way, if necessary.
- D. Be willing to submit to the United Way other reasonable information concerning finances, programs, etc. in accordance with the Board of Directors' or Allocation Committee's request.
- E. Ensure that the general public has the same access to services and goods provided by your agency that employees and volunteers of your agency have. No one shall have early access or special access to services and goods. Any special circumstance should be noted in writing and approved by the agency's board of directors and available for public inspection and knowledge upon request.
- F. Notify TCKY United Way, in writing, of any significant changes in the funded program or organizational structure that may affect the delivery of services prior to implementing the proposed changes. This includes, but is not limited to, a change in program philosophy, program model, client, delivery strategy, intended outcomes, indicators and results, etc. If a change does occur, TCKY United Way may ask for a revision to the funded application for further review by the Allocations Committee and Board of Directors.
- G. Submit a mid-year report (to be provided at a later date) of services at 6-months to the TCKY United Way (due July 15th, 2019).
- H. Have program information and the amount funded used in printed materials.

If approved for funding, payments will be mailed quarterly, starting on March 30, 2019 and subsequently on June 30, September 30, and December 30, 2019. Quarterly payments will be contingent upon program results and actual receivable unrestricted funds secured by the Tri-County Kentucky United Way.

To insure fiscal and community accountability, I certify that this agency/program:

- Is recognized as exempt from taxation under the IRS Code, as well as from corresponding provisions of other applicable state, local and foreign laws or regulations.
- Will comply with all applicable legal, federal, state, and local operating and reporting requirements (e.g. generally accepted accounting principles, annual audit, 990, etc.).
- Will support the Tri-County Kentucky United Way campaign efforts by assisting with United Way campaign presentations (as requested by the United Way) to discuss the impact of services and the United Way.
- Will indicate that the funded program is supported by the Tr-County Kentucky United Way.

Agency Name: Northeast Schools Family Resource and Youth Services Center

Program Name: Be the One Program

Name of Authorized Person signing this form: Stephanie Harrison

Title of Authorized Person: Family Resource and Youth Services Director

Signature of Authorized Person  **Date**



Tri-County Kentucky United Way

Anti-terrorism Compliance Measures

In Compliance with the spirit and intent of the USA Patriot Act and other Counter-terrorism laws, the Tri-County Kentucky United Way requires that each agency certify the following:

"I hereby certify, on behalf of
(agency name), that all Tri-County Kentucky United Way funds and donations will be used in compliance with all applicable anti-terrorist financing and asset control laws, statutes and executive orders."

Signature of Authorized Official (required):

Print Name:

Title:

Date:

